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A new otter of giant size, *Siamogale melilutra* sp. nov. (Lutrinae: Mustelidae: Carnivora), from the latest Miocene Shuitangba site in north-eastern Yunnan, south-western China, and a total-evidence phylogeny of lutrines

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Otters (subfamily Lutrinae) are semi-aquatic predators in the family Mustelidae. Modern otters have a worldwide distribution but their fossil record is poor, often consisting of fragmentary jaws and teeth. Multiple lineages have developed bunodont dentitions with enlargements of molars, usually for cracking molluscs or other hard foods. Some lineages have evolved badger-like teeth and, as a result, were often confused with melines (Old World badger clade). *Siamogale thailandica* Ginsburg, Invagt, & Tassy, 1983 from the middle Miocene basin of Mae Moh in northern Thailand is one such species, whose fragmentary dental remains have thus far impeded our understanding. A new species of fossil otter, *Siamogale melilutra* sp. nov., represented by a nearly complete cranium, mandible and partial skeletons of at least three individuals, was recovered from the latest Miocene (~6.2 Ma) lignite beds of the Shuitangba Site in north-eastern Yunnan Province, south-western China. Computed tomography (CT) restoration of the crushed skull reveals a combination of otter-like and badger-like cranial and dental characteristics. The new species belongs to the Lutrinae because of its possession of a large infraorbital canal and ventral expansion of the mastoid process, among other traits. A distally expanded M1, however, gives a badger-like appearance. In overall morphology the Shuitangba otter is closest to *Siamogale thailandica*. A previously described jaw (‘Lutra aonychoideus’) from the early Pliocene of the Yushe Basin in north China is also here referred to *S. melilutra*. No previous attempt has been made to provide a global phylogenetic framework for otters. We present the first combined morphological and molecular (nuclear and mitochondrial DNAs) character matrices of five extant (*Pteronura, Lontra, Enhydra, Aonyx, Lutra*) and eight extinct genera (*Tyrrenolutra, Paralutra, Paludolutra, Enhydritherium, Siamogale, Vishnuonyx, Sivaonyx, Enhydriodon*) to better understand the evolution of bunodont otters. Parsimony and Bayesian analyses consistently recover an eastern Asian clade that includes forms from Shuitangba, Yushe and Mae Moh, all of which are referred to *Siamogale*.

http://zoobank.org/urn:lsid:zoobank.org:pub:5C637018-0772-4C78-AA4B-783B71085D9D

**Keywords:** Miocene; fossil otter; lutrine; phylogeny; China; Southeast Asia

**Introduction**

Well-preserved otter material of giant size, including cranial, mandibles and postcranials, was recently unearthed from the latest Miocene lignite beds of Shuitangba in Yunnan Province (Ji et al. 2013; Jablonski et al. 2014) and represents a new species of fossil otter (Fig. 1). The new discovery permits recognition of a rare clade of otters and affords an opportunity to explore relationships among several enigmatic fossil mustelids that have been referred either to badgers or to otters. The modern otter subfamily (Lutrinae) and the Old World badger subfamily (Melinae) share a number of cranial and dental similarities, including enlarged upper and lower molars, which have been
used as evidence of relationships (e.g. Bryant et al. 1993). Such similarities are also found among their fossil representatives, and as increasingly older (and often poorer) specimens are referred to the two subfamilies, a number of mustelid specimens that possess unique combinations of characters have proved challenging to reconcile with existing notions of these subfamilies. These specimens tend to share a peculiar mixture of an enlarged M1 talon, often seen among Old World badgers, and a crested P4 protocone and broadened m1 talonid, characteristic of most living otters. Examples of these puzzling carnivorans include the Miocene genera Mionictis from North America, Lartetictis from Europe and Siamogale from Asia. All of them were initially known only from fragmentary jaws and teeth, and their subfamily identity remains obscure. Such a mixture of badger and otter dental features understandably led to the postulation that lutrines originated from melines, beginning in a transitional form of Mionictis (Willemsen 1992).

This paper presents a detailed study of the new fossil otter species from Shuitangba. We describe a new clade of otters and explore relationships of a number of genera of previously uncertain relationships. Unexpectedly, a previously described mandible from the early Pliocene of Yushu Basin in the Chinese Loess Plateau can also be referred to the same species as the otter from Yunnan. Such a linkage has interesting implications for zoogeography and interpreting the habitats to which the newly described otter species was adapted.

Institutional abbreviations

AMNH(M): Collection of the Department of Mammalogy, American Museum of Natural History, New York, USA; F:AM: Frick Collection, American Museum of Natural History, New York, USA; FM: Fossil Mammals, American Museum of Natural History, New York, USA; FMNH: Field Museum of Natural History, Chicago, Illinois, USA; IVPP: Institute of Vertebrate Paleontology and Paleoanthropology, Beijing, China; LACM: Natural History Museum of Los Angeles County, Los Angeles, USA; PMU: Palaeontology collections, Museum of Evolution, Uppsala University, Uppsala, Sweden; THP: Tianjin Hoangho-Paiho Museum, Tianjin, China; ZT: Zhaotong collection, Yunnan Institute of Cultural Relics and Archaeology, Kunming, Yunnan Province, China.

Material and methods

The following taxa of extant mustelids have been examined in this study: Martes americana (AMNH(M) 165630, Canada; LACM 92400, Alaska); Galictis cuja (AMNH(M) 32281, Chile); Aonyx sp. (LACM 43468, unknown locality); Aonyx capensis (AMNH(M) 55230, Kenya); Enhydra lutris (LACM 28155, Alaska; LACM 54425, California; AMNH(M) 24186, Alaska), Lutra lutra (FMNH 84806, India), Lontra canadensis (LACM 30138, Idaho; AMNH(M) 254475, Florida), Lontra felina (FMNH 24225, Chile), and Pteronura brasiliensis (FMNH 98077, Peru; AMNH(M) 71858, Peru).

Digital data of the holotype cranium (ZT-10-03-064b) and a right mandibular corpus and ramus (IVPP V 23271) were acquired separately by X-ray micro computed tomography (μCT) using equipment developed by the Institute of High Energy Physics, Chinese Academy of Sciences (CAS), housed in the Key Laboratory of Vertebrate Evolution and Human Origins (Beijing), operating at a flux of 450 kV and 1.5 mA. Raw 16-bit images were obtained at a resolution of 160 μm per voxel using a 360° rotation with a step size of 0.25° and an unfiltered aluminium reflection target. These raw images in a 2048 × 2048 matrix of 2048 slices were converted to TIFF images using Adobe Photoshop and imported into Fiji (V. 2.0.0) (Schindelin et al. 2012) where they were cropped to the size of the specimens, contrast adjusted and converted to 8-bit TIFF files. The resulting TIFF images were imported into Avizo 9.0.1 Lite (FEI Visualization Sciences Group) for analysis. In Avizo the digitized cranium was segmented into 201 individual fragments, and each was made into a virtual volume. These virtual fragments were then individually transformed (oriented in three-dimensional space) to align them with respect to their neighbours to form a reconstruction of the cranium. The hemi-mandible was represented by a single volume and combined with the cranium model without a change of scale.
We used Mesquite (Maddison & Maddison 2015) to generate the morphological matrix of characters (37 craniodental characters) for 11 fossil otter taxa (at the generic or species level) and eight extant species: six extant otter species (Pteronura brasiliensis, Lontra canadensis, Lontra felina, Enhydra lutris, Lutra lutra, Aonyx capensis) plus Martes americana and Galictis cuja. Our coding of fossil bunodont otters depends both on our own observations of the specimens from the Siwaliks and on published sources: Pilgrim (1932), Grohé (2011) for Vishnuonyx; Peigné et al. (2008), Grohé et al. (2013) for Sivaonyx (S. tandakasensis, S. bathynathus, S. beyi); Falconer (1868), Matthew (1929), Geraads et al. (2011) for Enhydridon (E. sivalensis, E. falconeri, E. dikkikae). Other fossil taxa included in our cladistic analysis are coded mainly based on illustrations and descriptions from the literature: Roman & Viret (1934), Helbing (1936) for Paralutra jaegeri; Willemsen (1983), Villier et al. (2011) for Paralutra garganensis; Ginsburg et al. (1983), Villalta & Crusafont-Pair (1945), Hürzeler (1987) for Tyrrhenolutra helbingi; Morales & Pickford (2005) for Paludolutra (P. ilucaui, P. marammena, P. campanii); Berta & Morgan (1985), Lambert (1997) for Enhydritherium terranovac; Zdansky (1924) for ‘Lutra’ aonychoideus). Finally, coding for extant lutrines and Siamogale is mostly based on our own examination of specimens and from Grohé et al. (2010) and Grohé (2011). We did not include the African bunodont genus Djourabbus (Peigné et al. 2008), which is too poorly known to code.

Our choice of outgroups is based on molecular phylogenies of extant mustelids (such as Koepfli et al. 2008a; Sato et al. 2012), which suggest that Martes is likely to possess the most basal morphological characteristics from which the mustelines and ictyonichyes have evolved. Furthermore, the ictyonichyes are either sister to or close to the base of the lutrines and thus we used Galictis as a second outgroup. In this context, we did not use Potamotherium as an outgroup, as it has been postulated to be an ancestral lutrine by several early studies (Thentiis 1949b; Savage 1957; Fahlbusch 1967; Sokolov 1973; Willemsen 1992) but not by others (Muizon 1982). Whether Potamotherium is a musteloid or related to the origin of the pinnipeds (Rybczynski et al. 2009), its phylogenetic position is considered too doubtful to include in an otter phylogeny.

