Progression of brain atrophy in PSP and CBS over 6 months and 1 year

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ABSTRACT

Objective: To examine the utility and reliability of volumetric MRI in measuring disease progression in the 4 repeat tauopathies, progressive supranuclear palsy (PSP) and corticobasal syndrome (CBS), to support clinical development of new tau-directed therapeutic agents.

Methods: Six- and 12-month changes in regional MRI volumes and PSP Rating Scale scores were examined in 55 patients with PSP and 33 patients with CBS (78% amyloid PET negative) compared to 30 normal controls from a multicenter natural history study. Longitudinal voxel-based morphometric analyses identified patterns of volume loss, and region-of-interest analyses examined rates of volume loss in brainstem (midbrain, pons, superior cerebellar peduncle), cortical, and subcortical regions based on previously validated atlases. Results were compared to those in a replication cohort of 226 patients with PSP with MRI data from the AL-108-231 clinical trial.

Results: Patients with CBS exhibited greater baseline atrophy and greater longitudinal atrophy rates in cortical and basal ganglia regions than patients with PSP; however, midbrain and pontine atrophy rates were similar. Voxel-wise analyses showed distinct patterns of regional longitudinal atrophy in each group as compared to normal controls. The midbrain/pons volumetric ratio differed between diagnoses but remained stable over time. In both patient groups, brainstem atrophy rates were correlated with disease progression measured using the PSP Rating Scale.

Conclusions: Volume loss is quantifiable over a period of 6 months in CBS and PSP. Future clinical trials may be able to combine CBS and PSP to measure therapeutic effects. Neurology® 2016;87:1-10

GLOSSARY

CBD — corticobasal degeneration; CBS — corticobasal syndrome; CI — confidence interval; DAV — davunetide; 4R — 4 repeat; 4RTNI — 4 Repeat Tauopathy Neuroimaging Initiative; FWE — family-wise error; MGH — Massachusetts General Hospital; MMSE — Mini-Mental State Examination; NC — normal control; PreCG — precentral gyrus; PSP — progressive supranuclear palsy; PSPRS — Progressive Supranuclear Palsy Rating Scale; ROI — region of interest; SEADL — Schwab and England Activities of Daily Living; SMC — supplementary motor cortex; UCSD — University of California, San Diego; UCSF — University of California, San Francisco; VBM — voxel-based morphometry.

Progressive supranuclear palsy (PSP) and corticobasal degeneration (CBD) are rare neurodegenerative disorders associated with the accumulation of insoluble deposits of predominantly 4 microtubule binding domain repeat (4R) tau protein in specific brain regions, mainly in the absence of other pathogenic proteins including β-amyloid. Accordingly, PSP and CBD are classified as primary 4R tauopathies. There are currently no effective therapies for either disorder, but the development of new therapeutic agents that target the tau protein has led to increased interest in pursuing clinical trials in CBD and PSP, either individually or for a combined 4R tauopathy indication.

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Coinvestigators are listed at Neurology.org.

Go to Neurology.org for full disclosures. Funding information and disclosures deemed relevant by the authors, if any, are provided at the end of the article.
Both PSP and corticobasal syndrome (CBS), the most common clinical syndrome associated with CBD pathology, exhibit specific patterns of brain atrophy that are measurable on MRI scans. Atrophy is most prominent in brainstem regions in PSP, with more variable involvement of the basal ganglia and neocortical regions.\textsuperscript{2-7} In CBS, atrophy predominantly involves dorsal neocortical gray and white matter and the basal ganglia.\textsuperscript{2,5,5-7,10} Prior studies have identified global and regional MRI changes in PSP over the course of 1 year or longer\textsuperscript{11-14} but shorter intervals were rarely examined and CBS was not included. The present study compared regional patterns and rates of atrophy in these two 4R tauopathies over a shorter time frame that would be more feasible for clinical trials. To determine the validity and reliability of the measurements, analyses were repeated in a replication cohort of patients with PSP drawn from the AL-108-231 clinical trial.

