Title
Health, Safety, and Environmental Screening and Ranking Framework for Geologic CO2 Storage Site Selection

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Abstract
This report describes a screening and ranking framework (SRF) developed to evaluate potential geologic carbon dioxide (CO₂) storage sites on the basis of health, safety, and environmental (HSE) risk arising from possible CO₂ leakage. The approach is based on the assumption that HSE risk due to CO₂ leakage is dependent on three basic characteristics of a geologic CO₂ storage site: (1) the potential for primary containment by the target formation; (2) the potential for secondary containment if the primary formation leaks; and (3) the potential for attenuation and dispersion of leaking CO₂ if the primary formation leaks and secondary containment fails. The framework is implemented in a spreadsheet in which users enter numerical scores representing expert opinions or general information available from published materials along with estimates of uncertainty to evaluate the three basic characteristics in order to screen and rank candidate sites. Application of the framework to the Rio Visa Gas Field, Ventura Oil Field, and Mammoth Mountain demonstrates the approach. Refinements and extensions are possible through the use of more detailed data or model results in place of property proxies. Revisions and extensions to improve the approach are anticipated in the near future as it is used and tested by colleagues and collaborators.

Introduction
In order to minimize the possibility that carbon dioxide (CO₂) storage projects will result in health, safety, and environmental (HSE) impacts due to CO₂ leakage and seepage, it is essential that sites be chosen to minimize HSE risk. This is particularly important for early pilot studies for which leakage and seepage for any reason could be perceived as a
failure of the general approach of geologic CO₂ storage. Apart from site-specific
operational choices once a given CO₂ pilot injection project is underway, the best way to
avoid unintended leakage and seepage is to choose a good site at the outset.

A spreadsheet-based Screening and Ranking Framework (SRF) for evaluating multiple
sites on the basis of their potential for HSE risk due to CO₂ leakage and seepage is
described in this report. The results of comparisons can be used to help select the best
CO₂ injection sites from a number of candidate sites through screening and ranking.
Although designed to be used in the early stages of site selection or for pilot CO₂
injection studies, the approach with extensions may find application in full geologic CO₂
storage site development. This report describes the philosophy behind the approach and
its basic elements, and presents three case studies to demonstrate the use and applicability
of the framework. Revisions and extensions are anticipated as feedback is received from
colleagues and collaborators.

Before describing the framework, it is useful to clarify some terminology. The term
leakage refers to migration of CO₂ away from the intended target formation. Seepage is
slow or diffuse CO₂ migration across an interface in the near-surface environment such as
the ground surface or the bottom of water body such as a lake. The near-surface
environment is defined loosely as +/- 10 m from the ground surface. The term flux is
used in its formal sense to refer to mass per unit area per unit time (e.g., kg m⁻² s⁻¹), in
contrast to flow which refers to mass per unit time (e.g., kg s⁻¹) with no area specified. A
plume of CO₂ is a large relatively concentrated volume of CO₂ either in the subsurface or
above ground. The word *impact* refers to consequences or effects of a given high CO₂ concentration on people and the biota for a given time. *Risk* is often defined as the product of probability of occurrence and consequence in order to reflect both the elements of likelihood and impact, and this same definition is used here. However, rather than treating likelihood in any kind of formal probabilistic sense, the SRF is qualitative with respect to risk and uses subsurface properties as general proxies for processes and features as described in the next section.

**Philosophy Behind the Approach**

Although leakage and seepage are unlikely in the case of pilot studies involving small amounts of CO₂ injection, there is always the possibility that injected CO₂ will migrate away from the intended target formation. The wide variety of recognized potential pathways for leakage and seepage to the near-surface environment is shown schematically in Figure 1. Note that all of the leakage pathways involve the potential for secondary entrapment at higher levels in the system, that is, leakage pathways may not result directly in seepage. Furthermore, all of the pathways involve the potential for attenuation or dispersion. In particular in the near-surface environment, for example where the CO₂ plume is shown mixing with air in a ground plume, the potential for CO₂ to disperse and mix with water, air, or other fluids and gases is always present.