We downloaded from GenBank the nuclear and mitochondrial gene sequences (21 nuclear partial sequences and one mitochondrial complete sequence) used by Koepfli et al. (2008a) in their molecular phylogenetic study of mustelids (list of accession numbers on GenBank available in Koepfli et al. 2008a, additional file 6). Only one gene sequence is missing in our data set for Galictis cuja (BRCA1 fragment 2 of Koepfli et al. 2008a). We aligned each of the nucleotide sequence on SeaView version 3.2 (Galtier et al. 1996) running the MUSCLE program, and we exported the aligned sequences as separate Nexus files. Question marks were used for the missing sequence, insertions and deletions. We concatenated both morphological and molecular data on Mesquite. The supermatrix includes a total of 11,911 characters with 37 craniodental characters and 11,874 base pairs (Supplemental Appendices 1 and 2).

We first ran a parsimony analysis on PAUP* version 4.0a147 (Swofford 2002) using a heuristic search with random addition sequence, tree bisection-reconnection (TBR) branch swapping, and 1,000 replicates of the random addition sequence. We also ordered one character (27: P4 parastyle). We then created 23 partitions for our data set for Bayesian analysis. The number of partitions was determined after searching for the best-fit models of nucleotide substitution for each gene sequence using the Akaike information criterion (AIC) in MrModeltest version 2.3 (Nylander 2008). We created one partition for morphological data following an Mk model of discrete character evolution (Lewis 2001) and 22 partitions for molecular data following the models presented in Supplemental Appendix 3. We conducted three Bayesian inference analyses on MrBayes version 3.2.6 (Ronquist et al. 2012) using this set of partitions, ordering character 27, and running four Markov chains for 10,000,000 generations, sampling trees every 1,000 generations (Supplemental Appendix 4). We assessed stationarity of the chains on Tracer version 1.6 (Rambaut et al. 2013); trees generated before stationarity were discarded as ‘burn-in’ (15% of the trees in our analyses). The three Bayesian analyses gave the same tree topology. After each set of analyses (parsimony and Bayesian), we estimated the robustness of the tree nodes by bootstrap values for the parsimony analysis on PAUP*, and posterior probabilities for Bayesian analysis on MrBayes.

**Systematic palaeontology**

Order **Carnivora** Bowdich, 1821
Infraorder **Arctoidea** Flower, 1869
Parvorder **Mustelida** Tedford, 1976
Family **Mustelidae** Fischer de Waldheim, 1817
Subfamily **Lutrinae** Bonaparte, 1838

**Siamogale** Ginsburg, Ingavat & Tassy, 1983

Type species. **Siamogale thailandica** Ginsburg, Ingavat & Tassy, 1983

Included species. **Siamogale thailandica** Ginsburg, Ingavat & Tassy, 1983 and **Siamogale melilutra** sp. nov.

Emended diagnosis. **Siamogale** has typical lutrine cranial and dental morphologies: a large infraorbital canal, presence of antorbital fossa, uniflated bulla, robust and protruding mastoid process, mastoid process separated by a broad shelf from the paroccipital process, postglenoid...
foramen positioned anteriorly to the auditory meatus, inion positioned anteriorly relative to the lambdoid crest, stylomastoid foramen separated by a bony ridge from the tympanohyal-bulla connection, masseter muscle attachment area ventrally expanded to beyond the ventral rim of the masseteric fossa, parallel zygomatic arches, shortened angular process, premolars with surrounding cingulum, shortening of P4 metastylar blade, presence of a notch between talonid and trigonid of m1, and widening of m1 talonid. *Siamogale* differs from *Paralutra jaegeri* by the presence of a distal ridge of m1 metaconid connected to the entoconid crest, M1 cusps distal to metacone, and absence of P4 metastylar notch. *Siamogale* differs from *Paludolutra*, *Tyrrhenolutra* and *Enhydriatherium* in having a crestiform protocone, lack of hypocone and presence of parastyle on P4, a distolingually expanded M1 talon, and metaconule placed distally to the metacone.

**Remarks.** Among known fossil taxa, the Shuitangba specimen is closest to *Siamogale thailandica*. They both display a metaconid distal ridge on m1, a cusp-like P4 protocone, and an M1 with a cuspid present just distal to the metacone. Thenius (1949a) was the first to recognize an affinity of the French Miocene ‘*Lutra* dubia’ with the North American *Mionictis* (Matthew 1924) from the early Barstovian lower Snake Creek beds, a notion followed by Ginsburg (1961, p. 123) in his description of Sansan carnivorans. Later, in his study of Miocene ‘piscivores’ from France, Ginsburg (1968) described a second species of European *Mionictis*, ‘*M.* artenensis’, and erected a new tribe, Mionictini, under the subfamily Melinae. Additional fragmentary materials were referred to *Mionictis* from the early and middle Miocene of France (Ginsburg & Bulot 1982) and the middle Miocene of Saudi Arabia (Thomas et al. 1982), and another species, ‘*M.* ginsburgi’, was recovered from the late Miocene of Spain (Alcalá et al. 1994). The latter is almost certainly a true meline and was later given separate generic status as *Adovericus* Ginsburg & Morales, 1996. Moreover, the European ‘*M.* dubia’ was also given a separate generic status, *Lartetictis*, under the subfamily Melinae (Ginsburg & Morales 1996, 2000), even though it has also been described as a member of the subfamilies ‘Mustelinae’ (Heizmann & Morlo1998) and Lutrinae (Ginsburg 1999). Finally, ‘*M.* artenensis’ was attributed to the genus *Trochictis* and placed within the Mellivorinae (Ginsburg & Morales 1992) and Melinae (Ginsburg & Morales 1996, 2000). The European mionictines thus increasingly assumed badger identity with successive iterations of studies by Ginsburg and Morales. Grohé et al. (2010) made detailed and extensive comparisons between *Siamogale* and European and North American mionictines. Most recently, Salesa et al. (2013) described a new genus and species, *Teruelictis riparius*, from the late Miocene of Spain and, together with *Lartetictis dubia*, considered it a non-aquatic ancestor of lutrines. However, the dental morphology of *Teruelictis* is quite primitive (presence of a P1 and p1, absence of cingula in premolars, P4 with a simple protocone) and the phylogeny of Lutrinae from Salesa et al. is supported by only a single derived character (a well-developed M1 talon cingulum) plus two postcranial characters. Membership of *Teruelictis* within the otter clade seems doubtful.

In North America, where the genus was originally named, knowledge of *Mionictis* was largely confined to jaw fragments and lower teeth in the half-century subsequent to its being named (Matthew 1924; Cook & MacDonald 1962), until Harrison (1981) referred to it an M1 from the late Clarendonian WaKeeney Local Fauna of Kansas (originally published by Wilson 1968) and a partial skull and mandible from the Clarendonian MacAdams Quarry of Texas (see Baskin 1998 for additional referred specimens in North America). Unfortunately, more than 90 years after the initial description of the type species, *Mionictis incertus*, there is still no upper dentition from the Snake Creek. We agree with Harrison, however, that the MacAdams Quarry materials (F:AM 63296 and 63298) (see Tseng et al. 2009, fig. 11 for an illustration of its P4–M1) are referable to *Mionictis*, so the Texas specimens thus add crucial information about the upper teeth of this elusive genus. In light of the upper dentition from Texas, the case for a badger relationship is strengthened by the presence in *Mionictis* of a postprotocrista on M1, an accessory cusple on the distolingual aspect of the M1 metacone, and a P4 protocone that is unexpanded distally. Nevertheless, the MacAdams Quarry *Mionictis* shares derived characters with *Siamogale*, such as an enlarged infraorbital canal, a distal crest on the M1 metaconid, and an expanded M1 distolingual border, although the last character is also frequently developed in melines such as *Arctomeles* (Stach 1951; Wallace & Wang 2004), *Melodon* (Zdansky 1924; Tedford & Harington 2003) and *Arctonyx* (Colbert & Hooijer 1953). Consequently, it seems clear that, whether *Mionictis* is a lutrine or a meline, some of the above characters must have evolved independently.

Recent molecular phylogenies of mustelids consistently place the melines and lutrines on the opposite ends of the mustelid clade (e.g. Koepfli & Wayne 1998; J. J. Flynn et al. 2005; Koepfli et al. 2008a, b; Sato et al. 2012), which strongly suggests that morphological characters formerly considered synapomorphies between badgers and otters (Bryant et al. 1993) are likely the result of convergences. Sorting out these convergences, however, is fraught with hazards, especially for taxa that have no modern descendants. Within the framework of molecular phylogenies, such as that by Sato et al. (2012), the basal position of melines within living mustelids suggests that the presence of a postprotocrista on M1 in the badgers is a primitive condition, as seen in all non-mustelid carnivorans, and its absence is a derived condition starting somewhere near *Martes* and persisting through lutrines – that
is, loss of the postprotocrista is not homoplastic. As for the accessory cusp behind the M1 metacone, it is largely confined to the meline clade (Wallace & Wang 2004), although this cuspule is also seen in Melogale (Teilhard de Chardin & Leroy 1945; Storz & Wozencraft 1999). With the molecular constraint, therefore, upper molars in mionictines (Mionictis, Lartetictis, Adroverictis, Trochictis) present a meline plan, as Ginsburg & Morales (1996) had envisioned. Our exclusion of mionictines from lutrines, however, implies that the post-metaconid ridge on the m1s of Siamogale was acquired independently from that in mionictines.

