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# Exchanges of Atmospheric CO<sub>2</sub> and <sup>13</sup>CO<sub>2</sub> with the Terrestrial Biosphere and Oceans from 1978 to 2000.

## I. Global Aspects

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**Abstract**—From 1978 through 1999 the global average concentration of atmospheric carbon dioxide increased from 335 ppm to 368 ppm according to measurements of air samples collected at an array of ten stations extending from the Arctic to the South Pole. The global average rate of increase varied widely, however, with highest rates occurring in 1980, 1983, 1987, 1990, 1994, and 1998, all but the first of these calendar years near times of El Niño events. The <sup>13</sup>C/<sup>12</sup>C isotopic ratio of carbon dioxide, measured on the same air samples, varied in a similarly irregular manner, suggesting that exchange of atmospheric CO<sub>2</sub> with terrestrial plants and soil is the dominant cause of both signals. Quantitative analysis of the data by a procedure called a "double deconvolution" supports this hypothesis but also suggests a variable exchange with the oceans, opposite in phase to the terrestrial exchange. This result may be in error, however, because it depends on an assumption that the global average isotopic discrimination of terrestrial plants has been constant. Allowing for a variation in discrimination of only about 1‰ would eliminate the opposing fluctuations in oceanic flux, if its phasing has been opposite to that of the observed fluctuations in rate of change of CO<sub>2</sub> concentration. In three companion articles that follow, we further deduce regional exchanges of CO<sub>2</sub>, making use of latitudinal gradients computed from the same atmospheric carbon dioxide data used in this global study.

### PREFACE

This is the first of four articles that seeks to characterize sources and sinks of atmospheric carbon dioxide from direct measurements of the concentration and <sup>13</sup>C/<sup>12</sup>C ratio of atmospheric CO<sub>2</sub>, updating an earlier study by Keeling et al. [1989a]. The articles, organized as though chapters of a single study, are referred to henceforth as Articles I to IV corresponding, respectively, to Keeling et al. [2001], Piper et al. [2001a,b], and Keeling and Piper [2001].

### 1. INTRODUCTION

To establish unequivocally the consequences of human activities on the earth's carbon cycle, direct observations are needed as well as predictions from geophysical and biogeochemical models. During the industrial era, in which the combustion of fossil fuel has contributed to a sharp rise in atmospheric CO<sub>2</sub> (Figure 1), measurements of the concentration and <sup>13</sup>C/<sup>12</sup>C ratio of atmospheric CO<sub>2</sub> are especially relevant. Ability to predict correctly the time-varying gradients

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in atmospheric CO<sub>2</sub> that these data establish is an indispensable requirement for trusting models that link the storage of carbon in the atmosphere, terrestrial, and oceanic reservoirs of the carbon cycle to transfers of carbon between these global carbon pools. These transfers, if thus validated, in turn can be compared with integrations of local flux measurements, carried out on land, for example by the Ameriflux and Euroflux eddy-correlation flux networks [Hollinger et al., 2000; Aubinet et al., 2000] and in the oceans, for example by the Joint Global Ocean Fluxes Study [Karl and Michaels, 1996, pp. 127-8], thus combining top-down and bottom-up approaches to assess human impacts on the global carbon cycle.

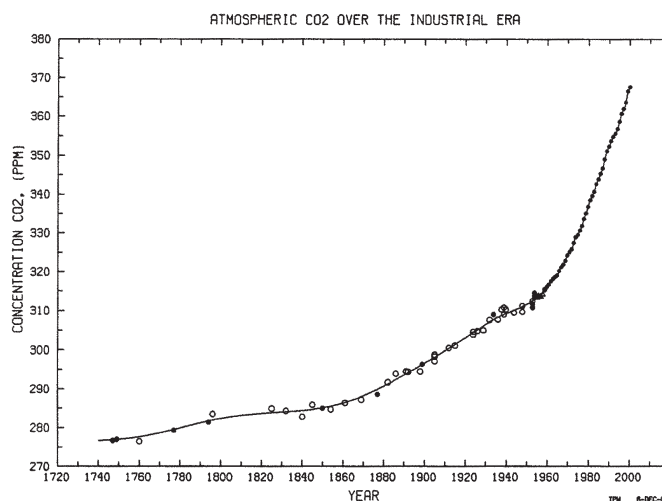


Fig. 1. Time trend in the concentration of atmospheric CO<sub>2</sub>, in ppm, from A. D. 1740 to 2000. As discussed in Appendix D, data before 1955 are proxies from measurements in air extracted from ice cores at Law Dome, Antarctica (open circles, Francey et al., 1995; closed circles, Etheridge et al., 1996). Data from mid-1955 to the beginning of 1958 are derived from measurements in western United States (open triangles) and from 1957 to 1978 are averages of measurements from the South Pole and Mauna Loa Observatory. Data from 1978 on are averages of direct measurements of air collected from 6 to 9 locations (dots). The curve is a spline function which combines separate fits to the proxy and direct data as described by Keeling et al. [1989a, page 196].

To acquire an atmospheric CO<sub>2</sub> database adequate to establish human impacts on continental and global scales, it is necessary to sample air world-wide. Because atmospheric turbulence tends to smooth out the effects of local sources and sinks on the atmospheric CO<sub>2</sub> distribution, only a limited number of sampling locations are required, however, provided that they are remote from large local sources and sinks of CO<sub>2</sub>.

In this study we update an early study [Keeling et al.,

1989a], in which we depend on data from fewer locations than most other recent global studies, but our more extensive isotopic data compensates to a considerable degree. We have refrained from direct use of atmospheric data measured by other investigators because adequate cross-calibration with our data is still pending, and an analysis based on totally independent atmospheric measurements should be useful for comparison with the results of other studies.

Our approach here is to calculate a set of regional "source components" that, together, sum to all globally significant transfers of atmospheric CO<sub>2</sub> with the terrestrial and oceanic carbon reservoirs. These components include industrial emissions of CO<sub>2</sub>, long term human-induced disturbances to terrestrial vegetation and of soils, and perturbations induced by these disturbances, such as the uptake of CO<sub>2</sub> by the oceans and terrestrial vegetation, and natural CO<sub>2</sub> fluxes. In constructing these components, which portray both sources and sinks of atmospheric CO<sub>2</sub>, we make use of *a priori* information as much as possible, including spatially gridded global data sets of observed sea and land surface temperatures and terrestrial photosynthetic activity derived from remote sensing data observed by satellites. For the less reliably known components, we prescribe, *a priori*, only their spatial and temporal structures, adjusting their overall strengths simultaneously to predict optimally our observations of CO<sub>2</sub> concentration and <sup>13</sup>C/<sup>12</sup>C, taking account of the entire set of component fluxes.

This essentially inverse procedure is carried out in two principal steps. Step 1, to be described here, computes only global average exchanges of atmospheric CO<sub>2</sub>. These exchanges, which transfer CO<sub>2</sub> to and from terrestrial vegetation and soils (henceforth, together called the "terrestrial biosphere") and to and from the world oceans (henceforth, "oceans"), are inferred from global averages of time-varying concentration and <sup>13</sup>C/<sup>12</sup>C ratio determined from our observations. Step 2, to be described in the articles that follow, computes regional fluxes, constrained to agree with the global average fluxes inferred in step 1. This first step employs a global biogeochemical model, consisting of terrestrial and oceanic submodels, that portrays enough of the internal dynamics of the carbon cycle to establish a time-varying global budget of carbon, similar to that employed by Keeling et al. [1989a, pp. 185-193]. In the second step, the global CO<sub>2</sub> fluxes established by this budget are distributed regionally, consistent with boundary conditions specified by regional source components and an atmospheric transport model.

A major challenge is to determine how time-varying sources and sinks of atmospheric CO<sub>2</sub> reflect the interplay of natural processes and human activities, including feedbacks between the earth's carbon cycle and its physical environment. Of paramount interest is that the earth's heat balance is being altered by an enhanced greenhouse effect caused by rising concentrations of CO<sub>2</sub> and other infrared-absorbing gases. Global warming probably is occurring as a consequence, altering the carbon cycle globally [Santer et al., 1995; Crowley, 2000]. The picture is complicated, however, because natural variations in climate also impact the earth's heat balance [Free and Robock, 1999; Andronova and Schlesinger, 2000] and the carbon cycle [Falkowski et al., 2000].

Although the observations most essential to this study are measurements of CO<sub>2</sub> concentration, valuable information is afforded by its <sup>13</sup>C/<sup>12</sup>C isotopic ratio, conveniently expressed by the reduced isotopic ratio

$$\delta^{13}\text{C} = ({}^{13}\text{r} - {}^{13}\text{r}_s) / {}^{13}\text{r}_s \quad (1.1)$$

where <sup>13</sup>r denotes the measured <sup>13</sup>C/<sup>12</sup>C ratio of a specific sample of CO<sub>2</sub>, and <sup>13</sup>r<sub>s</sub> a constant reference value defined by an international standard, as noted below. Because the rare stable isotope, carbon-13 (<sup>13</sup>C), during photosynthesis by land plants, is strongly fractionated relative to the more abundant isotope, carbon-12 (<sup>12</sup>C), the terrestrial biosphere alters the δ<sup>13</sup>C of atmospheric CO<sub>2</sub> far more than do the oceans [Keeling et al., 1989a]. Terrestrial and oceanic CO<sub>2</sub> fluxes can thus be distinguished using δ<sup>13</sup>C data, with certain additional information, including variability in the fractionation factor for photosynthesis for different types of plants and under different growing conditions and, on long time-scales, evidence of the degree of vertical mixing of the oceans.

Our analysis of global and regional carbon exchange is presented in the following order. In this first article, Article I, we present our atmospheric CO<sub>2</sub> data followed by a calculation of global average terrestrial and oceanic fluxes based on global averages of our atmospheric CO<sub>2</sub> data. In Article II, we describe a carbon cycle model that enables us to compute regional fluxes under the control of gradients in atmospheric CO<sub>2</sub> concentration and isotopic ratio, deduced from the same atmospheric data used in our global study. In Article III, by sensitivity tests, we assess uncertainties in our estimates of regional fluxes. Article IV describes salient features of our findings and compares them with findings of other investigations.

## 2. ATMOSPHERIC OBSERVATIONS

### 2.1 Data for individual stations

Observations of atmospheric CO<sub>2</sub> concentration and its <sup>13</sup>C/<sup>12</sup>C ratio, expressed by δ<sup>13</sup>C, were obtained from an array of 10 stations situated along a nearly north-south transect mainly in the Pacific Ocean basin. The stations extend from the Arctic to the South Pole (see Figure 2 and Table 1), at sites on land located as far as possible from biological activity and combustion of fossil fuels. The effects of local interferences were minimized by sampling the air upwind, so that the observations mainly reflect broad-scale CO<sub>2</sub> fluxes. The concentration data set begins in 1957, the isotopic data set in 1977. Data provide complete time-series for all stations of the array from 1986 onward. Here we mainly consider data after 1977.

Each CO<sub>2</sub> concentration record, C(t) was decomposed into a seasonal function, consisting of four harmonics, and a seasonally detrended function, according to the relation

$$C(t) = C_{seas}(t) + C_{annual}(t) \quad (2.1)$$

where

$$C_{seas}(t) = (1 + \gamma t) \sum_{k=1}^m (a_k \sin \omega_k t + b_k \cos \omega_k t) \quad (2.2)$$

In the second expression  $\gamma$  (a "gain factor") and the factors,  $a_k$  and  $b_k$ , denote constants obtained via a fit to the data;  $t$  denotes the time in years;  $\omega_k$  the angular frequency, equal to  $2\pi k$ ; and  $m$  the number of harmonics, chosen to be 4. The seasonally adjusted function,  $C_{annual}$ , is expressed by a spline function in which the annual average of the integral of the squared second derivative is set to a predetermined value to provide a nearly uniform degree of smoothing of all of the records. The actual function is established in several steps involving intermediate functions (see Keeling et al. [1989a, p. 167 and pp. 218-227]) to assure stability in the calculation and to determine monthly averages that take into account the actual dates of each observation. The isotopic record,  $\delta^{13}C(t)$ , is treated similarly.

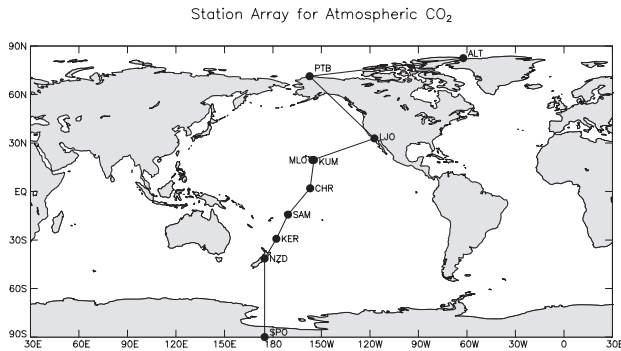


Fig. 2. Locations of carbon dioxide sampling stations that furnish data for this study. Station symbols: ALT=Alert; PTB=Point Barrow; LJO= La Jolla; KUM=Cape Kumukahi; MLO=Mauna Loa Observatory; CHR=Christmas Island; SAM=Samoa; KER=Kermadec; NZD=New Zealand; SPO=South Pole. Further information is listed in Table 1. A transect connecting the stations is shown.

The  $CO_2$  concentration  $C(t)$  is expressed as a mole fraction in parts per million of dry air (ppm), the isotopic data,  $\delta^{13}C(t)$ , in per mil (‰) departures from the standard PDB [Craig, 1957; Mook and Grotes, 1973]. Methods of sampling, measurements, and calibrations are described in Appendices A, B, and C. Monthly data points and smoothed trends are shown in Figure 3 (the scale of  $\delta^{13}C(t)$  inverted). Standard errors of the individual data points to the spline fits for the 10 stations of our array are listed in Tables D3 and D4 and discussed in subsection 7.2, below. Seasonally adjusted trends,  $C_{annual}$  and  $\delta^{13}C_{annual}$ , are summarized in Figure 4 after a linear trend, the same for all stations, has been subtracted to reveal short-term interannual variations. The vertical scales for Figures 3 and 4 are set so that changes in the concentrations and isotopic ratio are in the proportion

$$\Delta\delta^{13}C / \Delta C = -0.050\% \text{ ppm}^{-1} \quad (2.3)$$

approximately that expected for seasonal variability in atmospheric  $CO_2$  caused by exchange with the terrestrial biosphere [cf. Keeling et al., 1989a, p. 171].

As indicated in Figure 4, the  $CO_2$  concentration decreases almost monotonically from north to south such that two Arctic stations, Alert and Point Barrow, register concentrations 3 to 5 ppm higher than the most southerly stations, in New Zealand and at the South Pole. The main cause of the southward decrease is the predominance of industrial emissions of  $CO_2$

in the northern hemisphere [Keeling et al., 1989b]. These emissions, in addition, cause  $\delta^{13}C$  of atmospheric  $CO_2$  to increase from north to south, because fossil fuels are strongly depleted in the heavy carbon isotope, carbon-13, relative to atmospheric  $CO_2$ , a reflection of their origins as plant carbon. The explanation for the north-south isotopic gradient in  $CO_2$  is more complicated, however, owing to isotopic fractionation processes not associated with photosynthesis of land plants. Of these processes, the most important is temperature-dependent isotopic fractionation in the air-sea exchange of  $CO_2$  which promotes negative  $\delta^{13}C$  at high latitudes relative to low latitudes, and in the southern hemisphere relative to the northern [Keeling et al., 1989a, p. 323].

Short-term interannual variations in concentration and  $\delta^{13}C$  exhibit similar temporal patterns at individual stations and, to a considerable extent, similar patterns from station to station. Emissions of industrial  $CO_2$ , because they vary only slightly from year to year, contribute little to these temporal patterns. Therefore, because the  $\delta^{13}C$  variations are relatively large, the similarities in patterns implies that terrestrial biospheric fluxes are the dominant cause of short-term variations in  $CO_2$  concentration, as well as in  $\delta^{13}C$ .

## 2.2 Association of temporal variability of $CO_2$ with climatic factors

To aid in interpreting the interannual patterns seen in Figure 4, and in derived  $CO_2$  fluxes shown later, we identify quasi-periodic variability in atmospheric  $CO_2$  defined by time-intervals during which the seasonally adjusted  $CO_2$  concentration at Mauna Loa Observatory, Hawaii rose more rapidly than a long-term trend line proportional to industrial  $CO_2$  emissions. The Mauna Loa data and the trend line are shown in Figure 5 with vertical gray bars demarking the intervals of rapid rising  $CO_2$ . Data from Mauna Loa Observatory were chosen for this identification because the measurements there are continuous and thus provide a more precisely determined rate of change than any other station in our observing program. Also, the rate observed there agrees closely with the global average rate estimated from the nearly pole to pole data of Figure 4 (plot not shown).

An association of rapidly rising  $CO_2$  with temperature is seen consistently in the Mauna Loa record since its beginning in 1957 [Keeling et al., 1989a, Figure 63; 1995, Figure 2]. As shown in Figure 5, Panel b, consistent with this association, the gray bars without exception indicate warm periods. As first noted by Bacastow [1976], rapidly rising  $CO_2$  typically occur during El Niño events involving almost global scale changes in climatic factors, especially temperature, and identifiable by a low value of a climatic indicator called the Southern Oscillation Index (SOI) [Rasmusson and Wallace, 1983; Meehl, 1987]. The SOI, also plotted in Figure 5b, indeed, shows low values at times of some of the gray bars, but not all.

The SOI tracks the surface barometric pressure difference across the Pacific Ocean in the tropics. This pressure difference weakens during El Niño events and strengthens during the opposite phase in a so-called El Niño-Southern oscillation (ENSO) cycle. On 3 occasions during the time-period plotted

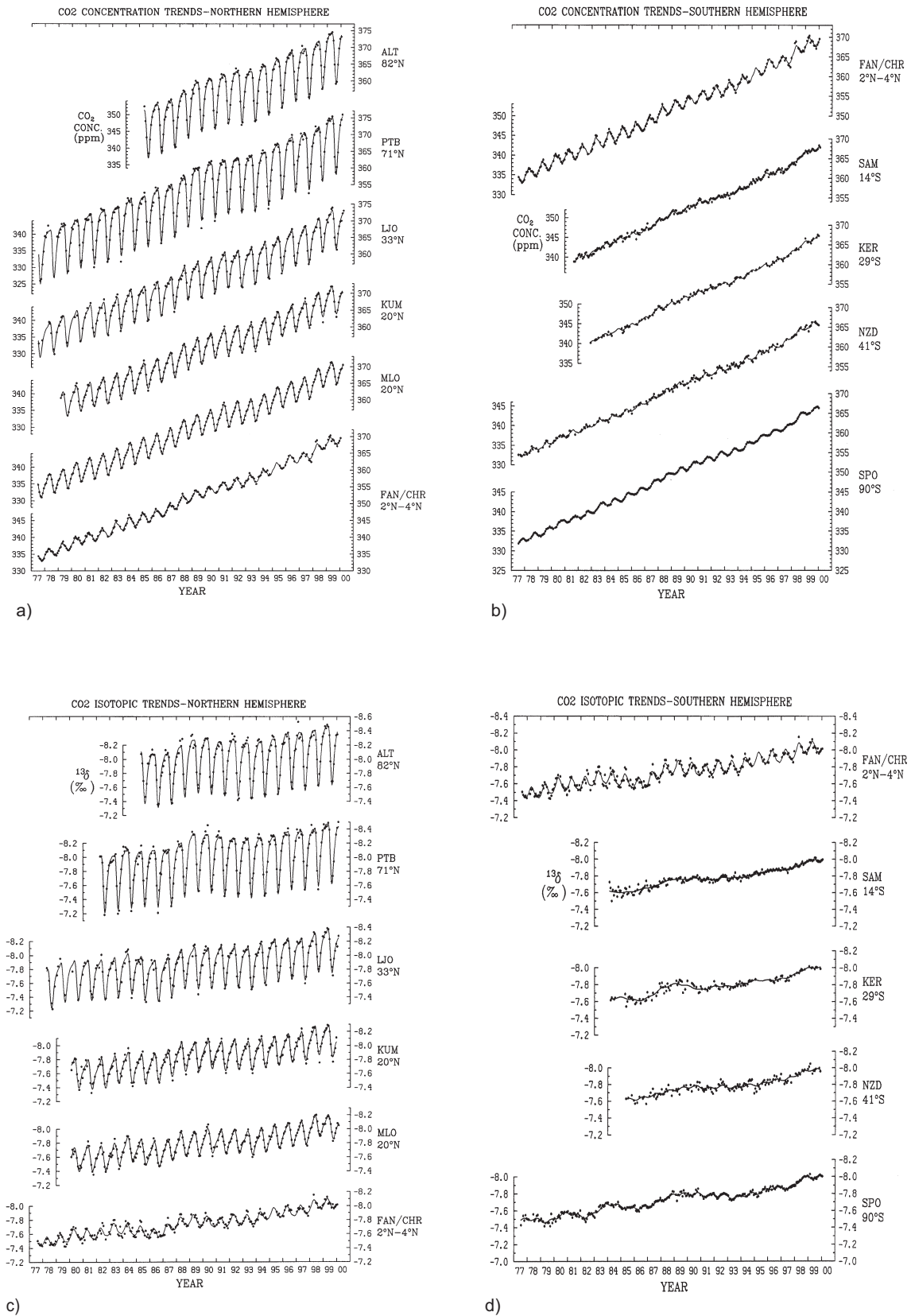


Fig. 3. Trends in the measured atmospheric  $\text{CO}_2$  concentration and its reduced isotopic ratio,  $\delta^{13}\text{C}$ . **Panels a and b:** Concentration, in ppm, in the northern and southern hemisphere, respectively, shown by monthly averages (dots) and by a smooth curve consisting of the sum of 4 seasonal harmonics and a spline function (solid lines, see text). The seasonal harmonics include a linear gain factor, to represent increasing amplitude with time. **Panels c and d:** Same, respectively, for reduced isotopic ratio,  $\delta^{13}\text{C}$ , in ‰. Seasonally adjusted data of concentration and  $\delta^{13}\text{C}$  for individual days are plotted in Article IV, Figure 2. Station code names are as defined in Figure 2. FAN/CHR refers to data for Fanning and Christmas Islands, combined (see Table 1, footnote e). The scale of  $\delta^{13}\text{C}$  is inverted so that seasonal patterns of concentration and  $\delta^{13}\text{C}$  appear with the same phasing.

