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1           **Characteristics of the local cutaneous sensory thermo-neutral zone**

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6

7           **RUNNING TITLE:** Human sensory thermo-neutrality

8

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18

19 **ABSTRACT**

20 Skin temperature detection thresholds have been used to measure human cold and warm  
21 sensitivity across the temperature continuum. They exhibit a sensory zone within which neither  
22 warm nor cold sensations prevail. This zone has been widely assumed to coincide with steady-  
23 state local skin temperatures between 32-34°C, but its underlying neurophysiology has been  
24 rarely investigated. Here we employ two approaches to characterize the properties of sensory  
25 thermo-neutrality, testing for each whether neutrality shifts along the temperature continuum  
26 depending on adaptation to a preceding thermal state. The focus is on local spots of skin on the  
27 palm. Ten participants (30.3±4.8 y) underwent two experiments. Experiment 1 established the  
28 cold-to-warm inter-detection-threshold range for the palm's glabrous skin, and its shift as a  
29 function of 3 starting skin temperatures (26, 31 or 36°C). For the same conditions, Experiment 2  
30 determined a thermally neutral zone centered around a thermally neutral point in which  
31 thermoreceptors' activity is balanced. The zone was found to be narrow (~0.98 to ~1.33°C)  
32 moving with the starting skin temperature over the temperature span 27.5-34.9°C (Pearson  $r=$   
33 0.94;  $p<0.001$ ). It falls within the cold-to-warm inter-threshold range (width: ~2.25 to ~2.47°C)  
34 but is only half as wide. These findings provide the first quantitative analysis of the local sensory  
35 thermo-neutral zone in humans, indicating that it does not occur only within a specific range of  
36 steady-state skin temperatures (i.e. it shifts across the temperature continuum) and that it differs  
37 from the inter-detection-threshold range both quantitatively and qualitatively. These findings  
38 provide insight into thermoreception neurophysiology.

39

40 **NEW AND NOTEWORTHY:**

41 Contrary to a widespread concept in human thermoreception, we show that local sensory thermo-  
42 neutrality is achievable outside the 32-34°C skin temperature range. We propose that sensory  
43 adaption underlies a new mechanism of temperature integration. Also, we have developed from  
44 vision research a new quantitative test addressing the balance in cutaneous cold and warm  
45 thermoreceptors' activity. This could have important clinical (assessment of somatosensory  
46 abnormalities in neurological disease) and applied (design of personal comfort systems)  
47 implications.

48

49 **KEYWORDS**

50 Thermo-neutral zone, thermoreceptors, skin, temperature, psychophysics

51

52

53 **INTRODUCTION**

54 Temperature detection in humans is a separate sensory modality (see Filingeri, 2016 for a  
55 comprehensive review), extending in a single dimension in opposite directions (neutral->cool-  
56 >cold; neutral->warm->hot). This is in a way similar to the black-grey-white axis in vision,  
57 which since Hering (Hering 1874) has been regarded not as unipolar but bi-directional, i.e.  
58 reaching from grey to black on one side and from grey to white on the other, something for  
59 which there is a functional and indeed structural neural basis (see Westheimer, 2007 for a  
60 review).

61 Detection thresholds have traditionally been the first step in analyzing the perception of sensory  
62 signals; accordingly, extensive knowledge is available about the minimum detectable  
63 temperature increments and decrements of non-noxious thermal stimuli applied to the human  
64 skin (Lele 1954; Kenshalo et al. 1968; Yarnitsky and Ochoa 1991).

65 We know that detection thresholds are asymmetrical between cold and warm temperatures and  
66 that, depending on the starting skin temperature (Kenshalo et al. 1961) and on the body region  
67 and size of the area stimulated (Stevens et al. 1974; Defrin et al. 2009), temperature increments  
68 or decrements of 0.003 up to 10°C are required to trigger warm and cold thermal sensations  
69 (Hardy and Oppel 1937; Lele 1954). From this knowledge it is possible to quantify the inter-  
70 detection-threshold range, a range of temperatures within which it is not possible to create a  
71 temperature change sufficient to induce a perceived change in cold and warm sensations (Oppel  
72 and Hardy 1937; Lele 1954; Darian-Smith 1984; Hirosawa et al. 1984).