Most recently, Smith et al. (2014) described a new genus and species, Negodiaetictis rugatrulleum, from the middle Miocene (Barstovian) Monarch Mill Formation of west-central Nevada. The Nevada form, limited to a partial dentary with p3–m1 and an isolated m2, shows some resemblance to Siamogale in its crowded premolars, highly wrinkled enamel surface in both premolars and molars, surrounding cingulum in the premolars, an incipient development (relative to Siamogale) of a distal ridge on the m1 metaconid that connects with the entoconid crest, and a basined m1 talonid. Although Smith et al. refrained from including Negodiaetictis in a particular mustelid clade, they allowed that Mionictis may be the most comparable to their new genus. It is conceivable that it may be related to Siamogale, but Negodiaetictis is too poorly known to be certain if it is a lutrine or a meline.

**Siamogale melilutra** sp. nov.
(Figs 2–11, 13)

1945 *Lutra aonychoides* Zdansky; Teilhard de Chardin & Leroy: 21, fig. 12.
2014 *Siamogale* sp. nov. Jablonski, Su, Flynn, Ji, Deng, Kelley, Zhang, Yin, You, & Yang: table 1, fig. 3D.

**Diagnosis.** *Siamogale melilutra* is distinct from *S. thailandica* in its large size, more posteriorly inclined mandibular ascending ramus, a continuous P4 protocone crest extending distally to the metastyle (in contrast to a more cuspidate P4 protocone in *S. thailandica*), a relatively less distally expanded M1 lingual cingulum, a more shortened

![Figure 2. Cranium of *Siamogale melilutra* sp. nov., ZT-10-03-064b, holotype from Shuitangba. A, right lateral, and B, dorsal views.](image-url)
m1, and an m1 metaconid ridge being differentiated into a discrete metastyloid.

**Etymology.** *meles* and *melis* (feminine), Latin, badger; *lutra* (feminine), Latin, otter; a reference to the mixture of typically lutrine and meline cranial and dental morphology in this species.

**Holotype.** ZT-10-03-064b, partial cranium with left P3–M1 and right P2–M1 (Figs 2–5, 8).

**Referred specimens.** ZT-09-03-032, basicranium and other skull fragments with isolated left I1–3, P2–3, and M1, and right I1–3 and P2 (broken–M1, from first lignite bed (Fig. 9); IVPP V 23269 (ZT-15-0002), right basicranial region, from upper part of layer 7 (peat) (Fig. 5B); IVPP V 23270 (ZT-15-0387), partial, pathological left dentary with c alveolus, p4, and m1–2 alveolus, from upper part of layer 10 (Figs 6, 10A); IVPP V 23271 (ZT-15-0968), nearly complete right dentary (missing dorsal tip of ascending ramus) with i1–3 alveoli, c, p2–3 alveoli, p4–m1, and m2 alveolus, from upper part of layer 11 (T6) (Fig. 7, 10B); IVPP V 23272 (ZT-15-01497), isolated right M1, from upper part of layer 11 (T7); ZT-07-02-274, isolated right m2 (Fig. 10C); ZT-10-0375, distal left humerus, from bottom of layer 10 (maximum distal condyle width 47 mm); ZT-10-0077, complete right femur, from bottom of layer 9 (maximum length 162 mm; maximum distal condyle width 40 mm); ZT-10-01-0013, complete right tibia (maximum length 143 mm; maximum proximal condyle width 40 mm); ZT-10-0008, left astragalus, from layer 6; ZT-09-03-423, left astragalus, from layer 9 (maximum proximodistal length 28.06 mm; maximum mediolateral width 30.50).

From Yushe Basin, THP 19898 (FM cast 143941), nearly complete left dentary with i3–c alveoli, p2–m1, and m2 alveolus (Fig. 11); this specimen was collected from Dapinggou by E. Licent, probably in the Taoyang Member of Gaozhuang Formation, ~4.9–5.4 Ma (Opdyke *et al*. 2013).

**Type locality.** The Shuitangba (‘Water Pond Platform’ in Chinese) fossil site is within an open-pit lignite mine near...
the city of Zhaotong, at the margin of a sub-basin within the Zhaotong Basin, in north-eastern Yunnan Province (Jablonski et al. 2014) (Fig. 1). Fossils were recovered from the Neogene Zhaotong Formation, which consists of three lignite beds (two of the beds occurring at Shuitangba) of a few metres to tens of metres in thickness (Dai & Chou 2007). Vertebrate fossils have been recovered from dark clays between layers of lignites in the 16 metre section of Shuitangba (Jablonski et al. 2014; Zhang et al. 2016).

Associated fauna, flora, age and environment. Reports by the Yunnan Provincial Museum of the initial discovery in 1960 of a ‘late Pliocene to Pleistocene’ mammal assemblage in the Zhaotong Basin included Felis sp., Zygolophodon sp., Stegodon zhaotongensis, Elephas sp., Tapirus sp., Equus cf. yunnanensis, Sus sp., Muntiacus and bovids (Chow & Zhai 1962). The precise locality was not known at the time of these early reports, although the authors compared the fossil matrix with lignite strata in the southwestern region of Zhaotong. During the summer of 1978, Shi et al. (1981) were able to secure some fossils from a local lignite mine operated by Yongle Village in Dongjin Commune, east of the town of Zhaotong, as well as from Pleistocene gravel beds near Dazhai, in the western part of the basin. From the Yongle lignite bed they described Sinocastor zhaotongensis, Tapirus yunnanensis, Metacervulus sp., Stegodon sp. and Zygolophodon sp. The Yongle lignite mine in the 1970s and 1980s is now called Shuitangba, although the mining pit has been moved about a hundred metres to the west since the first discovery of the vertebrate fossils.

The fauna from Shuitangba appears to be of the late Baodean Chinese Land Mammal Age (late Miocene) with typical large mammals such as the deer Muntiacus (Dong et al. 2014), the tapir Tapirus (Ji et al. 2015), the
proboscideans *Stegodon* and *Sinomastodon* (Wang et al. 2015), and small mammals such as the rodents *Sinocastor*, *Kowalskia* and *Pliopetaurista* (Ji et al. 2013; Jablonski et al. 2014). Palaeomagnetic studies reveal a normal polarity for the 14 m long Shuitangba fossil section, which, along with a longer drill core (120 m) several hundred metres away, was correlated to the top of subchron C3An.1n through the base of C3An.2n (Ji et al. 2013), with an age estimate of 6.03–6.73 Ma (Hilgen et al. 2012). However, the beds that produced the otter remains are essentially the same as those from which the hominoid fossils were recovered, which is close to ~6.2 Ma (Ji et al. 2013; Jablonski et al. 2014).

A new species of fox nut, *Euryale yunnanensis*, was recently described from the Shuitangba site (Huang et al. 2015). This aquatic plant is consistent with a shallow lake or swamp environment in a mildly warm and humid climate, also confirmed by sedimentological studies (Zhang et al. 2016). Pollen studies suggest a flora associated with the vertebrate fossils of evergreen broad-leaved forests with evergreen *Quercus* as the most dominant element, with expansion of grasses (including Poaceae) and decline of conifers at the otter (and hominoid)-producing horizon (Chang et al. 2015).

**Description.** ZT-10-03-064b is a nearly complete cranium of a young adult with most of the sutures unfused and the teeth un worn. Although most of the bones are well preserved, the skull is heavily crushed dorsoventrally resulting in a flattened profile view (Figs 2A, 3A). Because of the immature status of this individual, many sutures became dislocated from the crushing. The other cranial remains, ZT-09-03-032, on the other hand, show slightly better fusion, making additional observations possible, especially on the basicranial area.

We carried out a complete digital restoration of ZT-10-03-064b based on a high-resolution computed tomography (CT) scan. Despite the heavy dorsoventral crushing, the integrity of most individual bone fragments is relatively good. By segmenting 201 relatively large pieces (virtual volumes) and manually re-aligning the individual pieces to minimize gaps between fragments and to maximize bilateral symmetry, our restoration resulted in a substantially more realistic cranium with minimal distortions (Fig. 4). As shown in Table 1, nearly all measurements in the restored cranium are shorter (and presumably more accurate) than in the heavily distorted original state.

**Table 1.** Cranial measurements (in mm) for *Siamogale melilutra* sp. nov. Measurements for the originally crushed cranium (uncorrected originals) and corresponding measurements on the digital model (by Avizo) after restoration (Fig. 4) are both presented to give a sense of distortions due to flattening of the cranium by sedimentary compaction. The extensive dorsoventral crushing suffered by the cranium (Figs 2, 3) resulted in exaggerations of all width measurements, whereas the length measurements are relatively unchanged.