TABLE 1  
AIR SAMPLING LOCATIONS AND DATES OF SAMPLING

Station	Code Name	Lat. (deg.)	Long. (deg.)	Elevation (m)	Inclusive Dates of Fit <sup>a</sup>	
					CO <sub>2</sub>	$\delta^{13}\text{C}$
Alert, N.W.T., Canada	ALT	82.5°N	62.3°W	210	May 6, 1985 to Jan. 20, 2000	May 6, 1985 to Jan. 20, 2000
Point Barrow, Alaska	PTB	71.3°N	156.6°W	11	Feb. 3, 1974 to Feb. 4, 2000	Apr. 8, 1982 to Feb. 4, 2000
La Jolla, California	LJO	32.9°N	117.3°W	10	Feb. 18, 1969 to Mar. 28, 2000 <sup>b</sup>	Apr. 1, 1978 to Feb. 28, 2000
Mauna Loa, Hawaii <sup>c</sup>	MLO	19.5°N	155.6°W	3397	Mar. 29, 1958 to Mar. 25, 2000 <sup>d</sup>	Jun. 30, 1978 to Feb. 11, 2000
Cape Kumukahi, Hawaii	KUM	19.5°N	154.8°W	3	Mar. 27, 1979 to Feb. 1, 2000	Feb. 4, 1980 to Dec. 28, 1999
Christmas Island, Kiribati	CHR	2.0°N	157.3°W	2	Dec. 10, 1974 to Jan. 9, 2000	Aug. 2, 1977 to Jan. 16, 2000 <sup>e</sup>
Cape Matatula, American Samoa	SAM	14.2°S	170.6°W	30	Sep. 4, 1981 to Feb. 14, 2000	Feb. 24, 1984 to Jan. 3, 2000
Raoul Island, Kermadec Islands	KER	29.2°S	177.9°W	2	Dec. 8, 1982 to Jan. 3, 2000	Dec. 13, 1983 to Nov. 11, 1999
Baring Head, New Zealand	NZD	41.4°S	174.9°E	85	Jul. 4, 1977 to Jan. 10, 2000	May 14, 1985 to Nov. 22, 1999
South Pole	SPO	90.0°S	0.0°	2810	Jun. 17, 1957 to Jan. 23, 2000 <sup>f</sup>	Mar. 15, 1977 to Jan. 23, 2000

<sup>a</sup> Flask samples unless otherwise noted.

<sup>b</sup> Supplementary continuous measurements from 1972-1975.

<sup>c</sup> Provided for reference but not used in the global deconvolution or zonal model inversion.

<sup>d</sup> For CO<sub>2</sub>, continuous measurements only at this site.

<sup>e</sup> Supplementary flask measurements from Fanning Island from 1977-1983 included (see Keeling et al., 1989a, Table A.2).

<sup>f</sup> Supplementary continuous measurements from 1960-1963.

in Figure 5, in 1983, 1987, and 1998, there were pronounced minima in the SOI followed by sharp increases. At these times, very strong El Niño events occurred, accompanied by strong warming and prominent increases in the rate of rise of atmospheric CO<sub>2</sub> [Slingo and Annamalai, 2000]. That the timing of a SOI minimum for these events almost exactly coincides with the commencement of a gray bar suggests a remarkably close phase relation to the rate of CO<sub>2</sub> rise. The SOI-CO<sub>2</sub> relation during a prolonged period of low SOI from 1992 through 1994 is less consistent, indeed, the least consistent for the entire 42 years of the Mauna Loa record. A sharp decrease in rate of rise of CO<sub>2</sub> began immediately after the volcanic eruption of Mt. Pinatubo in 1991 and persisted until 1993. Then CO<sub>2</sub> began to rise in evident association with the dissipation of a volcanic dust veil that had promoted cool temperatures after the eruption. The commencement of a gray bar in late 1993 is evidently not related to the ENSO cycle, although the long duration of the gray bar interval probably marks a return to the SOI-CO<sub>2</sub> association seen in the three prominent events already noted.

The gray bars near 1980 and 1990 are associated with global warming, not evidently correlated with El Niño events. Also, there is no gray bar at the time of a weak El Niño event in 1992, probably because this event was during the period of cooling associated with the Pinatubo eruption. Thus the gray bars of Figure 5 identify times of the ENSO cycle of the past 22 years when it involved strong oscillations in the SOI and was not complicated by a very powerful volcanic eruption, but they also identify times of rapid warming not

clearly associated with the ENSO cycle.

### 2.3 Data globally averaged

From the data described above, we estimated the global average concentration and isotopic ratio,  $\delta^{13}\text{C}$ , of atmospheric CO<sub>2</sub> from 1978 through 1999 by a procedure described in Appendix D. Data for Mauna Loa Observatory, Hawaii, were not used, as explained in Article II, section 5. The resulting time-series are shown in Figure 6, and their time-derivatives in Figure 7. For modeling purposes, these time-series were extended back to A.D. 1740. The extension of the concentration series, shown in Figure 1, consists of data from air trapped in glacial ice collected at Law Dome, Antarctica, assumed to represent the global average concentration, and after 1955 direct observations, as described in Appendix D. The extension of the  $\delta^{13}\text{C}$  series was created by a deconvolution procedure described in section 4, below, making use of the global CO<sub>2</sub> concentration time-series to establish chemical disequilibria of the global carbon cycle, assuming that the atmospheric, terrestrial biospheric, and oceanic carbon reservoirs were at chemical and isotopic equilibria in 1740. The <sup>13</sup>C/<sup>12</sup>C ratio in 1740 was set so that  $\delta^{13}\text{C}$  in 1978 exactly agreed with the value from observations.

## 3. ISOTOPIC SIGNATURE OF THE SEASONAL CO<sub>2</sub> CYCLE

The concentration of atmospheric CO<sub>2</sub> and its reduced isotopic ratio,  $\delta^{13}\text{C}$ , as seen in Figure 3, covary over their seasonal cycles, because the  $\delta^{13}\text{C}$  of the carbon of land



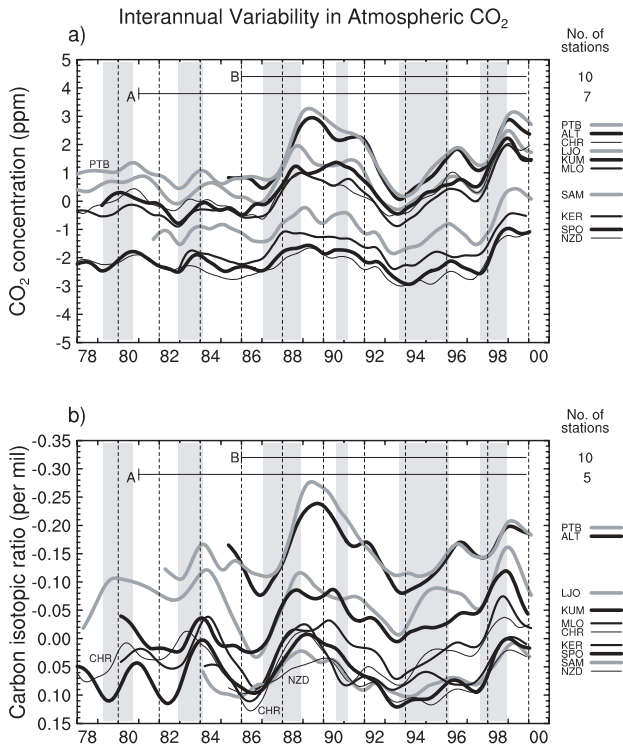


Fig. 4. Seasonally adjusted atmospheric  $\text{CO}_2$  concentration and its reduced isotopic ratio,  $\delta^{13}\text{C}$ , from 1978 through 1999. Station code names are listed in descending order of plotted data on the right side of each panel, as defined in Table 1. The significance of vertical gray bars is explained in Figure 5. **Panel a:** Seasonally adjusted concentration, in ppm, after removing a linear trend (1978 intercept, 335 ppm; slope  $1.474 \text{ ppm yr}^{-1}$ ). Straight line, A, denotes the interval of calculations of regional fluxes, described in Article II; line B, the interval of complete concentration and isotopic data for all stations. **Panel b:** Same as Panel a, except for  $\delta^{13}\text{C}$ , in ‰, after removal of a linear trend (1978 intercept,  $-7.440 \text{ ‰}$  slope  $-0.0203 \text{ ‰ yr}^{-1}$ ). The scale is inverted so that variations are plotted in the same vertical direction as those of  $\text{CO}_2$  concentration. Data for Mauna Loa are not included in the global averages shown in Figures 6 and 7.

plants is distinctly more negative than that of atmospheric  $\text{CO}_2$ , and seasonality of plant growth and respiration are the dominant causes of seasonality in atmospheric  $\text{CO}_2$ . Over the northern hemisphere where this seasonality is a prominent feature of the  $\text{CO}_2$  records, the  $\delta^{13}\text{C}$  inferred to be exchanged between the terrestrial biosphere and the atmosphere over each annual cycle tends to approach that of  $\text{CO}_2$  respired by land plants [Mook et al., 1983, Heimann et al., 1989]. In the southern hemisphere, however, plant activity produces only small seasonal cycles in  $\text{CO}_2$  concentration and  $\delta^{13}\text{C}$ , and, consequently, the average isotopic ratio that explains the covariance of the seasonal cycle there is not precisely established.

The annual average  $^{13}\text{C}/^{12}\text{C}$  signature of the seasonal cycle of atmospheric  $\text{CO}_2$ , disregarding possible seasonal variability in the signature itself and in any phase difference between oceanic and terrestrial  $\text{CO}_2$  exchange, is given by the expression

$$\delta^{13}\text{C}_I = \delta^{13}\text{C}_o + (\delta^{13}\text{C} - \delta^{13}\text{C}_o) \mu / (\mu - \mu_o) \quad (3.1)$$

where  $\delta^{13}\text{C}$  and  $\mu$  denote, respectively, the reduced isotopic ratio and mole fraction of any given sample of atmospheric

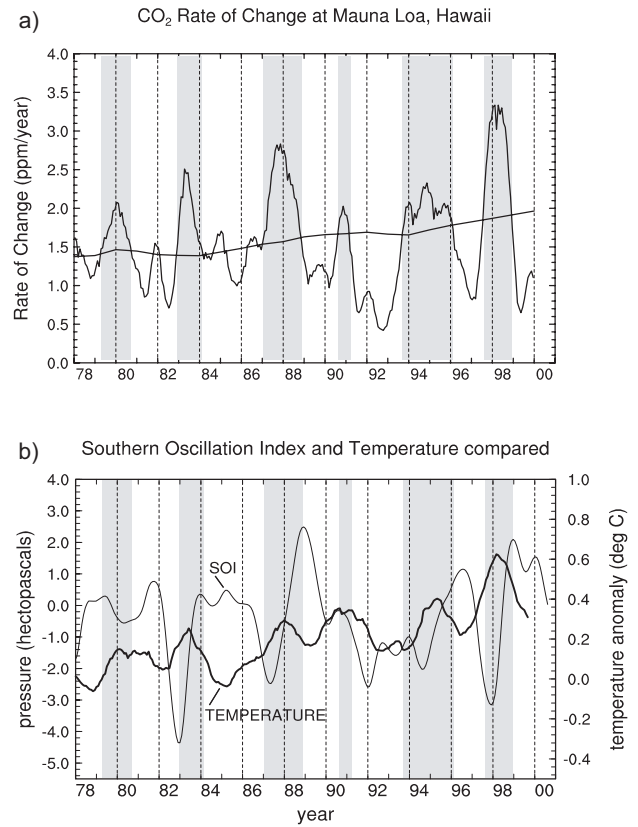


Fig. 5. Comparison of quantities that vary with the El Niño-Southern Oscillation (ENSO) Cycle. **Panel a:** Rate of change of atmospheric  $\text{CO}_2$  concentration, in  $\text{ppm yr}^{-1}$ , at Mauna Loa Observatory, Hawaii (strongly fluctuating curve), plotted together with the industrial  $\text{CO}_2$  release (slowly rising curve). The latter trend is defined as the average fraction of emissions of industrial  $\text{CO}_2$  that remained airborne as determined by Keeling et al. [1995] to be 58.1%. **Panel b:** The Southern Oscillation Index (SOI, thin line), determined as the barometric pressure difference of Tahiti minus Darwin, Australia, in hPa, and global average temperature anomaly (thicker line). The SOI data were derived from Climate Prediction Center [2000], the temperature data from Jones [1994, and personal communication]. Vertical gray bars in both panels indicate time-intervals during which the rate of change of atmospheric  $\text{CO}_2$  at Mauna Loa exceeded the industrial  $\text{CO}_2$  trend rate (see text).

$\text{CO}_2$ , and  $\delta^{13}\text{C}_o$  and  $\mu_o$  are respective reference values. (In this discussion we refer to our measurements of atmospheric  $\text{CO}_2$  by the correct term, "mole fraction", although elsewhere, following common practice, we use the less precise term "concentration".) Equation (3.1) follows from the relationship

$$(\delta^{13}\text{C})\mu = (\delta^{13}\text{C}_o)\mu_o + \delta^{13}\text{C}_I(\mu - \mu_o) \quad (3.2)$$

in which the product of  $\delta^{13}\text{C}$  and carbon mass (and hence mole fraction,  $\mu$ ) is very nearly additive when two or more samples of  $\text{CO}_2$  in a carrier gas, such as air, are mixed [Heimann and Keeling, 1989, pages 261 and 262 after equations (5.11) and (5.17)]. Here we identify a reference sample of air with values  $\delta^{13}\text{C}_o$  and  $\mu_o$ , to which is added  $(\mu - \mu_o)$  moles per unit volume of  $\text{CO}_2$  gas with an isotopic ratio,  $\delta^{13}\text{C}_I$ . If, for the reference values,  $\delta^{13}\text{C}_o$  and  $\mu_o$ , we assign annual means appropriate to  $\delta^{13}\text{C}$  and  $\mu$  of atmospheric  $\text{CO}_2$ , respectively, the isotopic discrimination term that explains the seasonal covariance of  $\delta^{13}\text{C}$  and  $\mu$  is expressed by

$$^{13}\Delta_{cov} = \delta^{13}\text{C}_I - \delta^{13}\text{C}_o \quad (3.3)$$

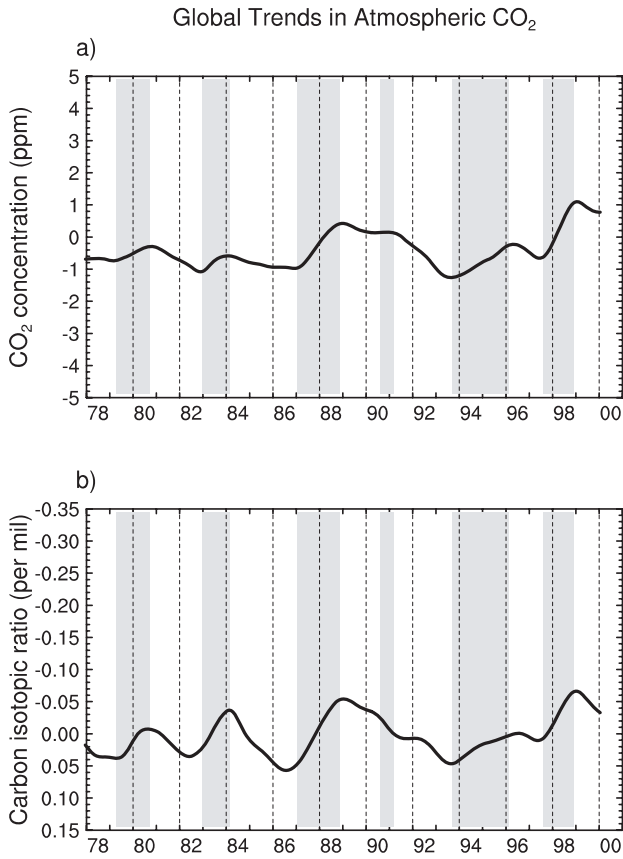


Fig. 6. Global average of seasonally adjusted atmospheric CO<sub>2</sub>, shown as spline curves. The averages are derived from the smoothed curves for the individual stations shown in Figure 3, with linear trends removed. The method of computation is described in Appendix D. **Panel a:** concentration, in ppm. **Panel b:** δ<sup>13</sup>C, in ‰. Gray bars are as in Figure 5.

For a given location, from a set of paired values of δ<sup>13</sup>C and μ<sup>-1</sup>, we find, by a linear least squares fit, the intercept, δ<sup>13</sup>C<sub>I</sub>, and the slope, (δ<sup>13</sup>C<sub>o</sub> - δ<sup>13</sup>C<sub>I</sub>)μ<sub>o</sub>, of the expression

$$\delta^{13}C = \delta^{13}C_I + (\delta^{13}C_o - \delta^{13}C_I)(\mu_o/\mu) \quad (3.4)$$

derived by solving equation (3.2) for δ<sup>13</sup>C [cf. Keeling, 1961, p. 278]. We afterwards calculate <sup>13</sup>Δ<sub>cov</sub> via equation (3.3).

In our atmospheric records in the northern hemisphere (Figure 8), slight trends can be discerned towards more negative δ<sup>13</sup>C<sub>I</sub> (and hence, <sup>13</sup>Δ<sub>cov</sub>), from decade to decade, in addition to short-term interannual variability. The decadal trends do not significantly differ, however, from the average δ<sup>13</sup>C trend of atmospheric CO<sub>2</sub>, shown on each plot by a straight line.

Observed short-term interannual variability in δ<sup>13</sup>C<sub>I</sub> is typically less after changes in instrumentation and calibrating procedures took place in 1991 (see Appendix C) which resulted in more precise mass spectrometric measurements, as established by replicate analyses. Addressing data only after 1991, the Arctic stations and La Jolla show little interannual variability in δ<sup>13</sup>C<sub>I</sub>; Mauna Loa and Cape Kumukahi show possibly significant annual variations in the range of 2‰. For these northern stations, where oceanic CO<sub>2</sub> exchange has only a small, or a negligible effect on the seasonal cycle of atmospheric CO<sub>2</sub>, <sup>13</sup>Δ<sub>cov</sub> is close to the isotopic discrimination for photosynthesis of land plants, suggesting

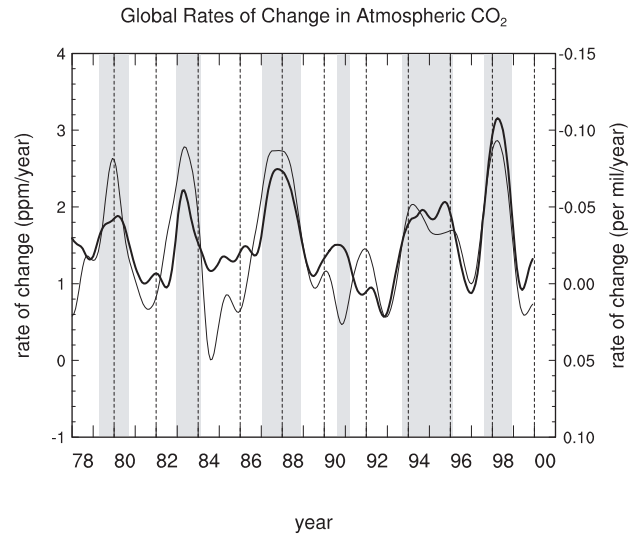


Fig. 7. Rates of change of globally averaged atmospheric CO<sub>2</sub> concentration, in ppm (thick line), and δ<sup>13</sup>C, in ‰ (thin line), computed from the time-trends shown in Figure 6, but without linear trends removed. Gray bars are as in Figure 5.

that this discrimination, averaged over large regions, has varied temporally by 1 to 2‰ during the past decade. With respect to latitude, averages of δ<sup>13</sup>C<sub>I</sub> from 1992-1999, listed in Table 2, show little evidence of variation within either hemisphere, but the hemispheric averages differ sharply: -27.5‰ in the northern, -17.5‰ in the southern. The lower average for δ<sup>13</sup>C<sub>I</sub> in the southern hemisphere is consistent with a significant contribution to the seasonal cycle of atmospheric CO<sub>2</sub> by oceanic exchange, with its far lower isotopic discrimination than terrestrial exchange. To an indeterminate extent, the lower average may also reflect that a greater part of the vegetation on land in the southern hemisphere utilizes a photosynthetic pathway, C4, with much lower fractionation than the more common pathway, C3 (see section 5, below).

