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## Engineering A11 minibody-conjugated, polypeptide-based gold nanoshells for prostate stem cell antigen (PSCA)-targeted photothermal therapy

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Keywords:	polypeptide vesicles, photothermal therapy, gold nanoshells
Abstract:	<p>Currently, there is no curative treatment for advanced metastatic prostate cancer, and options, such as chemotherapy, are often nonspecific, harming healthy cells and resulting in severe side effects. Attaching targeting ligands to agents used in anti-cancer therapies has been shown to improve efficacy and reduce nonspecific toxicity. Furthermore, the use of triggered therapies can enable spatial and temporal control over the treatment. Here, we combined an engineered prostate cancer-specific targeting ligand, the A11 minibody, with a novel photothermal therapy agent, polypeptide-based gold nanoshells, which generate heat in response to near infrared light. We show that the A11 minibody strongly binds to the prostate stem cell antigen that is overexpressed on the surface of metastatic prostate cancer cells. Compared to non-conjugated gold nanoshells, our A11 minibody-conjugated gold nanoshell exhibited significant laser-induced, localized killing of prostate cancer cells in vitro. In addition, we improved upon a comprehensive heat transfer mathematical model that was previously developed by our laboratory. By relaxing some of the assumptions of our earlier model, we were able to generate more accurate predictions. Our experimental and theoretical results demonstrate the potential of our novel minibody-conjugated gold nanoshells for metastatic prostate cancer therapy.</p>

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3 **Engineering A11 minibody-conjugated, polypeptide-based gold nanoshells for prostate**  
4 **stem cell antigen (PSCA)-targeted photothermal therapy**  
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## Abstract

Currently, there is no curative treatment for advanced metastatic prostate cancer, and options, such as chemotherapy, are often nonspecific, harming healthy cells and resulting in severe side effects. Attaching targeting ligands to agents used in anti-cancer therapies has been shown to improve efficacy and reduce nonspecific toxicity. Furthermore, the use of triggered therapies can enable spatial and temporal control over the treatment. Here, we combined an engineered prostate cancer-specific targeting ligand, the A11 minibody, with a novel photothermal therapy agent, polypeptide-based gold nanoshells, which generate heat in response to near infrared light. We show that the A11 minibody strongly binds to the prostate stem cell antigen that is overexpressed on the surface of metastatic prostate cancer cells. Compared to non-conjugated gold nanoshells, our A11 minibody-conjugated gold nanoshell exhibited significant laser-induced, localized killing of prostate cancer cells *in vitro*. In addition, we improved upon a comprehensive heat transfer mathematical model that was previously developed by our laboratory. By relaxing some of the assumptions of our earlier model, we were able to generate more accurate predictions. Our experimental and theoretical results demonstrate the potential of our novel minibody-conjugated gold nanoshells for metastatic prostate cancer therapy.

## 1. Introduction

Prostate cancer cells can be targeted through prostate-specific membrane-bound antigens, such as prostate specific membrane antigen (PSMA) and prostate stem cell antigen (PSCA). In this research, we focused on targeting PSCA, which is predominantly prostate-specific and is overexpressed on prostate cancer cells with restrictive expression in normal tissues. PSCA

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3 expression increases markedly with tumor stage, grade, and progression to androgen  
4 independence, where PSCA was found in 80% of localized tumors and all bone metastases<sup>1,2</sup>.  
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6 The expression patterns of PSCA enable targeting of difficult-to-treat metastatic and androgen-  
7 independent tumor cells. Based on the promise of PSCA, researchers developed antibodies  
8 against PSCA, which could enable specificity in the treatment and imaging of prostate cancer.  
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16 One such targeting agent is the A11 minibody, which was developed for the purpose of  
17 detecting prostate cancer tumors using positron emission tomography (PET)<sup>3</sup>. The A11 minibody  
18 has enabled successful imaging of localized and metastatic prostate cancer with high contrast<sup>3-5</sup>.  
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20 Its high affinity and specificity for PSCA-expressing cells also makes A11 an ideal candidate for  
21 targeting therapeutics to prostate cancer. Unlike many common cancer-targeting ligands, such as  
22 transferrin, A11 is not readily endocytosed by cells, but instead remains on the cell surface after  
23 binding with the antigen<sup>5</sup>. In order to exploit this strong binding, but low internalization  
24 properties of A11, we developed a targeted photothermal therapy agent, which can exert its  
25 cytotoxic effect while bound to the surface of cells.  
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38 In photothermal therapy, the energy from electromagnetic radiation is harnessed and  
39 converted into thermal energy to ablate tumor cells. Gold nanostructures have been investigated  
40 due to their ability to demonstrate surface plasmon resonance (SPR), where the conduction band  
41 electrons of the gold particles undergo coherent oscillation upon light irradiation<sup>6</sup>. At the peak  
42 SPR wavelength, the absorption of energy is significantly enhanced, which is important for the  
43 conversion of light into heat as the oscillation energy dissipates. In addition to using gold-based  
44 nanostructures for photothermal therapy, other laboratories have demonstrated the coupling of  
45 photothermal-capable gold nanostructures with gene expression profiling in cells<sup>7,8</sup>. The gold  
46 nanoshell structure, which consists of a thin layer of gold coated onto a dielectric core, has been  
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3 shown to demonstrate strong SPR in the near infrared region<sup>9</sup>. Near infrared-responsive agents  
4 are desired for a minimally invasive treatment, as near infrared light can best penetrate into tissue  
5 due to the low absorption coefficient of biological molecules, such as water and hemoglobin, and  
6 reduced scattering as compared to light in the visible region<sup>9</sup>. Furthermore, by using  
7 photothermal therapy together with the slow internalization rate of the A11 minibody, several  
8 advantages can be utilized compared to the photothermal effect induced by a gold nanoshell that  
9 exhibits significant internalization. First, the membrane disruption caused by thermal energy  
10 provides the most direct opportunity to damage the cell. In addition, the accumulation of gold  
11 nanoshells on the surface of the cell further focuses the photothermal effect into a confined area.  
12 Furthermore, the cell membrane has a relatively low thermal conductivity which can cause larger  
13 temperature gradients and subsequently more intense hyperthermic effects<sup>10</sup>.

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30 In this study, polypeptide vesicles are used as the core material of our gold nanoshells. As  
31 an emerging class of biomaterials, polypeptide vesicles have many benefits. Due to the wide  
32 variety of naturally occurring and synthetic amino acid building blocks, polypeptides can be  
33 engineered to exhibit unique chemical and physical properties. Additionally, polypeptide  
34 materials have the potential to exhibit low immunogenicity and toxicity. Polypeptide vesicles  
35 have also demonstrated the ability to encapsulate and deliver cargo, such as nucleic acids and  
36 anti-cancer drugs<sup>11-13</sup>. Moreover, the thicker vesicle bilayer, relative to conventional liposomes,  
37 increases the stability of the polypeptide vesicles due to greater attractive interactions between  
38 the long polypeptide chains.