<table>
<thead>
<tr>
<th>Measurements</th>
<th>Uncorrected original</th>
<th>Digitally restored</th>
</tr>
</thead>
<tbody>
<tr>
<td>Distance from inion to anterior-most tip of right maxillary</td>
<td>205</td>
<td>196</td>
</tr>
<tr>
<td>Maximum width across zygomatic arches</td>
<td>147</td>
<td>127</td>
</tr>
<tr>
<td>Minimum width across medial wall of infraorbital foramen</td>
<td>69</td>
<td>51</td>
</tr>
<tr>
<td>Anteroposterior length of temporal fossa (left)</td>
<td>52</td>
<td>59</td>
</tr>
<tr>
<td>Maximum width across mastoid processes</td>
<td>145</td>
<td>131</td>
</tr>
<tr>
<td>P2–M1 length</td>
<td>60</td>
<td>58</td>
</tr>
<tr>
<td>Width across left and right M1s at labial borders</td>
<td>71</td>
<td>67</td>
</tr>
<tr>
<td>Minimum width between tympanic bulla</td>
<td>33</td>
<td>22</td>
</tr>
<tr>
<td>Distance between lateral tips of occipital condyles</td>
<td>51</td>
<td>39</td>
</tr>
<tr>
<td>Width of foramen magnum</td>
<td>18</td>
<td>15</td>
</tr>
<tr>
<td>Distance between posterior edge of glenoid fossa and posterior tip of paroccipital process (right side)</td>
<td>44</td>
<td>45</td>
</tr>
<tr>
<td>Height between inion and top edge of foramen magnum</td>
<td>44</td>
<td>34</td>
</tr>
</tbody>
</table>

proboscideans *Stegodon* and *Sinomastodon* (Wang et al. 2015), and small mammals such as the rodents *Sinocastor*, *Kowalskia* and *Pliopetaurista* (Ji et al. 2013; Jablonski et al. 2014). Palaeomagnetic studies reveal a normal polarity for the 14 m long Shuitangba fossil section, which, along with a longer drill core (120 m) several hundred metres away, was correlated to the top of subchron C3An.1n through the base of C3An.2n (Ji et al. 2013), with an age estimate of 6.03–6.73 Ma (Hilgen et al. 2012). However, the beds that produced the otter remains are essentially the same as those from which the hominoid fossils were recovered, which is close to ~6.2 Ma (Ji et al. 2013; Jablonski et al. 2014).

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**Cranium (Table 1; Figs 2–5).** Both nasals are incomplete, especially near their anterior ends; the broken surfaces end near the anterior margin of the P3. Their posterior ends are also not clearly visible due to damage in this area, and it is not clear if the nasals are shortened as in some living lutrines. The maximum width of the right nasal is 6.9 mm (on the un-restored original specimen; see Table 1). The maxilla is broken anteriorly and its anterior portion beyond the anterior rim of the orbit. The maximum width of the right maxilla is 5 mm near its anterior end, and the total length is about
The maxilla is well preserved, especially on the right side. The maxillary-frontal suture is clearly delineated in dorsal view and disjointed to different degrees along its length. The maxillary-jugal sutures are dissected on both sides and were restored digitally. Both zygomatic arches are largely intact and appear distinctly straight in dorsal view as is seen in some lutrines, in contrast to the laterally arched condition in primitive mustelids. The zygomatic arch is relatively slender with a maximum depth of 12.7 mm near the posterior end. The dorsal roof of the infraorbital canal is broken on both sides. The dorsal root of the anterior end of zygomatic arch, making up the dorsal roof of the infraorbital canal, is located approximately at the same level as the ventral root, in contrast to the more anteriorly located dorsal root in living lutrines (Holmes 1988), and this is the case after digital restoration as well. The maximum mediolateral diameter of the infraorbital canal is 13.7 mm and the dorsoventral diameter can only be estimated to be around 11 mm, making the cross section of the canal approximately oval shaped. This canal is decidedly enlarged, as is the case in all lutrines. The temporal crests are indistinct.

**Figure 5.** Stereo photographs of the right basicranial region of *Siamogale melilutra* sp. nov. **A,** ZT-10-03-064b (ventral view), holotype from Shuitangba. **B,** IVPP V 23269 (naturally dissected; medial view), a referred specimen from Shuitangba.
and unite near the anteroposterior midrange of the orbit, about 40 mm posterior to the anterior rim of the orbit. The sagittal crest is a single, low crest, projecting no more than 1 or 2 mm from the cranial surface, probably mostly due to the immature status of this individual. Crushing of the cranium does not permit definitive recognition of a postorbital constriction, although the digital restoration shows slight narrowing, suggesting that there was minimal postorbital constriction. Nor is there clear development of a postorbital process of the frontal (zygomatic process). This minimal constriction stands in contrast to the condition in living lutrines. Nor was the constriction anteriorly shifted as in the latter. The frontal-parietal sutures are mostly disjointed and are generally at a level just posterior to the anterior margin of the glenoid fossa. The lambdoidal crest greatly overhangs the occipital condyles. The union is slightly anteriorly positioned compared to the lambdoidal crest, as in all living lutrines.

In lateral view, the anterior rim of the infraorbital canal is slightly anterior to the anterior border of the M1. The canal is short, although it is not possible to measure the exact length because of the missing dorsal roof. The postorbital process of the jugal (frontal process) is high, but its dorsal tip is broken.

Ventrally, the entire premaxillary part of the rostrum is missing. The palate is fragmented into several pieces and caved in over large areas, and the anterior margin of the maxilla is not preserved. The maxillary-palatine suture is near the posterior margin of the P4s. The palatine foramen is not clearly discernable. The palatine greatly expands posteriorly, although not reaching the level of the glenoid fossa as in Arctonyx. The posterior border of the palatine is approximately 30 mm posterior to the posterior border of the M1s.

Although the basicipital-basisphenoid region is dislocated from the bullae on either side, the bascranial region is well preserved due to the robust construction of the bullae (Fig. 5A). The basicipital is broad, as is typical in most arctoids, with the medial borders of the bullae diverging posteriorly. The tympanic bulla is flask-shaped and its anterior end is blunt with a slightly enlarged front tip for the Eustachian canal. It has a rather flat ventral surface, its medial aspect being slightly more inflated, and numerous small pits are present on the surface. The entrance of the carotid artery is located about midway along the medial border of the bulla and posterior to the basisphenoid-basiparietal suture, approaching the condition observed in modern Lutra and Enhydra, while Pteronura and Aonyx have a more anteriorly located posterior carotid foramen, at the level of or anterior to this suture (Bryant et al. 1993, character 8). The posterior lacerate foramen is separate from the posterior carotid foramen and located posterior to the latter. The jugular and posterior lacerate foramina are confluent, unlike in some modern mustelids, such as Galictis, Lyncodon, Mellivora, Taxidea, Meles and Arctonyx (Bryant et al. 1993, character 10), although there is some polymorphism for this character. The rostral entotympanic runs for a considerable length of the medial face of the ectotympanic but stops at the posterior lacerate foramen. The entire bullar complex is highly specialized with a very long external auditory meatus that is solidly fused with the mastoid process. The postglenoid foramen is flanked posteriorly by the external auditory meatus, as in all living otters. There is no alisphenoid canal. The stylomastoid foramen is separated from the tympanohyal articulation by a bony ridge, as in all living lutrines. The mastoid process is greatly expanded laterally and ventrally, even extending beyond the level of the auditory meatus ventrally, as in living otters. This process has an oval cross section, terminating laterally with a rounded lateral facet that caps the process. The paroccipital process is greatly thickened in ZT-10-03-0064b, but less so in ZT-09-03-032, with a robust, pointed ventral component that has rough surface textures. The base of the paroccipital process is nearly as long as the bulla. The paroccipital process has a flattened cross section in ZT-09-03-032 and is oriented posteriorly.

The isolated right basisphenoid of IVPP V 23269 (Fig. 5B) offers an opportunity to directly observe the medial and dorsal structures of the petrosal and braincase. In medial view there is a separate bony element medial to and flanking the posterior carotid foramen. This element is probably the rostral entotympanic as questionably identified by Hunt (1974, pl. 8, fig. 27) in Pteronura.

**Mandible (Table 2; Figs 6, 7).** A nearly complete right dentary (IVPP V 23271) and a partial left dentary (IVPP V 23270) offer a nearly complete, undistorted view of the mandible. However, IVPP V 23270 is pathological with a swollen corpus at the m1 region, possibly due to infections and subsequent healing when the m1 was lost and its alveoli filled with cancellous bone.

The corpus is robust, particularly in the pathological left corpus. It is nearly straight in the cheek tooth region except for a small process at the base of the symphysial joint on IVPP V 23270. The anterior part of the mandible in IVPP V 23271 deepens dorsoventrally. On this specimen, the mandibular symphysis extends ventrally between the level of the canine and the anterior alveolus of p2. Posterior to m1, the lower border of the corpus gradually rises and merges with the lower border of the masseteric fossa. As a result, an angular process is noticeably absent, and only hinted at by a small spur at the posterior end. There are two (IVPP V 23270) or three (IVPP V 23271) mental foramina. Those on IVPP V 23270 are anterior to p4 and at the posterior root of p4 (smaller one). The posterior two foramina on IVPP V 23271 are in similar positions to
those in IVPP V 23270, but the posterior-most one is larger and an additional foramen is present at the junction of p2 and p3.

The ascending ramus is moderately tall, measuring 56 mm from the tip of the coronoid process to the ventral border of the masseteric fossa. The anterior border is inclined posteriorly, in contrast to a more erect orientation in living lutrines. The masseteric fossa is deeply excavated, especially at its ventral border. A prominent and sharp lateral ridge forms the ventral rim of the fossa, which protrudes laterally to form a wide ventral shelf. This ventral shelf is distinctly marked by a long scar for the superficial masseter that runs the entire length of the ventral border.

The angular region of the mandible is highly specialized, notably by the near absence of the angular process. In medial view, a small medial-ventral crest marks the location of the pterygoid muscle attachment, possibly a homologous position for the angular process. This remnant of an angular process is not only highly reduced but also very elevated in its position with respect to the mandibular condyle. Anterior to the reduced angular process, on the ventral side of the horizontal ramus, is a shallow but broad scar of unknown derivation, its anterior extent reaching to the m1–2 junction or beyond (in IVPP V 23270).