73 While threshold studies have been essential for our fundamental understanding of human  
74 thermosensation and for the development of clinical diagnostic tools (e.g. evaluation of  
75 somatosensory abnormalities) (Arendt-Nielsen and Yarnitsky 2009; Moloney et al. 2012), they

76 have however been limited for assessing the characteristics of *sensory thermo-neutrality*, where  
77 under normal conditions and functioning, neither a clear warm nor a cold sensation prevail.  
78 A human *sensory thermo-neutral zone* does indeed appear to exist. When a resting standard-  
79 sized individual (i.e. body mass 70 Kg; body surface area 1.8 m<sup>2</sup>, clothing insulation: 0.6 clo)  
80 (Du Bois and Du Bois 1916) is exposed to an environment whose ambient temperature is  
81 ~24°C), natural skin temperatures across the body range between ~30 and ~34°C (Hensel 1973;  
82 Gagge and Gonzalez 1996). At these skin temperatures, individuals do not usually report any  
83 prevailing warm or cold thermal sensation and are therefore believed to be in a state of sensory  
84 thermo-neutrality.

85 Evidence from primate studies has indicated that on-going activity in cold- and warm-sensitive  
86 cutaneous thermoreceptors overlaps at steady state skin temperatures in the range of 32 to 35°C  
87 (Hensel 1973; Darian-Smith 1984). The coincidence of the skin temperature ranges for sensory  
88 thermo-neutrality and for thermoreceptors' firing balance has therefore contributed to the  
89 prevalent views that 30 to 34°C is the sole range of local skin temperatures within which thermal  
90 neutrality can be achieved (Gagge et al. 1967; Hensel 1973), and also that the sensory thermo-  
91 neutral zone depends on neural balance between cold and warm afferent inputs (see Fig. 1 for  
92 steady state response curves at different skin temperatures).

93 These beliefs are now incorporated into current clinical testing of temperature detection  
94 thresholds, where it is a prescribed and standardized requirement to start the local assessment of  
95 detection thresholds from a baseline skin temperature in the range of ~30 and ~34 °C (Rolke et  
96 al. 2006a; Backonja et al. 2013), as this is described as the “neutral range” (Rolke et al. 2006b).  
97 However, it is important to highlight here that the perceptual and neurophysiological nature of  
98 the zone of sensory thermo-neutrality between warm and cool sensations is still largely unknown.

99 While previous reports have provided insights on some parameters of thermo-neutrality (e.g. this  
100 could vary from a range of starting temperatures, i.e. 15 - 38°C) (Lele 1954), the latter has never  
101 been assessed directly with a specifically designed quantitative test.

102 Accordingly, there is a need to examine the properties of the sensory thermo-neutral zone, to  
103 quantify its width, and assess whether it is fixed or shifts with adaptation to preceding skin  
104 temperature. Characterizing the perceptual and neurophysiological nature of sensory thermo-  
105 neutral zone could also provide insights into the central integration of peripheral thermal  
106 afferents.

107 The aim of this study was to characterize the properties of the local cutaneous sensory thermo-  
108 neutral zone in humans. To this end, we adapted a psychophysical testing procedure used in  
109 visual neuroscience to assess dichromatic vision (Hurvich and Jameson 1960), to assess sensory  
110 thermo-neutrality on a local representative skin site. Our method is designed to allow a  
111 concurrent assessment of the quantitative (i.e. thermo-neutral temperature and range) and  
112 qualitative (i.e. whether sensations were experienced as warm or cold) aspects of the thermal  
113 stimuli used within the same individual test. It can therefore provide insights not only into the  
114 boundaries of thermal detection (i.e. upper and lower temperature limits of the thermo-neutral  
115 zone) but also into the quality of the sensation experienced within these boundaries (i.e. absence  
116 of prevalence in either cold or warm sensation).