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52 In order to create the polypeptide-based gold nanoshells, we chemically deposited a thin  
53 layer of gold onto the polypeptide vesicle surface comprised of poly(L-lysine)<sub>60</sub>-*block*-poly(L-  
54 leucine)<sub>20</sub> block copolypeptides (K<sub>60</sub>L<sub>20</sub>). The K<sub>60</sub>L<sub>20</sub> vesicles were an ideal candidate for use as  
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3 a core material, since the abundance of amines present on the lysine blocks of the vesicle surface  
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5 can form dative bonds with the gold to create an adherent shell. In this investigation, we aimed to  
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8 use the A11 minibody to improve the specificity of the K<sub>60</sub>L<sub>20</sub> gold nanoshells toward prostate  
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10 cancer cells to enhance the efficacy of the photothermal therapy agent.  
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## 16 **2. Materials and Methods**

### 17 **Synthesis of A11 Minibody**

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24 The A11 minibody was produced and purified as described previously by Wu and  
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26 coworkers<sup>4</sup>. Briefly, the A11 scFv gene was fused to the human IgG1 hinge and C<sub>H</sub>3 region  
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28 downstream as well as to a signal peptide upstream, and inserted into the pEE12 expression  
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30 vector. 10 µg of linearized plasmid DNA containing the minibody construct were used to  
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32 transfect NS0 mouse myeloma cells by electroporation; selection was conducted in glutamine-  
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34 deficient media; and a stable, high-producing subclone was isolated and expanded. Cell culture  
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36 supernatants were conditioned using Dowex AG1x8 (Bio-Rad Laboratories, Irvine, CA) and the  
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38 A11 minibody was purified by sequential chromatography on Capto MMC (GE Healthcare Life  
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40 Science, Marlborough, MA), anion exchange using UNOsphere Q (Bio-Rad) and finally with  
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42 CHT ceramic hydroxyapatite (Bio-Rad) as described<sup>4</sup>. After purification, the proteins were  
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44 dialyzed against phosphate-buffered saline (PBS) and then concentrated with Vivaspin 20  
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46 centrifugal concentrators (MWCO: 30,000). UV absorbance at 280 nm was then measured to  
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48 determine the final protein concentrations.  
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### Radiolabeling the A11 Minibody

Tyrosine residues of A11 were radiolabeled with Na<sup>125</sup>I using IODO-BEADS (Pierce, Rockford, IL). Radiolabeled A11 samples were purified from free <sup>125</sup>I with a Sephadex G10 size-exclusion column with bovine serum albumin (BSA) added to prevent nonspecific binding to the column. The phosphotungstic acid (PTA) assay was used to quantify the specific activity and concentration of each radiolabeled sample<sup>14</sup>.

### Cellular Binding and Trafficking

PSCA-transfected 22Rv1 prostate cancer cells were seeded onto 35 mm dishes (Becton Dickinson and Company, Franklin Lakes, NJ) with a seeding density of  $1.25 \times 10^5$  cells/cm<sup>2</sup> in growth medium. The growth medium was RPMI 1640 supplemented with 10% fetal bovine serum and 1% penicillin/streptomycin (pH 7.4). After 15 h of incubation in a humidified 5% CO<sub>2</sub> and 37°C environment, the growth medium was aspirated, and new incubation medium with 1 nM radiolabeled A11 was added to each dish. The incubation medium was RPMI 1640 supplemented with 20 mM 4-(2-hydroxyethyl)-1-piperazineethanesulfonic acid (HEPES) and 1% penicillin/streptomycin (pH 7.4). The cells were incubated in this medium at 37°C for 1, 2, 5, 7, 10, and 60 min. At each time point, the incubation medium was removed, and the cells were washed 5 times with ice-cold WHIPS (20 mM HEPES, 1 mg/mL polyvinylpyrrolidone (PVP), 130 mM NaCl, 5 mM KCl, 0.5 mM MgCl<sub>2</sub>, and 1 mM CaCl<sub>2</sub>, pH 7.4) to remove nonspecifically bound A11 on the cell surfaces. Cell-surface bound A11 was then separated from internalized A11 by adding 1 mL of ice-cold acid strip (50 mM glycine-HCl, 100 mM NaCl, 1 mg/mL PVP, and 2 M urea, pH 3.0) to each dish. The cells were placed on ice for 12 min. Each dish was then washed once more with 1 mL of acid strip. The radioactivity in the collected acid strip washes was quantified using a Cobra Series Auto-Gamma Counter (Packard Instrument Co., Meriden,

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3 CT) to determine surface bound A11. Lastly, the cells were solubilized by adding 1 mL of a 1 N  
4 NaOH solution to each dish for 30 min, followed by another 1 mL NaOH wash. The two NaOH  
5 washes were collected, and the radioactivity in the solution was quantified as described above to  
6 determine the amount of internalized A11. Each entire experiment was performed three times,  
7 where triplicate measurements were obtained for each time point in each experiment.  
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### 15 16 **Making Polypeptide-Based Gold Nanoshells**

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19 The K<sub>60</sub>L<sub>20</sub> block copolypeptide was synthesized by transition-metal-initiated  $\alpha$ -amino  
20 acid *N*-carboxyanhydride polymerization as previously described<sup>11</sup>. After freeze-drying, the  
21 K<sub>60</sub>L<sub>20</sub> polypeptide was processed into vesicles using a modification of a method previously  
22 reported<sup>11-13</sup>. Specifically, the vesicles were formed by first dissolving 10 mg of polypeptide in 1  
23 mL of a 15% tetrahydrofuran (THF) solution in sterile Milli-Q water. Another 1 mL of a 15%  
24 THF solution was then added to yield a final polypeptide concentration of 0.5% w/v.  
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26 Subsequently, the mixture was placed in a dialysis bag (MWCO = 8,000 Da) and dialyzed  
27 against sterile Milli-Q water overnight to remove the THF, where the sterile Milli-Q water was  
28 changed every hour for the first 4 hours. After processing, the K<sub>60</sub>L<sub>20</sub> vesicles were serially  
29 extruded through polycarbonate membranes with 1000, 400, 200, and lastly 100 nm pores in  
30 order to obtain a uniform vesicle size. A 4.63 mL suspension of the resulting polypeptide  
31 vesicles (1.34 mg) was adjusted to pH 7 using 7% ammonium hydroxide (Sigma-Aldrich, St.  
32 Louis, MO). Hydroxylamine hydrochloride (Sigma-Aldrich, St. Louis, MO) was added in excess  
33 (500  $\mu$ L of a 0.2 M solution), followed by 154  $\mu$ L of a 0.5% gold (III) chloride solution (Sigma-  
34 Aldrich, St. Louis, MO) in two 77- $\mu$ L aliquots. The suspension was allowed to spin overnight,  
35 and the gold-coated vesicles were recovered by centrifugation at 9,000 rcf for 10 min. The pH  
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3 was found to be critical in the gold-coating process; therefore, the pH was adjusted as needed to  
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5 maintain a pH of 7 throughout the coating process.  
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### 8 9 **Conjugation Scheme for A11 and PEG to Polypeptide-Based Gold Nanoshells**