#### 4. DECONVOLUTION OF GLOBAL DATA

##### 4.1 General model description

To resolve globally averaged temporal variations in atmospheric CO<sub>2</sub> into terrestrial and oceanic components, we employ an inverse procedure. First, by what we call a "single deconvolution," we deduce the oceanic and terrestrial CO<sub>2</sub> exchange fluxes solely from atmospheric CO<sub>2</sub> concentration data. Then, by a "double deconvolution," we adjust these estimates to be consistent with the <sup>13</sup>C/<sup>12</sup>C isotopic ratio of atmospheric CO<sub>2</sub>.

In these calculations we identify only the most significant global pools of carbon affecting contemporary atmospheric CO<sub>2</sub>: an oceanic pool consisting mainly of bicarbonate and carbonate salts; a terrestrial biospheric pool consisting of organic carbon stored in living plants, detritus, and soils; and a fossil fuel pool representing the available resource of coal, petroleum, and natural gas, combined. The associated global average exchange fluxes of CO<sub>2</sub> we denote, respectively, by *F*<sub>oce</sub>, *F*<sub>bio</sub>, and *F*<sub>ind</sub>. The industrial flux, *F*<sub>ind</sub>, includes a small contribution from the manufacture of cement [Andres et al.,



TABLE 2

APPARENT ISOTOPIC COMPOSITION OF CO<sub>2</sub> ADDED OR REMOVED FROM ATMOSPHERE AT VARIOUS STATIONS AVERAGED FROM 1992-1999

Station Name	Latitude	$\delta^{13}C_I$ (‰)	Standard Error <sup>a</sup>	$^{13}\Delta_{cov}^b$ (‰)
Alert, N.W.T. (ALT)	82.5°N	-27.8	0.38	19.9
Point Barrow, Alaska (PTB)	71.2°N	-26.5	0.39	18.6
La Jolla, California (LJO)	32.9°N	-27.8	0.77	19.9
Mauna Loa, Hawaii (MLO)	19.5°N	-26.8	1.09	18.9
Cape Kumukahi, Hawaii (KUM)	19.5°N	-28.2	1.38	20.3
Christmas Island, Kiribati (CHR)	2.0°N	-27.8	7.19	19.9
Cape Matatula, American Samoa (SAM)	14.2°S	-16.2	3.14	8.3
Raoul Island, Kermadec Isl. (KER)	29.2°S	-18.3	2.80	10.4
Baring Head, New Zealand (NZD)	41.4°S	-19.9	3.22	12.0
South Pole (SPO)	90.0°S	-15.7	2.60	7.8
Average for northern hemisphere stations:		-27.5	1.00 <sup>c,d</sup>	19.6
Average for southern hemisphere stations:		-17.5	3.41 <sup>c</sup>	9.6

<sup>a</sup> Of the mean of annual values of  $\delta^{13}C_I$  for 1992-1999.<sup>b</sup> Obtained by subtracting from  $\delta^{13}C_I$  the average of  $\delta^{13}C$  of atmospheric CO<sub>2</sub> at the South Pole from 1992 through 1999.<sup>c</sup> By quadrature.<sup>d</sup> Excluding Christmas Island.

2000], while  $F_{oce}$  and  $F_{bio}$  represent net fluxes which are the relatively small differences between large one-way fluxes. All fluxes will be expressed here in petograms of carbon (PgC = 10<sup>12</sup> kg of carbon). To a close approximation, the sum of three time-dependent fluxes determine the rate of change of atmospheric CO<sub>2</sub> abundance,  $N_a$ , expressed by the mass-conserving global atmospheric carbon cycle budget equation

$$dN_a/dt = F_{ind} + F_{oce} + F_{bio} \quad (4.1)$$

Over the past two decades the average strengths of the terms of equation (4.1) (cf. subsection 6.1, below) are approximately as follows. The concentration of atmospheric CO<sub>2</sub> has risen at about 3½ PgC yr<sup>-1</sup>, a little over half the rate of industrial emissions, about 6 PgC yr<sup>-1</sup>. The atmospheric CO<sub>2</sub> budget is balanced by an oceanic sink of about 2 PgC yr<sup>-1</sup> and a terrestrial sink of about ½ PgC yr<sup>-1</sup>.

To specify this budget in greater detail, we distinguish several different contributions to the net exchange fluxes involving the oceanic and terrestrial biospheric carbon pools [cf. Keeling et al., 1989a, pp.185-193]. We divide the net oceanic exchange flux into two components

$$F_{oce} = F_{ex} + F_{ano,oce} \quad (4.2)$$

where  $F_{ex}$  denotes the expected oceanic response to rising atmospheric CO<sub>2</sub> concentration, and  $F_{ano,oce}$  an "anomalous" flux representing a remainder not captured by  $F_{ex}$ . We divide the net terrestrial biospheric exchange flux into three components

$$F_{bio} = F_{fer} + F_{des} + F_{ano,bio} \quad (4.3)$$

where  $F_{fer}$  represents plant growth stimulated ("fertilized") by elevated atmospheric CO<sub>2</sub> concentration relative to the concentration in 1740, and  $F_{des}$  represents the release of CO<sub>2</sub> from the terrestrial biosphere owing to biologically destructive human-caused land-use changes, including deforestation and biomass burning. An "anomalous" flux,  $F_{ano,bio}$ , denotes a remainder not explained by either of the previously identified processes.

#### 4.2 Single deconvolution

In this procedure, beginning with atmospheric CO<sub>2</sub> concentration data for A.D. 1740 [cf. Keeling et al., 1989a, pp. 195-7], we compute the expected oceanic response,  $F_{ex}$ , to rising atmospheric CO<sub>2</sub> concentration, using the one-dimensional vertically resolved oceanic box-diffusion model of Siegenthaler and Oeschger [1987]. This oceanic submodel is characterized by a vertical diffusion coefficient,  $K$ , and an air-sea CO<sub>2</sub> exchange coefficient,  $k_{am}$  [Keeling et al., 1989a, p. 189]; it yields an oceanic sink as a function of atmospheric CO<sub>2</sub> concentration that agrees quite closely with estimates from three-dimensional oceanic circulation models [Keeling et al., 1989a]. We do not regard its temporal variability as a reliable estimate of the true short-term interannual variability in  $F_{oce}$ , however. A substantial portion of that variability is found to reside in the anomalous oceanic flux,  $F_{ano,oce}$ , established later, in the double deconvolution calculation.

In the single deconvolution, we also compute the expected terrestrial biospheric response,  $F_{fer}$ , to rising atmospheric CO<sub>2</sub>, using a terrestrial submodel controlled by a "growth factor." This factor, denoted  $\beta_a$  by Keeling et al. [1989a], expresses the degree to which the increase in atmospheric CO<sub>2</sub> concentration after 1740 caused enhanced plant uptake of CO<sub>2</sub>, expressed as a linear growth response of plants to increasing CO<sub>2</sub> concentration resulting from a stimulation of net primary production (NPP) [Keeling et al. [1989a, p. 188]. For  $\beta_a$ , we have adopted the value 0.41 of Keeling et al., [1989a, p. 193], signifying that the increase in uptake by long-lived biospheric carbon has been 41% of the fractional increase in CO<sub>2</sub> concentration, where the NPP of long-lived carbon in 1740 was calculated to be 24.6 PgC yr<sup>-1</sup>. (The deconvolution calculations would yield almost the same outputs if, by the inclusion of short-lived biospheric carbon,  $\beta_a$  had been assigned a value of 0.18 with respect to total NPP, the latter calculated to be about 56 PgC yr<sup>-1</sup> for 1982 [Heimann and Keeling, 1989, p. 262].) The destructive land-use flux,  $F_{des}$ , and the anomalous flux,  $F_{ano,bio}$ , are not determined in

this calculation.

#### 4.3 Double deconvolution

The calculations of the single deconvolution are repeated via an iterative scheme that adjusts  $F_{ano,oce}$  and the sum  $F_{ano,bio} + F_{des}$  at each time step so that both the  $^{13}\text{C}/^{12}\text{C}$  ratio and concentration of atmospheric  $\text{CO}_2$  agree with observations. This double deconvolution procedure reconciles the global atmospheric  $\text{CO}_2$  budget, expressed by equation (4.1) for the sum of the two isotopes,  $^{13}\text{C}$  and  $^{12}\text{C}$ , and a similar budget equation for the rare isotope,  $^{13}\text{C}$ , alone.

The terrestrial biospheric exchange flux,  $F_{bio}$ , of equation (4.1), as noted above, represents the difference between two large one-way  $\text{CO}_2$  fluxes. These we denote by  $F_{ab}$ , an atmospheric  $\text{CO}_2$  sink owing to assimilation of  $\text{CO}_2$  by plants through photosynthesis, and,  $F_{ba}$ , an atmospheric  $\text{CO}_2$  source owing to respiratory processes involving decay of vegetative matter. Thus

$$F_{bio} = F_{ba} - F_{ab} \quad (4.4)$$

Both one-way fluxes apply to carbon in general, and thus to the isotopic sum,  $^{13}\text{C} + ^{12}\text{C}$ . Correspondingly, for  $^{13}\text{C}$  the net flux is

$$^*F_{bio} = ^*F_{ba} - ^*F_{ab} \quad (4.5)$$

where asterisks denote fluxes of  $^{13}\text{C}$  alone. The one-way  $^{13}\text{C}$  fluxes are related, respectively, to the one-way fluxes for  $^{13}\text{C} + ^{12}\text{C}$  by the expressions

$$^*F_{ab} = \alpha'_{ab} R_a F_{ab} \quad (4.6)$$

$$^*F_{ba} = \alpha'_{ba} R_b F_{ba} \quad (4.7)$$

where  $R_a$  and  $R_b$  denote, respectively, the ratios  $^{13}\text{C}/(^{13}\text{C} + ^{12}\text{C})$  of atmospheric  $\text{CO}_2$  and of carbon in the terrestrial biospheric pool, and  $\alpha'_{ab}$  and  $\alpha'_{ba}$  denote fixed  $^{13}\text{C}/(^{13}\text{C} + ^{12}\text{C})$  isotopic fractionation (discrimination) factors. Corresponding factors with respect to  $^{13}\text{C}/^{12}\text{C}$  ratios are denoted by  $\alpha$  without the prime sign.

We set  $\alpha_{ab}$ , which represents an isotopic discrimination of 15.32‰ against  $^{13}\text{C}$  associated with photosynthesis (see below), to 0.98468 (i.e., to 1 - 15.32/1000), and we set  $\alpha_{ba}$  equal to unity, by assuming, consistent with earlier calculations [Keeling et al., 1989a, Table 8, footnote 13], that no isotopic fractionation accompanies respiration. We then compute their  $\alpha'$  equivalents, making use of the relationship [cf. Heimann and Keeling, 1989, pp. 261-264]

$$R_i = r_i (R_s / r_s) \quad (4.8)$$

where  $R_s$  and  $r_s$  denote, respectively, the  $^{13}\text{C}/(^{13}\text{C} + ^{12}\text{C})$  and  $^{13}\text{C}/^{12}\text{C}$  ratios of the international standard PDB, and the subscript  $i$  refers to any given carbon pool. Equations (4.6) and (4.7) are then rewritten

$$^*F_{ab} = \alpha'_{ab} r_a (R_s / r_s) F_{ab} \quad (4.9)$$

$$^*F_{ba} = r_b (R_s / r_s) F_{ba} \quad (4.10)$$

where  $r_a$  and  $r_b$  denote  $^{13}\text{C}/^{12}\text{C}$  ratios corresponding respectively to  $R_a$  and  $R_b$ . Expressions similar to equations (4.4)

through (4.10) apply to  $\text{CO}_2$  exchange with the oceans, as follows

$$F_{oce} = F_{ma} - F_{am} \quad (4.11)$$

$$^*F_{am} = \alpha'_{am} r_a (R_s / r_s) F_{am} \quad (4.12)$$

$$^*F_{ma} = \alpha'_{am} \langle \bar{\alpha}'_{eq} \rangle r_m (R_s / r_s) F_{ma} \quad (4.13)$$

where  $F_{ma}$  denotes the one-way gross flux of  $\text{CO}_2$  from the well-mixed surface layer of the oceans to the atmosphere,  $F_{am}$  the reverse flux, and  $R_m$  and  $r_m$ , respectively, the  $^{13}\text{C}/(^{13}\text{C} + ^{12}\text{C})$  and  $^{13}\text{C}/^{12}\text{C}$  ratios of the well-mixed surface layer of the ocean. The symbol  $\langle \bar{\alpha}'_{eq} \rangle$ , equal to  $\alpha'_{ma}/\alpha'_{am}$ , denotes the global annual average  $^{13}\text{C}/(^{13}\text{C} + ^{12}\text{C})$  equilibrium factor fractionation for air-sea exchange of  $\text{CO}_2$ . (The simpler symbol,  $\alpha'_{eq}$ , is reserved to denote a spatially and temporally variable factor used in Article II). For convenience, we afterwards express most of the results of our double deconvolution calculations as reduced isotopic ratios, defined by equation (1.1), where  $\delta_a$ ,  $\delta_b$ , and  $\delta_m$  denote  $\delta^{13}\text{C}$  of atmospheric  $\text{CO}_2$ , terrestrial carbon, and carbon in surface seawater, respectively. Also, we express isotopic fractionation between carbon pools by fractionation terms with respect to  $^{13}\text{C}/^{12}\text{C}$  ratios by the expression

$$\epsilon_{ij} = \alpha_{ij} - 1 \quad (4.14)$$

where  $i$  and  $j$  denote, respectively, donor and receiver pools. Table 3 lists values of all of the fixed fractionation factors and reduced isotopic ratios used in our calculations. Time-dependent ratios are listed in Table 4.

In most of the calculations, the  $^{13}\text{C}/^{12}\text{C}$  fractionation factor,  $\alpha_{ab}$  (equivalently expressed by  $\epsilon_{ab}$ ), is assumed to be constant [cf. Keeling et al. [1989a, Table 8]. However, in section 5, below, we discuss the consequences if this factor, which represents the isotopic discrimination of plants during photosynthesis, is allowed to vary. The factor  $\alpha_{am}$ , which represents fractionation attending oceanic uptake, is assumed to be constant. The associated factor  $\alpha_{ma}$ , however, is assumed to vary with seasurface temperature, and hence with time, because the equilibrium fractionation,  $\alpha_{eq}$  (equal to the quotient  $\alpha_{ma}/\alpha_{am}$ ), is temperature dependent, as discussed below.

The  $^{13}\text{C}/^{12}\text{C}$  isotopic ratio for  $F_{fer}$  expressed by annual averages, is set equal to  $\alpha_{abr_a}$ , the same ratio as that of  $\text{CO}_2$  assimilated by plants during photosynthesis [cf. Heimann and Keeling, 1989, p. 262-263]. The  $^{13}\text{C}/^{12}\text{C}$  ratio associated with the sum,  $F_{des} + F_{ano,bio}$ , is set equal to  $r_b$ , computed by taking account of the average storage time of long-lived carbon in the terrestrial pool [Keeling et al., 1989a, p. 229, and Table 8, footnote (3)].

The magnitude of the global average  $^{13}\text{C}/^{12}\text{C}$  fractionation factor for  $\text{CO}_2$  assimilation during plant growth,  $\alpha_{ab}$ , affects the computed values of  $\delta^{13}\text{C}$  for all of the biospheric fluxes in the deconvolution calculations [see Heimann and Keeling, 1989, Table 8]. As noted in Table 3 (Footnote (3)), the value that we assign to  $\alpha_{ab}$  depends on the relative contributions from two types of plants, C3 and C4, having different photosynthetic pathways. As discussed in subsection 5.1, these pathways have distinctly different degrees of discrimination

TABLE 3  
GLOBAL PARAMETERS TO COMPUTE ISOTOPIC SOURCE COMPONENTS USED IN THE DECONVOLUTION CALCULATIONS

Symbol	Definition	Value	Note
Constant parameters			
$N_{a\ ref}$	Carbon in atmosphere as CO <sub>2</sub> , corresponding to a mixing ratio of 290 ppm relative to dry air and to a CO <sub>2</sub> partial pressure at sea level of $289.04 \times 10^{-6}$ atm	615.6 PgC	1
$N_{a\ o}$	Amount of carbon in atmospheric CO <sub>2</sub> in January, 1990.	747.6 PgC	2
$\alpha_{ab}$	<sup>13</sup> C/ <sup>12</sup> C fractionation factor for CO <sub>2</sub> uptake by the terrestrial biosphere	0.9846800	3
$\alpha'_{ab}$	Corresponding <sup>13</sup> C/( <sup>13</sup> C + <sup>12</sup> C) ratio	0.9847397	3
$\alpha_{ba}$	<sup>13</sup> C/ <sup>12</sup> C fractionation factor for CO <sub>2</sub> release by the terrestrial biosphere	1.0000000	4
$\alpha'_{ba}$	Corresponding <sup>13</sup> C/( <sup>13</sup> C + <sup>12</sup> C) ratio	1.0000000	4
$\alpha_{am}$	<sup>13</sup> C/ <sup>12</sup> C fractionation factor for CO <sub>2</sub> uptake by the surface ocean water	0.9982000	5
$\alpha'_{am}$	Corresponding <sup>13</sup> C/( <sup>13</sup> C + <sup>12</sup> C) ratio	0.9982198	5
$r_s$	<sup>13</sup> C/ <sup>12</sup> C ratio of isotopic standard PDB	0.0112372	6
Time-dependent parameters			
$\alpha_{eq}(t)$	Equilibrium fractionation factor of gaseous CO <sub>2</sub> with respect to total dissolved inorganic carbon	variable	7
$\alpha_{ma}(t)$	<sup>13</sup> C/ <sup>12</sup> C fractionation factor for CO <sub>2</sub> evasion from surface ocean water	variable	8
$\langle \bar{\alpha}_{eq}(t) \rangle$	Annual average of $\alpha_{eq}(t)$	variable	9
$\langle \bar{\alpha}'_{eq}(t) \rangle$	Corresponding <sup>13</sup> C/( <sup>13</sup> C + <sup>12</sup> C) ratio	variable	10
$\bar{\delta}_a(t)$	$\delta^{13}\text{C}$ of atmospheric CO <sub>2</sub>	variable	11
$\bar{\delta}_b(t)$	$\delta^{13}\text{C}$ of carbon in the long-lived terrestrial biosphere	variable	12
$\bar{\delta}_m(t)$	$\delta^{13}\text{C}$ of dissolved inorganic carbon in surface ocean water	variable	12
$\bar{\delta}_{IND}(t)$	$\delta^{13}\text{C}$ of fossil fuel carbon	variable	13

(1) From Keeling [1973a, p. 301] based on an estimate of the mass of dry air in the atmosphere of  $5.119 \times 10^{18}$  kg [Verniani, 1966]. The corresponding mixing ratio with respect to moist air is 289.04 ppm assuming, as did Verniani, that the average content of water vapor in the atmosphere is 0.33 percent. Hence the corresponding CO<sub>2</sub> partial pressure existed at sea level is  $289.04 \times 10^{-6}$  atmospheres.

(2) Carbon in atmosphere as CO<sub>2</sub>, seasonally adjusted, on January, 1990, based on a CO<sub>2</sub> concentration of 352.2 ppm, as shown in Table E.2. The calculation is based on the value of  $N_{a\ ref}$ , above.

(3) Based on a calculation where the discrimination by C4 and C3 plants, 3.6 and 17.8%, respectively, is taken from Lloyd and Farquhar [1994], and the contribution by C4 plants was assumed to be proportional to the global percentage of NPP attributed to C4 plants, 17.4407%, calculated using the vegetation map of Hunt et al. [1996], and the NPP averaged for 1982 to 1990, as described in Article II. The factor  $\alpha'_{ab}$  is computed from  $\alpha_{ab}$  by the formula [cf. Keeling et al., [1989a, Table 8, footnote (12)]]

$$\alpha'_{ab} = \alpha_{ab}(1 + 0.993r_s)(1 + 0.975r_s) \quad (\text{T3.1})$$

where  $r_s$  is as listed in this table.

(4) Zero fractionation is assumed (cf. Keeling et al. [1989a, Table 8, footnote 13]).