METHODS Participants. Thirty-three participants with CBS and 55 with PSP were recruited at 4 sites (University of California, San Francisco [UCSF]; University of Toronto [Toronto]; University of California, San Diego [UCSD]; Massachusetts General Hospital [MGH]) as part of the 4 Repeat Tauopathy Neuroimaging Initiative (4RTNI) study. Participants with PSP met the National Institute of Neurological Disorders and Stroke/Society for PSP criteria for PSP-Richardson syndrome as modified for the AL-108-231 trial in the AL-108-231 clinical trial of the 4 Repeat Tauopathy Neuroimaging Initiative. Participants with CBS met Armstrong criteria for PSP-Richardson syndrome as modified for the AL-108-231 trial in the AL-108-231 clinical trial. Forty-three participants with PSP and 33 with CBS (total n=76) were included. The only significant pairwise group differences in demographics, clinical variables, and ROI volumes were calculated for 136 cortical and basal ganglia ROIs from the Neuroimorphometric Atlas (http://neuromorphometrics.com/). Our results discuss 20 of these ROIs selected based on a priori evidence implicating these regions in PSP and CBS,\textsuperscript{1,11} in addition to 2 control regions (left and right superior temporal gyrus) and 3 brainstorm ROIs (midbrain, pons, superior cerebellar peduncle) from a previously validated atlas.\textsuperscript{23} Further details of MRI processing are given in the e-Methods.

MRI data acquisition. Detailed MRI scanning measures are given in the e-Methods.

Clinical evaluations. 4RTNI natural history study. Participants were evaluated at baseline and 6 and 12 months with clinical rating scales, MRI scans, and other ancillary measurements. The MMSE,\textsuperscript{19} the Unified Parkinson’s Disease Rating Scale,\textsuperscript{20} the Progressive Supranuclear Palsy Rating Scale (PSPRS),\textsuperscript{21} the Montreal Cognitive Assessment,\textsuperscript{22} and the Schwab and England Activities of Daily Living (SEADL)\textsuperscript{23} scale were administered at each visit, in addition to a neuropsychological battery testing memory, executive function, and other domains (data not shown).

DAV clinical trial. This was a 52-week clinical trial that included MRI scans at baseline and 52 weeks only, but collected clinical measures more frequently. The primary outcome measures for the clinical trial were the PSPRS\textsuperscript{21} and SEADL.\textsuperscript{23}

Handling of missing data. Imaging analyses included some 4RTNI participants who were missing data at either 6 or 12 months. Twenty-two of 33 patients with CBS (67%) and 37 of 55 patients with PSP (67%) had complete data. Since missing data could bias the analyses, additional analyses were performed using only individuals with complete data at all 3 time points, shown in figure e-1.

RESULTS Baseline demographic and clinical data. Participant groups were well matched at baseline (table 1).

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Baseline demographics

<table>
<thead>
<tr>
<th></th>
<th>4RTNI</th>
<th>DAV</th>
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<tbody>
<tr>
<td></td>
<td>NC</td>
<td>PSP</td>
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<tr>
<td>No.</td>
<td></td>
<td></td>
</tr>
<tr>
<td>All</td>
<td>30</td>
<td>55</td>
</tr>
<tr>
<td>Complete</td>
<td>21</td>
<td>37</td>
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<tr>
<td>Sex, M/F</td>
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</tr>
<tr>
<td>All</td>
<td>12/18</td>
<td>26/29</td>
</tr>
<tr>
<td>Complete</td>
<td>9/12</td>
<td>17/20</td>
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<tr>
<td>Age, y</td>
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<td></td>
</tr>
<tr>
<td>All</td>
<td>68.0 (5.2)</td>
<td>71.1 (7.4)</td>
</tr>
<tr>
<td>Complete</td>
<td>68.1 (5.6)</td>
<td>70.1 (7.2)</td>
</tr>
<tr>
<td>Education, y</td>
<td></td>
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</tr>
<tr>
<td>All</td>
<td>16.8 (2.1)</td>
<td>15.7 (4.2)</td>
</tr>
<tr>
<td>Complete</td>
<td>16.8 (2.1)</td>
<td>16.4 (3.8)</td>
</tr>
<tr>
<td>Disease duration, y</td>
<td>—</td>
<td>4.8 (3.1)</td>
</tr>
<tr>
<td>Complete</td>
<td>—</td>
<td>4.4 (2.4)</td>
</tr>
</tbody>
</table>