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11 Gold nanoshells can be surface-functionalized with thiol-containing moieties through  
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13 dative bonds. In order to conjugate A11 to the gold nanoshells, we cleaved the disulfide linker  
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15 between the constant regions of the minibody to expose free thiols. Briefly, free thiol groups on  
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17 A11 were exposed by breaking the disulfide linkages between the heavy chains using a 3:1 molar  
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19 ratio of the minibody to dithiothreitol (DTT), enabling conjugation to the gold nanoshells  
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21 through dative bonds. The free DTT was then purified from the thiolated A11 using 10K MWCO  
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23 spin concentrators (EMD Millipore, Billerica, MA). We also saturated the gold nanoshell surface  
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25 using thiol-functionalized polyethylene glycol (PEG). To conjugate the PEG and A11 to the gold  
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27 nanoshells, the pH of the gold nanoshell suspension was adjusted to 9 using 1.5 M NaOH.  
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29 Monofunctional methoxy-PEG-thiol (5,000 MW) (Nanocs, Boston, MA) and thiolated A11 were  
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31 added at a molar ratio of 10000:1000:1 PEG:A11:gold nanoshell. To ensure adequate  
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33 conjugation of A11, 0.08 mg of A11 were added to the gold nanoshells first, and allowed to react  
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35 for 30 min while stirring, followed by the conjugation of 0.1 mg PEG for 10 min. Free PEG and  
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37 A11 were purified by centrifugation at 2000 rcf for 2 min. To provide stability during the  
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39 recovery process, we added bovine serum albumin (BSA) in excess (50  $\mu$ L of 10% w/v BSA) to  
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41 passivate the surfaces of the plastic tube as well as the gold nanoshell. To make the PEGylated  
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43 gold nanoshells, a similar protocol was followed without the addition of A11.  
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## Characterization of A11-Conjugated Gold Nanoshells

Size measurements were performed on the A11-conjugated and PEGylated gold nanoshells with the Malvern Zetasizer Nano ZS model Zen 3600 (Malvern Instruments Inc., Westborough, MA). For the transmission electron microscopy (TEM), the colloidal gold nanoshells were first mixed by pipetting multiple times and immediately applied to carbon-coated copper grids. The grids were air-dried without staining or blotting. TEM images were then recorded inside an FEI T20 200kV transmission electron microscope on an FEI Eagle 4 megapixel CCD camera. The extinction profile of the gold nanoshells was measured to verify the significant surface plasmon resonance in the near infrared region using a UV-visible-NIR spectrophotometer (Thermo Scientific BioMate 3S) over a range of wavelengths from 400 to 1100 nm.

## Measuring Heat Generation

Gold nanoshells (extinction at 808 nm = 0.2 a.u.) were irradiated with a 200 mW laser diode, 808 nm (ThorLabs, Inc., Newton, NJ) for 10 min at a power density of approximately 33 W cm<sup>-2</sup>. The temperature was measured at desired time points using a thermocouple (OMEGA Engineering INC. Model HYP-0, Stamford, CT) placed into a 96 well plate in 100 μL of the gold nanoshell suspension in water.

## Mathematical Model for Heat Transfer of A11 Minibody-Conjugated Gold Nanoshells

Our laboratory previously derived a detailed mathematical model for heat transfer from gold nanoshells<sup>15</sup>. This model was improved by relaxing a few of our previous assumptions.

The previous model assumed the rate of mass loss to evaporation was a constant. We relaxed this assumption, and allowed the rate of mass lost to evaporation to vary as a function of

temperature based on the mathematical relations reported by Saylor and coworkers<sup>16</sup>. Briefly, the mass transfer coefficient due to evaporation is defined in **Eq. (1)**:

$$h_m = \frac{\dot{m}''}{\Delta\rho_{wv}} \quad (1)$$

where  $h_m$  is the mass transfer coefficient ( $\text{m s}^{-1}$ ),  $\dot{m}''$  is the mass flux ( $\text{kg m}^{-2} \text{s}^{-1}$ ), and  $\Delta\rho_{wv}$  is defined in **Eq. (2)**:

$$\Delta\rho_{wv} = \rho_{wv,s} - \gamma \rho_{wv,a} \quad (2)$$

where  $\rho_{wv,s}$  is the saturated vapor density at the water surface ( $\text{kg m}^{-3}$ ),  $\rho_{wv,a}$  is the saturated vapor density in ambient air ( $\text{kg m}^{-3}$ ), and  $\gamma$  is the relative humidity immediately above the air-water interface, which was set to be 75% throughout the experiment. To evaluate both saturated vapor densities, the saturated vapor pressures at the appropriate temperatures were first determined using the Antoine equation, followed by a conversion from saturated vapor pressure to density using the ideal gas equation of state. The Sherwood number (Sh), which is defined as the ratio of the convective mass transfer to the rate of diffusive mass transport alone, is given by **Eq. (3)**:

$$\text{Sh} = \frac{W h_m}{D} \quad (3)$$

where  $W$  is the diameter of the well (m), and  $D$  is the diffusion coefficient of water vapor in air ( $\text{m}^2 \text{s}^{-1}$ ), which was also modeled as a function of temperature<sup>17</sup>. The Sherwood number was correlated to the Rayleigh number (Ra), which is the product between the ratio of buoyancy to viscous forces and the ratio of momentum to thermal diffusivities<sup>18</sup>:

$$\text{Sh} = (0.0019)\text{Ra}^{0.329} \quad (4)$$

The Rayleigh number (Ra) is defined as follows<sup>16</sup>:

$$\text{Ra} = \frac{g \Delta\rho W^3}{\bar{\rho} \nu \alpha} \quad (5)$$

where  $g$  is the acceleration due to gravity at sea level ( $\text{m s}^{-2}$ ),  $\Delta\rho$  is the difference between the air/vapor mixture densities at the water surface and at ambient air ( $\text{kg m}^{-3}$ ), and  $\bar{\rho}$  is the average air/vapor mixture density between the water surface and ambient air ( $\text{kg m}^{-3}$ ). To determine both densities, the average air/vapor mixture pressures at the appropriate temperatures were first determined with the Antoine equation, followed by converting the average air/vapor mixture pressures to densities using the ideal gas equation of state. In addition,  $\nu$  and  $\alpha$  are the kinematic viscosity ( $\text{m}^2 \text{s}^{-1}$ ) and the thermal diffusivity ( $\text{m}^2 \text{s}^{-1}$ ) of air, respectively. Both  $\nu$  and  $\alpha$  were also modeled as a function of temperature based on the correlations provided by Tsilingiris<sup>19</sup>. Thus, by using **Eq. (2)-(5)**, the rate of mass loss to evaporation ( $\text{kg s}^{-1}$ ) can be calculated as a function of temperature.