(5) From Siegenthaler and Munnich [1981, p. 255] (their symbol  $\alpha_{as}$ ) based on the surface renewal gas exchange model of Danckwerts [1970]. Their estimate of 0.2‰ for the contribution to  $\alpha_{am}$  from the reaction of CO<sub>2</sub> with hydroxide ion is included, but fractionation related to the hydration of CO<sub>2</sub> is not included. The factor  $\alpha'_{am}$  is computed from  $\alpha_{am}$  by the formula [cf. Keeling et al., [1989a, Table 8, footnote (14)]]

$$\alpha'_{am} = \alpha_{am}(1 + 0.993r_s)(1 + 0.993\alpha_{am}r_s) \quad (\text{T3.2})$$

where  $r_s$  is as listed in this table.

(6) Established by Mook and Grootes [1973, p. 296]. Same as  $^{13}\text{r}_s$  of main text (cf. Equation (1.1)).

(7) Computed by the equation:

$$\alpha_{eq}(t) = 1.02389 - \frac{9.483}{T(t) + T_o} \quad (\text{T3.3})$$

where  $t$  denotes time and  $T(t)$ , temperature, in degrees Celsius. The equation, from Mook et al. [1974, p. 175, Table 4, (with  $T_o = 273.15^\circ$ )], specifies the temperature dependence of the per mil difference, at equilibrium, between gaseous CO<sub>2</sub> and an aqueous bicarbonate solution assumed to fractionate to the same extent as ocean water (cf. Keeling et al. [1989a, Table 8, footnote 14]).

(8) Equal to  $\alpha_{am} \cdot \alpha_{eq}(t)$ . Not used directly in the calculations, nor is  $\alpha'_{ma}(t)$ .

(9) Set equal to 0.991351 in the deconvolution for all years prior to 1856, assuming a constant global average temperature of  $19.129^\circ$ . Thereafter, a spline fit to global average area-weighted gridded temperature anomaly data (plotted in Figure E.1) specifies a variable temperature based on departures from that starting value, in turn used to compute  $\langle \alpha_{eq}(t) \rangle$ . A different constant value, 0.990983, is used in Article II (see subsection A.3 therein).

(10) Computed by the formula [cf. Keeling et al., 1989a, Table 8, footnote (15)],

$$\langle \bar{\alpha}'_{eq}(t) \rangle = \langle \bar{\alpha}_{eq}(t) \rangle (1 + 0.993r_s / \langle \bar{\alpha}_{eq}(t) \rangle) (1 + 0.993r_s) \quad (\text{T3.4})$$

(11) Based on observations, as plotted in Figure 6b (but without removing a linear trend).

(12) Determined by the double deconvolution.

(13) From Andres et al. [1996c, 2000], as described in Article II, subsection A.2.

TABLE 4  
REDUCED ISOTOPIC RATIOS, IN PER MIL, USED IN THE ZONAL  
INVERSION MODEL<sup>a</sup>

Date	$\bar{\delta}_{IND}(t)$	$\bar{\delta}_a(t)$	$\bar{\delta}_b(t)$	$\bar{\delta}_m(t)$
1978	-28.17	-7.4924	-22.2364	1.7468
1979	-28.19	-7.5143	-22.2483	1.7343
1980	-28.20	-7.5689	-22.2620	1.7189
1981	-28.24	-7.5759	-22.2763	1.7007
1982	-28.21	-7.5777	-22.2904	1.6880
1983	-28.20	-7.6383	-22.3047	1.6745
1984	-28.28	-7.6688	-22.3203	1.6547
1985	-28.24	-7.6450	-22.3358	1.6392
1986	-28.19	-7.6400	-22.3505	1.6278
1987	-28.30	-7.6926	-22.3653	1.6166
1988	-28.31	-7.7722	-22.3815	1.5979
1989	-28.34	-7.8025	-22.3988	1.5755
1990	-28.43	-7.8024	-22.4162	1.5607
1991	-28.46	-7.7940	-22.4332	1.5476
1992	-28.43	-7.8048	-22.4500	1.5328
1993	-28.43	-7.7988	-22.4665	1.5210
1994	-28.43	-7.8335	-22.4829	1.5086
1995	-28.43	-7.8715	-22.5001	1.4942
1996	-28.43	-7.9015	-22.5177	1.4769
1997	-28.43	-7.9204	-22.5356	1.4625
1998	-28.43	-7.9898	-22.5542	1.4470
1999	-28.43	-8.0187	-22.5723	1.4236

<sup>a</sup> Subscripts: *IND*, industrial emissions; *a*, atmosphere; *b*, terrestrial biosphere; *m*, mixed layer of oceans. See Table 3.

against  $^{13}\text{C}$ , which may vary with time, and also the relative contribution of the two plant types may vary with time. These possible temporal variations are disregarded in our double deconvolution calculations.

The single deconvolution predicts monthly values of all of the exchange  $\text{CO}_2$  fluxes defined above, and also the amount and  $^{13}\text{C}/^{12}\text{C}$  ratio of carbon in the atmospheric, oceanic, and terrestrial carbon pools. The  $^{13}\text{C}/^{12}\text{C}$  ratios for atmospheric  $\text{CO}_2$ , so obtained, are then utilized in initializing the double deconvolution calculation in 1978 by setting the value in 1740 such that the predicted annual value agrees with our isotopic observations in 1978, as noted in subsection 2.3, above.

The solubility of  $\text{CO}_2$  gas, the dissociation constants  $K_1$  and  $K_2$  of carbonic acid in seawater, and the equilibrium isotopic fractionation factor,  $\alpha_{eq}$ , vary as functions of sea-surface temperature in our calculations, in the manner described by Keeling et al. [1989a, Table 8, Footnote (11)]. The source of seasurface temperature data is from Jones [1994] (see Appendix E). No account is taken of possible temperature dependence of terrestrial biospheric processes. Further mathematical details are described by Keeling et al. [1989a, Appendix C; 1995] and by Bacastow et al. [2001].

The destructive land-use flux,  $F_{des}$ , always positive, is computed only for the time period of the double deconvolution; it is set equal to  $2.0 \text{ PgC yr}^{-1}$ , the average for 1980-1989 as given by R. A. Houghton [1999] (cf. Article II, subsection A.12). The term,  $F_{ano,bio}$ , is then calculated by difference, to be consistent with equation (4.3). The relative contributions of  $F_{fer}$ ,  $F_{des}$ , and  $F_{ano,bio}$  to the overall net biospheric flux,  $F_{bio}$ , though uncertain, only slightly affect the computations of the double deconvolution, because the  $^{13}\text{C}/^{12}\text{C}$  ratios for

the three fluxes are nearly the same.

## 5. ISOTOPIC DISCRIMINATION BY TERRESTRIAL VEGETATION

### 5.1 Isotopic fractionation associated with terrestrial photosynthesis

The C3 photosynthetic pathway of terrestrial plants produces an isotopic discrimination with a typical value of about 18‰ relative to the  $^{13}\text{C}/^{12}\text{C}$  ratio of atmospheric  $\text{CO}_2$ , and a range for different species of several per mil [Farquhar et al., 1989]. Although C3 plants account for most of net primary production (NPP), plants with the C4 pathway contribute about 20% of global NPP with a discrimination of only about 4‰ [Farquhar et al., 1989]. Consequently, the global average fractionation factor,  $\alpha_{ab}$ , defined in section 4, above, is less than for C3 plants alone.

To estimate  $\alpha_{ab}$ , as listed in Table 3, we adopted a discrimination for C3 plants of 17.8‰, and for C4 plants of 3.6‰, as reported by Lloyd and Farquhar [1994]. We then computed NPP for C3 and C4 plants for selected geographic zones, defined in Article II. We made use of a vegetation map [Hunt et al., 1996] that prescribes types of biomes at a resolution of 1 degree of latitude and longitude. For each grid point of the map, NPP was computed, as described in Article II, by a method that makes use of remotely sensed radiometric data, from 1982-1990, the only period for which reliable radiometric data were available. Zonal averages are listed in Table 5. The resulting global average fraction of NPP contributed by C4 plants is found to be 17.44%, leading to a global average discrimination for C3 and C4 plants combined of 15.32‰, as indicated in Table 6 and previously quoted after equation (4.7). This calculation of global average discrimination does not take into account subzonal-scale or temporal variability in discrimination of the separate plant types, C3 and C4, issues that we discuss next.

TABLE 5  
ZONAL AVERAGE NPP, IN  $\text{PgC yr}^{-1}$ , FOR C3 AND C4 PLANTS<sup>a</sup>

Plant Type:	C3+C4	C3	C4	C4 Percentage
47-90N	11.6	11.6	0.0	0
23.5-47N	11.7	10.6	1.0	9
23.5S-23.5N	32.8	24.0	8.8	27
23.5-47S	5.2	4.3	0.9	17
47-90S	0.1	0.1	0.0	0
Global <sup>b</sup>	61.3	50.6	10.7	17.4

<sup>a</sup> Based on a map of biomes [Hunt et al., 1996] and on Pathfinder NDVI data averaged for 1982-1990 (see text). Final column shows percentage of combined C3 and C4 plants.

<sup>b</sup> Sum of zonal values do not all add to the global totals owing to rounding errors.

For the northern hemisphere, discrimination varies in the range from 17.8‰ at high latitudes, to 14.0‰ in the tropics (Table 6), as the C4 fraction of NPP varies from 0 to 27%. For the two extratropical zones, where NPP is mainly owing to

C3 plants, discrimination is 1.8‰ less than that inferred from the covariance of atmospheric CO<sub>2</sub> concentration and isotopic ratio,  $^{13}\Delta_{cov}$ , observed for northern hemisphere stations as listed in Table 2. A 1.3‰ gradient from high to midlatitudes is not observed in the covariance data, however. This lack is probably owing to scatter in  $^{13}\Delta_{cov}$  for individual stations and suppression of local variability in covariance by atmospheric mixing.

TABLE 6

AVERAGE ISOTOPIC DISCRIMINATION IN PER MIL, ASSOCIATED WITH PHOTOSYNTHESIS OF C3 AND C4 PLANTS COMBINED, FOR ZONAL BIOSPHERIC SOURCES<sup>a</sup>

Zone	Discrimination
47-90°N	17.80
23.5-47°N	16.55
23.5S-23.5°N	14.00
23.5-47°S	15.35
Global	15.32

<sup>a</sup> Determined using proportions of NPP derived from C3 and C4 plants in each zone, shown in Table 5. Separate discriminations,  $\Delta C4 = 3.6\%$ ,  $\Delta C3 = 17.8\%$ , are from Lloyd and Farquhar [1994].

In the tropics and farther south, the likelihood of substantial oceanic influence on  $^{13}\Delta_{cov}$  precludes obtaining reliable estimates of plant discrimination from our station data. It is probably reasonable to assume that the discrimination of C3 plants south of the tropics is nearly the same as north of the tropics, and that the effect of discrimination of C4 plants is too small for neglect of its zonal variability to influence our calculations. The averages quoted in Table 6 for the tropics and mid-southern hemisphere, however, cannot be corroborated by our  $^{13}\Delta_{cov}$  data.

Temporal variability in discrimination of C3 plants is more likely to be a serious neglect in our computations than spatial variability. Such variability is expected because the degree of isotopic discrimination varies with stomatal conductance of plant leaves. When stressed by lack of adequate water, plant stomata tend to close, reducing the carbon isotopic fractionation that can occur at the primary sites of CO<sub>2</sub> fixation within the leaves [Lloyd and Farquhar, 1994]. Evidence of interannual variability in C3 plant discrimination is shown in Figure 9, for two sites in conifer forests of western North America, one boreal (53 °N.) the other temperate (46 °N.). At these sites, which are about 850 km apart, we have determined  $\delta^{13}C_I$  in the nocturnal boundary layer over a span of 14 years by measuring CO<sub>2</sub> in our laboratory from flask samples of air collected repeatedly over the diurnal cycle. The average of  $\delta^{13}C_I$  determined in the 1990's for these sites, -25.7‰, reflects a discrimination of 17.8‰, in agreement with the global average for C3 plants of Lloyd and Farquhar [1994], suggesting that these sites are representative of extratropical North America. For the more arid southern site (squares in Figure 9),  $\delta^{13}C_I$  is less negative on average than for the northern site (circles), with a range of temporal variability over 14 years of about 2.5‰, suggesting significant and variable

water stress, whereas the range for the less stressed northern site is less than 1‰. Since variable water stress affects much of the world's vegetation, a global average temporal variation of 1‰ or more seems likely on the basis of this limited study.

Time plots of  $\delta^{13}C_I$  for our northern atmospheric CO<sub>2</sub> stations (Figure 8), which reflect the seasonal cycle of atmospheric CO<sub>2</sub> averaged over large regions [Kaminski et al., 1996], also suggest significant large scale temporal variability in discrimination,  $^{13}\Delta_{cov}$ . On the other hand, stations in the far north, which show the greatest seasonality in atmospheric CO<sub>2</sub> concentration, and yield the most precise annual estimates of  $^{13}\Delta_{cov}$  (Table 2), show less interannual variability than elsewhere, suggesting that the variability elsewhere may be partly an artifact of statistical error. Also, the average of  $^{13}\Delta_{cov}$ , 19.6‰ (Table 2), for all stations in the northern hemisphere is statistically significantly greater than the global average of Lloyd and Farquhar [1994] for C3 plants, suggesting a slightly spurious variability from other processes in the determination of  $^{13}\Delta_{cov}$ , such as an out-of-phase oceanic seasonal signal, or a nearly in-phase seasonality in fossil fuel emissions, for which the CO<sub>2</sub>, on average, has a more negative  $\delta^{13}C$  than the average CO<sub>2</sub> from C3 plants.

As discussed in section 4, above, we give greater weight to our calculations of  $\delta^{13}C_I$  after 1991 because of lower scatter and standard errors than in earlier data. At the two stations in the far north, Alert and Point Barrow, where the seasonal cycle has high amplitude and is almost exclusively caused by biospheric fluxes,  $\delta^{13}C_I$  varies after 1991 by only about 1‰, little more than the average scatter in the data. At stations farther south that also possess substantial seasonal cycles of CO<sub>2</sub> caused mainly by biological fluxes, La Jolla and Cape Kumukahi show a range in  $\delta^{13}C_I$  of about 2‰, Mauna Loa about 3‰. In summary, our estimates of the isotopic signature,  $\delta^{13}C_I$ , in the northern extratropics indicate interannual variability in isotopic discrimination by land plants of the order of 2‰. Both the diurnal and seasonal cycles of atmospheric CO<sub>2</sub> show some isotopic evidence of an association with variable climate stress, especially in correlation with the El Niño cycle.

Although stations farther south do not add much useful information, we note that  $\delta^{13}C_I$  for the South Pole is consistently more negative during El Niño events. This association, although possibly a reflection of variable discrimination by plants, may arise because the very small seasonal signal is difficult to separate from interannual variability that is strongly correlated with El Niño events for both concentration and  $^{13}C/^{12}C$  ratio. Failure to distinguish variations correctly on the two time-scales may have produced a false correlation of  $\delta^{13}C_I$  with El Niño events.

Because tropical vegetation contributes only slightly to the seasonal cycle of atmospheric CO<sub>2</sub>, our  $\delta^{13}C_I$  data mainly reflect the discrimination of C3 plants growing in the temperate and boreal zones. These data suggest that our neglect to consider variable discrimination in these extratropical zones, in computing CO<sub>2</sub> fluxes by double deconvolution, could have led to an underdetermination, rather than an overdetermination, of the amplitude of fluctuations in the global biospheric exchange flux, as discussed in subsection 5.2, below. In the tropics we lack data to determine whether variable discrimi-

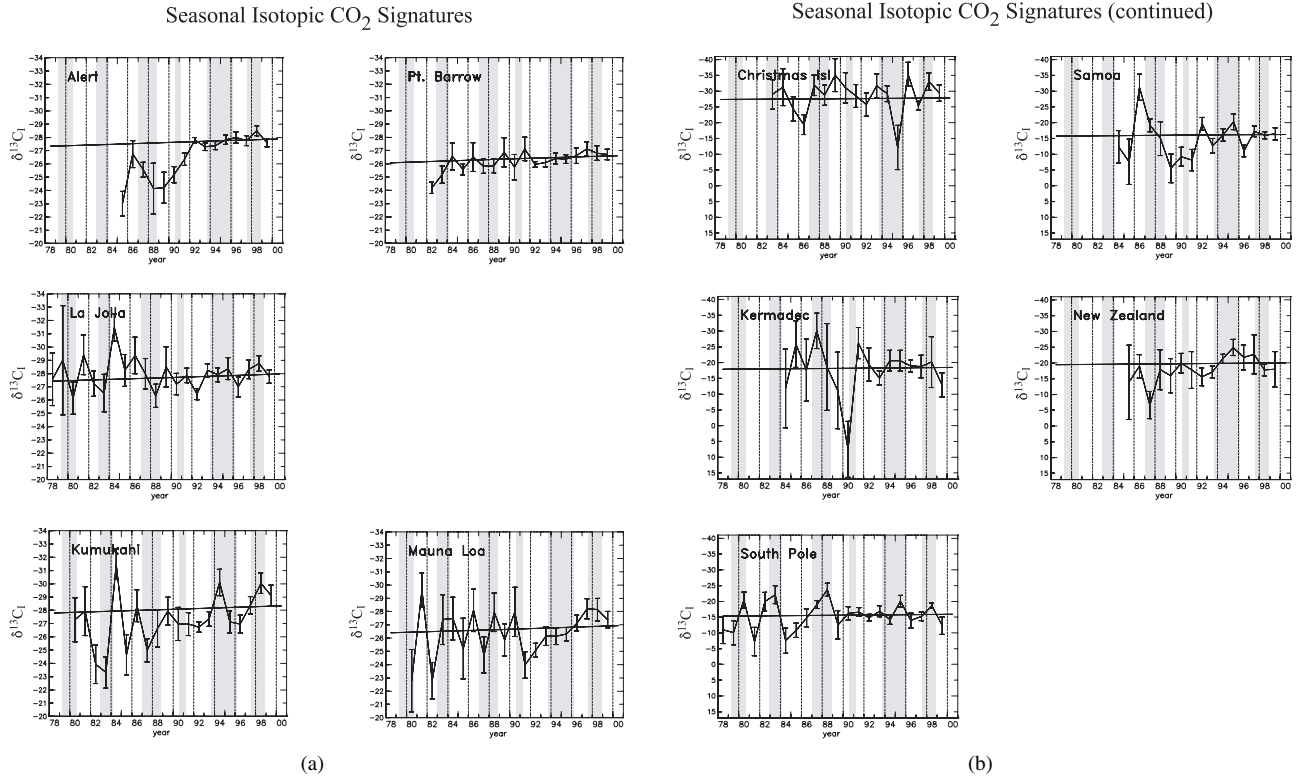


Fig. 8. Reduced isotopic ratio,  $\delta^{13}C_I$ , in ‰, that explains the covariation of  $\delta^{13}C$  of atmospheric  $CO_2$  with  $CO_2$  concentration over successive annual cycles, computed from pairs of measurements for separate calendar years of observations at stations shown in Figure 2. Vertical bars indicate standard errors of fit ( $1\sigma$ ), determined by regressing  $\delta^{13}C$  versus the reciprocal of  $CO_2$  concentration (see text). Sloping straight lines indicate rate of change in  $\delta^{13}C$  of atmospheric  $CO_2$  at Mauna Loa Observatory, Hawaii. Gray bars are as in Figure 5.

nation has occurred.

## 5.2 Variability in isotopic discrimination

To examine how variable discrimination against the rare isotope,  $^{13}C$ , by land plants may affect the net biospheric exchange flux,  $F_{bio}$ , as calculated in our double deconvolution procedure, we invoke the isotopic additivity equation (3.2) to establish the shift in  $\delta^{13}C$  of atmospheric  $CO_2$  that takes place when atmospheric  $CO_2$  is exchanged with the terrestrial biosphere. We first establish this shift (Case 1) assuming, as in our double deconvolution calculations, that isotopic discrimination attending photosynthesis,  $\alpha_{ab}$ , is constant. Then, for comparison (Case 2), we compute the shift if this discrimination varies and there is no net exchange, i.e. the forward and reverse fluxes  $F_{ab}$  and  $F_{ba}$  of equation (4.4) are equal. Lastly, (Case 3) we compute the shift if the proportions of photosynthesis owing to C3 and C4 plants vary.

As expressed by equations (4.4) to (4.7), the net flux,  $F_{bio}$ , and its  $^{13}C$  equivalent,  $*F_{bio}$ , denote differences between much larger one-way fluxes,  $F_{ab}$ ,  $F_{ba}$  and  $*F_{ab}$ ,  $*F_{ba}$ , respectively. As previously noted, the respiratory flux,  $F_{ba}$ , is assumed to have no fractionation ( $\alpha'_{ba}$  of equation (4.7) of unity). Flux  $F_{ab}$ , which represents assimilation of  $CO_2$  by plants through photosynthesis, exhibits fractionation, expressed by the factor,  $\alpha'_{ab}$ . We now consider the possibility that  $\alpha'_{ab}$  varies temporally, either directly, or by varying the proportions of  $F_{ab}$  contributed by C3 and C4 plants which

have distinctly different degrees of discrimination. We also disregard the small difference between  $\alpha'_{ab}$  and  $\alpha_{ab}$  [Heimann and Keeling, 1989, p. 263], and adopt the latter in subsequent expressions.