The HSE effects of CO₂ that are of concern are caused by persistent high concentrations of CO₂ in the near-surface environment where humans, plants, and other living things reside. For example, high concentrations in soil gas can lead to root respiration
limitations and corresponding plant stress or death (e.g., Farrar, 1995; Qi et al., 1994). In potable groundwater aquifers, high concentrations can lead to leaching of heavy metals that could adversely affect water quality (Wang and Jaffe, 2005). In the above-ground environment or in basements and houses, high CO₂ concentrations can lead to health effects ranging from dizziness to death in humans and other animals (Hepple et al., 2002). To minimize HSE effects, it is necessary either to (1) prevent CO₂ leakage, (2) prevent CO₂ leakage from reaching the near-surface environment, or (3) attenuate the leakage flux or disperse the CO₂ if it should reach the near-surface environment so that CO₂ never builds up to persistent high concentrations at which it is an HSE risk.

It is with this understanding of the underlying origin of HSE impact that the SRF for evaluating the potential for HSE impact was formulated. Specifically, the approach stems from the realization that potential HSE impact is related to three fundamental characteristics of a geologic CO₂ storage site:

(1) Potential of the target formation for long-term containment of CO₂;
(2) Potential for secondary containment should the primary target site leak; and
(3) Potential of the site to attenuate and/or disperse leaking CO₂ should the primary formation leak and secondary containment fail.

The SRF spreadsheet was designed to provide a qualitative and independent assessment of each of the three characteristics through an evaluation of the properties of various attributes of these three characteristics. The SRF is designed so that it can be applied to
sites with limited data. This is considered appropriate for early site selection or for pilot study sites when multiple sites are under consideration and where detailed site-characterization data will be lacking. Many of the properties and values of attributes that the user will input into the SRF spreadsheet are actually proxies for uncertain and undetermined quantities that could eventually be measured or modeled with additional site characterization effort. However, because of the lack of data that will be the norm for most site-selection processes, especially in the early phases, uncertainty has been made a fundamental input and output of the SRF that is kept separate from the scores for the characteristics. Uncertainty in the SRF is defined broadly and includes parameter uncertainty (e.g., how well known a given property is) and variability (e.g., how variable a given property is). Uncertainty is handled by the SRF as a primary graphical output along with the qualitative risk score for each of the three characteristics. The overall uncertainty reflects the confidence that the user has in how well the characteristics are known. From this graph, sites can be compared taking into account both the expectation of HSE risk and some estimate of how well known that risk is. The comparison of sites in this context can be used for screening or ranking of sites based on the HSE risk criterion.

The SRF relies on input by a user who either already knows something about the site, has opinions about the site based on general information, or who has gained knowledge from published information about the site. As discussed above, the reason for the choice to use relatively qualitative and/or opinion-based information rather than hard data and/or modeling results is that detailed site-characterization information, especially for pilot
CO₂ injections, will rarely be available. The expected users of the SRF are geoscientists or hydrologists with some general knowledge of a site and/or access to limited published information about the site in reference books or maps. It is expected that one user or group of users will evaluate all of the sites in a given screening or ranking exercise, thereby ensuring a measure of consistency in each assessment. The system is sufficiently simple and transparent that anyone can review the assessments done by other users and even re-do the assessment if there is disagreement. Simplicity and transparency are key design features of the SRF spreadsheet.

The methods behind the SRF differ from other approaches such as the Features, Events, and Processes (FEP) approach (e.g., Wildenborg et al., 2005), and the probabilistic approach (e.g., Rish, 2003). In the FEP approach, a comprehensive list of FEPs is developed and codified in a database that is then used to define scenarios for leakage and seepage, or any other performance-affecting event. Modeling is then used to evaluate the consequences of that scenario in terms of CO₂ impact due to high concentrations and long residence times, for example. The FEPs have subjective probabilities associated with them, and from the product of consequence as simulated in the scenario and probability as assigned to the FEPs, risk can be calculated. The FEP scenario approach is laborious and requires significant site-specific information to be carried out effectively. In the probabilistic approach of Rish (2003), probabilities of events are input and the likelihood of various detrimental events is calculated. The probabilistic approach relies upon accurate probability distributions, something that will be difficult at best to estimate for multiple sites especially during the early phases of site selection.
In the SRF approach, there is no modeling and simulation nor are probabilities assigned. The reason for this approach is that detailed site-characterization information, especially for pilot CO₂ injections, is not expected to be sufficient to undertake a FEP-scenario analysis, nor to assign probabilities for a probabilistic analysis. Instead the SRF uses qualitative pieces of information, for example as gleaned from general reports or an expert’s knowledge of an area, as proxies for potential FEPs and consequences combined. By this approach, the analysis is greatly simplified and includes explicitly the level of confidence that the user assigns to the assessments as a primary output. In short, the SRF is designed to answer the question, “From a choice of several potential sites, and based on existing information, which site has the lowest HSE risk?” In the next section, the SRF approach and its input and output are described in detail.