117 As temperature detection thresholds have been known to change as a function of starting skin  
118 temperatures (e.g. cold and warm thresholds become smaller with colder and warmer skin  
119 temperatures respectively) (Lele 1954; Kenshalo et al. 1968; Hirosawa et al. 1984), it might be  
120 reasonable to hypothesize that the sensory thermo-neutral zone might also similarly shift across  
121 the temperature continuum. Accordingly, we evaluated two hypotheses: 1) the zone of sensory

122 thermo-neutrality falls within the inter-threshold range for warm and cold temperature detection;  
123 2) contrary to a current belief, the sensory thermo-neutral zone shifts across the temperature  
124 continuum as a function of starting skin temperature, rather than being maintained only within a  
125 specific range of steady-state skin temperatures.

126

## 127 **METHODS**

### 128 *Participants*

129 A power calculation was performed with an  $\alpha$  of 0.05, a  $\beta$  of 0.05, and an effect size  $f$  of 2.63  
130 (based on pilot testing) to determine a required sample size of 6 individuals for the current study  
131 (G\*Power 3 software, Heinrich-Heine-Universität Düsseldorf, Germany). Ten participants, four  
132 females (all Caucasians) and six males (3 Caucasians and 3 Asians) (age:  $30.3 \pm 4.8$  y; body  
133 mass:  $67.8 \pm 11.1$  Kg; height:  $171.0 \pm 18.0$  cm; body surface area:  $1.8 \pm 0.2$  m<sup>2</sup>), volunteered to  
134 participate in experiments 1 and 2. All participants were college students and junior researchers  
135 without any neural or perceptual contraindications, non-smokers, moderately active (performing  
136 at least 5h of exercise a week) and had lived in the Berkeley area (California, USA) for at least 3  
137 months prior to the test. They were naïve as to the purpose of the experiments and they each  
138 gave written informed consent. Two female participants were tested during the follicular phase  
139 of their menstrual cycle (i.e. within day 1 to 14) while the other two during the luteal phase of  
140 their menstrual cycle (i.e. within day 15 to 28). The latter two participants were also taking oral  
141 contraceptives during the study. All testing occurred during February and March 2016. The  
142 project conformed to the Helsinki Declaration and was approved by the Institutional Committee  
143 for the Protection of Human Subjects of the University of California at Berkeley. All participants  
144 attended a familiarization trial prior to the main experimental sessions.



145

146 *Experimental design*

147 To determine the properties of the sensory thermo-neutral zone we performed two experiments, the  
148 first one involving a traditional approach to temperature detection thresholds, and the second one  
149 involving our new method to assess thermo-neutrality.

150 In Experiment 1, temperature detection thresholds and their shift as a function of different  
151 starting skin temperatures (i.e. 26, 31 or 36°C) were determined with a classical staircase method  
152 (Rolke et al. 2006b). The resulting inter-threshold range represents a zone of thermal  
153 insensitivity<sup>1</sup> where changes in temperature do not give rise to perceptible changes in the on-  
154 going thermal sensation (Hensel 1981). In Experiment 2, we characterized the sensory thermo-  
155 neutral zone and determined the skin temperature range in which neither warm nor cold  
156 sensation prevail. This too was done for the same range of starting skin temperatures. The  
157 center of the palm on the glabrous skin of the hand was chosen as the target local skin site for  
158 assessment in all testing because of its accessibility and of common use within clinical  
159 assessment of temperature thresholds (Walk et al. 2009).