Substituting in equation (4.5) the relations for  $*F_{ab}$  and  $*F_{ba}$ , as given by equations (4.9) and (4.10), we obtain for the net  $^{13}C$  flux:

$$*F_{bio} = (r_b F_{ba} - \alpha_{ab} r_a F_{ab})(R_s/r_s) \quad (5.1)$$

To proceed we define a hypothetical chemical steady state for the terrestrial biosphere, in which assimilation and respiration balance globally for both  $^{12}C$  and  $^{13}C$ . At this steady state,  $F_{ba}$  equals  $F_{ab}$ , and  $F_{bio}$  and  $*F_{bio}$  are both zero. Hence,

$$r_b = \alpha_{ab} r_a \quad (5.2)$$

and therefore the  $^{13}C/^{12}C$  ratios of  $F_{ab}$  and  $F_{ba}$  are both equal to the  $^{13}C/^{12}C$  ratio of the terrestrial biospheric carbon pool,  $r_b$ .

Let  $\delta_a$  and  $\delta_b$  denote respectively, the reduced isotopic ratios,  $\delta^{13}C$ , of atmospheric  $CO_2$  and carbon in the terrestrial biosphere (cf. Table 3). From the definition of  $\delta^{13}C$  (see equation (1.1))

$$r_i = (\delta_i + 1)r_s \quad (5.3)$$

where  $i$  stands for either a or b. Replacing  $r_a$  and  $r_b$  in equation (5.2) according to (5.3), replacing  $\alpha_{ab}$  by  $\epsilon_{ab} + 1$ , according to equation (4.14), and rearranging, the isotopic steady state



condition, expressed in per mil notation, is such that

$$\delta_b - \delta_a = \epsilon_{ab} \quad (5.4)$$

(disregarding a product of second order terms,  $\epsilon_{ab} \delta_a$ ).

For each of the three cases under consideration, let us assume that an initial steady state is displaced by an abrupt imbalance either in the one-way fluxes  $F_{ab}$  and  $F_{ba}$ , which pertain to both isotopes, or only in the one-way  $^{13}\text{C}$  fluxes,  $^*F_{ab}$  and  $^*F_{ba}$ . In each case, for simplicity, we disregard a subsequent approach to a new steady state involving a change in  $\delta_b$  of the terrestrial biospheric carbon pool. Thus, we compute the maximum possible shift in  $\delta_a$  in response to a specified displacement from steady state.

Let  $N_{ao}$  denote the amount of  $\text{CO}_2$  in the atmosphere, and  $\delta_{ao}$  and  $\delta_{bo}$  denote  $\delta_a$  and  $\delta_b$ , respectively, at the initial steady state. It follows from equation (5.4) that the reduced isotopic ratios of both  $F_{ab}$  and  $F_{ba}$  are equal to  $\delta_{bo}$ . We adopt 747.6 PgC for  $N_{ao}$  (see Table 3), based on a  $\text{CO}_2$  concentration of 352.2 ppm, for 1990 (see Table D.1 in Appendix D), approximately the midpoint of our deconvolution calculations. In accord with our analysis of global average isotopic discrimination, summarized in Table 6,  $\epsilon_{ab} = 15.32\text{‰}$ .

Let  $\Delta F_{bio}$  (cf. equation (4.4)) denote an abrupt departure of either of the one-way fluxes,  $F_{ba}$  or  $F_{ab}$ , or in both combined, thus

$$\Delta F_{bio} = F_{ba} - F_{ab} \quad (5.5)$$

Both  $F_{ba}$  and  $F_{ab}$ , as noted above, are assumed initially to have reduced isotopic ratios equal to  $\delta_{bo}$ . In accord with equation (3.2), and assuming that the departure from steady state has continued for a time-interval,  $\Delta T$ , too short to cause significant changes in these isotopic ratios

$$N_{ao}\delta_{ao} + \Delta F_{bio}\Delta T\delta_{bo} = (N_{ao} + \Delta F_{bio}\Delta T)(\delta_{ao} + \Delta\delta_a) \quad (5.6)$$

where  $\Delta\delta_a$  denotes the shift in the  $^{13}\text{C}/^{12}\text{C}$  ratio of atmospheric  $\text{CO}_2$  owing to the displacement from steady state. Solving for  $\Delta\delta_a$  with  $\delta_{bo} - \delta_{ao}$  replaced by  $\epsilon_{ab}$ , which is time-invariant, according to equation (5.4), and with  $\Delta F_{bio}\Delta T \ll N_a$

$$\Delta\delta_a = \epsilon_{ab}\Delta F_{bio}\Delta T/N_{ao} \quad (5.7)$$

$$= (-0.0205\text{‰})\Delta F_{bio}\Delta T \quad (5.8)$$

Thus, a 1 PgC  $\text{yr}^{-1}$  net flux of  $\text{CO}_2$  from the biosphere to the atmosphere, acting for one year, ( $\Delta F_{bio}\Delta T = 1$ ) decreases the  $\delta^{13}\text{C}$  of atmospheric  $\text{CO}_2$  by 0.0205‰, an opposite flux increases  $\delta^{13}\text{C}$  by the same amount. (The product,  $\epsilon_{ab} \cdot \Delta F_{bio}$  represents an isotopic flux ("isoflux") between the terrestrial and atmospheric carbon pools, for this example equal to 15.32‰ PgC  $\text{yr}^{-1}$ .)

For Case 2 let  $\Delta\epsilon_{ab}$  denote a shift in discrimination for the full one-way flux,  $F_{ab}$ , with no change in  $\delta^{13}\text{C}$  of the return flux,  $F_{ba}$ . Invoking equation (3.2) as in Case 1, but with respect to both addition and subtraction of  $\text{CO}_2$  by biospheric exchange:

$$N_{ao}\delta_{ao} + F_{ba}\Delta T\delta_{bo} - F_{ab}\Delta T(\delta_{bo} + \Delta\epsilon_{ab}) = N_{ao}(\delta_{ao} + \Delta\delta_a) \quad (5.9)$$

Solving for  $\Delta\delta_a$  with  $F_{ab} = F_{ba}$ :

$$\Delta\delta_a = -\Delta\epsilon_{ab}F_{ab}\Delta T/N_{ao} \quad (5.10)$$

With  $F_{ab}$  set equal to our estimate of global NPP, 61.3 PgC  $\text{yr}^{-1}$  (see Table 5), and  $\Delta\epsilon_{ab}$  set, as an example, to 1‰, we obtain an isotopic shift,  $\Delta\delta_a$ , of 0.082‰ in one year, four times that evaluated in Case 1. (The isoflux for this example,  $\Delta\epsilon_{ab} \cdot F_{ab}$ , is 61.3‰ PgC  $\text{yr}^{-1}$ ). Even if the change in discrimination in Case 2 should occur only in the tropics, where our regional analysis indicates that most of the correlation of biospheric flux with El Niño events occurs (see Article II), a 1‰ change in discrimination (with respect to an estimated NPP of 32.8 PgC  $\text{yr}^{-1}$ , see Table 5) would cause a shift of 0.044‰, more than twice that for a transfer of 1 PgC of  $\text{CO}_2$  in one year to or from the atmosphere according to Case 1.

For Case 3, a change occurs in the proportion of assimilation by C4 plants, without a change in the fluxes with respect to C3 and C4 plants combined. The disequilibrium flux is equivalent to Case 1 except that it is applied separately for C3 and C4 plants with the added condition that the changes in net biospheric fluxes are equal and opposite for C3 and C4 plants. Denoting the mutual changes by  $\Delta F_b$ , positive for increasing net C3 flux to the atmosphere (cf. equation (5.7))

$$\Delta\delta_a = ({}^3\epsilon_{ab} - {}^4\epsilon_{ab})\Delta F_b\Delta T/N_{ao} \quad (5.11)$$

$$= (-0.019\text{‰})\Delta F_b\Delta T \quad (5.12)$$

where superscripts 3 and 4 distinguish the fractionation factors for C3 and C4 plants, respectively (17.8‰ and 3.6‰, see Table 6). A disequilibrium flux,  $\Delta F_b$ , of 1 PgC  $\text{yr}^{-1}$  causes almost the same isotopic shift,  $\Delta\delta_a$ , as a 1 PgC  $\text{yr}^{-1}$  change in NPP or respiration in Case 1, a result that could be anticipated because the substitution of a C4 flux for a C3 flux has almost the same effect on the  $^{13}\text{C}/^{12}\text{C}$  of atmospheric  $\text{CO}_2$  as a diminution in the overall net flux,  $F_{bio}$ .

Given the relatively small differences in isotopic discrimination by plants that may cause signals in the  $^{13}\text{C}/^{12}\text{C}$  ratio of atmospheric  $\text{CO}_2$  shown by these calculations, it may be unrealistic to assume in a double deconvolution that these signals are caused solely by variations in biospheric flux with isotopic discrimination fixed. Variations in discrimination of the order of 1‰, either from changes in discrimination or the fraction of NPP owing to C4 plants, can produce signals that are a significant fraction of those from varying net  $\text{CO}_2$  exchange. In section 7 below, we discuss the interpretation of our data in the light of these findings.

## 6. GLOBAL $\text{CO}_2$ FLUXES

### 6.1 Deduced by deconvolution calculations

Plots of the terms of the global atmospheric carbon cycle budget, expressed by equation (4.1), are shown in Figure 10 together with subordinate terms appearing in equations (4.2 and 4.3). In Panel a are shown the rate of industrial  $\text{CO}_2$  emissions,  $F_{ind}$ , (upper curve) and the rate of change in atmospheric concentration,  $dN_a/dt$ , (middle curve), terms that serve as inputs to the single deconvolution procedure

described in subsection 4.2. Their difference,  $F_{bio} + F_{oce}$ , (lower curve) constitutes a combined terrestrial biospheric and oceanic sink of industrial  $\text{CO}_2$ . In Panel b this sink is separated into biospheric and oceanic components,  $F_{bio}$  (thick curve),  $F_{oce}$  (thin curve), respectively, as determined by the double deconvolution procedure described in section 4.3. In Panel c the biospheric component is shown divided into three fluxes:  $F_{fer}$ , a "CO<sub>2</sub> fertilization sink," representing the response of the terrestrial biosphere to increasing atmospheric CO<sub>2</sub> concentration, an assumed constant "land-use source,"  $F_{des}$ , of 2.0 PgC yr<sup>-1</sup> representing human-induced release of terrestrial CO<sub>2</sub>, and an "anomalous biospheric flux,"  $F_{ano,bio}$ , that is presumed to result mainly from natural variability in the carbon cycle, although it may also reflect variability in human disturbances not accounted for by  $F_{fer}$  and  $F_{des}$ . In Panel d the oceanic component is shown divided into an oceanic "uptake sink," a response to increasing atmospheric CO<sub>2</sub>,  $F_{ex}$ , and an "anomalous oceanic flux,"  $F_{ano,oce}$ , mainly, or entirely, of natural origin.

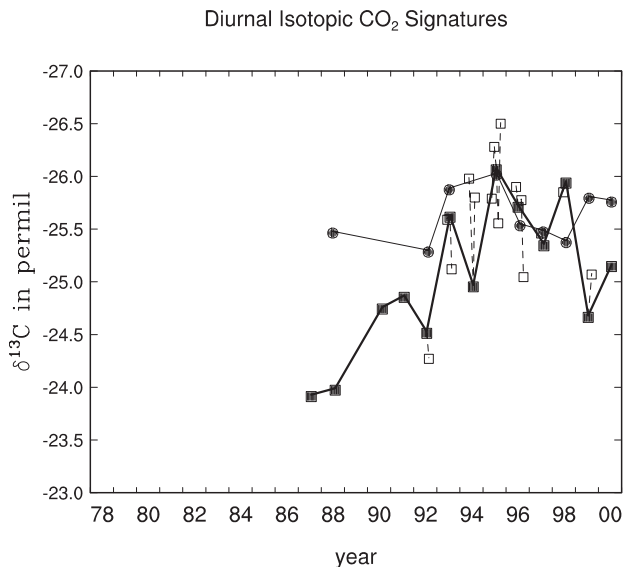


Fig. 9. Reduced isotopic ratio,  $\delta^{13}\text{C}_T$ , in ‰, that explains the diurnal variation in  $\delta^{13}\text{C}$  of  $\text{CO}_2$  in the ambient air for two northern conifer forests, computed from pairs of measurements, as in Figure 8 but over 24 hour periods. Squares denote measurements made near Hamilton, Montana (46.3 °N, 114.2 °W), circles near Rock Lake, Alberta (53.5 °N, 118.3 °W). Hamilton samples, taken close to 1 August of each year, are shown as solid squares, others as open squares. Sites and experimental methods are described by Lancaster [1990]. Straight line segments between points near 1 August of each year, for each station, are to reveal interannual trends.

We first address the average strengths of these terms of the carbon cycle budget equation. As shown in Table 7, averages of the terms plotted in Panels a and b for the 1980's agree within 0.2 PgC yr<sup>-1</sup> with corresponding fluxes reported by the Intergovernmental Panel on Climate Change in their Second Assessment Report to the United Nations ([IPCC, 1996, Table 2.1, page 79], altered only slightly in a Third Assessment Report [IPCC, 2001, Table 303, P. 208]). Agreement is also close for the 1990's with respect to atmospheric CO<sub>2</sub> increase and emissions of fossil fuel CO<sub>2</sub>, but we find a substantially larger oceanic uptake of atmospheric CO<sub>2</sub> (by 0.7 PgC yr<sup>-1</sup>) than the IPCC and a smaller biospheric uptake (by 0.6 PgC

yr<sup>-1</sup>). These disagreements are within the range of uncertainty in the absolute magnitude of the IPCC budget estimates when expressed at 90% confidence, but this range is so large that the relative importance of the oceans and terrestrial biosphere in removing industrial CO<sub>2</sub> from the air evidently cannot yet be determined reliably.

The flux estimates just described also reveal variability in the atmospheric CO<sub>2</sub> budget on the decadal time-scale. In agreement with the IPCC we find that atmospheric CO<sub>2</sub> rose at nearly the same rate in the 1980's and 1990's in spite of an increase in CO<sub>2</sub> emissions from fossil fuel combustion of 0.9 PgC yr<sup>-1</sup>. These changes are well established, because uncertainty in absolute magnitudes, as stated by the IPCC, to a considerable degree reflect biases that don't change appreciably from one decade to the next.

Estimates of decadal changes in the oceanic and terrestrial biospheric CO<sub>2</sub> exchange fluxes are less certain. We find an increased oceanic sink by relying on a double deconvolution calculation that takes account of changing  $\delta^{13}\text{C}$ , based on data acquired using consistent procedures of both sampling and measurement. The calculations are sensitive, however, to model assumptions regarding how global terrestrial biospheric and oceanic reservoirs respond to perturbations induced by changing atmospheric CO<sub>2</sub>. The IPCC in their Third Assessment Report found a reduced oceanic sink by interpreting a shift in the O<sub>2</sub>/N<sub>2</sub> ratio in the atmosphere as measured in the 1980's from archived air samples compared with direct measurements in the 1990's. The change in data source from decade to decade contributes uncertainty to some unknown degree, and the results are subject to modeling uncertainty not yet fully established, because of aspects of the oceanic carbon cycle, such as outgassing of oxygen, that are not fully understood. Thus it is not possible with any great confidence to decide whether a diminishing oceanic sink and an increasing terrestrial biospheric sink, as reported by the IPCC, are more likely to be correct than our finding the reverse. An important finding on which the IPCC and we agree qualitatively, however, is that the terrestrial biospheric flux increased from the 1980's to the 1990's.

Shorter term interannual variations in the fluxes of the budget equation (4.1), summarized by the IPCC [2001, pp. 208-210], are a principal interest of our study. Such variations in the rate of change in atmospheric CO<sub>2</sub> concentration,  $dN_a/dt$ , and in industrial CO<sub>2</sub> emissions,  $F_{ind}$ , shown by the two upper curves of Figure 10, Panel a, are both well determined, the former derived from our atmospheric observations (cf. Figure 7), the latter from Andres et al. [2000], the same international statistical data used by IPCC. Variations in their difference, equal to  $F_{oce} + F_{bio}$ , are therefore also well determined. (So is their decadal average value, as discussed in subsection 7.3, below). This combined oceanic and terrestrial sink (lower curve in Figure 10, Panel a), indeed, has nearly the same interannual pattern of variability as the change in atmospheric CO<sub>2</sub> concentration,  $dN_a/dt$ , because global industrial CO<sub>2</sub> emissions,  $F_{ind}$ , vary only slightly from year to year. Most notable are maxima in  $F_{oce} + F_{bio}$  close to time-intervals shown by vertical gray bars, suggesting a coupling of both oceanic and terrestrial carbon cycle components with globally

TABLE 7

GLOBAL NET CARBON DIOXIDE FLUXES, IN  $\text{PGC YR}^{-1}$ , OBTAINED BY DOUBLE DECONVOLUTION COMPARED WITH FLUXES OF IPCC REPORTS<sup>a</sup>

Period covered:	1980-1989		1990-1999	
	IPCC	Present Analysis	IPCC	Present Analysis
Atmosphere increase	+3.3 ± 0.2	+3.3	3.2 ± 0.2	+3.3
Emissions from fossil fuel combustion and cement production	+5.5 ± 0.5	+5.44 <sup>b</sup>	6.4 ± 0.8	+6.41 <sup>b</sup>
Net oceanic uptake	-2.0 ± 0.8	-2.0 <sup>c</sup>	1.7 ± 0.5	-2.4 <sup>c</sup>
Net emissions from changes in tropical land-use	+1.6 ± 1.0		insufficient data	
Uptake by Northern Hemisphere forest regrowth	-0.5 ± 0.5		"	"
Other terrestrial sinks <sup>d</sup>	-1.3 ± 1.5		"	"
Combined terrestrial biospheric flux	-0.2 ± 1.9 <sup>e</sup>	-0.2	-1.4 ± 1.4	-0.8

<sup>a</sup> Fluxes are positive into the atmosphere. Fluxes reported by IPCC [1996, 2001] have errors quoted here at 90% confidence ( $\pm 2\sigma$ ). Those for 1990-1999 are doubled from 67% confidence levels quoted by IPCC [2001].

<sup>b</sup> The average for 1980-1989 is based on Marland et al. [1998]. The average for 1990-1999 is based on the last 6 annual values (1990-1995) of Marland et al. [1998], on a 1996 value from T. Boden (personal communication), and on 1997-1999 values calculated assuming a 2.5% annual growth rate.

<sup>c</sup> Evaluated as the sum,  $F_{oce}$ , of the perturbation flux,  $F_{ex}$ , and the anomalous flux,  $F_{ano, oce}$  (cf. equation (4.2) of the text).

<sup>d</sup> In accord with the 1995 IPCC report, "other terrestrial sinks" may include enhanced forest growth due to  $\text{CO}_2$  fertilization (0.5 - 2.0  $\text{PgC/yr}$ ), nitrogen deposition (0.2 - 1.0  $\text{PgC/yr}$ ), and response to climatic anomalies (0 - 1.0  $\text{PgC/yr}$ ).

<sup>e</sup> The uncertainty was determined by adding the uncertainties of its components in quadrature. When computed from the difference between the sum of the oceanic and biospheric fluxes and the oceanic flux (see subsection 7.3), the uncertainty reduces to 0.9  $\text{PgC yr}^{-1}$  (see subsection 7.3 of text).

average warm periods, often El Niño events, as discussed in section 2.2, above.

The oscillatory pattern of variations in  $F_{bio}$ , especially its phasing, is so similar to that of  $dN_a/dt$  and  $F_{oce} + F_{bio}$ , that biospheric exchange,  $F_{bio}$ , appears to represent the predominant cause of short-term interannual variability in  $dN_a/dt$ . The calculated amplitudes of the prominent fluctuations in  $F_{bio}$ , however, substantially exceed those in  $dN_a/dt$ , resulting in opposing patterns in the oceanic and biospheric fluxes.

## 6.2 Sensitivity of results to oceanic vertical mixing

Double deconvolution computations yield results that differ on long time-scales depending on the degree of vertical mixing specified in the oceanic submodel, because the oceanic exchange of  $\text{CO}_2$  with the atmosphere over decades and centuries acts to reduce the air-sea  $^{13}\text{C}/^{12}\text{C}$  isotopic disequilibrium at a rate that depends on the degree of vertical mixing [Bacastow et al., 2001]. There is also a dependency of results on the specified rate of air-sea exchange of  $\text{CO}_2$  and specified storage time of carbon in the terrestrial biospheric pool, but these last two dependencies are not independent of each other in the double deconvolution, because the sum of the terrestrial biosphere and oceanic fluxes is determined solely by the global budget equation.