**Screening and Ranking Framework**

The SRF approach is based on an independent evaluation of the three fundamental characteristics of a site that control the HSE risk of CO₂ leakage and seepage. Although developed based on past experience with CO₂ storage rather than with the formality of decision analysis, the approach falls loosely under the category of multi-attribute utility theory (e.g., Keeney, 1984; Keeney and Raiffa, 1976). The three scores that are evaluated for each site are proxies for combinations of impact and likelihood (i.e., risk) of leakage, secondary entrapment, and attenuation. The utility function in this case would be a measure of tendency for minimal HSE impact while injecting a maximum amount of CO₂. The SRF approach was not developed using any formal guidelines, and some
unconventional aspects are included for the case of subsurface environments about which very few hard facts will be known. The input required by the SRF is quite general and may rely primarily on expert opinion depending on the degree of characterization and/or published information available for the sites.

The assessment made in the framework is based on four classes of information: (1) site characteristics which are defined by (2) attributes, which are defined by (3) properties which are defined by (4) values input by the user. Table 1 shows the relationship between characteristics, attributes, and properties, and what these properties are proxies for. For example, Table 1 shows that the three attributes of the potential for the target formation to contain CO₂ for long periods are (1) the nature of the primary caprock seal, (2) the depth of the reservoir, and (3) the properties of the reservoir. The properties of the primary caprock seal attribute are thickness, lithology, demonstrated sealing capacity, and lateral continuity. The far right-hand column shows that these four properties are proxies for (1) likely effectiveness of the seal, (2) permeability and porosity of the seal, (3) the probability of leakage through the seal, and (4) the integrity of the seal against CO₂ spreading that could exceed the spillpoint. Properties and proxies for all of the attributes are shown in Table 1.
Table 1. Characteristics, attributes, properties, and proxies.