160 All experiments were performed in an environmental chamber maintained at an ambient  
161 temperature of 25 °C and 50% relative humidity. Participants reported to the laboratory on 3  
162 separate occasions at the same time of day. During each of the 3 visits to the laboratory, both  
163 temperature detection thresholds (i.e. experiment 1) and thermo-neutral zone (i.e. experiment 2)  
164 were assessed. The tests were always carried out in the same order, with a 15 minute seated  
165 break period between experiment 1 and 2. The difference between visits consisted in the starting  
166 skin temperature (i.e. 26, 31 or 36°C) from which the experiments were performed.

---

<sup>1</sup> Hensel used the term 'thermal indifference' for describing this zone (Hensel 1981). Although detecting change is the same as detecting a 'difference', the term 'indifference' most typically is used to indicate disinterest or unimportance. We therefore use the term 'insensitivity' to describe the zone between detection thresholds.

167 We chose 26, 31 or 36°C starting skin temperatures as they are in the range of maximal  
168 activation of cold (i.e. 26°C) and warm (i.e. 36°C) thermoreceptors, as well as within their  
169 overlapping area of activation (i.e. 31°C) (Hensel 1981).

170 Upon arrival to the laboratory, participants changed into t-shirt, running shorts and trainers and  
171 entered the environmental chamber. Five wireless thermistors (iButtons, Maxim) were taped to  
172 five skin sites on the right side of the body (i.e., cheek, abdomen, upper arm, lower back, and  
173 back lower thigh) to record local skin temperatures. The five temperature measurements were  
174 recorded at 1-min intervals throughout the tests, averaged every 5 min, and then weighted  
175 according to the work of Houdas and Ring (Houdas and Ring 1982) to give an estimate of mean  
176 skin temperature for the entire body. Following instrumentation, participants rested on a chair for  
177 15 min to allow for baseline thermometric data to stabilize. Following the stabilization period, to  
178 ensure that pre-testing whole-body and local hand thermal sensation would be within  
179 comfortable ranges, thermal sensations and comfort for whole-body and local hand were  
180 assessed on an ASHRAE 7-point scale (Olesen and Brager 2004). At this point, the experiments  
181 were initiated.

182

### 183 *Experiment 1 – Detection thresholds and inter-threshold zone*

184 An electronically controlled thermode with custom written software (see *testing apparatus*  
185 section below) was used to deliver thermal stimuli to participants' skin. The probe, mechanically  
186 supported, was gently lowered to make light contact with the skin of the participant's left palm,  
187 the arm resting comfortably on a table (Fig. 2). Participants were instructed to follow the  
188 instructions on the screen visible to them when prompted. The thermode temperature was  
189 initially set to one of three starting temperatures, 26, 31 or 36°C, and maintained there

190 throughout the run, except for the 10-sec during which increment or decrement temperature steps  
191 were delivered and participants reported their sensation. After several minutes' adaptation, a run  
192 was started.

193 Each run consisted of a 5-s waiting phase (message on screen: "Wait"), during which the probe  
194 temperature was set at the specific starting temperature. The participant was instructed to  
195 consider the local sensation experienced during this phase as a reference sensation. The 5-s phase  
196 was followed by a 4-s warning interval (message on screen: "Get ready") during which the probe  
197 temperature was raised or lowered by a fixed step (*see below for details*). At the end of the 4-s  
198 warning interval, a signal appeared (message on screen: "Did you feel a change?") and the  
199 participant reported on a window tab whether a change in sensation occurred from the one  
200 experienced during the waiting phase (message on screen: "Yes / No"). (Note: according to  
201 (Hensel 1981) a 4-s interval is sufficient for a temperature pulse to penetrate the skin and reach  
202 thermoreceptors' depth). A 6-s interval was available for response. Immediately after the  
203 response the probe was returned to the starting temperature and a new run started. In case of a  
204 late response, the previous temperature stimulus cycle would be repeated.