Despite the uncertainties associated with these model dependencies on long time-scales, the deconvolution procedure can be shown to be insensitive to uncertainties in the oceanic submodel parameters on short time-scales [Bacastow et al., 2001], as illustrated in Figure 11. Changing the oceanic diffusion coefficient,  $K$ , in the double deconvolution (Panel a), causes the oceanic uptake,  $F_{ex}$ , to differ approximately as in a single

deconvolution computation in which the oceanic submodel uniquely specifies this uptake. In contrast, the anomalous flux,  $F_{ano, oce}$ , is only affected by a slow increase in discrepancy between sensitivity computations, reflecting the effect of differing degrees of vertical mixing on the isotopic disequilibrium of oceanic carbon with atmospheric  $\text{CO}_2$ . Varying the air-sea exchange coefficient within its range of uncertainty has little effect on either  $F_{ex}$  or  $F_{ano, oce}$  (Panel b).

The insensitivity to submodels on the short-term does not extend, however, appreciably beyond the time-scale of El Niño events. The calculated decadal averages of  $F_{ex}$  becomes less negative, and  $F_{ano, oce}$  more positive, when  $K$  is reduced. For the 1990's their sum, representing the full oceanic  $\text{CO}_2$  uptake, diminishes from -2.36  $\text{PgC yr}^{-1}$  (for  $K$  of 7685  $\text{m}^2\text{yr}^{-1}$ , cf. Table 7), to -0.82  $\text{PgC yr}^{-1}$  (for  $K$  of 2000  $\text{m}^2\text{yr}^{-1}$ ). The calculated oceanic  $\text{CO}_2$  uptake, for any value of  $K$  that we have considered, increases from the 1980's to the 1990's, but this increase also diminishes as  $K$  is reduced: from 0.362  $\text{PgC yr}^{-1}$  for the highest  $K$  considered, to 0.001  $\text{PgC yr}^{-1}$  for the lowest. Only about half of the diminution is found by the single deconvolution (a decreased decadal change in  $F_{ex}$  from 0.251  $\text{PgC yr}^{-1}$  to 0.113  $\text{PgC yr}^{-1}$ ), the rest associated with the double deconvolution. This broad range in calculated oceanic uptake, as  $K$  varies, reveals the inability of either the single or double deconvolution to prescribe decadal variability independently of a proven oceanic submodel, but does not support a decreasing sink from the 1980's to the 1990's as reported in the Third Assessment Report of IPCC.

In summary, the decadal averages of  $F_{bio}$  and  $F_{oce}$ , as listed in Table 7, are dependent on the choice of oceanic submodel used in the deconvolution procedure. The short-

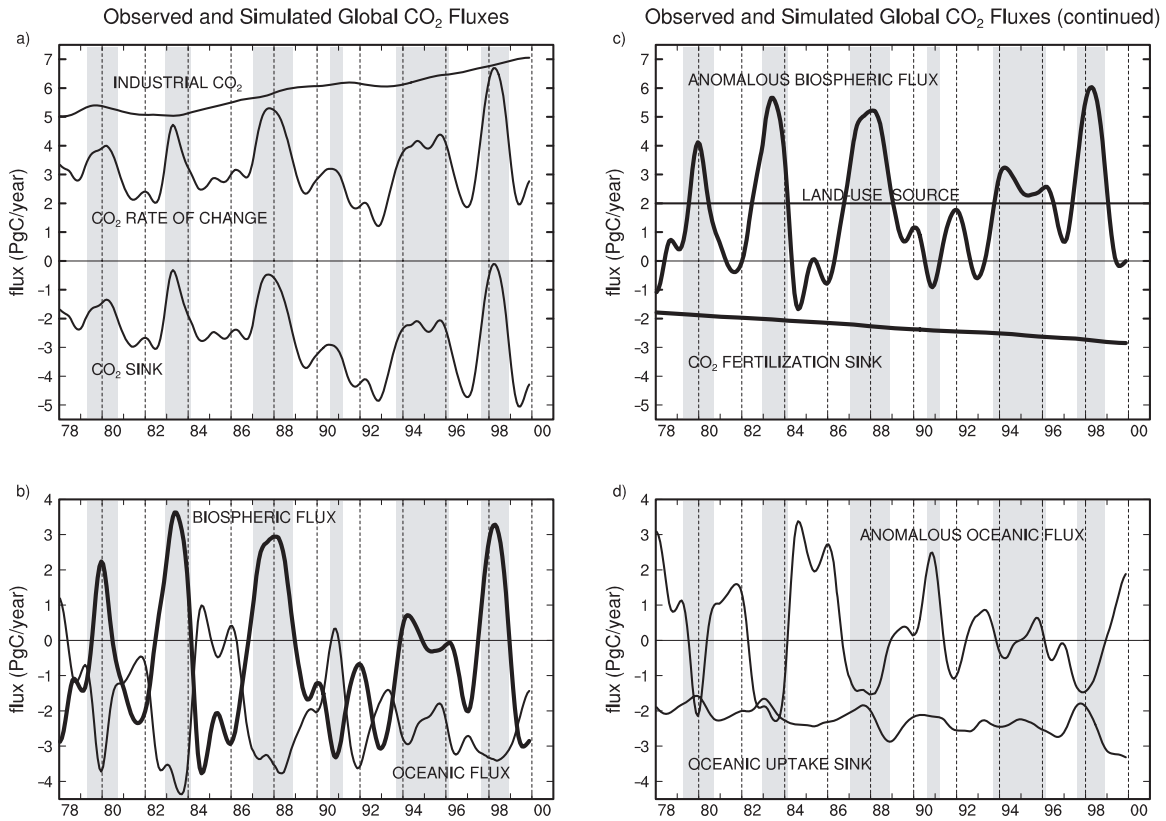


Fig. 10. Time-varying global  $\text{CO}_2$  fluxes, in  $\text{PgC yr}^{-1}$ , as labeled, positive to the atmosphere, shown as spline fits to monthly data. Gray bars are as in Figure 5. **Panel a:** Industrial  $\text{CO}_2$  emissions, rate of change of  $\text{CO}_2$  abundance, and an inferred atmospheric  $\text{CO}_2$  sink, defined as the difference between emissions and abundance change. **Panel b:** Net terrestrial biospheric and oceanic  $\text{CO}_2$  exchange fluxes with the atmosphere (thick and thin lines, respectively), computed by double deconvolution as described in the text, assuming constant isotopic discrimination for C3 and C4 plants. **Panel c:** The terrestrial biospheric exchange flux, shown in Panel b, divided into a contribution related to rising atmospheric  $\text{CO}_2$  concentration,  $F_{fer}$ , ("CO<sub>2</sub> fertilization flux," lower curve), a constant "land-use source",  $F_{des}$  (straight line), and an anomalous flux,  $F_{ano,bio}$ , as defined in the text. **Panel d:** Oceanic exchange flux of Panel b, divided into an oceanic uptake flux ( $F_{ex}$ , lower curve) and an anomalous flux,  $F_{ano,oce}$ , as defined in the text.

term interannual variability in the anomalous fluxes,  $F_{ano,bio}$  and  $F_{ano,oce}$ , however, is closely prescribed by the  $\text{CO}_2$  observations, provided that isotopic discrimination is correctly specified, as discussed in section 7, below.

## 7. RELIABILITY OF DEDUCED FLUXES

### 7.1 Introduction

Before discussing our findings regarding the global average terrestrial and oceanic fluxes,  $F_{bio}$  and  $F_{oce}$ , the extent of possible errors in their calculation will be examined. We address uncertainty in our results on several time-scales.

### 7.2 Analysis of statistical errors

Statistical errors in  $F_{bio}$  and  $F_{oce}$ , on short time-scales, are contributed by scatter in the data for both concentration and  $^{13}\text{C}/^{12}\text{C}$  ratio, expressed by standard errors of the fit of individual observations to the spline curves shown in Figure 3. These errors range from 0.15 ppm and 0.02‰ at the South Pole to 1.1 ppm and 0.07‰ at Point Barrow, Alaska (see Table D.3), and result in errors of the order of  $0.5 \text{ PgC yr}^{-1}$  with respect to  $F_{bio}$  and  $F_{oce}$ , as discussed in Article II, subsection 6.2. Thus, although significant, these errors are not large enough to challenge our finding of large short-term interannual

fluctuations in biospheric and oceanic fluxes (of the order of several  $\text{PgC yr}^{-1}$ ). A more important issue is whether the calculation of these fluxes is affected by systematic errors, which is possible on all time-scales.

### 7.3 Analysis of possible systematic errors

We first discuss possible errors associated with the double deconvolution procedure which deduces fluxes from simultaneous data for the concentration and  $^{13}\text{C}/^{12}\text{C}$  ratio of atmospheric  $\text{CO}_2$ . We thus add to our earlier discussion (subsection 6.2 above) of uncertainties on the decadal-time scale. In the succeeding subsection we discuss errors on the short-term interannual time-scale, approximately that of the ENSO cycle (cf. subsection 2.2 above)

To deduce the relative strengths of  $F_{bio}$  and  $F_{oce}$ , which include responses to the combustion of fossil fuels, we have made use of the same inverse double deconvolution procedure used previously by Keeling et al. [1989a, 1995]. Previously, however, only anomalous fluxes were calculated, similar to  $F_{ano,bio}$  and  $F_{ano,oce}$ , plotted in Figure 10, Panels c and d. Here, full net fluxes, denoted by  $F_{bio}$  and  $F_{oce}$ , are also calculated, as plotted in Figure 10, Panel b. As pointed out in subsection 6.2 above, the validity of the deduced average magnitude of these fluxes depends on the correctness of the

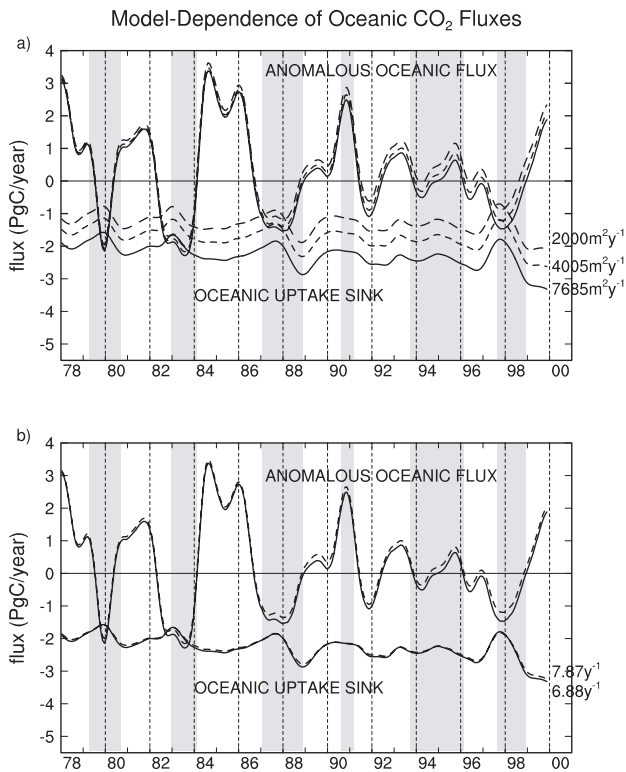


Fig. 11. Sensitivity of computed oceanic exchange fluxes (cf. Figure 10d) to parameters of the oceanic carbon cycle model. For each test case the computed oceanic uptake sink,  $F_{ex}$ , owing to rising  $\text{CO}_2$ , is plotted separately below that of the anomalous oceanic flux. **Panel a:** The oceanic vertical diffusion coefficient,  $K$ , successively reduced from  $7685 \text{ m}^2\text{yr}^{-1}$  (solid line) to  $4005$  and  $2000 \text{ m}^2\text{yr}^{-1}$  (successive dashed lines). **Panel b:** The air-sea exchange coefficient,  $k_{am}$ , increased from  $6.88 \text{ yr}^{-1}$  (solid line) to  $7.87 \text{ yr}^{-1}$  (dashed line). For definitions of  $K$  and  $k_{am}$ , see Siegenthaler [1983] and Keeling et al. [1989a, Table 8]. Gray bars are as in Figure 5.

oceanic submodel used in the deconvolution procedure.

As explained by Bacastow et al. [2001], although it may appear that the double deconvolution should allow the net oceanic flux to be determined correctly on any time-scale [cf. Joos and Bruno, 1998], the calculation, except on short time-scales, is ill-conditioned, as demonstrated by the results shown in Figure 11. Consequently, although our study contributes to an understanding of the short-term interannual variability of the global carbon cycle over two decades, it does not resolve decadal variability and the so-called "missing  $\text{CO}_2$  sink" issue [Wigley and Schimel, 2000, p. 47, pp. 54-150] as to whether the terrestrial biosphere is an important global sink for  $\text{CO}_2$  produced by the combustion of fossil fuels. Our estimated net biospheric and oceanic fluxes for the 1980's agree with those of the IPCC reported for the 1980's [IPCC, 1996] (see Table 7) because both studies used oceanic submodels that predicted nearly the same oceanic uptake of  $\text{CO}_2$  from combustion, by relying on oceanic data of radiocarbon,  $^{14}\text{C}$ , as a constraint on the calculated degree of oceanic uptake of  $\text{CO}_2$ . Our estimates of these fluxes disagree with those of the IPCC for the 1990's because we use the same isotopic constraint and same oceanic submodel as before, while the IPCC calculation is based on  $\text{O}_2/\text{N}_2$  data which provide an estimate of the oceanic flux nearly independent of the choice of oceanic submodel [IPCC,

2001, p. 206].

The principal components of the global atmospheric  $\text{CO}_2$  budget are known with widely varying uncertainties. As estimated by the IPCC (Table 7) at 90% confidence, the independent errors associated with the rate of increase of atmospheric  $\text{CO}_2$  ( $\pm 0.20 \text{ PgC yr}^{-1}$ ) and industrial  $\text{CO}_2$  emissions ( $\pm 0.50 \text{ PgC yr}^{-1}$ ) are so small that the error in the combined oceanic-terrestrial biospheric flux,  $F_{oce} + F_{bio}$ , is uncertain to only  $\pm 0.54 \text{ PgC yr}^{-1}$  (calculated by quadrature). The errors for the separate oceanic and terrestrial biospheric fluxes alone are substantially larger. When determined from separate errors for three components, the error for  $F_{bio}$  is  $\pm 1.9 \text{ PgC yr}^{-1}$ . Such a large error is not surprising since data must be assembled globally for many diverse pools of carbon. A substantially lower error for  $F_{bio}$ ,  $\pm 0.9 \text{ PgC yr}^{-1}$ , is obtained when determined by subtracting estimates of  $F_{oce}$  from the sum  $F_{oce} + F_{bio}$ , the approach of the IPCC, underscoring the value in obtaining precise estimates of oceanic uptake of atmospheric  $\text{CO}_2$  as an indirect approach to determining the global average of the terrestrial biospheric flux,  $F_{bio}$ , as discussed further in subsection 8.3, below.

#### 7.4 Systematic uncertainty in fluxes on short time-scales

Both the terrestrial and oceanic  $\text{CO}_2$  fluxes inferred from our atmospheric  $\text{CO}_2$  data show a distinct association with fluctuating temperature, most evident during El Niño events when the concentration of atmospheric  $\text{CO}_2$  has risen most rapidly. This association with the ENSO cycle is not surprising because these events are attended by pronounced changes in the temperature and circulation of both the atmosphere and the oceans likely to affect the global terrestrial and oceanic carbon pools. Thus the phasing of the large fluctuations seen in our atmospheric data are not difficult to explain, but our finding of large opposing terrestrial releases and oceanic sinks of  $\text{CO}_2$  phased with the ENSO cycle has been challenged on the grounds that our  $^{13}\text{C}/^{12}\text{C}$  data through 1988 [Keeling et al., 1989a] contain substantial systematic errors, specifically that they do not agree with other measurements showing only small isotopic fluctuations with little association with the strong El Niño events in 1982-3 and 1987-8 [Francey et al., 1995].

Although two succeeding El Niño events, in 1992 and 1994, were not strong enough to substantiate the plausibility of the large fluctuations that our data show for the 1980's, we now possess data for an El Niño event in 1997-8, for which the rate of change in  $^{13}\text{C}/^{12}\text{C}$  ratio (see Figure 7) fluctuated with an amplitude as large as for the strong events in 1982-3 and 1987-8. Furthermore, this fluctuation, as were fluctuations for the El Niño events of 1982-3 and 1987-8, is seen separately for every station in our array (see Figure 4). We argue, therefore, that the only circumstance that could lead to erroneous signals for all three of these events would be gross miscalibration of the mass-spectrometers of two laboratories (see Appendix C) that introduced false variations, synchronous with each event.

Although calibrating errors cannot be ruled out, a more likely source of systematic error is some shortcoming in either the terrestrial biospheric or oceanic submodel of the double deconvolution procedure. A likely possibility is our assumption



that isotopic discrimination attending photosynthesis on land is constant. As discussed in section 5, above, our calculations of biospheric flux are sensitive to neglect of variations in discrimination as small as 1‰. A decrease of this magnitude for tropical vegetation as a whole during El Niño events would be interpreted by our double deconvolution as a diminution in the fluctuations inferred for the strong events of 1982-3, 1987-8, and 1997-8, sufficient to eliminate the opposing oceanic flux inferred in our calculations. Such a decrease in discrimination is plausible. Tropical drought and heat attending El Niño events are expected to cause stress in plants causing net primary production (NPP) to decrease and atmospheric CO<sub>2</sub> to rise anomalously as observed. Perhaps a decrease in discrimination accompanies this presumed decrease in NPP, such that the two effects cannot be distinguished by their timing.

In Article II we present evidence that most of the association of terrestrial biospheric and oceanic CO<sub>2</sub> fluxes with warming events is produced in the tropical zone. Correlations of these inferred fluctuations with variations in climatic factors therefore should reflect a relation of climate forcing to the metabolic activity of plants in which the phasing is well determined even if the magnitudes of the fluctuations cannot be established from atmospheric data alone. We thus may gain insight into the relationship of the carbon cycle to climate without yet knowing the extent of variable discrimination by tropical plants, as discussed in Article IV.

## 8. DISCUSSION

### 8.1 Introduction

We first discuss our results on the ENSO time-scale and then over the full 22 years of our study. We then discuss possible ways to reduce errors in determining exchange fluxes in the future.

### 8.2 Short-term variability in the global carbon cycle

To compare the magnitude of fluctuations in exchange fluxes with the strength of El Niño events, a useful measure is the southern oscillation index (SOI), which tracks the ENSO cycle as reflected in the surface barometric pressure difference across the central and western Pacific Ocean from Tahiti to Darwin, Australia. For the period of our study, 1978-1999, the most pronounced fluctuations in SOI occurred near the times of strong El Niño events of 1982-3, 1987-8 and 1997-8 (henceforth events of "1983," "1987," and "1998"), as shown in Figure 5b. Following sharp minima in the SOI for these three events, high rates of increase in the concentration of atmospheric CO<sub>2</sub> occurred at Mauna Loa, Hawaii, at times shown by gray bars in the figure. We ask, to what degree did extremes seen in the anomalous biospheric and oceanic fluxes,  $F_{ano,bio}$  and  $F_{ano,oce}$  (Figure 10, Panels c and d) represent responses to climatic variability at these times?

Because the amplitudes of the fluctuations in SOI are nearly equal for these three El Niño events, it is possible that they reflect approximately equal degrees of climatic forcing. Calculated amplitudes of fluctuations in the anomalous biospheric flux,  $F_{ano,bio}$  (Figure 10, Panel c) for these events are also

nearly equal, based on our observing nearly equal amplitudes of corresponding fluctuations in rate of change of <sup>13</sup>C/<sup>12</sup>C ratio (Figure 7). The calculated fluctuation in the anomalous oceanic flux,  $F_{ano,oce}$  (Figure 10, Panel d), however, is of lesser amplitude for the 1998 event than for either of the two previous events, reflecting a greater fluctuation in the rate of change of atmospheric CO<sub>2</sub> for this event (Figure 7). How can this lesser oceanic fluctuation be explained?

Either the fluctuations in the oceanic flux, indeed, were different in spite of similar signals in the SOI for all three events, or the terrestrial biospheric flux has been incorrectly calculated. We first discuss the likelihood of variable oceanic fluctuations. In a previous double deconvolution calculation with data only through 1988 [Keeling et al. 1989a], variations in  $F_{ano,oce}$  showed a correlation with tropical seasurface temperature. A larger sink than otherwise during El Niño events was attributed to reduced upwelling in the tropical oceans, especially in the east Pacific, that caused a decreased source of CO<sub>2</sub> from the tropical oceans to the atmosphere, in spite of a warmer seasurface. As shown in Figure 12, east tropical Pacific seasurface temperature showed greater warming for the 1998 El Niño event than for the events of 1983 and 1987, whereas the oceanic sink was less pronounced. Thus variable fluctuations in tropical seasurface temperature do not explain quantitatively a smaller oceanic fluctuation in 1998.