Furthermore, the convective heat transfer coefficient of air was also modified from our previous model to better reflect the experimental conditions. The Nusselt-Rayleigh correlation that was incorporated in our new version of the model is given by **Eq. (6)**<sup>20</sup>:

$$\text{Nu} = (1.759) \text{Ra}^{0.130} \quad (6)$$

where<sup>16</sup>:

$$U_{\text{air}} = \frac{\text{Nu} \lambda_{\text{air}}}{W} \quad (7)$$

and  $U_{\text{air}}$  is the convective heat transfer coefficient of air ( $\text{W m}^{-2} \text{K}^{-1}$ ), and  $\lambda_{\text{air}}$  is the thermal conductivity of air ( $\text{W m}^{-1} \text{K}^{-1}$ ), which was modeled as a function of temperature based on the correlations provided by Tsilingiris<sup>19</sup>. Using **Eqs. (5)-(7)**,  $U_{\text{air}}$  can also be calculated as a function of temperature. Note that our previous model had used constants for parameters in the Nu equation (**Eq. (7)**), while we are now allowing these parameters to vary with temperature. All of the other model components were the same as those previously reported<sup>15</sup>.

### Photothermal Therapy Using A11-Conjugated Gold Nanoshells

Gold nanoshells were incubated with PSCA-expressing 22Rv1 prostate cancer cells for 30 min in serum-free media. After incubation, cells were washed with PBS. 100  $\mu$ L of PBS were then added to the wells, and the cells were irradiated with an 808 nm laser diode at a power density of approximately 33 mW/cm<sup>2</sup> for 10 min. A Live/Dead Stain Kit (calcein AM and ethidium homodimer, Molecular Probes, CA, USA) was used to evaluate the viability of the cells. Cells were examined using fluorescent microscopy with an EVOS fl Digital Inverted Fluorescence Microscope (Advanced Microscopy Group/Life Technologies, Grand Island, NY).

### 3. Results

#### Determining the internalization rate constant of the A11 Minibody

In order to determine the internalization rate constant of the A11 minibody, a model of the cellular trafficking pathway was developed based on a species balance on the internalized A11-antigen complexes. The change in internalized complexes with respect to time can be increased through internalization of the surface-bound complexes and decreased through both recycling and degradation of the internalized complexes (**Eq. (8)**):

$$\frac{dC_i}{dt} = k_{int}C_s - k_{rec}C_i - k_{deg}C_i \quad (8)$$

where  $C_i$  is the concentration of internalized A11-antigen complexes,  $t$  is the time,  $k_{int}$  is the internalization rate constant,  $C_s$  is the concentration of surface-bound A11,  $k_{rec}$  is the recycling rate constant, and  $k_{deg}$  is the degradation rate constant.

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3 Short time points (less than 10 min) were chosen so that recycling and degradation were  
4 negligible in the experiment. Integrating the resulting equation using the initial condition that no  
5 A11 is present inside the cell yields **Eq. (9)**:  
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$$C_i = k_{int} \int_0^t C_s dt' \quad (9)$$

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14 Based on **Eq. (9)**, the internalization rate constant can be determined as the slope of the graph of  
15 the concentration of internalized A11 as a function of the integral of the concentration of surface  
16 complexes over time. To obtain this data, radiolabeled A11 was incubated with PSCA-  
17 expressing 22Rv1 prostate cancer cells, and the surface-bound and internalized radiolabeled A11  
18 were quantified at the desired time points. The trapezoidal rule was then applied to obtain the  
19 integral of the surface complexes over time. Plotting the data based on the math model (**Eq. (9)**),  
20 the internalization rate constant was determined as the slope of the plot of the internalized A11 as  
21 a function of the integral of the cell-surface complexes over time. The average internalization  
22 rate constant for PSCA-expressing 22Rv1 cells was found to be  $0.0073 \text{ min}^{-1}$  (**Figure 1**).  
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## A11 Minibody-Conjugated Polypeptide-Based Gold Nanoshells

Dynamic light scattering characterization of the A11 minibody and polyethylene glycol (PEG)-conjugated gold nanoshells and the PEGylated gold nanoshells without A11 showed that both types of nanoshells were around 200 nm in size with polydispersity indices being 0.14 and 0.21, respectively (**Table 1**). Direct observation of these nanoshell preparations under TEM confirmed these dynamic light scattering results, revealing spherical gold particles with various diameters up to 200 nm (**Figure 2**). Furthermore, the extinction profiles demonstrated that the targeted and non-targeted gold nanoshells maintained strong surface plasmon resonance in the near infrared region (**Figure 3**).

### Mathematical Model for Heat Transfer of A11 Minibody-Conjugated Gold Nanoshells

To gain a better understanding of our A11 minibody-conjugated gold nanoshells in the context of photothermal therapy. We improved the mathematical model for heat transfer that was previously developed by our research group for heat generation of gold nanoshells<sup>15</sup>. The mathematical model was solved numerically using finite difference equations and the method of lines, which were coded into the MATLAB programming language. The temperature increase as a function of time for the gold nanoshells was predicted using the mathematical model, and the prediction was compared with our experimental measurements of temperature. Our revised model was able to reasonably predict the rise of the temperature, especially near the beginning of the experiment (**Figure 4**). It is important to include the temperature dependence of the parameters affecting the evaporation rate and the heat transfer coefficient as the temperatures are relatively lower at the beginning of the heat generation experiment.

## PSCA-Targeted Photothermal Therapy Using Polypeptide-Based Gold Nanoshells

As shown in **Figure 5**, both the targeted and non-targeted gold nanoshells generated significant amounts of heat within 10 min and with similar heating profiles. Using these gold nanoshells *in vitro*, we demonstrated localized and specific laser-induced cytotoxicity, where PSCA-expressing 22Rv1 cells incubated with targeted gold nanoshells showed cell death only within the laser-irradiated region (**Figure 6 a, b**). No similar region was observed for the non-targeted gold nanoshells (**Figure 6 c, d**).