205 The temperature stimuli and the way the probe's temperature raised or lowered during each run  
206 was based on a staircase method. First, when a warm threshold had to be determined, an up-step  
207 stimulus of 2°C from the starting temperature was delivered; depending on whether the  
208 participant detected or not such change, the successive stimulus was either 0.4°C smaller or  
209 greater than the first stimulus respectively. Whenever a stimulus was detected, the following one  
210 would be 0.4°C smaller (i.e. down-step) until the participant no longer detected a change from  
211 the starting temperature. Whenever this occurred, a reversal in the direction of the following  
212 stimulus occurred (i.e. 0.4°C up-step), until the participant again detected a change from the

213 starting temperature. A test ended whenever a participant moved between up- and down-steps  
214 0.4°C apart six consecutive times. The mean of six pairs of temperatures at which the subject  
215 first sensed and then failed to sense was determined as the participant's detection threshold for  
216 this condition. Figure 3 presents a schematic representation of how the threshold was determined.  
217 This process was also followed for cold thresholds differing only in that the first stimulus  
218 consisted of a 2°C down-step rather than of an up-step. The size of the inter-threshold zone was  
219 calculated individually based on the difference between the relative cold and warm thresholds.

220

### 221 *Experiment 2 – Sensory thermo-neutral zone*

222 During experiment 2, the same thermode as in Experiment 1 was gently applied to the palm of  
223 the hand and its temperature was initially set to one of three starting temperatures, 26, 31 or  
224 36°C , depending on the testing day.

225 The Experiment 2 testing procedure randomly delivered one of seven temperature stimuli  
226 differing by -3, -2, -1, 0, +1, +2, +3 °C from the starting temperature. Accordingly, stimuli  
227 ranged between 23 and 29°C for the 26°C starting temperature, between 28 and 34°C for the 31  
228 °C starting temperature, and between 33 and 39 °C for the 36 °C starting temperature.

229 After an initial 4-s waiting phase, the first temperature stimulus was delivered; 3 s following  
230 delivery the participant was then prompted with a 2-alternative forced choice and had to report  
231 on the screen, if necessary by guessing, whether the stimulus was perceived as “warm” or “cold”.  
232 Once the participant reported the sensation, the probe temperature returned to the starting  
233 temperature, and a 4-s waiting phase initiated, after which a new temperature stimulus was  
234 delivered. Each of the seven temperature stimuli was randomly presented 15 times during each

235 test, cumulating a total of 105 stimuli presentations for each starting temperature. Figure 4  
236 presents a schematic representation of how a test was performed.

237 This 2-alternative forced choice paradigm used a binary scoring system, with a “cold” response  
238 designated as  $0$  and a “warm” response as  $1$ . 105 stimulus presentations constituted a test, after  
239 which the best-fitting Gaussian ogive relating the average score  $s$  ( $0 < s < 1.0$ ) to the stimulus  
240 temperature was determined for each participant under each starting condition. Determination of  
241 individual best-fitting Gaussian ogives allowed the calculation of the temperature range  
242 corresponding to sensory thermo-neutrality. Figure 5 presents a schematic representation of how  
243 this range was determined. The temperature value on the 50<sup>th</sup> percentile on the ogive corresponds  
244 to the point of subjective equality between *cold* and *warm* responses. It was therefore considered  
245 to be the neutral temperature, at which neither a cold nor a warm sensation prevails. The  
246 temperature value on the 25<sup>th</sup> percentile on the ogive corresponds to the point of subjective  
247 equality between *cold* responses and neutrality. It was considered the lower bound of the neutral  
248 zone, below which a cold sensation begins to prevail over a neutral one. The temperature value  
249 on the 75<sup>th</sup> percentile on the ogive corresponds to the point of subjective equality between *warm*  
250 responses and neutrality. It was considered the upper bound of the neutral zone, above which a  
251 warm sensation begins to prevail over a neutral one. Finally, the temperature range between 25<sup>th</sup>  
252 and 75<sup>th</sup> percentiles on the ogive corresponds to the width of the sensory thermo-neutral zone for  
253 each participant at that specific starting temperature, representing the temperature range within  
254 which neither cold nor warm sensations prevailed over the neutral.