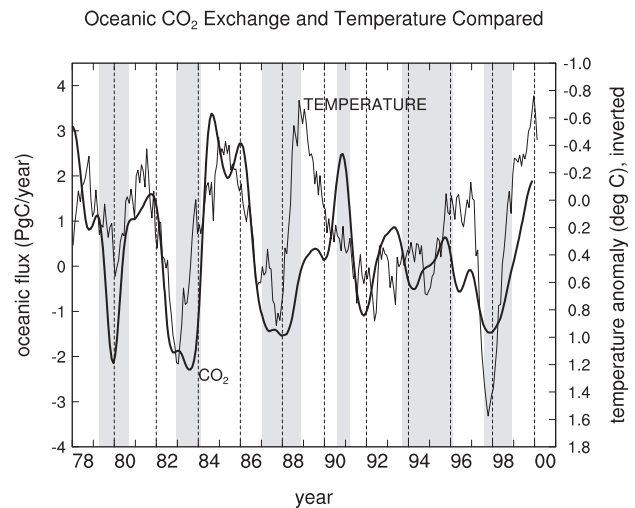


Fig. 12. Comparison of the anomalous oceanic flux,  $F_{ano,oce}$ , (thick line), as plotted in Figure 10, Panel d, with seasurface temperature, (thin line) in °C, in the eastern Pacific Ocean, averaged from 180°W to 80°W longitude, 20°N to 20°S latitude [Jones, 1994, and personal communication]. Gray bars are as in Figure 5.

Alternatively, neglecting to account for temporal variability in isotopic discrimination by plants may have caused errors in the calculated fluxes, as discussed in subsection 5.2. Although the nearly equal amplitudes of fluctuations in the first derivative of  $\delta^{13}\text{C}$  of atmospheric CO<sub>2</sub> that we observe in association with El Niño events (see Figure 7), suggest corresponding nearly equal amplitudes in  $F_{ano,bio}$ , perhaps this is not a correct conclusion. This flux reflects both anomalous assimilation of carbon by net primary production (NPP) and anomalous release of biospheric carbon by respiration. Although, respiration, as well as NPP, generally responds directly



to variability in temperature and precipitation, the sensitivities are not necessarily the same. Variations in NPP, respiration, and variable isotopic discrimination could fortuitously lead to our calculating nearly the same amplitudes for fluctuations associated with the three strong El Niño events of 1983, 1987, and 1998 by ignoring variations in discrimination.

Because these fluctuations probably occur mainly in the tropics, as discussed in Article II, we defer further consideration of their causes here. In Article IV, while reviewing the results of our regional calculations by inversion, we reconsider the possible causes of fluctuations in the biospheric and oceanic fluxes. We find some support that much of the variability in these fluxes appears to be real and not an artifact of neglected variable discrimination.

### 8.3 Ways to reduce uncertainty in estimation of exchange fluxes

Given the present difficulties in inferring CO<sub>2</sub> fluxes from atmospheric CO<sub>2</sub> data as just discussed, several possible improvements come to mind. One that is obvious is to increase the precision and absolute accuracy of time-series data. Better calibrations would almost surely improve the data base for studying fluxes, especially with respect to isotopic data. In particular they would prevent the finding of such large differences in results between laboratories that one laboratory may find a large isotopic El Niño signal while another does not. Most helpful would be the reintroduction of international isotopic standard reference materials, in the range of oceanic and atmospheric CO<sub>2</sub>, for systematic long term intercalibration between laboratories.

Uncertainty in establishing the rate of uptake of atmospheric CO<sub>2</sub> by the oceans presently limits our ability to identify which part of the combined oceanic and terrestrial sink of industrial CO<sub>2</sub> is oceanic, because the terrestrial biospheric sink is, and probably will remain, far less well determined than the oceanic sink, as discussed in subsection 7.3, above. Several indirect means to improve knowledge of the oceanic sink have been pursued: studies of the fundamental behavior of the oceanic carbon cycle through oceanic campaigns such as the JGOFS program, investigations of the ocean circulation, such as was pursued by the World Ocean Circulation Experiment, and the use of transient tracers such as chlorofluorocarbons to define better in general the transport of chemical substances in the oceans. The present uncertainty in the oceanic sink quoted in Table 7 takes into account all of these techniques.

Given the dependency of the double deconvolution procedure on the choice of oceanic submodel, as discussed above, there is no means of estimating the correctness of the average magnitudes of net oceanic fluxes from atmospheric CO<sub>2</sub> data alone. The determination of  $F_{oce}$  would be better determined if time-series were available adequate to establish the global average of <sup>13</sup>C/<sup>12</sup>C ratio of dissolved inorganic carbon (DIC) in surface ocean water [Gruber and Keeling, 2001], and still better if adequate subsurface isotopic data were also available [Quay et al., 1992]. However, no indirect method is likely to be as reliable as direct measurements of DIC, such as were pursued during the World Oceans Circulation Experiment

[Needler, 1992]. Such measurements, however, should be carried out repeatedly to establish the rate of change of the inventory of carbon in the world oceans.

Independently establishing the global exchange of atmospheric CO<sub>2</sub> with the terrestrial biosphere, either by direct flux measurements or by measuring the changing inventory of carbon in plants and sinks, is not likely to produce a precise global inventory for terrestrial biospheric carbon because of the great heterogeneity of the reservoir. Thus the extent to which the terrestrial biosphere is a source or sink of atmospheric CO<sub>2</sub> globally will probably be best determined by subtracting from the well established combined sink,  $F_{bio} + F_{oce}$ , the estimates of the oceanic flux,  $F_{oce}$ , based on direct oceanic inventory data. This approach has long-term merit, because the data gain more and more significance as the time-interval of measurements lengthens.

A third approach is to use precise measurements of atmospheric oxygen concentration concurrently with measurements of CO<sub>2</sub> concentration and <sup>13</sup>C/<sup>12</sup>C ratio, further to constrain the relative magnitudes of the oceanic and terrestrial CO<sub>2</sub> exchange fluxes. Atmospheric oxygen is influenced by exchanges with the land and oceans in different proportions than carbon dioxide, so the combination of atmospheric O<sub>2</sub> and CO<sub>2</sub> measurements can help to resolve the relative proportions of the land and ocean carbon sinks [R. F. Keeling and Shertz, 1992; Battle et al., 2000]. This method, as all other known methods, has complications, but is likely to produce valuable evidence of the time-evolution of oceanic uptake on all time-scales from seasonal to long-term, complementing direct measurements of increasing carbon in sea water that can be made world-wide only at infrequent intervals.

## 9. CONCLUSIONS

Combined measurements of the concentration and <sup>13</sup>C/<sup>12</sup>C isotopic ratio of atmospheric CO<sub>2</sub> have provided us with a basis for distinguishing terrestrial biospheric and oceanic exchanges of CO<sub>2</sub>, from 1978 through 1999. We find remarkably similar patterns of fluctuations of variations in concentration and isotopic ratio on the time-scale of the El Niño-southern oscillation (ENSO) cycle, from which we infer increased releases of CO<sub>2</sub> by the terrestrial biosphere, partially offset by greater uptake of CO<sub>2</sub> by the oceans during El Niño events and at other times of above average global temperature. Not all details of these correlations are readily explained, however, and our findings need to be viewed with caution.

The best established correlation of our atmospheric CO<sub>2</sub> data with the ENSO cycle pertains to the sum of the global biospheric and oceanic fluxes, established unambiguously by the rate of change in CO<sub>2</sub> concentration. The global average rate of change in <sup>13</sup>C/<sup>12</sup>C ratio, though less well established, varies closely in phase with the global CO<sub>2</sub> concentration (Figure 6). It follows, therefore, that the global terrestrial biospheric flux, which the isotopic record reflects, almost certainly has correlated with the ENSO cycle. The global oceanic flux probably also correlates because it is determined by subtracting the biospheric flux from the sum of the two fluxes.

The amplitudes of fluctuations in this sum, derived solely from CO<sub>2</sub> concentration data, are also well established, but the amplitudes relating to the separate global biospheric and oceanic fluxes are uncertain, because miscalibration of the isotopic data, and an inability to interpret these data correctly, may have produced errors in calculating amplitudes. With respect to calibrations, concentration and isotopic data match each other more closely after 1991, a circumstance that we attribute to improved precision of our isotopic data after a change in mass spectrometers, and to better and more frequent isotopic standardizations. Our isotopic data may reflect phenomena not all taken into account in our calculations of fluxes, however, in particular, interannual variability in isotopic discrimination by plants. The similarity in phasing of variations in concentration and isotopic ratio, except for the very rare possibility that even this feature could be an artifact of poor data, demands, however, that possible variations in isotopic discrimination by plants, or other neglected phenomena, be phased consistently with fluctuations in the global biospheric flux.

Phasing of variable discrimination closely tied to the ENSO cycle is, indeed, a possibility. As a regional analysis of fluxes discussed in Article II demonstrates, most of the correlation of biospheric flux with the ENSO cycle occurs in the tropics where drought may cause both the net primary production of plants and discrimination to decrease during El Niño events. Also, as shown in section 5, above, the existence of small average variations in tropical discrimination could explain a substantial part of the amplitude in biospheric fluctuations that we infer. However, the anticorrelation of oceanic flux with tropical seasurface temperature that we deduce supports an hypothesis that discrimination by plants may have varied only slightly over the ENSO cycle, or not at all. Such an anticorrelation is consistent with observed decreases in upwelling of cold CO<sub>2</sub>-laden subsurface water in the tropics during El Niño events, expected to produce increased seasurface temperature together with a release of CO<sub>2</sub> to the air.

Quantitatively it is difficult to decide which mechanism better explains the observed fluctuations in CO<sub>2</sub> concentration and <sup>13</sup>C/<sup>12</sup>C ratio. The southern oscillation index (SOI), a measure of the intensity of El Niño events, shifts by nearly the same amount during three major events in 1983, 1987, and 1998 (whose timing is indicated approximately by gray bars in Figure 5). Tropical seasurface temperature also shows nearly equal shifts for these events (Figure 12). Nevertheless, the oceanic flux that we infer shows a substantially lesser fluctuation in 1998 than during the two earlier events, a finding not readily explained. Fluctuations in the rate of change of <sup>13</sup>C/<sup>12</sup>C ratio for these three events are nearly the same (Figure 7), consistent with nearly equal strengths of the El Niño events as indicated by the southern oscillation index and sea surface temperature data. A reduction in amplitude owing to reduced discrimination is therefore expected to diminish by similar amounts the biospheric signals for all three events. Thus, if our isotopic data are correct, at least one signal, either oceanic or biospheric, must vary in strength between the events of the 1980's and the 1998 event. At present our analysis cannot reconcile these features.

Because so much of the interannual variability in CO<sub>2</sub> exchange deduced in our study is short-term and attributed to a naturally occurring ENSO cycle, we have not dwelt at length on long-term variability in exchange, nor on anthropogenic factors that cause variability. The double deconvolution procedure that we have used takes account of the unquestionably human-caused rise in atmospheric CO<sub>2</sub> resulting from the combustion of fossil fuel, but this combustion process is sufficiently steady on the short-term that it contributes but little to the interannual variability in atmospheric CO<sub>2</sub> on the ENSO time-scale. Short-term variability is likely, however, to be partly of human cause, because of recent changes in land-use that may have increased the sensitivity of the terrestrial biosphere to natural variations in climatic factors, for example, indirectly by human-caused changes in albedo and latent heat exchange, or directly by causing more and greater forest and grass fires during periods of drought.

In summary, our principal finding from a new analysis of atmospheric CO<sub>2</sub> data is that interannual fluctuations in net exchanges of atmospheric CO<sub>2</sub> are of the order several PgC yr<sup>-1</sup> and correlate with strong El Niño events. The fluctuations clearly involve the terrestrial biosphere, and probably the oceans, but their amplitudes and phasing cannot yet be precisely determined. Calculations of these fluctuations, however, are not sensitive to further uncertainties which still remain in calculating the long-term sequestration of industrial CO<sub>2</sub> by both the terrestrial biosphere and the oceans.

#### APPENDIX A SAMPLING PROCEDURES AND TECHNIQUES OF MEASUREMENT

Measurements of atmospheric CO<sub>2</sub>, shown in Figure 3, were made on samples of air collected in 5-liter glass flasks equipped with Apiezon-greased stopcocks. Flasks were pumped to a high vacuum before shipment to sampling sites where each sample was collected by opening the stopcock for approximately 30 seconds. The flasks were returned to SIO where the CO<sub>2</sub> concentration was measured on an aliquot of air from each flask with an Applied Physics Corporation Model 55 nondispersive infrared (NDIR) gas analyzer. The remaining air, about 80% of the original sample, was removed from each flask by slowly pumping through a special concentric double-spherical trap cooled with liquid nitrogen. The trapped CO<sub>2</sub>, together with trace amounts of N<sub>2</sub> also present in the original air samples, was then transferred to a storage tube by sublimation using liquid nitrogen. From 1978 to 1982, such tubes were equipped with stopcocks, greased or equipped with O-rings [Mook et al., 1983]. Thereafter, tubes consisted of ordinary 1/4" diameter Pyrex glass tubes into which the CO<sub>2</sub> samples were sublimed, and each tube then sealed with a torch. The stored CO<sub>2</sub> was later transferred to a mass spectrometer where the 45/44 mass ratio was measured, and the <sup>13</sup>C/<sup>12</sup>C ratio subsequently determined, with a correction applied for the influence of N<sub>2</sub> [Bollenbacher et al., 2001]. From 1978 to 1991, samples were measured at the Centrum voor Isotopen Onderzoek (CIO) of Groningen University, the Netherlands, with a VG Instrument Inc. Sira mass spectrometer; subsequently they were measured at SIO with a VG

Prism II mass spectrometer. Measurement techniques, further described by Keeling et al. [1989a, p. 218], have remained the same throughout the study reported here.

In addition, at Mauna Loa Observatory, measurements of CO<sub>2</sub> concentration were made on site with a NDIR gas analyzer, the same model as used at SIO, following techniques described by Keeling et al. [1982]. Monthly averaged, these nearly continuous concentration data are shown in Figure 3. A parallel data set, based on flask samples (having somewhat more scattered data but nearly the same interannual trend), is not shown.

#### APPENDIX B

##### CALIBRATION OF CONCENTRATION DATA.

Gas mixtures of natural air, stored in high pressure cylinders, after drying to a water vapor concentration of 2.5 ppm or less, and mixtures of CO<sub>2</sub> in nitrogen gas, were used to calibrate the NDIR gas analyzers for CO<sub>2</sub> concentration. Calibration consisted of analyzing air flowed from one or more cylinders into the analyzer cell in alternation with air from flask samples, the latter introduced into the cell using a mercury Toepler pump. A detailed intercomparison of reference gases on 5 successive dates over not more than a few months, was performed approximately every two years, assuring that the reported concentration data are consistent with the mole fractions of CO<sub>2</sub> established for a set of 11 primary CO<sub>2</sub>-in-air reference gases. The mole fractions of these primary reference gas mixtures, and a second set of 13 CO<sub>2</sub>-in-nitrogen primary reference gases, were checked approximately every three years, with a constant-volume mercury-column manometer, essentially as described by Keeling et al. [1986].

#### APPENDIX C

##### CALIBRATION OF ISOTOPIC DATA.

In the Netherlands, at CIO, calibrations were made with a suite of samples of pure CO<sub>2</sub> attributed to international standards [Mook et al., 1983]. Calibrations were made approximately every third day of analysis. From 1989 to the end of the program at CIO, calibrating was less frequent and not reported to SIO until recently. We consider the data for this period to be less reliable than the earlier data. At SIO, since early 1991, we have made use of 3 calibrating standards, consisting of aliquots of CO<sub>2</sub> extracted from gas mixtures of dried natural air stored in high pressure cylinders, the same kind of gas mixtures used as our primary standards for NDIR gas analysis. These aliquots, prepared in the same way as samples of CO<sub>2</sub> from air collected at field stations, and therefore containing N<sub>2</sub>, were introduced into the analysis schedule every day. Data obtained from their use indicate that the VG Prism II mass spectrometer has varied in performance enough to justify daily calibration. Moreover, comparison with pure CO<sub>2</sub> standards indicates that the mass spectrometer calibration for air-derived CO<sub>2</sub> has drifted over time unidirectionally with respect to calibrations of these conventional standards, the trends interrupted from time to time by abrupt changes in the opposite direction, following instrument repairs. We do

not know whether the calibration of the mass spectrometer of CIO for air-derived CO<sub>2</sub> also drifted.

To cross-calibrate isotopic data of CIO and SIO near the time when analyses were transferred to SIO, 36 samples of CO<sub>2</sub> extracted from air collected at La Jolla and 18 aliquots each of 3 SIO standards of CO<sub>2</sub>, extracted from air, were measured at CIO and the results intercompared with measurements at SIO of 61 extracts of air from La Jolla and approximately 160 extracts from each of the three standard gases. On the assumption that the calibration of the CIO spectrometer remained the same with respect to international standards measured at CIO, and adopting the N<sub>2</sub> correction determined at CIO [Mook and van der Hoek, 1983], we have adjusted all of our data to the average of replicate calibrations made at SIO against the former international standards of pure CO<sub>2</sub>, and carbonate standard, NBS-19. Calibration of the SIO mass spectrometer and the cross-calibration between CIO and SIO are described in detail by Bollenbacher et al. [2001] and Guenther et al. [2001].

There are presently no available international isotopic carbonate standards for interlaboratory calibration of CO<sub>2</sub>. Until protocols for reliable intercalibration are put into effect internationally, it is prudent to assume that biases as great as 0.15‰ exist when comparing our data with data of other laboratories. Also, still unresolvable biases may exist between the CIO and SIO isotopic data sets for atmospheric CO<sub>2</sub> reported here.

#### APPENDIX D

##### DATA PROCESSING.

To establish global averages of CO<sub>2</sub> concentration and <sup>13</sup>C/<sup>12</sup>C after 1978 (the latter expressed by the reduced isotopic ratio, δ<sup>13</sup>C (see equation (1.1)), weighted averages for 9 stations (those listed in Table 1, excepting Mauna Loa) were computed for each month. The weight for a given station was set to the fraction of area of the earth in zones between latitudes, midway in sine of latitude between neighboring stations (or midway to the pole, if there was no poleward station.) For stations whose records began after 1978, prior estimates were made back to the beginning of 1978, based on their differences from Mauna Loa-South Pole averages over the first 4 years of each station's record, except as noted below. The δ<sup>13</sup>C record for Mauna Loa was extrapolated back to 1978 assuming its difference from the neighboring Christmas Island station record to be constant, averaged over the years, 1978 through 1982. Extrapolated and actual records were joined smoothly using a 9-month triangular filter. The Baring Head, New Zealand δ<sup>13</sup>C record was extrapolated back to 1978 by assuming it to be offset from the Mauna Loa-South Pole average by an observed 5-year average difference.