Abbreviations: CBS = corticobasal syndrome; DAV = davunetide; 4RTNI = 4 Repeat Tauopathy Neuroimaging Initiative; NC = normal control; PSP = progressive supranuclear palsy. Data represent mean (SD) unless otherwise indicated. “Complete”: participants with baseline, 6-month, and 12-month MRI data.

a PSP vs CBS, p < 0.05.

b Pair-wise Mann-Whitney U tests: NC vs CBS/PSP, p < 0.05.

c DAV trial: 19 participants had disease duration >5 years; 192 participants had disease duration ≤5 years; 15 participants unknown.

Table 1

Baseline demographics were as follows: participants with PSP were slightly older than those with CBS at baseline, and NC participants had higher education than participants with PSP. Interscan intervals were well matched between patient groups, but patients with PSP had shorter intervals than NCs at 6 months. NCs had higher MMSE and Montreal Cognitive Assessment scores compared to PSP and CBS, but there were no differences between the patient groups. Participants with PSP had higher PSPRS scores than participants with CBS at baseline (table e-2).

Baseline patterns of brain atrophy. CBS vs NC. At baseline, the CBS group showed decreased volume bilaterally in the putamen, precentral gyrus (PreCG), supplementary motor cortex (SMC), and postcentral gyrus, as well as frontoparietal white matter (figure 1A).

PSP vs NC. The most prominent regions of atrophy in PSP at baseline were the midbrain and pons, followed by left dorsal white matter, left thalamus, bilateral cerebellar white matter, and bilateral putamen (figure 1B).

PSP-DAV vs NC. Because of the larger sample size (n = 226), a more stringent statistical threshold (p < 0.001, FWE) was used to allow resolution of atrophy patterns (figure 1C). The pattern of gray matter atrophy in PSP-DAV was similar to PSP, but the anatomical extent was greater; the midbrain and pons were most affected.

PSP vs CBS. Since PSP and CBS were part of the same study designed to compare tauopathies, we directly compared baseline atrophy patterns in these groups. CBS had reduced volume compared to PSP in the left parietal lobe, while PSP had less volume than CBS in the midbrain, cerebellar white matter, and posterior medulla (data not shown).

Longitudinal clinical data. CBS and PSP groups showed decline on the PSPRS and SEADL over 6 and 12 months, and the magnitudes of decline did not differ between groups (figure 2, A and B). The CBS group showed more impairment than the PSP group on the MMSE at 6 months, but both groups performed similarly on all other measures of cognition at each time point (table e-2).

Longitudinal patterns of brain atrophy. CBS vs NC. When 6-month changes in regional gray and white matter volume were compared between participants with CBS and NCs, there were no regions of significant volume loss at our prespecified threshold of p < 0.05, FWE. However, when using a less stringent threshold (p < 0.001, uncorrected; figure 3A, first row), the most prominent regional volume loss over 6 months occurred in the PreCG and putamen. This was accompanied by adjacent white matter loss. After 1 year, gray matter loss occurred extending from the PreCG to opercular parts of the inferior frontal gyrus, and in the putamen, pallidum, and medial frontal gyrus (figure 3A, second row). White matter loss also occurred in adjacent regions.

PSP vs NC. Over 6 months, patients with PSP exhibited prominent volume loss in the dorsal midbrain and pontine tegmentum (figure 3B, first row). After 1 year, volume loss extended to encompass the whole midbrain and pons, as well as the bilateral thalamus and cerebellar white matter, including all 3 cerebellar peduncles (figure 3B, second row).

PSP-DAV vs NC. To confirm our findings in PSP, we examined longitudinal volume loss over 1 year in the PSP-DAV group. Voxel-wise longitudinal changes were considerable, necessitating a more stringent statistical threshold (p < 0.001, FWE) to allow anatomical resolution (figure 3C). Similar to PSP, the most pronounced regional atrophy occurred in the midbrain and pons, but more widespread cortical atrophy of frontoparietal and occipital regions was observed in PSP-DAV. Subcortically, this was accompanied by atrophy of the putamen and pallidum. Extensive white matter loss was observed in the cerebrum, brainstem, and cerebellar peduncles.
PSP vs CBS. Over 6 and 12 months, there were no significant voxel-wise differences between CBS and PSP in volume loss.