### 4. Discussion

This work represents the first successful development of an A11 minibody-conjugated near infrared-responsive gold nanoshell for photothermal therapeutic treatment of prostate cancer. Before developing the gold nanoshells, we first characterized the internalization rate constant of A11 to quantitatively confirm observations that A11 does not significantly internalize into prostate cancer cells. Using an ordinary differential equation for the number of internalized A11-PSCA complexes and performing radiolabeling experiments, the internalization rate constant was determined for PSCA-transfected 22Rv1 prostate cancer cells. Similar to previous reports<sup>5</sup>, we observed rapid binding of A11 to PSCA-expressing cells; however, cellular internalization was relatively low. We chose to focus on the binding and internalization processes at short time points (less than 10 min), where degradation and recycling processes could be neglected, thereby allowing us to focus on the binding and internalization processes. The average internalization rate constant for PSCA-expressing 22Rv1 cells was found to be considerably less than the internalization rate constant for ligands known to be endocytosed by

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3 cells. For example, the internalization rate constant of transferrin in HeLa cells is about 0.38  
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5  $\text{min}^{-1}$ <sup>21</sup>, while that of epidermal growth factor in A431 epidermoid carcinoma cells is between  
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7 0.04 to 0.16  $\text{min}^{-1}$ <sup>22</sup>. In addition, the internalization rate constant of insulin in Chinese Hamster  
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9 Ovary cells is approximately 0.187  $\text{min}^{-1}$ <sup>23</sup>, while that of vascular endothelial growth factor in  
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11 human umbilical vein endothelial cells is approximately 0.282  $\text{min}^{-1}$ <sup>24</sup>. Based on the  
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13 internalization properties of the A11 minibody, we chose to develop a nanoparticle system that  
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15 could exert its toxic effect while remaining bound to the surface of the cell.  
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21 Here, we combined the prostate cancer-targeting ligand, the A11 minibody, with our  
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23 novel polypeptide-based gold nanoshells for use as a photothermal therapy agent. We  
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25 characterized their size and morphology using dynamic light scattering and transmission electron  
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27 microscopy, investigated the ability to generate heat upon laser irradiation, and evaluated the  
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29 enhanced efficacy for treatment of PSCA-expressing prostate cancer cells.  
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33 To create the gold nanoshells, we reduced gold onto the surface of the K<sub>60</sub>L<sub>20</sub> vesicles.  
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35 Next, to provide *in vivo* stability, we conjugated PEG to the surface through dative bonds, where  
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37 PEG has been demonstrated to prevent aggregation and nonspecific adsorption of serum  
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39 proteins. Characterization of the gold nanoshells after conjugation showed that the particles were  
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41 relatively monodisperse and within the size range for passive targeting of solid tumors, such as  
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43 prostate cancer tumors. Passive targeting, which is possible due to the enhanced permeability and  
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45 retention effect of solid tumors, has been demonstrated for particles within the size range of 60-  
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47 400 nm in diameter due to the leaky vasculature and poor lymphatic drainage at the tumor site<sup>25</sup>.  
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50 Additionally, the extinction profile of the gold nanoshells indicated that they would be  
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52 responsive to near infrared irradiation.  
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3 The photothermal therapeutic properties of the gold nanoshells *in vitro* were also  
4 investigated. To evaluate their ability to generate heat in response to near infrared irradiation, we  
5 measured the temperature as a function of exposure time to 808 nm, narrow band illumination.  
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7 The water surrounding the gold nanoshells demonstrated an 18 degree Kelvin increase in  
8 temperature over the 10-min experiment, which was also supported by our mathematical model.  
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10 Our updated parameters improved our prediction of the initial temperature rise of our gold  
11 nanoshells upon near-infrared irradiation. Furthermore, the targeted and non-targeted gold  
12 nanoshells showed similar heating profiles. To determine if the temperature increase could cause  
13 cellular toxicity, we incubated the targeted and non-targeted gold nanoshells in the presence of  
14 PSCA-expressing prostate cancer cells. Upon laser irradiation, significant and localized cell  
15 death occurred within the irradiated region for the A11-conjugated gold nanoshells, while little to  
16 no cell death was observed for the non-targeted gold nanoshells. These results indicate that the  
17 A11 minibody enhances the cellular binding and association of gold nanoshells, which results in  
18 greater efficacy of the therapy.  
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37 In conclusion, we have demonstrated the ability to create A11-conjugated gold nanoshells  
38 with the appropriate size and heat generation properties for photothermal treatment of prostate  
39 cancer. The PSCA-targeted polypeptide-based gold nanoshells also showed promise by  
40 exhibiting greater efficacy as a photothermal therapy agent compared to non-targeted gold  
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## Acknowledgments

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**Table 1.** Characterization of A11 minibody-conjugated and PEGylated polypeptide-based gold nanoshells and PEGylated polypeptide-based gold nanoshells without the A11 minibody. The range of values correspond to standard deviations from 3 measurements of the sample.

$K_{60L_{20}}$ Vesicles	Size (nm)	Polydispersity Index (PDI)
<b>Gold-coated</b>	148 $\pm$ 3	0.11 $\pm$ 0.01
<b>PEGylated and Gold-coated</b>	183 $\pm$ 4	0.14 $\pm$ 0.03
<b>A11 Minibody-conjugated, PEGylated, and Gold-coated</b>	200 $\pm$ 5	0.21 $\pm$ 0.01

## Figure Legends

**Figure 1.** Determining the A11 internalization rate constant for PSCA-transfected 22Rv1 prostate cancer cells. The entire experiment was performed three times, and the error bars correspond to standard deviations.

**Figure 2.** TEM images of (a) A11 minibody-conjugated and PEGylated polypeptide-based gold nanoshells and (b) PEGylated polypeptide-based gold nanoshells without the A11 minibody. Scale bar is 200 nm.

**Figure 3.** Extinction profiles of (a) A11 minibody-conjugated and PEGylated polypeptide-based gold nanoshells and (b) PEGylated polypeptide-based gold nanoshells without the A11 minibody.