The mandibular condyle is mediolaterally wide, measuring about 37 mm on IVPP V 23271 and matching the correspondingly wide glenoid fossa on the cranium. Such a wide mandibular joint, coupled with a prominent anterior spur of the glenoid fossa, limits rotation to opening and closing of the jaws and precludes lateral or anteroposterior movements. However, this tight articulation is not interlocking (jaws are easily detached from cranium), unlike the condition in living sea otters.

Upper teeth (Table 3; Figs 8, 9). Upper teeth from both ZT-10-03-064b and ZT-09-03-032 are well preserved. Both represent young adults and show practically no wear except on the incisal edges and the cusp tips of the premolars. An isolated right M1 (IVPP V 23272) has modest wear, providing some sense of occlusal wear.

A complete set of upper incisors is preserved on ZT-09-03-032 (Fig. 9). I1s are the smallest. A single main cusp forms the crown with a lingual cingulum forming a distinct V-shape. This cingulum flanks the main cusp and gives a spatulate appearance to the tooth. The I2s are larger than the I1s and similar in shape. The I2 lingual cingulum also forms a strong V shape, although it is not symmetrical, with the mesial side being longer, thicker and taller. The I3s are nearly twice as wide as the I1s. A single main cusp is flanked on the lingual side by a distinct cingulum that thickens towards the base to form a small lingual shelf. The cingulum extends around the labial side and is more wrinkled here than lingually. There is a sharp crest at the distolateral aspect of the I3, extending from the main cusp to the base of the crown. Only a partial alveolus of the right upper canine is preserved on the type specimen, and the preserved part shows a diameter of 16.1 mm in maximum dimension and 10.1 mm in minimum dimension.

There is apparently no P1, although damage to this part of the cranium prevents a definitive assessment; nor can we be sure if this is due to an evolutionary loss or to an individual variation, although we are inclined to the former because of the absence of p1 (see below). The P2 has a single cusp, typical of many arctoid carnivorans. Prominent mesial and distal ridges flank the cusp, and a distinct cingulum surrounds much of the tooth but weakens on the buccal face. This cingulum is particularly strong at the distolingual aspect of the tooth. The P3 is much like the

### Table 2. Mandibular measurements (in mm) for Siamogale melilutra sp. nov. The pathological condition of IVPP V 23270 resulted in considerable thickening of the corpus as well as other modifications, and its measurements should not be considered as being within a normal range of variation.

<table>
<thead>
<tr>
<th>Measurements</th>
<th>IVPP V 23271</th>
<th>IVPP V 23270</th>
</tr>
</thead>
<tbody>
<tr>
<td>Maximum anteroposterior distance from anterior tip of incisor alveolar border to posterior tip of condyle</td>
<td>145.74</td>
<td>113.04</td>
</tr>
<tr>
<td>Maximum anteroposterior distance from anterior tip of incisor alveolar border to posterior edge of ascending ramus above the mandibular condyle (coronoid level)</td>
<td>126.29</td>
<td>109.10*</td>
</tr>
<tr>
<td>Anteroposterior distance at base of ascending ramus</td>
<td>35.18</td>
<td>35.33</td>
</tr>
<tr>
<td>Height of ascending ramus from lower border of horizontal ramus to top of ascending ramus</td>
<td></td>
<td>58.32</td>
</tr>
<tr>
<td>Maximum width of mandibular condyle</td>
<td>37.47</td>
<td></td>
</tr>
<tr>
<td>Maximum depth of horizontal ramus at m1 trigonid</td>
<td>28.66</td>
<td>29.93</td>
</tr>
<tr>
<td>Maximum width of horizontal ramus at m1 trigonid</td>
<td>12.86</td>
<td>15.54</td>
</tr>
<tr>
<td>Alveolar distance from p2 to m2</td>
<td>62.19</td>
<td></td>
</tr>
<tr>
<td>Alveolar distance from p4 to m2</td>
<td>41.55</td>
<td>43.79</td>
</tr>
</tbody>
</table>

*coronoid process partially broken.
P2 except for a prominent swelling on the lingual side. Mesially and distally the cingulum also swells slightly to be almost cusp-like, this being more so in ZT-09-03-032.

The P4 is a large tooth with equal length and breadth and an approximately triangular occlusal outline. The paracone is the tallest cusp. A faint mesial crest arises near the apex of this cusp and ends at its base. The carnassial blade extends distally from the paracone and is not indented by a carnassial notch. Instead, the carnassial blade forms a crest running to the metastyle. A prominent mesial cingulum surrounds the entire mesial aspect of the tooth. There is a slight thickening at the mesiobuccal corner of the cingulum participating in the formation of a parastyle. The mesial cingulum fades distally and disappears towards the distal base of paracone. The mesiobuccal surface of the metastyle is essentially free of a cingulum. The P4 is perhaps the most lutrine-like tooth with a prominent protocone crest that connects mesially with the mesial cingulum. However, the mesial cingulum continues lingually around the mesiolingual aspect of the protocone crest but
the cingulum here is far less distinct than mesially. The protocone crest has a thick base that forms almost the entire lingual half of the tooth, having a highly robust appearance when compared to the thin crest and low shelf in most living lutrines. As a result, the protocone crest is also much higher crowned than its modern counterparts. The protocone crest extends distally to the very end of the metastyle, thus creating a long and deep valley between the crest and the carnassial blade. Much of the protocone crest is free of a cingulum, and in the case of ZT-10-03-064b, a shallow apical notch is present in the middle of the crest, giving the appearance of a separate ‘protocone’ and ‘hypocone’. This notch is largely absent in ZT-09-03-032, even though some crenulations are visible along the distal border of the protocone crest.

The M1 is the largest tooth with greater buccolingual width than mesiodistal length. All cusps are relatively low crowned compared to those of the P4. The paracone and metacone are approximately equal in height and size. However, the paracone is surrounded by a bulging parastyle and buccal cingulum that dwarf the paracone in height, and has an enlarged base at the expense of the latter. As a result, the parastyle is twice as large as the paracone in occlusal view. The metacone is trailed by a distinct cuspule and surrounded buccally by a cingulum, which is not nearly as prominent as that around the paracone. The paracone is also displaced lingually relative to the metacone. The protocone is largely formed by a crest oriented mesiobuccally-distolinguually. Its apex tends to be located more towards the distal segment, where the

Figure 7. Right mandible of *Siamogale melilutra* sp. nov., IVPP V 23271, a referred specimen from Shuitangba. A, medial, B, lateral, and C, ventral views.
preprotocrista and postprotocrista slightly diverge in orientation. There may be a shallow notch at or just mesial of the protocone. The preprotocrista extends mesiobuccally and merges with the mesial cingulum. The postprotocrista, on the other hand, pinches off shortly distal to the protocone apex and completely disappears before reaching the transverse level of the metacone. On the buccal face of the protocone, there is another small ridge leading towards the paracone. There is no discrete hypocone but in its place is a greatly expanded talon that expands distally beyond the metacone, presumably in occlusion with the m2. A distinct lingual cingulum is present, beginning at the mesiolingual corner of the tooth at the base of the preprotocrista and extending lingually then distally to merge with the small cuspule distal to the metacone. This cingulum is particularly thick, although not quite as thick as the buccal cingulum. It is undulating and elevated opposite to the paracone, and occludes with the m1 talonid and m2 trigonid. The lingual and buccal cingula are also decorated by a series of small crenulations, especially along their inner margins (particularly visible on ZT-10-03-064b). The large talon and the broad valley between the postprotocrista and metacone are mostly smooth with only vague crenulations.

Lower teeth (Table 4; Fig. 10). IVPP V 23271 affords the best view of the lower teeth, supplemented by a single p4 on IVPP V 23270. The lower teeth of these specimens are nearly unworn, except for the tips of m1 cusps. Only the alveoli of the i1–3 are preserved and they increase in size mesiodistally from i1 to i3, although this size increase is modest. The alveoli are imbricated, with that of the i2 being shifted lingually. The lower canine is strong and distally recurved, with an oval cross section. There is no ridge on the tooth and fine crenulations are visible on surfaces around the entire tooth.

There are four alveoli anterior to the p4. The two more posterior alveoli are obviously for the p3, whereas the more anterior two represent either a double-rooted p2 or single-rooted p2 and p1. We interpret the two anterior-most alveoli as belonging to a double-rooted p2 because of the thinning septum between the two alveoli and the presence of a faint ridge on the anterior side of the more posterior alveolus, a characteristic of double-rooted cheek teeth, which corresponds to a thin groove on the mesial face of the distal root. If our interpretation is correct, the p1 is lost. The p2–3 roots also display modest imbrication and their long axes are oriented mesiobuccally-distolingually, which may result from the need to accommodate these teeth in a short jaw. The p4 is robust with a single cusp and weak mesial and distal ridges along the length of the cusp. The cusp is much taller than cusps on the m1. There is a weak mesial cingulum and a strong distal cingulum, but the cingula do not encircle the entire tooth.

The m1 is the largest tooth in the jaw, largely as a consequence of its broad proportions due to the widening of the talonid. The trigonid is about half the length of the tooth. The paraconid, protoconid and metaconid on the low-crowned trigonid are about equal in size and height. The carnassial blade is short, showing little shearing function. The paraconid has a rounded base and is cuspidate rather than blade-like. The protoconid has a mesial ridge.