Magnitude of longitudinal volumetric changes in defined ROIs. To directly compare the magnitude of volume loss in different brain regions, we generated percent volume changes for ROIs previously implicated in other 4R tauopathy studies that were also identified in our whole-brain voxel-based morphometry (VBM) analysis. At 6 months, participants with PSP exhibited midbrain, pontine, and whole brainstem changes that were greater than in NCs \((p < 0.001)\) but similar to those of participants with CBS (figure 2, C and D; table e-1A). At 12 months, participants with PSP exhibited midbrain, pontine, and whole brainstem changes that were again greater than in NCs \((p < 0.001)\) and similar to those of participants with CBS (figure 2, C and D; table e-1A).

In many of the cortical and basal ganglia regions found to have greater atrophy in CBS than in NCs in the baseline and longitudinal VBM analyses, the CBS group also had greater rates of change than PSP and NC groups. At 6 months, the CBS group had greater atrophy rates than PSP and NC groups in the left PreCG, left putamen, and bilateral SMC (figure 2, E–J; table e-1A). At 12 months, the CBS group again had greater atrophy rates than PSP and NC groups in the PreCG, superior temporal gyrus, putamen, SMC, superior parietal lobule, and caudate (figure 2, E–J; table e-1A).

The midbrain/pons volumetric ratio has previously been reported as diagnostically valuable in differentiating CBS from PSP. At all time points, both PSP and PSP-DAV groups had lower midbrain to pons ratios than NC and CBS groups (figure e-2). Moreover, midbrain/pons ratios within all groups remained stable over 1 year in all groups.

Clinical correlates of longitudinal imaging changes. Regional volume changes were not correlated with changes in the PSPRS at 6 months; however, over 1 year, volume changes in multiple ROIs were correlated with PSPRS change in patient groups. The strongest correlations were observed when CBS and PSP data were combined. In the combined patient groups, regional volume changes were significantly correlated with changes in the PSPRS over 1 year. The strongest correlations were observed in the midbrain, pontine, and whole brainstem regions.
group, annual midbrain (ρ = −0.43, 95% confidence interval [CI] −0.65 to −0.15, p < 0.01; figure 4A), pontine (ρ = −0.38, 95% CI −0.61 to −0.09, p = 0.01; figure 4B), left PreCG (ρ = −0.47, 95% CI −0.68 to −0.20, p < 0.01; figure 4C), and right PreCG (ρ = −0.33, 95% CI −0.58 to −0.04, p = 0.03; figure 4D) atrophy rates were correlated with annual PSPRS changes. Similarly, in PSP-DAV, there were comparable correlations between midbrain (ρ = −0.26, 95% CI −0.38 to −0.13, p < 0.001; figure 4E) and pontine (ρ = −0.33, 95% CI [−0.45 to −0.21], p < 0.001; figure 4F) atrophy rates and the PSPRS.

DISCUSSION Our results show that progressive brain atrophy associated with both CBS and PSP is measurable on structural MRI scans over 6- and 12-month intervals, and the patterns of longitudinal gray and white matter loss recapitulate the atrophy patterns observed at baseline as compared to controls. At baseline, patients with CBS displayed the most prominent atrophy in dorsal neocortical regions involving both gray and white matter, as well as in basal ganglia structures. In PSP, the most severe baseline atrophy was in the midbrain and pons. Over 6- and 12-month intervals, CBS demonstrated more severe volume loss than PSP in the basal ganglia and cortical structures such as the PreCG. However, atrophy rates for the midbrain and pons were nearly identical across the 2 groups. Moreover, when combining PSP and CBS, volumetric changes in brainstem structures were correlated with clinical disease progression (PSPRS), supporting the validity of these longitudinal imaging measures as biomarkers of progression in 4R tauopathies.