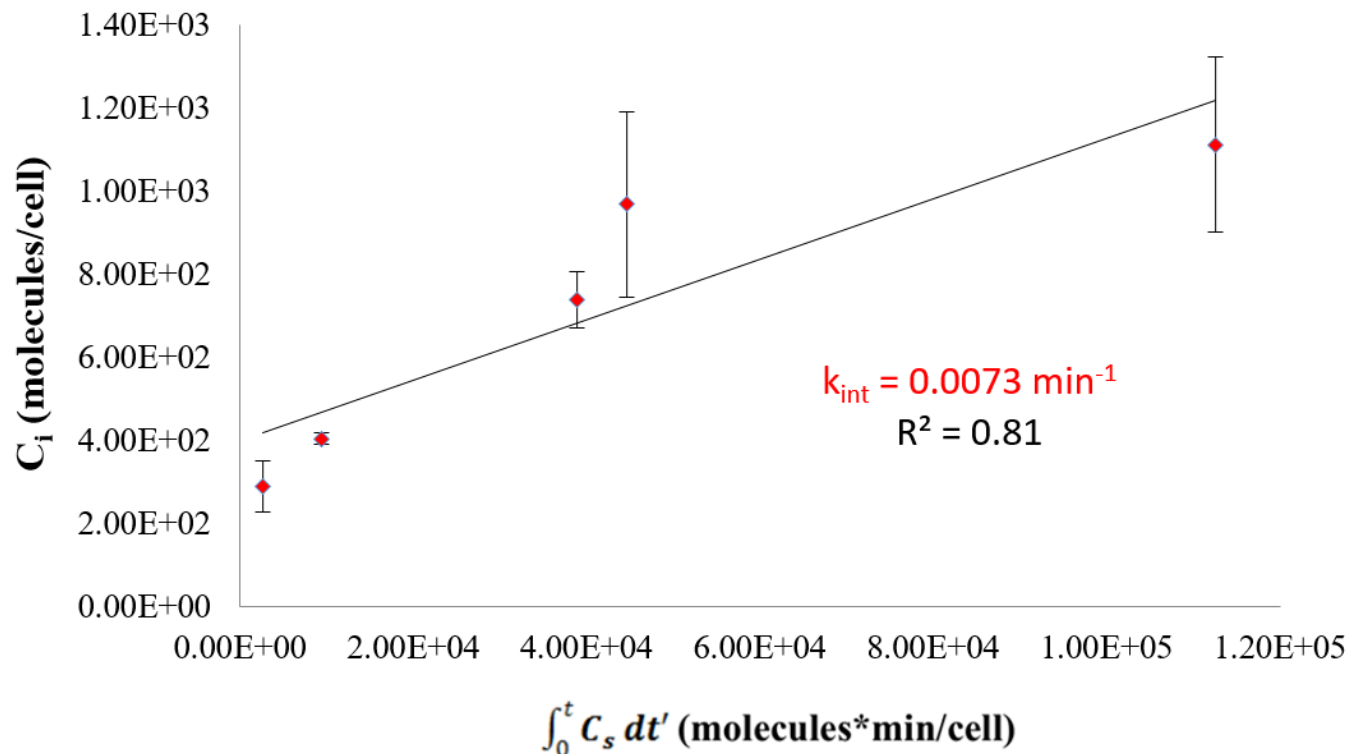
**Figure 4.** Comparison of our mathematical model predictions (orange circle) with our measured temperatures (blue circle) for A11 minibody-conjugated and PEGylated gold nanoshells in water



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3 with exposure to an 808-nm laser diode (200mW). The error bars on the experimental data  
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5 correspond to standard deviations from triplicate measurements.  
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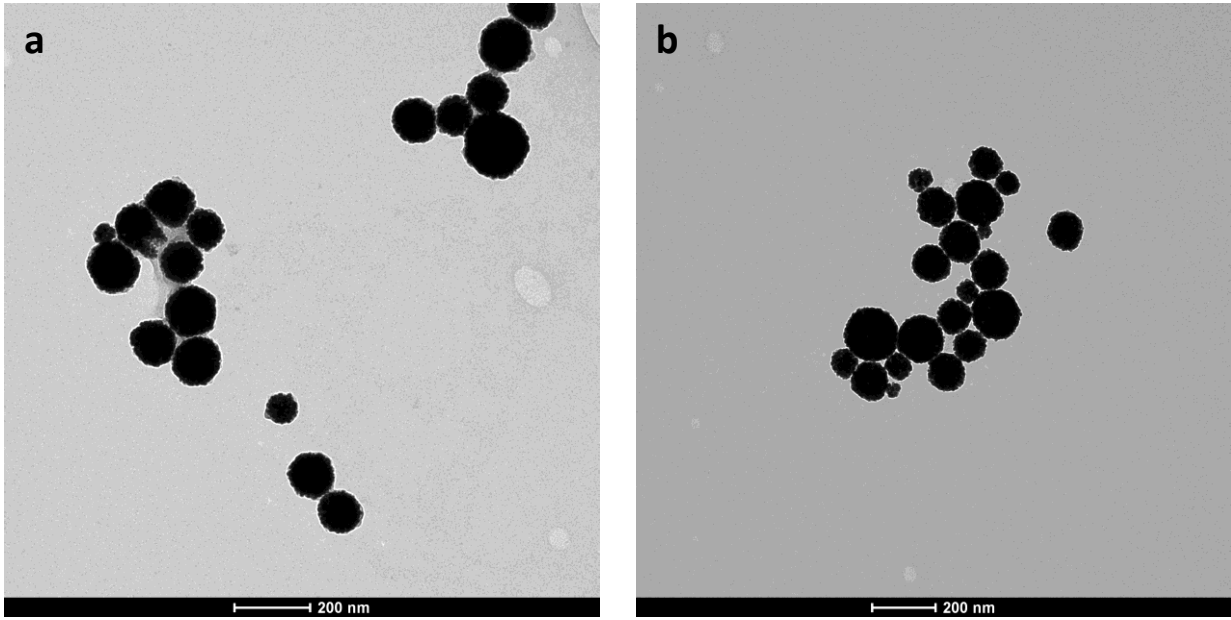
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9 **Figure 5.** Heat generation as a function of time for targeted (blue diamonds) and non-targeted  
10 (red squares) PEGylated polypeptide-based gold nanoshells. Error bars correspond to standard  
11 deviations from triplicate measurements.  
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16 **Figure 6.** Laser-induced cytotoxicity for (a, b) targeted A11-conjugated and PEGylated gold  
17 nanoshells and (c, d) non-targeted PEGylated gold nanoshells. Live cells are shown in green  
18 (calcein AM stain) and dead cells are shown in red (ethidium homodimer stain). Scale bar = 1  
19 mm. (Extinction at 808 nm = 0.4 a.u.).  
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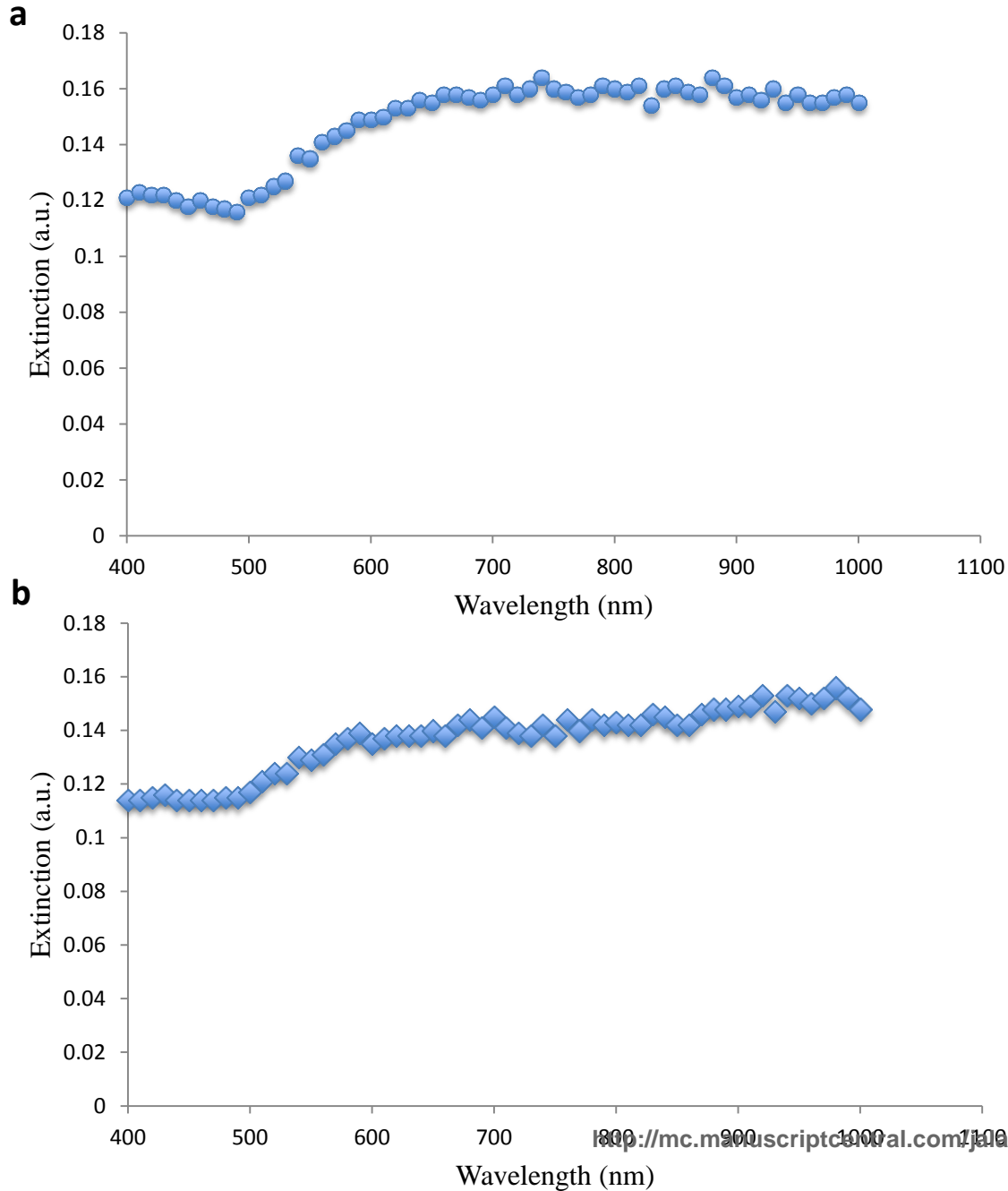