Data from our northernmost station, Alert, having a similar seasonal interannual pattern to our other northern station, Point Barrow, were merged with data from Point Barrow to form a composite in the far north record for both CO<sub>2</sub> and δ<sup>13</sup>C. The average difference over the first 4 years of the Alert record was used to extrapolate the Alert record back to the start of the Point Barrow data. The merged data were then extended back to 1978, as described above. Data for Mauna Loa Observatory,

TABLE D.1  
GLOBAL AVERAGE CONCENTRATION OF ATMOSPHERIC CO<sub>2</sub>, IN PPM

MONTH	YEAR											
	1953	1954	1955	1956	1957	1958	1959	1960	1961	1962	1963	1964
Monthly Values of Direct Measurements, Seasonally Adjusted <sup>a</sup>												
Jan.	312.58	312.97	313.40	313.80	314.01	314.58	315.33	316.17	316.78	317.61	318.23	318.76
Feb.	312.61	313.01	313.44	313.83	314.02	314.65	315.39	316.25	316.84	317.65	318.30	318.80
March	312.64	313.04	313.48	313.86	314.04	314.72	315.45	316.33	316.90	317.69	318.36	318.84
April	312.67	313.08	313.52	313.89	314.07	314.80	315.50	316.41	316.96	317.73	318.41	318.87
May	312.70	313.11	313.55	313.91	314.10	314.87	315.56	316.47	317.04	317.77	318.46	318.91
June	312.73	313.15	313.59	313.93	314.14	314.93	315.63	316.53	317.12	317.81	318.51	318.94
July	312.77	313.18	313.62	313.95	314.19	315.00	315.69	316.57	317.19	317.85	318.54	318.96
Aug.	312.80	313.22	313.65	313.96	314.25	315.06	315.76	316.61	317.27	317.90	318.58	318.99
Sept.	312.83	313.26	313.69	313.97	314.30	315.11	315.84	316.64	317.35	317.96	318.61	319.01
Oct.	312.87	313.29	313.72	313.98	314.37	315.17	315.92	316.67	317.43	318.03	318.65	319.03
Nov.	312.90	313.33	313.75	313.99	314.43	315.22	316.00	316.70	317.49	318.10	318.68	319.05
Dec.	312.94	313.37	313.77	314.00	314.50	315.28	316.08	316.74	317.56	318.16	318.72	319.08
	1965	1966	1967	1968	1969	1970	1971	1972	1973	1974	1975	1976
Jan.	319.11	320.30	321.28	321.89	322.96	324.32	325.25	325.93	327.50	329.04	329.59	330.75
Feb.	319.15	320.43	321.33	321.96	323.09	324.41	325.29	326.00	327.68	329.06	329.68	330.82
March	319.20	320.55	321.37	322.02	323.22	324.49	325.33	326.08	327.87	329.07	329.78	330.89
April	319.26	320.66	321.41	322.08	323.36	324.58	325.37	326.18	328.06	329.09	329.87	330.95
May	319.33	320.76	321.45	322.15	323.49	324.67	325.42	326.28	328.24	329.10	329.96	331.02
June	319.42	320.84	321.49	322.22	323.61	324.76	325.48	326.40	328.42	329.13	330.06	331.09
July	319.52	320.92	321.54	322.30	323.74	324.85	325.54	326.52	328.58	329.16	330.16	331.17
Aug.	319.64	320.99	321.59	322.39	323.85	324.93	325.60	326.67	328.71	329.21	330.26	331.26
Sept.	319.76	321.06	321.65	322.49	323.96	325.01	325.66	326.82	328.82	329.27	330.37	331.37
Oct.	319.89	321.12	321.71	322.60	324.06	325.09	325.73	326.98	328.91	329.34	330.47	331.48
Nov.	320.03	321.18	321.77	322.71	324.15	325.15	325.79	327.15	328.97	329.42	330.57	331.61
Dec.	320.16	321.23	321.83	322.83	324.24	325.20	325.86	327.32	329.01	329.50	330.67	331.74
	1977	1978	1979	1980	1981	1982	1983	1984	1985	1986	1987	1988
Jan.	331.87	333.70	335.14	336.82	338.48	339.56	340.70	342.64	343.91	345.24	346.68	348.95
Feb.	332.02	333.83	335.25	336.98	338.58	339.65	340.86	342.76	344.02	345.36	346.82	349.15
March	332.16	333.96	335.37	337.13	338.68	339.74	341.03	342.88	344.13	345.48	346.98	349.36
April	332.32	334.09	335.50	337.29	338.77	339.83	341.21	343.00	344.25	345.61	347.15	349.56
May	332.48	334.21	335.64	337.44	338.85	339.92	341.40	343.11	344.36	345.73	347.33	349.75
June	332.64	334.34	335.78	337.60	338.94	340.00	341.58	343.21	344.47	345.85	347.52	349.94
July	332.80	334.46	335.92	337.74	339.02	340.08	341.76	343.31	344.58	345.97	347.71	350.12
Aug.	332.96	334.58	336.07	337.89	339.10	340.16	341.92	343.41	344.69	346.09	347.92	350.29
Sept.	333.12	334.69	336.22	338.02	339.19	340.24	342.08	343.51	344.80	346.20	348.12	350.46
Oct.	333.27	334.81	336.37	338.15	339.28	340.34	342.23	343.60	344.90	346.32	348.33	350.61
Nov.	333.42	334.92	336.52	338.27	339.37	340.44	342.37	343.70	345.01	346.43	348.54	350.76
Dec.	333.57	335.03	336.67	338.38	339.46	340.56	342.51	343.81	345.12	346.55	348.74	350.89
	1989	1990	1991	1992	1993	1994	1995	1996	1997	1998	1999	2000
Jan.	351.02	352.23	353.69	354.74	355.52	356.77	358.66	360.61	361.91	363.66	366.42	367.58
Feb.	351.14	352.35	353.81	354.82	355.57	356.92	358.81	360.77	361.98	363.91	366.55	–
March	351.25	352.46	353.92	354.90	355.64	357.07	358.97	360.91	362.06	364.17	366.66	–
April	351.35	352.58	354.03	354.98	355.71	357.23	359.12	361.05	362.14	364.43	366.75	–
May	351.45	352.70	354.13	355.05	355.79	357.38	359.28	361.18	362.24	364.69	366.84	–
June	351.54	352.82	354.22	355.13	355.88	357.54	359.43	361.30	362.35	364.95	366.91	–
July	351.63	352.95	354.30	355.20	355.98	357.69	359.60	361.40	362.48	365.21	366.99	–
Aug.	351.72	353.07	354.38	355.26	356.10	357.86	359.76	361.50	362.63	365.45	367.07	–
Sept.	351.82	353.20	354.45	355.32	356.22	358.02	359.93	361.60	362.80	365.68	367.16	–
Oct.	351.92	353.32	354.53	355.37	356.34	358.18	360.11	361.68	362.99	365.90	367.26	–
Nov.	352.02	353.45	354.60	355.42	356.48	358.34	360.28	361.76	363.19	366.10	367.36	–
Dec.	352.12	353.57	354.67	355.47	356.62	358.50	360.45	361.84	363.42	366.27	367.47	–

<sup>a</sup> Derived from the average of spline fits of each of 9 stations, described in text.

TABLE D.2  
GLOBALLY AVERAGED ISOTOPIC RATIO,  $\delta^{13}\text{C}$ , OF ATMOSPHERIC  $\text{CO}_2$  SINCE 1978, IN ‰

MONTH	YEAR											
	1977	1978	1979	1980	1981	1982	1983	1984	1985	1986	1987	1988
Monthly Values of Direct Measurements, Seasonally Adjusted <sup>a</sup>												
Jan.	–	–	–7.497	–7.544	–7.581	–7.569	–7.598	–7.674	–7.652	–7.638	–7.654	–7.735
Feb.	–	–7.492	–7.499	–7.551	–7.581	–7.569	–7.604	–7.678	–7.650	–7.636	–7.659	–7.742
March	–	–7.490	–7.500	–7.557	–7.580	–7.569	–7.611	–7.679	–7.648	–7.635	–7.665	–7.749
April	–	–7.489	–7.502	–7.562	–7.580	–7.569	–7.618	–7.680	–7.648	–7.635	–7.671	–7.756
May	–	–7.489	–7.504	–7.567	–7.579	–7.580	–7.625	–7.679	–7.647	–7.635	–7.677	–7.763
June	–	–7.489	–7.506	–7.570	–7.578	–7.572	–7.632	–7.676	–7.646	–7.635	–7.685	–7.770
July	–	–7.489	–7.510	–7.573	–7.577	–7.574	–7.640	–7.673	–7.646	–7.636	–7.692	–7.776
Aug.	–	–7.490	–7.514	–7.576	–7.575	–7.577	–7.647	–7.668	–7.645	–7.638	–7.699	–7.781
Sept.	–	–7.492	–7.518	–7.577	–7.574	–7.580	–7.653	–7.664	–7.644	–7.640	–7.706	–7.786
Oct.	–	–7.493	–7.524	–7.579	–7.573	–7.584	–7.660	–7.660	–7.642	–7.643	–7.713	–7.790
Nov.	–	–7.495	–7.530	–7.580	–7.571	–7.588	–7.665	–7.657	–7.641	–7.646	–7.720	–7.794
Dec.	–	–7.496	–7.537	–7.580	–7.570	–7.593	–7.670	–7.654	–7.639	–7.649	–7.728	–7.797
	1989	1990	1991	1992	1993	1994	1995	1996	1997	1998	1999	2000
Jan.	–7.799	–7.804	–7.794	–7.801	–7.800	–7.809	–7.855	–7.888	–7.908	–7.948	–8.022	–
Feb.	–7.801	–7.804	–7.793	–7.803	–7.798	–7.813	–7.858	–7.891	–7.908	–7.955	–8.023	–
March	–7.802	–7.805	–7.791	–7.805	–7.797	–7.818	–7.861	–7.894	–7.909	–7.963	–8.023	–
April	–7.803	–7.806	–7.791	–7.806	–7.796	–7.822	–7.863	–7.897	–7.909	–7.971	–8.023	–
May	–7.803	–7.806	–7.791	–7.807	–7.795	–7.826	–7.866	–7.899	–7.910	–7.978	–8.022	–
June	–7.803	–7.806	–7.791	–7.808	–7.794	–7.830	–7.869	–7.902	–7.912	–7.986	–8.021	–
July	–7.803	–7.805	–7.792	–7.808	–7.795	–7.834	–7.871	–7.904	–7.915	–7.993	–8.019	–
Aug.	–7.803	–7.804	–7.793	–7.807	–7.796	–7.838	–7.874	–7.906	–7.919	–8.000	–8.017	–
Sept.	–7.803	–7.802	–7.794	–7.806	–7.797	–7.842	–7.877	–7.907	–7.923	–8.006	–8.016	–
Oct.	–7.803	–7.801	–7.796	–7.805	–7.799	–7.846	–7.880	–7.908	–7.928	–8.011	–8.014	–
Nov.	–7.803	–7.798	–7.797	–7.804	–7.802	–7.849	–7.882	–7.908	–7.934	–8.016	–8.013	–
Dec.	–7.803	–7.796	–7.799	–7.802	–7.806	–7.852	–7.885	–7.908	–7.941	–8.019	–8.012	–

<sup>a</sup> Derived from the average of spline fits of each of 9 stations, described in text.

TABLE D.3  
ANNUAL NUMBERS OF OBSERVATIONS OF ATMOSPHERIC  $\text{CO}_2$  CONCENTRATION, AND STANDARD ERRORS OF SPLINE FITS TO OBSERVATIONS

Station	Standard Error of Fit <sup>a</sup> (ppm)	Year												
		1977	1978	1979	1980	1981	1982	1983	1984	1985	1986	1987	1988	1989
ALT	0.9716	–	–	–	–	–	–	–	–	17	32	38	29	25
PTB	1.1112	17	8	19	20	33	47	41	40	45	30	33	44	30
LJO	0.8161	6	6	6	5	9	10	19	36	33	26	38	40	43
MLO	0.3598	53	52	52	52	52	52	53	48	51	52	52	53	52
KUM	0.7818	–	–	8	17	24	41	46	46	48	48	49	41	44
CHR	0.4333	24	19	18	8	15	7	9	29	34	33	31	36	39
SAM	0.5201	–	–	–	–	15	41	31	38	28	16	48	47	46
KER	0.3136	–	–	–	–	–	3	7	8	8	5	8	10	11
NZD	0.4305	30	20	22	14	28	31	30	22	33	31	30	30	35
SPO	0.1469	27	22	24	18	23	22	21	20	25	26	24	25	23
		1990	1991	1992	1993	1994	1995	1996	1997	1998	1999			
ALT		41	44	47	42	47	48	40	41	32	41			
PTB		29	42	44	44	43	32	30	35	34	34			
LJO		42	30	39	41	42	33	33	41	33	34			
MLO		52	52	52	52	53	52	52	52	52	52			
KUM		39	45	42	45	42	43	41	34	41	40			
CHR		17	26	26	27	22	17	16	18	21	27			
SAM		48	50	50	44	41	34	41	39	44	44			
KER		12	11	12	10	14	3	9	9	4	10			
NZD		33	31	39	43	24	41	41	40	30	6			
SPO		24	20	24	24	24	24	24	24	24	18			

<sup>a</sup> Annual variances used as weights in the regional inverse calculation (see Article II, Appendix B) are obtained by squaring the standard error of fit and dividing by the number of observations for each year listed.

TABLE D.4  
ANNUAL NUMBERS OF OBSERVATIONS OF ATMOSPHERIC REDUCED ISOTOPIC RATIO,  $\delta^{13}\text{C}$ , AND STANDARD ERRORS OF SPLINE FITS TO  
OBSERVATIONS

Station	Standard Error of Fit <sup>a</sup> (ppm)	Year													
		1977	1978	1979	1980	1981	1982	1983	1984	1985	1986	1987	1988	1989	
ALT	0.0651	–	–	–	–	–	–	–	–	16	31	37	21	25	
PTB	0.0693	–	–	–	–	–	29	38	28	44	25	32	29	22	
LJO	0.0517	–	5	5	4	9	9	12	23	21	14	20	20	16	
MLO	0.0474	–	–	–	16	18	32	39	35	40	49	47	44	40	
KUM	0.0573	–	–	–	16	23	29	42	42	45	46	45	33	37	
CHR	0.0438	9	14	20	16	17	14	23	25	36	33	32	29	26	
SAM	0.0363	–	–	–	–	–	–	–	28	30	24	46	39	35	
KER	0.0336	–	–	–	–	–	–	–	8	9	11	10	12	12	
NZD	0.0386	–	–	–	–	–	–	–	–	5	6	17	15	9	
SPO	0.0235	7	14	15	15	21	21	20	14	19	26	22	22	16	
		1990	1991	1992	1993	1994	1995	1996	1997	1998	1999				
ALT		39	42	47	44	48	49	39	37	32	41				
PTB		23	35	43	41	39	34	28	32	31	31				
LJO		25	22	33	24	26	23	24	29	28	29				
MLO		27	40	49	49	48	42	44	42	53	50				
KUM		34	43	41	45	41	42	40	32	38	43				
CHR		20	35	33	36	28	22	23	25	28	46				
SAM		44	47	49	44	40	33	37	37	44	45				
KER		11	10	12	13	15	1	12	10	7	10				
NZD		13	16	18	21	14	15	15	7	12	10				
SPO		19	18	24	24	23	23	23	25	24	23				

<sup>a</sup> Annual variances used as weights in the regional inverse calculation (see Article II, Appendix B) are obtained by squaring the standard error of fit and dividing by the number of observations for each year listed.

although used in extrapolating other records, as just described, was not included in the weighted global average. Records of  $\text{CO}_2$  concentration of the western United States, 1955-1957, and of Mauna Loa and the South Pole, 1957-1977, were used prior to the beginning of isotopic data in 1978, after being adjusted upward by 0.6119, the mean difference between two composite records: 1) the average of the Mauna Loa and South Pole records and 2) the 9-station composite record from 1979 to 1999. Prior to 1955 measurements from an ice core were used [Etheridge et al., 1996] without adjustment.

The monthly data points for concentration and  $\delta^{13}\text{C}$  ratio for each station were fit to nodal splines [Reinsch, 1967], as described by Keeling et al. [1989c]. The smoothness of each spline was prescribed to be the same for each station and constituent of  $\text{CO}_2$  by adjusting the stiffness of the spline to arrive at a specified integral of the second derivative per year:  $30 (\text{ppm yr}^{-2})^2$  for concentration,  $1.5 (\text{‰ yr}^{-2})^2$  for  $\delta^{13}\text{C}$  ratio. The splines for concentration and  $\delta^{13}\text{C}$ , were then averaged at monthly intervals to produce smooth line-segments. These are shown, above, in Figure 6 (indistinguishable from smooth curves) after subtracting linear trends, as described in the figure caption. Monthly data points of concentration are listed in Table D.1, of  $\delta^{13}\text{C}$  in Table D.2. The standard deviations of the data points for  $\text{CO}_2$  concentration and  $\delta^{13}\text{C}$ , with respect to each spline, are listed in Tables D3 and D4, respectively; The corresponding variances, obtained by squaring, are accepted as measures of random scatter in the data (see Article II, subsection B.1)

Statistical error in our analysis of fluxes was estimated by a method similar to that used by Keeling et al. [1995]. Owing

to greater scatter, relative to impact on the calculation of fluxes, the isotopic data contribute most of the error to the determination of fluxes and have been assumed to contribute all of the error in the fluxes. Firstly, annual rates of change in  $\delta^{13}\text{C}$  were estimated, fitting the isotopic data points to straight lines over annual segments of the record, and the standard errors of the slopes determined. Because the annual fluxes are proportional to the slopes, the error of the flux is proportional to the found error of the slope. Secondly, the annual biospheric fluxes, found by double deconvolution, were scaled to the magnitudes of the slopes to express the errors in  $\text{PgC yr}^{-1}$ . Because the errors in the sum of the terrestrial biospheric and oceanic fluxes are independent of the  $\delta^{13}\text{C}$  data, the errors in oceanic flux were assumed to be equal and opposite to those of the biospheric flux.

#### APPENDIX E AUXILIARY DATA

The oceanic submodel that calculates the oceanic response flux,  $F_{ex}$ , (see equation (4.2)) takes account of variations in seasurface temperature based on global average data of Jones [1994, and personal communication], shown in Figure E.1. The double deconvolution makes use of annual data for the production of fossil fuels and cement manufacture as listed in Table E.1.

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Over the more than 10 years during which we carried out the studies leading to the four articles, of which this is the



TABLE E.1  
ANNUAL PRODUCTION AND ISOTOPIC RATIO,  $\delta^{13}\text{C}$ , OF  $\text{CO}_2$  FROM FOSSIL FUEL COMBUSTION AND CEMENT MANUFACTURE

Decade	Year of Decade:									
	(0)	(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)	(9)
	Carbon Production (in kgC $\times 10^9$ )									
1860	91.29	98.62	98.47	105.88	115.09	121.89	128.76	137.79	136.91	141.79
1870	145.22	161.90	176.10	188.44	184.05	189.21	191.77	196.12	196.75	207.82
1880	227.36	244.71	262.84	280.24	282.39	276.71	279.13	298.17	322.31	329.19
1890	350.32	366.03	369.29	362.64	377.88	399.41	412.69	432.39	455.88	498.16
1900	526.27	541.49	554.89	607.42	615.69	648.11	698.57	772.30	739.89	771.22
1910	807.30	824.69	869.90	931.26	842.90	834.50	898.64	950.06	934.87	837.02
1920	961.50	836.78	897.64	1012.85	1007.76	1014.64	1016.64	1106.40	1103.91	1182.78
1930	1090.73	980.01	886.81	932.10	1010.20	1047.86	1163.26	1243.69	1181.76	1252.35
1940	1321.57	1357.88	1355.86	1387.32	1375.99	1232.39	1299.68	1454.23	1552.04	1505.94
1950	1637.61	1774.35	1803.78	1847.00	1872.31	2049.16	2185.28	2277.57	2338.56	2471.04
1960	2585.17	2602.81	2707.72	2855.18	3015.64	3154.54	3313.26	3420.60	3595.60	3809.80
1970	4083.00	4235.20	4404.10	4639.70	4648.50	4624.10	4887.70	5026.90	5079.10	5355.10
1980	5276.60	5105.90	5066.90	5059.20	5225.20	5402.60	5589.00	5719.30	5940.10	6047.90
1990	6104.00	6180.70	6095.40	6072.50	6221.00	6407.30	6518.70	6680.60 <sup>a</sup>	6848.60	7018.19
	$\delta^{13}\text{C}$ (in ‰)									
1860	-24.10	-24.10	-24.11	-24.11	-24.10	-24.11	-24.11	-24.11	-24.11	-24.11
1870	-24.11	-24.11	-24.11	-24.12	-24.12	-24.11	-24.12	-24.12	-24.12	-24.13
1880	-24.13	-24.15	-24.16	-24.16	-24.17	-24.19	-24.21	-24.23	-24.23	-24.25
1890	-24.27	-24.27	-24.28	-24.29	-24.28	-24.29	-24.30	-24.31	-24.31	-24.31
1900	-24.31	-24.33	-24.34	-24.34	-24.35	-24.36	-24.35	-24.35	-24.37	-24.39
1910	-24.40	-24.40	-24.41	-24.40	-24.44	-24.47	-24.49	-24.50	-24.48	-24.55
1920	-24.55	-24.59	-24.62	-24.67	-24.72	-24.75	-24.79	-24.81	-24.87	-24.94
1930	-24.96	-25.03	-25.07	-25.06	-25.08	-25.09	-25.10	-25.15	-25.17	-25.17
1940	-25.17	-25.16	-25.20	-25.30	-25.43	-25.71	-25.71	-25.69	-25.78	-25.90
1950	-25.86	-26.00	-26.11	-26.16	-26.21	-26.24	-26.29	-26.37	-26.42	-26.51
1960	-26.61	-26.79	-26.91	-27.00	-27.12	-27.23	-27.35	-27.55	-27.70	-27.85
1970	-27.89	-28.01	-28.07	-28.13	-28.16	-28.12	-28.16	-28.09	-28.17	-28.19
1980	-28.20	-28.24	-28.21	-28.20	-28.28	-28.24	-28.19	-28.30	-28.31	-28.34
1990	-28.43	-28.46	-28.43	-28.43 <sup>b</sup>	-28.43	-28.43	-28.43	-28.43	-28.43	-28.43

<sup>a</sup> Assumed an annual growth rate of 2.5 percent after 1996.

<sup>b</sup> Assumed constant after 1992.

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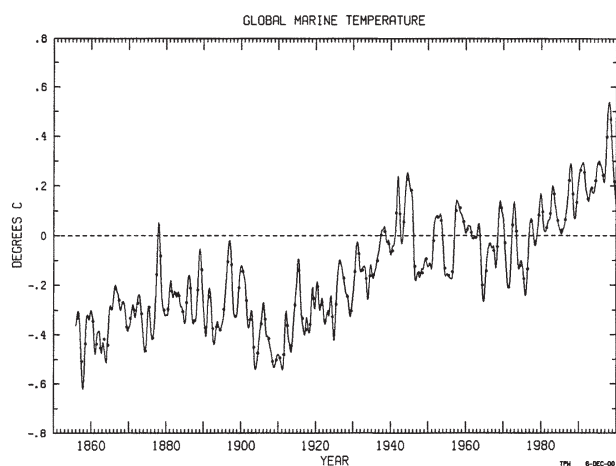


Fig. E.1. Global temperature anomaly of surface ocean water, in °C, from 1856 through 1999 [Jones, 1994, and personal communication]. Annual data are shown as dots. The solid line is a spline fit [Reinsch, 1967] to monthly data with a standard error,  $\sigma$ , of 0.058 °C.

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