Longitudinal atrophy rate measurements in PSP were highly reproducible in 2 independent multicenter datasets, but there appeared to be a more extensive anatomical distribution of neocortical and basal ganglia volume loss in the PSP-DAV group than in the PSP group (figure 2C). This is most likely the result of greater statistical power in the larger PSP-DAV sample rather than the accidental inclusion of CBS patients. The similarity of ROI-measured atrophy rates between PSP and PSP-DAV supports the conclusion that the larger PSP-DAV sample size enabled detection of smaller cortical changes using VBM maps, which indicate statistical significance but not magnitude. In addition, the midbrain to pons ratio, which has previously been shown to be decreased in PSP, was nearly identical in the PSP and PSP-DAV groups and smaller than in the CBS or NC group. Future studies of autopsy-confirmed CBD and PSP cases will be necessary to definitively determine the
The observed annual rates of change in PSP and CBS are consistent with previous studies. A smaller study (n = 5) of CBS identified whole-brain atrophy rates similar to the cortical atrophy rates that we observed in CBS. The voxel-based methods used here allowed us to examine regional changes in areas known to be most severely affected by CBD pathology, including the subcortical white matter, basal ganglia, and cortical motor regions; these regions showed the greatest longitudinal changes in CBS. Another longitudinal imaging study of PSP that included 6-month MRI data along with 12- to 24-month data found similar annual rates of change to our study. This consistency across prior studies and our own findings supports the use of specific regions—midbrain, pons, basal...
ganglia, cortical motor regions, subcortical white matter—in estimating required sample sizes for detecting an effect of an intervention. We calculated the number of patients with CBS, PSP, or CBS and PSP combined needed to detect effects on clinical measures and brain volumes and found that midbrain, pons, and the PSPRS provided the smallest sample size estimates for a 1-year study (table e-3). Despite differences in both clinical and imaging features, these findings suggest that clinical trials of tau-directed therapies combining CBS and PSP are feasible if midbrain and pontine atrophy and the PSPRS are included as outcomes.

One limitation of the present study was the assumption that longitudinal volumetric changes proceed linearly despite the unknown temporal progression of atrophy in 4R tauopathies. In support of this approach, a previous study in PSP found that longitudinal atrophy of most brain regions was better fit by linear than nonlinear models. However, this remains an unresolved empirical question that should be addressed in future studies. Furthermore, we...
included participants in the natural history study who did not complete all 3 time points, which could have influenced results at either interval. To partially address this potential confound, we performed a sensitivity analysis restricting inclusion to only participants with complete data at all 3 time points, and comparable patterns and magnitudes of volume loss were observed (figure e-2). Since the ultimate goal of this work is to develop MRI biomarkers for use in clinical trials that generally last 1 year or less,16,33 the robustness of the measurements regarding missing data supports their utility as outcome measures.

Another limitation of this study was the lack of autopsy-confirmed diagnoses for our patient populations and the inclusion of a small subset of individuals who may have had CBS due to, or concurrent with, Alzheimer pathology based on amyloid PET scan results. Additional analyses were performed to demonstrate that these participants’ data did not bias the results (table e-1B). Since the present study was meant to inform clinical trials that, by definition, cannot use autopsy-confirmed diagnoses as enrollment criteria, our inclusion of a small number of amyloid-positive participants reflects an important challenge faced when designing trials of disease-modifying therapies for 4R tauopathies. Lastly, our study included only typical movement disorder presentations of CBS and PSP-Richardson syndrome. Future studies should examine differences in nonmotor variants of CBS and PSP.

Longitudinal volume loss in a combined group of CBS and PSP was correlated with longitudinal change in the PSPRS most prominently in the brainstem, but also in cortical regions, such as the PreCG. These correlations support the face validity of longitudinal volumetric MRI being sensitive to disease progression in 4R tauopathies. Although the PSPRS was not designed to capture disease progression in CBS, the considerable genetic, pathologic, and clinical overlap between PSP and CBS supports the feasibility of combining these rare diseases when designing clinical trials. These results should be further validated using optimized scales for each syndrome when they are developed. As multiple novel therapeutic agents that target the tau protein are now entering clinical trials for PSP-Richardson syndrome, Future studies should examine differences in nonmotor variants of CBS and PSP.

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DISCLOSURE
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