<table>
<thead>
<tr>
<th>Characteristics</th>
<th>Attributes</th>
<th>Properties</th>
<th>Proxy for..</th>
</tr>
</thead>
<tbody>
<tr>
<td>Potential for primary containment</td>
<td>Primary seal</td>
<td>Thickness</td>
<td>Likely sealing effectiveness</td>
</tr>
<tr>
<td></td>
<td></td>
<td>Lithology</td>
<td>Permeability, porosity</td>
</tr>
<tr>
<td></td>
<td></td>
<td>Demonstrated sealing</td>
<td>Leakage potential</td>
</tr>
<tr>
<td></td>
<td></td>
<td>Lateral continuity</td>
<td>Integrity and spillpoint</td>
</tr>
<tr>
<td>Depth</td>
<td>Distance below surface</td>
<td>Density of CO₂ in reservoir</td>
<td></td>
</tr>
<tr>
<td>Reservoir</td>
<td>Lithology</td>
<td>Likely storage effectiveness</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Permeability and porosity</td>
<td>Areal extent of injected plume</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Thickness</td>
<td>Migration potential</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Fracture or primary porosity</td>
<td>Injectivity, displacement</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Pore fluid</td>
<td>Capacity, tendency to fracture</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Pressure</td>
<td>Induced fracturing, seismicity</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Tectonics</td>
<td>Transport by groundwater</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Hydrology</td>
<td>Likelihood of well pathways</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Deep wells</td>
<td>Likelihood of fault pathways</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Fault permeability</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Potential for secondary containment</td>
<td>Secondary seal</td>
<td>Likely sealing effectiveness</td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td>Permeability, porosity</td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td>Leakage potential</td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td>Integrity and spillpoint</td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td>Density of CO₂</td>
<td></td>
</tr>
<tr>
<td>Shallow seals</td>
<td>Thickness</td>
<td>Likely sealing effectiveness</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Lithology</td>
<td>Permeability, porosity</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Lateral continuity</td>
<td>Integrity and spillpoint</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Evidence of seepage</td>
<td>Effectiveness of all seals</td>
<td></td>
</tr>
<tr>
<td>Attenuation Potential</td>
<td>Surface characteristics</td>
<td>CO₂ plume spreading</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Topography</td>
<td>Plume dispersion</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Wind</td>
<td>Plume dispersion</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Climate</td>
<td>Tendency for exposure</td>
<td></td>
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<tr>
<td></td>
<td>Land use</td>
<td>Tendency for exposure</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Population</td>
<td>Form of seepage</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Surface water</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Groundwater hydrology</td>
<td>Regional flow</td>
<td>Dispersion/dissolution</td>
<td></td>
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<tr>
<td></td>
<td>Pressure</td>
<td>Solubility</td>
<td></td>
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<tr>
<td></td>
<td>Geochemistry</td>
<td>Solubility</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Salinity</td>
<td>Solubility</td>
<td></td>
</tr>
<tr>
<td>Existing wells</td>
<td>Deep wells</td>
<td>Direct pathway from depth</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Shallow wells</td>
<td>Direct pathway</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Abandoned wells</td>
<td>Direct pathway, poorly known</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Disposal wells</td>
<td>New fluids, disturbance</td>
<td></td>
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<tr>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Faults</td>
<td>Tectonic faults</td>
<td>Large permeable fault zones</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Normal faults</td>
<td>Seal short-circuiting</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Strike-slip faults</td>
<td>Permeable fault zones</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Fault permeability</td>
<td>Travel time</td>
<td></td>
</tr>
</tbody>
</table>
The first thing the user must do in evaluating the attributes of one of the three characteristics is decide the importance of a given property through the specification of weighting factors for each of the \( j \) properties of each attribute. The weighting factors \( (w_j) \) are normalized by the spreadsheet as

\[
\sum_j w_j = 1
\]  

(1)

so any arbitrary scale can be used. The weighting option allows the user great latitude in applying his/her judgment to the evaluation. For example, if the user feels strongly that caprock seal thickness is the overriding property controlling leakage and seepage, then a large number can be assigned for the weight of that property and the caprock thickness value will dominate the assessment of the attribute Primary Seal. An example of the Primary Containment worksheet is shown in Figure 2, in which light blue cells are those expecting user input. As shown, the weight of the seal thickness property is assigned a value of 10 out of a total of 21 making approximately one-half of the weight of the primary seal attribute and its uncertainty rest on the seal thickness value. For comparing sites in the process of screening or ranking, the use of different weighting factors for the properties of different sites should be carefully considered. In the test cases presented below, constant weighting factors are used for consistency.

The second thing the user of the SRF spreadsheet does is assign a numerical value \( a_j \) to the properties based on suggestions in pop-up comments in the spreadsheet. Examples of property values can be seen in Figures 2-4 which show the worksheets for Primary Containment, Secondary Containment, and Attenuation Potential. The numerical values are chosen as integers ranging from \(-2\) (poor) to \(+2\) (excellent) with 0 considered neutral.
(neither good nor bad). Broad ranges of values are offered for various conditions in the pop-up comments to guide the user in selecting an integer between –2 and +2. Real numbers can also be used in cases when the user feels it is warranted.

The third thing the user must do is enter a value for the confidence with which each property is known (2 is very certain, 0.1 is highly uncertain). This confidence information will be carried along and plotted with attribute assessments for each of the three characteristics. The worksheets depicted in Figures 2-4 show that there are three attributes \((i = 3)\) for the Primary Containment characteristic, two attributes \((i = 2)\) for the Secondary Containment characteristic, and four attributes \((i = 4)\) for the Attenuation Potential characteristic. These reflect the current version of the SRF and are subject to change in future revisions.