**Figure 1.** Determining the A11 internalization rate constant for PSCA-transfected 22Rv1 prostate cancer cells. The entire experiment was performed three times, and the error bars correspond to standard deviations.

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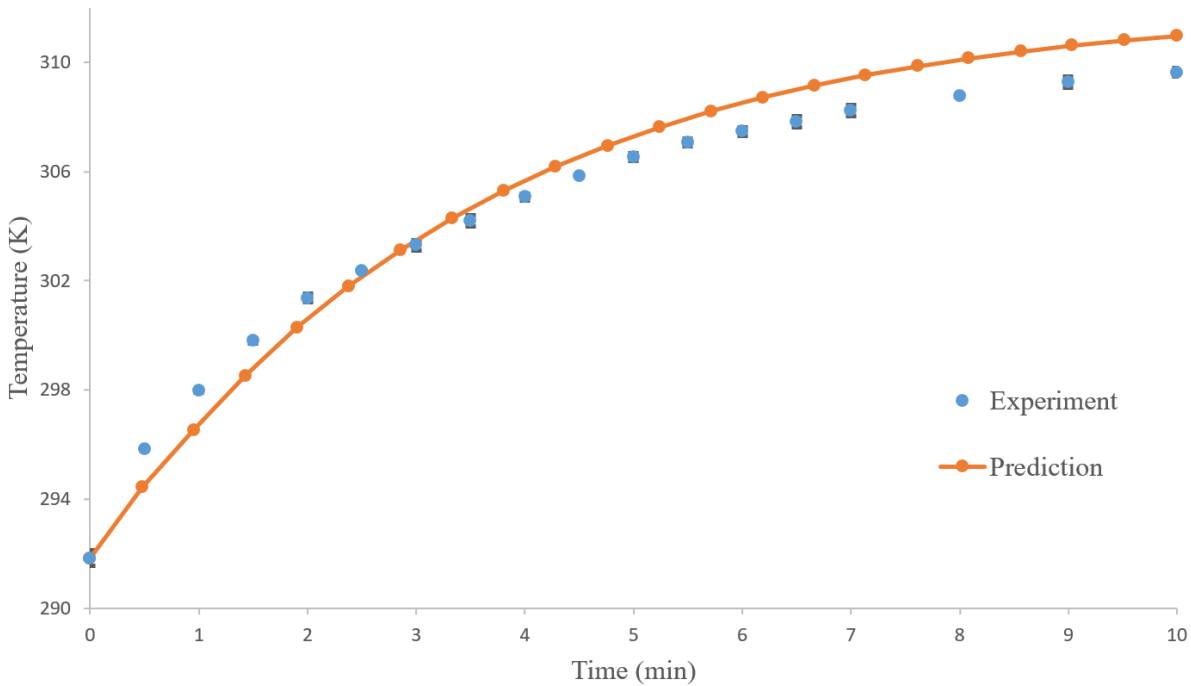


**Figure 2.** TEM images of (a) A11 minibody-conjugated and PEGylated polypeptide-based gold nanoshells and (b) PEGylated polypeptide-based gold nanoshells without the A11 minibody. Scale bar is 200 nm.