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**Table 3. Dental measurements, upper teeth (in mm).** Measurements for Siamogale thailandica were taken from Grohé et al. (2010).

<table>
<thead>
<tr>
<th>Measurements</th>
<th>ZT-10-03-064b</th>
<th>ZT-09-03-032</th>
<th>IVPP V 23272</th>
<th>Siamogale thailandica</th>
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</thead>
<tbody>
<tr>
<td>I1 mesiodistal length</td>
<td>5.09</td>
<td>5.22</td>
<td></td>
<td></td>
</tr>
<tr>
<td>I1 mediolateral width</td>
<td>4.13</td>
<td>3.98</td>
<td></td>
<td></td>
</tr>
<tr>
<td>I2 mesiodistal length</td>
<td>5.82</td>
<td>6.02</td>
<td></td>
<td></td>
</tr>
<tr>
<td>I2 mediolateral width</td>
<td>4.68</td>
<td>4.50</td>
<td></td>
<td></td>
</tr>
<tr>
<td>I3 mesiodistal length</td>
<td>8.19</td>
<td>8.31</td>
<td></td>
<td></td>
</tr>
<tr>
<td>I3 mediolateral width</td>
<td>5.79</td>
<td>6.12</td>
<td></td>
<td></td>
</tr>
<tr>
<td>P2 maximum mesiodistal length</td>
<td>10.7</td>
<td>10.02</td>
<td>5.2</td>
<td>5.2</td>
</tr>
<tr>
<td>P2 maximum mediolateral width</td>
<td>6.6</td>
<td>5.40</td>
<td>2.9</td>
<td>2.9</td>
</tr>
<tr>
<td>P3 maximum mesiodistal length</td>
<td>12.0</td>
<td>11.8</td>
<td>11.57</td>
<td>11.94</td>
</tr>
<tr>
<td>P3 maximum mediolateral width</td>
<td>8.1</td>
<td>7.6</td>
<td>7.51</td>
<td>8.07</td>
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<tr>
<td>P4 maximum mesiodistal length</td>
<td>16.0</td>
<td>15.7</td>
<td>14.81</td>
<td>12.08</td>
</tr>
<tr>
<td>P4 maximum mediolateral width</td>
<td>15.0</td>
<td>15.0</td>
<td>14.43</td>
<td>9.68</td>
</tr>
<tr>
<td>M1 labial mesiodistal length</td>
<td>14.6</td>
<td>14.2</td>
<td>13.19</td>
<td>13.18</td>
</tr>
<tr>
<td>M1 lingual mesiodistal length</td>
<td>15.8</td>
<td>16.0</td>
<td>14.87</td>
<td>14.97</td>
</tr>
<tr>
<td>M1 maximum mediolateral width</td>
<td>17.4</td>
<td>17.6</td>
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connecting to the paraconid. It also has a lingual ridge reaching to the metaconid. A prominent distal ridge on the protoconid extends distally towards the hypoconid. The metaconid also has a rounded base which is adjacent to that of the paraconid, creating a closed appearance of the trigonid. The most prominent feature of the m1 is a very strong distal crest emanating from the metaconid that runs distally towards the entoconid crest. This metaconid crest is thickened to form a metastylid at the distolingual aspect of the cusp. A distinct cingulum surrounds much of

**Figure 8.** Occlusal view of the upper teeth (in stereo view) of *Siamogale melilutra* sp. nov., ZT-10-03-064b, holotype from Shuitangba.

**Figure 9.** Occlusal view of the upper teeth (in stereo view) of *Siamogale melilutra* sp. nov., ZT-09-03-032, a referred specimen from Shuitangba. Individual teeth were mounted on light-coloured clay.
the trigonid, except around the metaconid, and there are weak crenulations along much of the cingulum. On the broadened talonid, a relatively small and low-crowned hypoconid is located at the buccal margin, just distal to the postprotocristid. There is a deep notch separating the postprotocristid and the hypoconid. There is no distinct entoconid cusp, which is instead a shallow crest running along the lingual border and extending to the distal border of the talonid. Between the hypoconid and entoconid crest is a broad, smooth basin lacking crenulations. An indistinct cingulum surrounds the hypoconid. A single, large, rounded m2 alveolus indicates a rounded m2, although a thin partial septum on the buccal side reveals the coalescence of two roots. An isolated m2 (ZT-07-02-274) has a single coalesced root with a thin lateral groove, consistent with the m2 alveolar morphology of IVPP V 23271. Based on the presence of this groove, we consider this to be a right m2. The m2 has a rounded, button-shaped and rather flat crown. A slightly elevated rim surrounds the entire tooth with a depression in the middle. If we have oriented this tooth correctly, muted protoconid and hypoconid cusps can be identified along the buccal margin.

**Remarks.** The lack of a carnassial notch on the P4 and loss of M2 place the Shuitangba form in the family Mustelidae. Within the Mustelidae, however, its dental characteristics present a mosaic of features that are unknown among existing clades, except in *Siamogale*. Whereas the P4 morphology invokes that of lutrines, despite a few peculiar details, the M1 recalls those of Old World badgers (melines), particularly the expanded talon distal to the protocone. On the other hand, the new Shuitangba form does not easily fit in known meline clades either; that is, it lacks typical meline dental characters such as a discrete and conical P4 protocone (or, in the case of *Meles*, a very reduced P4 protocone), and the absence of a distally extended M1 postprotocrista (e.g. Wallace & Wang 2004). Cranial characteristics also do not lead to an unambiguous assignment. Whereas a short rostrum and large infraorbital canal are frequently seen in otters, the lack of a sharply narrowed postorbital region of the neurocranium, a feature typical of all known lutrines although it may express differently in different taxa, seemingly casts doubts again about its membership in lutrines.
However, on balance, lutrine affinity is suggested by the following combination of characters: an enlarged infraorbital canal, ventral extension of the mastoid process beyond the level of the auditory meatus, the mastoid process separated by a broad shelf from the paroccipital process, an anterior position of inion relative to the lambdoid crest, a straight zygomatic arch (although not all lutrines exhibit this character), robust and short premolars with strong cingula, a short P4 with a large protocone-hypocone crest along the entire lingual border, an enlarged, rectangular M1 with a distally expanded talon and lacking a postprotocrista, and a shortened and broadened m1 talonid. However, numerous detailed differences exist that make referral of Siamogale to known lutrine clades problematic. The thick-based P4 protocone crest is quite different from the low, shelf-like crests seen in extant lutrines, except the sea otter Enhydra lutris. On the other hand, the upper carnassial is fundamentally different from the highly bunodont carnassials of modern sea otters and fossil Enhydriodontini (Morales & Pickford 2005) from South Asia, Europe and Africa, all of the latter having been previously considered closely related to the extant sea otter. Similarly, with respect to the M1, the massive parastyle, the orientation of the preprotocrista, the medial thickening of lingual cingulum, and the exaggerated expansion of the talon all contribute to a very different configuration from the M1s in living otters and fossil enhydriodontines. Based on the above, Siamogale probably represents a previously unrecognized clade of mustelids that combines cranial and dental features of both lutrines and melines.

Old World Mio–Pleistocene lutrines and other otter-like forms in Asia that warrant close examination include: Vishnuonyx from the middle Miocene of the Indian Subcontinent and Thailand, and middle to late Miocene of Kenya; Sivaonyx from the middle Miocene of Thailand, the late Miocene of Yunnan, the Indian Subcontinent and Europe, and the late Miocene to early Pleistocene of Africa; Enhydriodon from the Plio–Pleistocene of the Indian Subcontinent and probably Africa; ‘Lutra’ aonychoides from the late Miocene of China; and Siamogale thailandica from the late Miocene of Thailand (Ginsburg et al. 1983; Qi 1983, 1985, 2006; Pickford 2007; Grohé 2011; Grohé et al. 2010, 2013). Of these, Vishnuonyx, Sivaonyx and Enhydriodon belong to a clade of their own, the Enhydriodontini of Pickford et al. (2007). Morales & Pickford (2005) also include Paludolutra in the Enhydriodontini, a taxon that we discuss later in this comparison. Siamogale is easily distinguishable from the enhydriodontines (except Paludolutra) in its lack of highly cuspidate (conical) P4 protocones and hypocones, a poorly developed P4 parastyle, presence on the M1 of a distally expanded talon and a cuspule that is distal rather than lingual to the metacone, crenulated enamel, lack of a distinct p4 distal accessory.
cusp, and the presence of a distal ridge of the metaconid that is continuous with the entoconid crest.

Based on the anterior portions of a partial cranium from the late Miocene locality 49 (PMU 22, Yangmukou, Jiija-gou) of the Baode Basin, Zdansky (1924) named the species ‘Lutra’ aonychoides. This species does not belong to *Lutra*, as indicated by its strong, discrete P4 protocone, but it has somewhat wrinkled enamel surfaces, as in *Siamogale*. *Siamogale* is notably different from the Baode species in lacking a discrete P4 protocone, which is more mesially located and followed distally by a broadened internal cingulum in ‘*Lutra’ aonychoides’. *Siamogale* also exhibits a cuspule distal to the metacone and thickening of the lingual cingulum on M1, conditions absent in ‘*Lutra’ aonychoides’.