255

256 *Testing apparatus*

257 A thermosensory analyzer was used (NTA-2, Physitemp, USA), consisting of a control unit  
258 connected to a 1.32 cm<sup>2</sup> circular thermal probe (thermode). The probe's contact surface could be  
259 set to a precision of 0.1°C within the operating range 15-42°C, and was under computer control.  
260 Temperature stimuli were delivered at a rate of temperature change of 2.43°C/s. Compliance was  
261 measured by independently monitoring the skin temperature beneath the probe with a calibrated  
262 thermocouple.

263 The delivery of the testing paradigms used in the experiments was fully automated via two  
264 custom-written python scripts, which also allowed the on-line visualization and recording of  
265 testing results. During both experiments, recorded temperatures corresponding to thresholds and  
266 neutral zone were that of the thermode, as acquired via the computer interface. It is understood  
267 that transmission, diffusion and transduction effects would make the intra-cutaneous receptor  
268 stimuli differ in unknown ways depending on their depth and areal density, but this study follows  
269 a Brindley Class A psychophysical experiment in addressing the outer arc between temperatures  
270 delivered to the skin surface and subject responses (Brindley 1970).

271

### 272 *Statistical analysis*

273 All data are reported as mean  $\pm$  standard deviation (SD) and 95% confidence intervals (CI)  
274 unless otherwise stated. Temperature detection thresholds and values for the width of the inter-  
275 threshold range were analyzed using a two-way repeated measures ANOVA with the  
276 independent factor of starting skin temperature (3 levels: 26, 31, 36°C) and modality (2 levels:  
277 cold, warm); and a one-way repeated measures ANOVA with the independent factor of starting  
278 skin temperature (3 levels: 26, 31, 36°C). Neutral temperatures and values for the width of the  
279 thermo-neutral zone were both analyzed using a one-way repeated measures ANOVA with the

280 independent factor of starting temperature (3 levels: 26, 31, 36°C). To assess whether the neutral  
281 temperature would be a function of the starting skin temperature, we assessed the relationship  
282 between these two variables by means of correlation and linear regression analysis. Finally,  
283 mean skin temperature values recorded during each test and values for whole-body thermal  
284 sensation and comfort were analyzed separately using a one-way repeated measures ANOVA  
285 with the independent factor of starting temperature (3 levels: 26, 31, 36°C). A Greenhouse-  
286 Geisser correction was applied if the assumption of sphericity was violated. In the event of a  
287 significant main effect, post-hoc analysis was performed using Tukey's range test for multiple  
288 comparisons. Statistical analysis was performed using GraphPad Prism (version 6.0, GraphPad  
289 Software, La Jolla, CA).

290

## 291 **RESULTS**

### 292 *Data Exclusion*

293 Though the majority of our participants produced concordant results, there were two exceptions.  
294 During Experiment 1, two participants did not detect temperature changes within the entire non-  
295 noxious range (between ~15 and ~42°C). Accordingly, detection threshold data are based on  
296 eight participants. Similarly, during Experiment 2, one participant reported the sensation of  
297 "warm" for all temperature stimuli at 26°C, and another "cold" for all stimuli at 26°C. These  
298 individuals' responses under all other conditions and in Experiment 1 were not exceptional.  
299 Accordingly, data from these two subjects were excluded from the ogive ensemble average,  
300 which is therefore also based on eight participants.