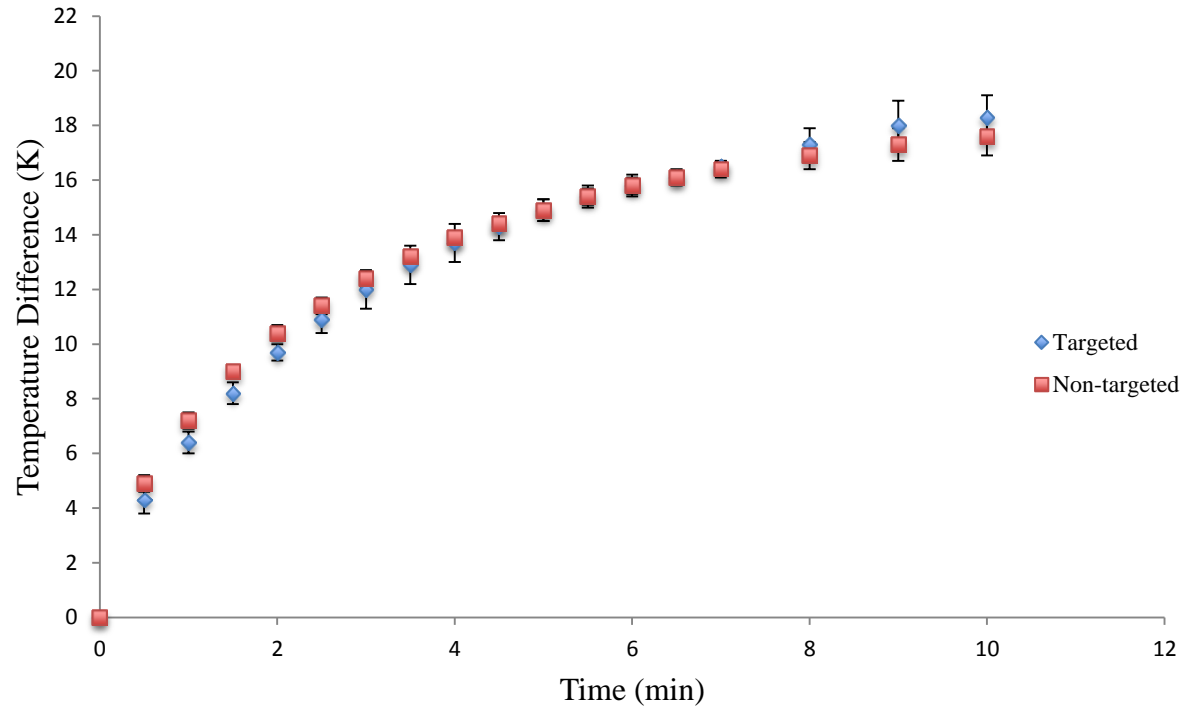


**Figure 3.** Extinction profiles of (a) A11 minibody-conjugated and PEGylated polypeptide-based gold nanoshells and (b) PEGylated polypeptide-based gold nanoshells without the A11 minibody.

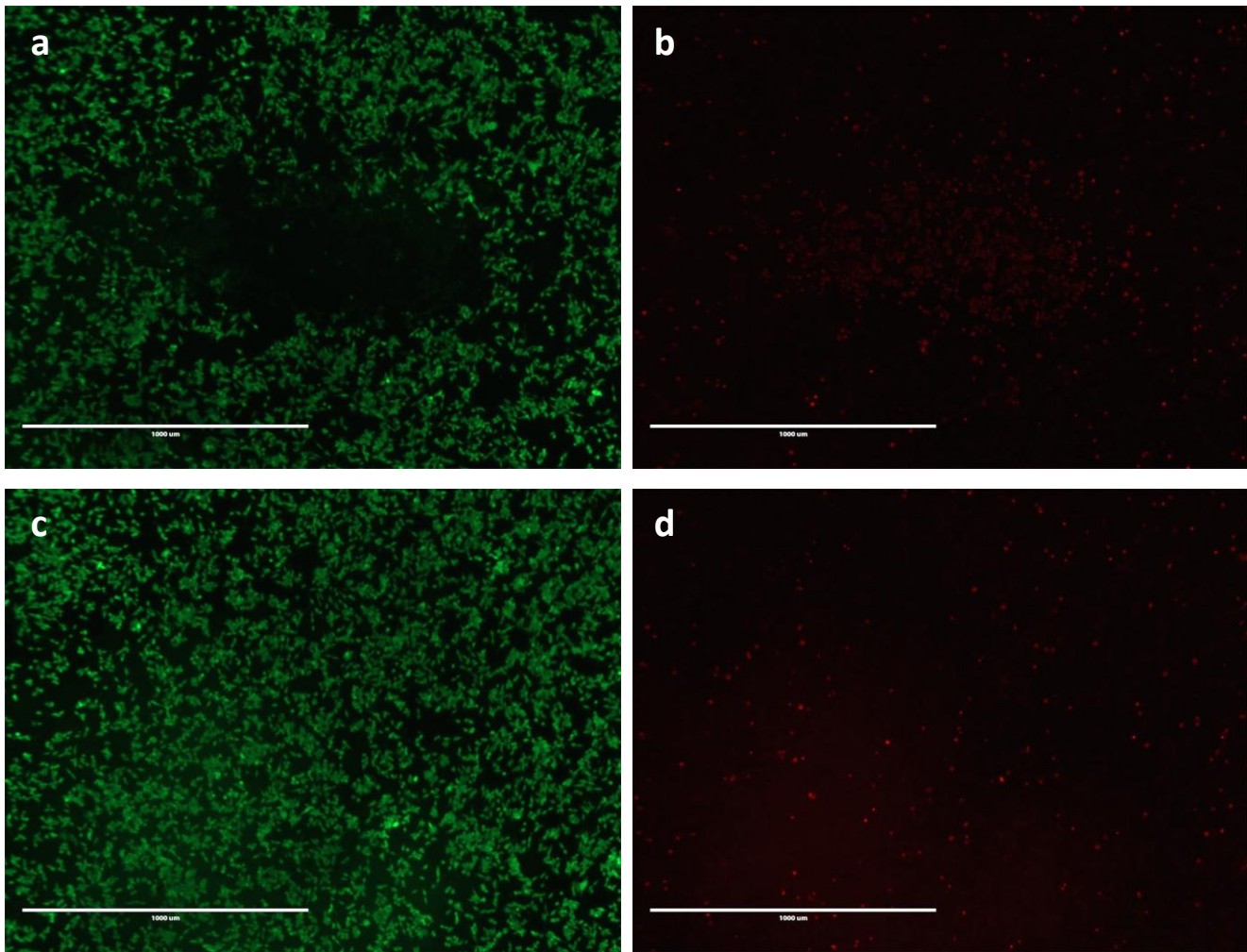
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**Figure 4.** Comparison of our mathematical model predictions (orange circle) with our measured temperatures (blue circle) for A11 minibody-conjugated and PEGylated gold nanoshells in water with exposure to an 808-nm laser diode (200mW). The error bars on the experimental data correspond to standard deviations from triplicate measurements.



**Figure 5.** Heat generation as a function of time for targeted (blue diamonds) and non-targeted (red squares) PEGylated polypeptide-based gold nanoshells. Error bars correspond to standard deviations from triplicate measurements.



**Figure 6.** Laser-induced cytotoxicity for (a, b) targeted A11-conjugated and PEGylated gold nanoshells and (c, d) non-targeted PEGylated gold nanoshells. Live cells are shown in green (calcein AM stain) and dead cells are shown in red (ethidium homodimer stain). Scale bar = 1 mm. (Extinction at 808 nm = 0.4 a.u.).