Southeast Asia became germane to this matter with the discovery of dental remains of *Siamogale thailandica* from the middle Miocene lignites of Mae Moh in northern Thailand (Ginsburg *et al.* 1983; Ginsburg 1984). Initially described as a lutrine based on a single left m1 (Ginsburg *et al.* 1983), *Siamogale* was later suggested to resemble some European fossil badgers (*Lartetictis* and *Adroverictis*), and the North American *Mionictis* because of their common possession of a basined m1 talonid with a distal ridge on the metaconid that connects with the entoconid crest (Ginsburg & Morales 1996). However, Ginsburg & Morales (1996) placed *Lartetictis* within the Melinae and did not include *Siamogale* in their phylogeny. Pickford (2007, fig. 23), on the other hand, placed *Siamogale* at the base of his Lutrini group (i.e. modern *Lutra*, *Lontra*, *Lutrogale*, *Pteronura* and fossil relatives), but also envisioned an earlier connection of *Siamogale* with his Aonychini group (i.e. modern *Aonyx* and fossil relatives). More recently, Groh* et al.* (2010) described additional dental materials, including upper cheek teeth, of *Siamogale thailandica* from the type locality. However, despite this increased knowledge, almost 30 years after its initial description, Groh* et al.* (2010) were still hesitant to place *Siamogale* in a particular clade (Lutrini, Melinae or Galictinae), setting instead for an ambiguous characterization of ‘bunodont otter-like mustelid’, and considered it to be closely related to *Mionictis* and *Lartetictis* (see additional comments under Remarks above).

Overall, our new Shuitangba form most closely resembles *Siamogale thailandica* (Ginsburg *et al.* 1983; Groh* et al.* 2010). Strikingly, the P4s of *S. melilutra* and *S. thailandica* share a protocone crest, and the M1s share a medially thickened and distinctly distally enlarged lingual cingulum, which forms an expanded talon, and the presence of a cuspule just distal to the metacone. The mandible and lower teeth are similarly striking in their detailed resemblances: an enlarged muscle scar from the superficial masseter and a broadened m1 talonid with a distinct crest emanating distally from the metaconid and connecting to the entoconid crest.

Despite the detailed similarities between the forms from Shuitangba and the Mae Moh Basin, there are also many differences between these two species. The ascending ramus in *Siamogale thailandica* is more vertical in comparison to the more posteriorly inclined condition in *S. melilutra*. The most prominent dental difference is the configuration of the P4 protocone. In *S. thailandica* the P4 has a more or less cuspidate protocone with a broad crest emanating from it distally that ends at the level of the carnassial blade (Groh* et al.* 2010, fig. 2h1–2). This is in contrast to the condition seen in *S. melilutra*, which does not have as great a distinction between the mesial and distal segments of the protocone crest. Besides the significant size difference between *S. melilutra* and *S. thailandica* (Tables 3, 4), other differences are also easily observable — a broader M1 lingual cingulum in *S. thailandica*, a relatively shortened m1 in *S. melilutra* (Table 4), and an m1 metaconid distal ridge that is subdivided into a discrete cusp (metastylid) in *S. melilutra*.

Other bunodont otters from the Mio–Pliocene of Europe and North America merit comparison with *Siamogale*. From the late Miocene (MN 12) Baccinello V1 faunal assemblage of the Grosseto lignites in Tuscany, Italy, Hürzeler (1987) erected two genera, *Paludolutra* and *Tyrrhenolutra*. The lower carnassials of *Tyrrhenolutra* (Hürzeler 1987, fig. 5) possess a distinct distal ridge along the length of the metaconid, as in *Siamogale*. Moreover, Villalta & Crusafont (1945) described a mandible of *Paludolutra ilueca* from Los Algezares, Teruel, Spain, whose m1 displays both a metaconid distal ridge connected to the entoconid crest and a metastylid, as in *S. melilutra* (refigured in Morales & Pickford 2005, fig. 5; Repenning 1976, fig. 2). The enamel of *Paludolutra* and *Tyrrhenolutra* is crenulated (Hürzeler 1987, fig. 4), especially on the M1, recalling the condition in *Siamogale*. However, both *Paludolutra* and *Tyrrhenolutra* have a less developed M1 lingual cingulum than *Siamogale*, and the M1 cuspule is lingual rather than distal in *Paludolutra* and absent in *Tyrrhenolutra*. P4 morphology also differs from the crest-like protocone in *Siamogale*. In *Paludolutra* the P4 has a prominent protocone, directly lingual to the latter, and bears a hypocone that is often divided into cuspsules placed lingually to the paracone, while in *Tyrrhenolutra* the protocone and hypocone are closer to each other and positioned lingual to the paracone. Notably, the mesial position of the P4 protocone in *Paludolutra* is also seen in *Paralutra gargaransensis* from the late Miocene ‘Terre Rosses’ faunal complex of Gargano, Italy (Willemsen 1983, pl. 1, fig. 3; Villier *et al.* 2011, fig. 2), which may suggest a close relationship between these taxa. *S. melilutra* also shows similarities to *Enhydritherium* from the early Pliocene of North America (Berta & Morgan 1985; Lambert 1997), as *Enhydritherium* also possesses an m1 metaconid distal ridge connected to the entoconid crest, a metastylid on m1 and crenulated enamel. However,
Enhydritherium differs from Siamogale by the presence of a discrete protocone and hypocone on the P4, a less developed lingual cingulum on M1, and an M1 cuspule in lingual position rather than distal to the metacone.

Finally, Teilhard de Chardin & Leroy (1945) referred a left mandible (THP 19898, Dapinggou, likely from the Pliocene Gaozhuang Formation) (Fig. 11) from the Yushe Basin to ‘Lutra’ aonychoides Zdansky, apparently based on size (although no comparable lower teeth from Baode or upper teeth from Yushe are known) and what was perceived at that time as age equivalence (the Yushe specimen is now known to be significantly younger than locality 49 from Baode). Surprisingly, the Yushe specimen matches well with Siamogale melilutra down to all cusp details as well as dental dimensions (Table 4). The only appreciable differences are the somewhat smaller p4 with less well-developed cingulum in the Yushe individual and the higher metaconid relative to the protoconid, which are likely due to geographical or temporal variation.

Figure 11. Siamogale melilutra sp. nov., THP 19898 (AMNH FM cast 143941) from the Yushe Basin. A, occlusal, B, lateral, and C, medial views. Photographs of a polyester cast.
Phylogeny

In an early attempt at reconstructing higher level relationships of lutrines, de Muizon (1982) envisioned otters as a paraphyletic grouping, but he included then-known ‘musteloid’ taxa, such as phocids and mephitids, that are now known to belong to clades that have no close relationships to otters. A morphological phylogeny of living mustelids by Bryant et al. (1993) placed Lutrinae at the terminal end of Mustelidae and as the sister clade to the Melinae (i.e. Old World badgers Meles and Arctonyx). Most recent molecular phylogenies of mustelids also place the lutrines near the terminal end of the mustelid tree, but as the sister clade of the genus Mustela (Koepfl & Wayne 1998; Sato et al. 2003, 2009; J. J. Flynn et al. 2005; Koepfl & al. 2008a), as the sister clade of Ictonychinae (Dragoo & Honeycutt 1997; Koepfl & Wayne 2003; Wolsan & Sato 2010; Sato et al. 2012), or as both (Koepfl et al. 2008b). If the molecular relationship is correct, then the dental similarities between badgers and otters must be the result of convergences.

Relationships among the 13 currently recognized extant species of lutrines (Wozencraft 2005) are also not clear. An early attempt using numerical taxonomy (van Zyll de Jong 1987, 1991) differs substantially from that based on the morphology of fossil and extant taxa (Willemsen 1992). Despite the generally unresolved nature of the phylogeny of the latter, Willemsen proposed both a close relationship of Mionictis and Siamogale, following Ginsburg et al. (1983), and that certain fossil and living forms, such as Paralutra, Lutrvus, Satherium, Lutra and others, are also derived from Mionictis. In addition, Willemsen placed the sea otter, Enhydra, within the bunodont fossil otter Vishnuonyx–Enhydriodon–Sivaonyx clade, as did Berta & Morgan (1985), who also consider a closer relationship between Enhydritherium and Enhydra. On the other hand, Pickford (2007) proposed that Vishnuonyx–Sivaonyx–Enhydriodon are closely related to Paludolutra and potentially to Enhydritherium and/or Enhydra.

Figure 12. Artist’s reconstruction of two individuals of Siamogale melilutra sp. nov., one of them feeding on a freshwater clam. The tapir in the background is Tapirus yunnanensis (Ji et al. 2015). Aquatic plants include water chestnut (Typha) and fox nut (Euryale) (Huang et al. 2015), and the low shrub in foreground is Sichuan peppercorn (Zanthoxylum). Art by Mauricio Antón.
However, an early mtDNA approach by Koeppfli & Wayne (1998), later superseded by a more comprehensive analysis with a wider sampling of genes and taxa (Koeppfli et al. 2008a, b), embedded the sea otters within the rest of the living otter clade with the striking implication that the bunodont teeth in sea otters were derived from a dental plan not unlike that of most of the living otters, in contradiction to the independent origins of bunodont otters as proposed by Willemsen (1992, fig. 22). Additionally, Pickford (2007) considered Paralutra and Siamogale to belong to Lutrini (i.e. modern Lutra, Lutrogale, Lontra, Pteronura and fossil relatives).