From this user input, a variety of averaged quantities is generated by the spreadsheet. The fundamental calculation the spreadsheet does is to add up the weighted property assessments and average them across the attributes to arrive at a score for each of the three fundamental characteristics. This is done for each of the \(j\) properties shown in Table 1, and then averaged over the \(i\) attributes \((i = 3\) for Primary Containment and \(i = 2\) for Secondary Containment, and \(i = 4\) for Attenuation Potential (see Table 1)). The score \((S)\) for site \(n\) is a function of the \(j\) properties and values \((a)\)

\[
S_n = \frac{1}{i} \sum_{i} \left[ \sum_{j} w_j a_j \right]
\]  

\(2\)
For site $n$, The overall confidence ($C$) for the $j$ properties and values is averaged over the $i$ attributes as follows:

$$ C_n = \frac{1}{i} \sum_j \left[ \frac{1}{j} \sum_i C_{ji} \right] $$

(3)

The results are summarized and displayed graphically in the plot on the Summary worksheet, an example of which is shown in Figure 5 for the Rio Vista gas field site (see also the example below).

There are additional display elements of the Summary worksheet worthy of note. To the right of the plot (Figure 5) is shown a table with numerical values of the averages of the three characteristics and uncertainties as shown by the large circle symbol in the plot. The third number in the table to the right of the plot is the distance from the lower-left-hand corner of the plot (lowest assessment, least certainty) to the average point. This distance is a measure of the overall quality of a site taking into account both the average scores and average uncertainty. The three numbers below the table are additional weighting factors that users can assign for the purpose of weighting the importance of the three characteristics, heretofore assumed to be of equal importance, and which are assigned default values of one. Additional scores of the three characteristics are displayed along the bottom of the plot and defined in comments. These scores are automatically colored based on the scores (red implies poor, green implies good). The overall score ranges from $-4$ to $+4$ and is a product of the assessments and uncertainties. The low end $-4$ would be a site that the user is very certain is very poor, while a $+4$
would be a site that the user is very certain is very good. Because the overall score collapses expected behavior and certainty together into one number, it is not emphasized nor plotted but rather included simply as additional information. The summary worksheet graphic displays tentative screening curves delineating Good, Fair, and Poor regions on the summary graphic. These screening curves are entirely provisional and arbitrary and may be modified in future versions.

It is important to emphasize that the relative assessments of different sites are not necessarily linearly related to their relative physical behaviors. For example, a site that scores a 1.0 for the primary containment characteristic does not necessarily leak 50% more than a site that scores 1.5 for primary containment. In fact, such sites could be orders of magnitude different in their ability to contain CO$_2$. The assessment scores simply represent relative rankings of the sites without indicating absolute performance.

**Examples**

**Rio Vista Gas Field**
The Rio Vista Gas Field is located in the delta region of the Sacramento-San Joaquin Rivers in the Sacramento Basin of California, approximately 75 km northeast of San Francisco. The Rio Vista Gas Field is the largest on-shore gas field in California, and has been producing gas since 1936 from reservoirs in an elongated dome-shaped structure extending over a 12 km by 15 km area. The largest production has been from the Domengine sands in fault traps at a depth of approximately 4500 ft (1400 m) with sealing by the Nortonville shale. Details of the field can be found in Burroughs (1967) and Johnson (1990).
We have used published materials and our knowledge of the geology of the area to fill in values in the SRF spreadsheet and arrive at overall attribute assessments and certainties for the Rio Vista Gas Field under the assumption that it would be used as a geologic CO$_2$ storage site. As shown in the Summary worksheet of Figure 5, the high attribute score displayed by the SRF spreadsheet reflects the very effective primary containment expected at Rio Vista. Secondary containment is not expected as sealing formations above the Nortonville shale are largely absent. However, the attenuation potential is excellent at Rio Vista due largely to steady winds and flat topography. As shown in Figure 5, confidence in the attribute assessments is quite high for subsurface and surface characteristics at Rio Vista due to the long history of gas production at the site. The high score and certainty at this site suggest that Rio Vista Gas Field is a good candidate for geologic CO$_2$ storage.