Despite the above efforts, a cladistic framework based on an explicit character matrix of fossil and living otters is still lacking, and our matrix presented here, at the generic and species levels, must necessarily be considered a tentative first attempt because of the still-fragmentary nature of many fossil taxa (see Material and methods). In the parsimony analysis, we found 22 trees with 1638 steps, consistency index (CI) = 0.78 and retention index (RI) = 0.51. The monophyly of Lutrini (clade 1, Fig. 13A, B) is well supported in the majority consensus tree of the parsimony analysis with a bootstrap value of 88%. Synapomorphies of otters include a large infraorbital canal (character 4, state 1), the dorsal root of the anterior end of zygomatic arch located anterior to the ventral root (character 9, state 1, reversed state in Siamogale), anion positioned anteriorly relative to the lambdoid crest (character 11, state 1), the mastoid process expanded ventrally below the auditory meatus (character 14, state 1), a short P4 metastylar blade (character 20, state 1), a distally expanded P4 lingual shelf (character 24, state 1), reversed state in Paralutra jaegeri and Vishnuonyx, a widened m1 talonid (character 35, state 1), and a deep talonid notch on m1 (character 36, state 1).

Pteronura brasiliensis is the most basal extant otter (Fig. 13A, B). It is closely related to Lontra species, which are themselves closely related to the clade comprising Lutra lutra and Aonyx capensis (Fig. 13A). Finally, Enhydra is the most derived extant otter. The topology of the Bayesian tree incorporating both extant and fossil taxa shows broadly unresolved relationships among extant otter species. However, a Bayesian analysis performed with extant species only produced the same topology as described for the majority consensus tree. These results are partly consistent with those of Koeppfli et al. (2008a), the difference being the recovery of Enhydra as more basal than Lutra and Aonyx. Koeppfli et al. (2008a) used the same set of gene sequences, but in a broader sample of extant mustelids.

Our new fossil species, Siamogale melilutra, is closely related to S. thailandica, with which it shares a shelf-like P4 protocone (character 22, state 1, convergent with extant otters except Enhydra lutris), a cuspule distal to the metacone on M1 (character 31, state 1), and a distal ridge of the m1 metaconid (character 33, state1, convergent with Tyrrhenolutra, Paludolutra and Enhydritherium). This relationship is strongly supported (clade 4, Fig. 13A and clade 3, Fig. 13B; bootstrap = 78% for the parsimony analysis; posterior probability = 0.92 for the Bayesian analysis). Additionally, as a result of the parsimony analysis, the European Paralutra jaegeri is basal to these eastern Asian otters (clade 3, Fig. 13A). Synapomorphies for clade 3 include the presence of a mesiodistal M1 cingulum (character 28, state 1) and a distally expanded M1 talon (character 29, state 2).

Clade 6 of Figure 13A regrouping Vishnuonyx–Sivaonyx–Enhydriodon–Enhydra is supported by the absence of crenulation on M1 (character 30, state 0), the presence of an M1 cuspule lingual to the metacone (character 31, state 2, convergent with Enhydritherium–Paludolutra), and a distinct p4 distal accessory cusp (character 32, state 1, convergent with Lontra and Enhydra, and within Aonyx individuals). Enhydriodon and Enhydra (clade 7, Fig. 13A) are further distinguished by the presence of a metastylar notch on P4 (character 21, state 1, convergent with Paralutra jaegeri). The Bayesian tree displays a clade Vishnuonyx–Sivaonyx–Enhydriodon (clade 4, Fig. 13B) with an unresolved placement of Enhydra within fossil and most of extant otters (clade 2, Fig. 13B). In that case, Enhydriodon is basal to Vishnuonyx and Sivaonyx, which is unexpected given the degree of bunodonty and the stratigraphical occurrence of those genera in the Siwaliks of the Indian subcontinent (Vishnuonyx ancestral to Sivaonyx and Enhydriodon in Willemsen 1992; Pickford 2007).

Paralutra, like Vishnuonyx, is another genus of otter that has been previously hypothesized to have given rise to one of the main otter clades (e.g. Willemsen 1992; Pickford 2007), but species of Paralutra do not constitute a monophyletic group: Paralutra jaegeri is basal to the eastern Asian Siamogale (clade 3, Fig. 13A), whereas ‘Paralutra’ garganensis is closely related to Enhydritherium terranovae and Paludolutra species (clade 10, Fig. 13A). These later Italian and North American fossil otters display a low-crowned P4 hypocone (character 26, state 1, convergent with Enhydra). Furthermore Enhydritherium and Paludolutra (clade 11, Fig. 13A) share the presence of a cuspe lingual to the metacone on M1 (character 31, state 2). This relationship is supported both in the parsimony and Bayesian analyses (clades 10 and 11, Fig. 13A; clades 7 and 8, Fig. 13B). In both the parsimony and Bayesian analyses, Tyrrhenolutra helbingi is basal to ‘Paralutra’ garganensis, Paludolutra and Enhydritherium (clade 9, Fig. 13A; clade 6, Fig. 13B). Additionally, in the majority consensus tree of the parsimony analysis, the clade Tyrrhenolutra–‘Paralutra’ garganensis–Paludolutra–Enhydritherium (clade 9) is more closely related to the clade Vishnuonyx–Sivaonyx–Enhydriodon–Enhydra (clade 6) than to Paralutra jaegeri–Siamogale (clade 3). Clade 5 of Figure 13A
includes otters united by a high-crowned P4 hypocone (character 26, state 2, reversed in ‘Paralutra’ garganensis and Enhydra). Finally, the relationships of Lutra aonychoides with our fossil otter sample still remains unresolved (in clade 2 of Fig. 13A, B).

Our phylogeny provides important insights into the evolutionary history of otters. We suggest for the first time that the middle Miocene European species of Paralutra are not monophyletic, but rather that ‘Paralutra’ garganensis represents a basal taxon at the origin of European
and North American fossil otters (Paludolutra–Enhydritherium), whereas Paralutra jaegeri is in a clade of badger-like eastern Asian fossil otters, including our new species from Yunnan and Yushu. Another major contribution of our phylogenetic analyses concerns the origin of the extant sea otter. *Enhydra* falls into the Eurasian and African fossil clade of *Vishnuonyx–Sivaox–Enhydritherium* and, more specifically, is suggested to be closely related to *Enhydritherium*. Our phylogeny is in accordance with those of Willemsen (1992) and Pickford (2007), who proposed a close relationship between *Enhydritherium* and *Enhydra*, but is in contradiction with that of Berta & Morgan (1985), who placed *Enhydritherium* basal to *Enhydritherium + Enhydra*. In fact, the lack of a parastyle in *Enhydritherium* and *Enhydra* (character 27 in our matrix – coded as present and large in *Enhydritherium*, contrary to the character state in the phylogeny of Berta & Morgan 1985) is regarded as convergent. Also, *Paludolutra* is usually grouped with *Vishnuonyx–Sivaox–Enhydritherium* in the Enhydriodontini (Morales & Pickford 2005), whereas we propose that *Paludolutra* is a sister taxon of *Enhydritherium*. Our phylogeny therefore supports the idea of convergence among bunodont otters from the Miocene to the present. In this scenario, the acquisition of a bunodont dentition occurred at least three times in the evolution of otters: in *Sivaonyx–Enhydritherium–Enhydra*, in *Paludolutra–Enhydritherium*, and in the eastern Asian otter *Siamogale*.

**Chronological, zoogeographical and environmental remarks**

The Shuitangba *Siamogale melilutra* is much younger than its Thai sister taxon, *S. thailandica*. The latter is known from the Q–K lignite layers in the Na Khaem Formation (Grohé et al. 2010), which fall within a reversed magnetic zone that was correlated to Chron C5AAr (Coster et al. 2010) with an Astronomically Tuned Neogene Time Scale (ATNT2012) calibrated age range of 13.36–13.18 Ma (Hilgen et al. 2012) in the late middle Miocene. Therefore, *S. melilutra* is likely 6 million years younger than *S. thailandica* and their common ancestor is likely to be much older still.

It is perhaps surprising that the Shuitangba *Siamogale melilutra* has not been recognized from the Lufeng and Yuanmou Miocene hominoid sites in northern Yunnan, but has instead been recorded from the Yushe Basin, 1400 km to the north-east and about 1 million years younger in age (~4.9–5.4 Ma) (Fig. 1). Otters are known from the Shihuiba (Lufeng) site (6.2–6.9 Ma, or 6.25–6.73 Ma in 2012 calibration) (Yue & Zhang 2006; Hilgen et al. 2012) and the Yuanmou Basin (7.2–8.2 Ma, or 7.21–8.25 Ma in 2012 calibration) (Yue & Zhang 2006; Hilgen et al. 2012), but they are referred to enhydriodontines (Qi 1983, 1985, 2006; Grohé et al. 2013). The linking of the faunas of south-western China to those as far north as the Loess Plateau in Yushu has interesting zoogeographical implications. The Yunnan late Miocene faunas were formerly considered to have substantial Oriental affinities (L. J. Flynn & Wessels 2013) and most faunas from North China differ at high taxonomic levels from their counterparts from the Oriental Province, perhaps due as much to the physical distance as to environmental disparities, with the Yunnan late Neogene assemblages typically representing moist forest environments. Kelley & Gao (2012), however, suggest more complexities in faunal affinities between South China and Southeast Asia, with major differences in the composition of the large-mammal faunas in the two regions during the late Miocene at the level of families and orders. A long-distance dispersal of *Siamogale*, crossing several major East China drainages as well as latitudinal climatic zones, attests to the notable dispersal capability and adaptability of an animal that is not hampered by major geographical features like river systems that may serve as barriers to facultative terrestrial carnivores.

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Supplemental data

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