**Ventura Oil Field**

The Ventura Oil Field taps reservoirs in young folds and fault traps of marine sediments in the tectonically active coastal area northwest of Ventura, California. The primary structure is the Ventura Anticline, a dramatic fold that is visible in outcrop in the deeply incised canyons of the area. Natural oil seeps and tar are widely found in the area. Using geological information from published references (Sylvester and Brown, 1988; Harden, 1997) and our own knowledge of the site, we assigned values appropriate for the Ventura Oil Field to assess attributes and uncertainty for HSE risk if the site were to be used for geological CO$_2$ storage.
As shown in Figure 6, the Ventura Oil Field comes out worse on average than the Rio Vista Gas Field (Figure 5). The very significant oil accumulations at Ventura indicate that good traps exist, but the evidence of widespread oil and tar seepage along with the lack of significant natural gas accumulation suggest that pathways to the surface also exist. As for secondary containment, some of the oil reservoirs in the area are quite shallow, suggesting that secondary containment may occur but there is a high degree of uncertainty, especially in light of the abundant seepage. As for attenuation potential, the Ventura area is highly dissected with steep canyons that do not promote dispersion of seeping CO₂. There is also considerable population and agriculture to the southeast which could be exposed to seeping CO₂. Therefore, attenuation potential is also judged worse at Ventura than at Rio Vista.

**Mammoth Mountain**

Finally, we have run an example of a naturally leaking site to see how it compares using the SRF. Mammoth Mountain, California, is a 200,000 year-old dormant volcano with active springs and geothermal anomalies. Carbon dioxide seeps out of the ground and has built up high enough concentrations in some areas in soil to kill native trees. For this purely academic analysis of the potential HSE effects of deliberate CO₂ injection, we assume the area under consideration is comparable to Rio Vista and Ventura in terms of size by considering the entire Mammoth Mountain area, not simply the Horseshoe Lake tree-kill area where natural CO₂ seeps from the ground.

Using published information from Farrar et al. (1995) and Sorey et al. (1999), we filled in values and properties of the SRF spreadsheet. Many of the properties are given the
lowest values because they simply do not apply at Mammoth Mountain. For example, as evidenced by the extensive seepage, we have concluded that there is no effective seal present, and therefore scored those properties with the lowest values. Other properties are not very well known and we scored them accordingly. As shown in Figure 7, the Mammoth Mountain site scored badly as expected in primary and secondary containment. The site does better on attenuation potential because it is fairly windy there and the population is relatively sparse. Nevertheless, the SRF spreadsheet demonstrates what we knew a priori, namely, that Mammoth Mountain has natural CO\textsubscript{2} HSE risk and would not be a good place to store CO\textsubscript{2} in the subsurface.

**Discussion**

The preceding demonstration of the SRF cannot formally be called a validation since no one has injected CO\textsubscript{2} into any of these sites and evaluated the three characteristics directly. Nevertheless, the results are consistent with our general knowledge and expectation of these three sites. The benefit of the SRF is that this knowledge and expectation is now formally expressed in a way that others can review, criticize, revise, or affirm. There is a large degree of arbitrariness allowed in the system by allowing the user to weight the importance of various properties. In the above examples, the weighting factors were the same for all three analyses. In the case that weighting functions are changed for various sites under comparison, it will be more difficult to defend direct comparisons. Nevertheless, the transparency of the system and simplicity will allow a critic or reviewer to alter the weighting functions and do the analysis again to compare the effect. Group efforts with multiple people evaluating the same sites may
prove especially useful because this would tend to capture a large range of opinions while simultaneously bringing uniformity to comparisons. As with any tool, misuse is of course possible and the SRF assumes an underlying integrity of the users. Because of the transparency and simplicity of the system, there is little possibility to hide abuses.

Several extensions of the system are possible. First, as more data become available, distributions rather than single values could be input by the user where such distributions are known. This would add a component of variability to the outcome, and potentially better represent the range of performance of a site rather than a worst-case, best-case, or average performance.

As shown in Table 1, the values and properties entered by the user combine to represent proxies for site characterization data that may not be known precisely. For example, for the Primary Containment attribute Primary Seal, lithology is a proxy for permeability and porosity. The idea here is that permeability and porosity may not have been measured but that the known lithology of the seal provides a fair representation of these properties. This proxy representation also occurs at the scale of the attribute. For example, the primary seal attribute is evaluated by assigning values and properties (e.g., thickness, lithology, etc.) to describe it. The combination of these values and properties is a proxy for the expected effectiveness of the seal. This proxy could be replaced by data or model results that represent seal effectiveness in more detail, e.g., by quantitative prediction of CO$_2$ flux. In this way, the SRF can be extended if more site characterization data are available to include more quantitative measures of performance. On the value and
property scale, quantitative data or distributions could be input and evaluated if these data were available. On the attribute scale, model simulations or experimental data could be input and evaluated for sites undergoing more detailed levels of site characterization.

**Conclusions**

A framework for screening and ranking candidate sites for geologic CO₂ storage on the basis of HSE risk has been developed based on three fundamental characteristics of a CO₂ sequestration site. The framework allows users to arbitrarily weight and assign uncertainty to the properties of the attributes of the fundamental characteristics to evaluate and rank two or more sites relative to each other. We emphasize that this is a screening and ranking risk assessment tool intended to guide the selection of the most promising sites for which more detailed risk assessment would be carried out. Example applications of the framework show that comparative evaluations of prospective sites with limited characterization data can be accomplished based on potential for CO₂ leakage and seepage and related HSE risk. Testing and further development of the SRF are underway.

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Figure 1. Schematic of various leakage and seepage pathways and processes for CO$_2$ from a geologic storage site.
Figure 2. Example worksheet from the SRF spreadsheet for the characteristic Primary Containment.
### Figure 3. Example worksheet from the SRF spreadsheet for the characteristic Secondary Containment.

<table>
<thead>
<tr>
<th>Attribute</th>
<th>Weight</th>
<th>Normalized Weight</th>
<th>Property/Value</th>
<th>Assessment of Attribute</th>
<th>Weighted Assessment of Attribute</th>
<th>Certainty Factor</th>
</tr>
</thead>
<tbody>
<tr>
<td>Secondary Roil</td>
<td>0.30</td>
<td>1.00</td>
<td>20 m shield flat shape</td>
<td>0.01</td>
<td>0.01</td>
<td>2</td>
</tr>
<tr>
<td>Lithology</td>
<td>0.19</td>
<td>0.68</td>
<td>2</td>
<td>0.30</td>
<td>0.30</td>
<td>1</td>
</tr>
<tr>
<td>Damaged sealing</td>
<td>0.56</td>
<td>2.30</td>
<td>1</td>
<td>0.64</td>
<td>1.35</td>
<td>2</td>
</tr>
<tr>
<td>Lateral continuity</td>
<td>0.15</td>
<td>0.60</td>
<td>Laterally continuous</td>
<td>1</td>
<td>0.15</td>
<td>0</td>
</tr>
<tr>
<td>Depth</td>
<td>0.10</td>
<td>0.40</td>
<td>0</td>
<td>0.40</td>
<td>0.40</td>
<td>1</td>
</tr>
<tr>
<td>Shallowing rocks</td>
<td>0.33</td>
<td>1.00</td>
<td>-1</td>
<td>-0.33</td>
<td>-0.33</td>
<td>1</td>
</tr>
<tr>
<td>Lithology</td>
<td>0.13</td>
<td>0.52</td>
<td>0</td>
<td>0.03</td>
<td>0.03</td>
<td>1</td>
</tr>
<tr>
<td>Lateral continuity</td>
<td>0.17</td>
<td>0.69</td>
<td>1</td>
<td>0.17</td>
<td>0.17</td>
<td>1</td>
</tr>
<tr>
<td>Evidence of reopening</td>
<td>0.30</td>
<td>1.00</td>
<td>0</td>
<td>0.03</td>
<td>0.03</td>
<td>1</td>
</tr>
<tr>
<td>Average</td>
<td>0.30</td>
<td>1.00</td>
<td>0.00</td>
<td>-0.17</td>
<td>-0.17</td>
<td>1.25</td>
</tr>
</tbody>
</table>
Figure 4. Example worksheet from the SRF spreadsheet for the characteristic
Attenuation Potential.
Figure 5. Summary graphic showing the attribute assessment (y-axis) and uncertainty (x-axis) of the three fundamental characteristics along with qualitative regions of poor, fair, and good HSE risk for the Rio Vista Gas Field.
Figure 6. Summary worksheet showing the attribute assessment (y-axis) and uncertainty (x-axis) of the three fundamental characteristics for the Ventura Oil Field.
Figure 7. Summary graphic showing the attribute assessment (y-axis) and uncertainty (x-axis) of the three fundamental characteristics for the natural analog site Mammoth Mountain, California.