301

### 302 *Experiment 1 – Detection thresholds and inter-threshold range*

303 As can be observed in figure 6A, thermal detection thresholds were found to be asymmetrical,  
304 with warm thresholds being generally greater than cold ones ( $F_{(1, 7)}=23.79$ ;  $p=0.002$ ). For  
305 example, at the 31°C starting skin temperature, the warm threshold corresponds to  $+2.07 \pm 1.33$   
306 °C while the cold threshold to  $-0.40 \pm 0.30$  °C. It was also found that thresholds changed  
307 significantly depending on the starting skin temperature ( $F_{(2, 14)}=8.513$ ;  $p=0.004$ ) (Fig. 6A). For  
308 example, at the 36°C starting skin temperature, the warm threshold is significantly smaller (mean  
309 difference:  $-1.62$  °C; 95% CI  $-3.02$  to  $-0.22$ °C;  $p=0.021$ ), while the cold threshold is significantly  
310 larger (mean difference:  $+1.40$  °C; 95% CI  $+2.80$  to  $+0.004$ °C;  $p=0.050$ ) than the values recorded  
311 at the 31°C starting skin temperature. Overall, these results indicate that at higher starting skin  
312 temperatures, participants exhibited a greater sensitivity to warmth and a lower sensitivity to cold.  
313 While detection thresholds changed depending on the starting skin temperature, the width of the  
314 inter-threshold range remained constant ( $F_{(1.474, 10.32)}=0.04$ ;  $p=0.91$ ), with average values of  
315 2.36 ( $\pm 2.63$ ), 2.47 ( $\pm 1.35$ ) and 2.25 °C ( $\pm 1.06$ ) at 26, 31 and 36°C starting skin temperatures  
316 respectively (Fig. 6B).

317

### 318 *Experiment 2 – Sensory thermo-neutral zone*

319 Figure 7 shows Gaussian ogives fitting results from a typical participant performing the test at  
320 the 26, 31 and 36°C starting skin temperatures.

321 Overall, neutral temperatures were found to change significantly depending on the starting skin  
322 temperature ( $F_{(1.366, 9.564)}=85.43$ ;  $p<0.001$ ), with average values being 27.46 ( $\pm 1.54$ ), 31.07 ( $\pm 0.77$ )  
323 and 34.92°C ( $\pm 0.80$ ) at 26, 31 and 36°C starting skin temperatures respectively. As seen in  
324 Figure 8A, neutral temperatures are significantly associated with the starting skin temperatures  
325 (Pearson  $r=0.94$ ;  $p<0.001$ ), the latter factor explaining 89% of the variability in the neutral



326 temperatures. While neutral temperatures change depending on the starting skin temperature, the  
327 width of the thermo-neutral zone remains constant ( $F_{(1.55, 10.85)}=0.6226$ ;  $p=0.515$ ), with average  
328 values of  $1.27 (\pm 1.13)$ ,  $0.98 (\pm 1.11)$  and  $1.33 \text{ }^\circ\text{C} (\pm 0.70)$  at 26, 31 and 26°C starting skin  
329 temperatures respectively (Fig. 8B).

330

### 331 *Mean skin temperature and whole-body thermal sensation and comfort*

332 Mean skin temperature values did not differ ( $F_{(1.502, 9.013)}=0.3016$ ;  $p=0.686$ ) across all  
333 experiments and conditions, being on average  $34.15 \pm 0.58$ ,  $33.97 \pm 0.74$  and  $34.20 \pm 0.65^\circ\text{C}$  at  
334 26, 31 and 36°C starting skin temperature respectively. Similarly, whole-body thermal sensation  
335 ( $F_{(1.747, 15.72)}=1.982$ ;  $p=0.173$ ) and comfort ( $F_{(1.966, 17.69)}=3.047$ ;  $p=0.0737$ ) did not differ across all  
336 experiments and conditions, being on average in the range of ‘neutral’ to ‘slightly warm’ and of  
337 ‘just comfortable’ to ‘comfortable’ respectively.

338

## 339 **DISCUSSION**

340 Figure 9 summarizes the primary findings of these experiments: the palm’s average warm and  
341 cold detection thresholds and related inter-threshold range, as well as the neutral temperatures  
342 and widths of the thermo-neutral zone as functions of the three starting skin temperatures  
343 assessed. It is seen that the human sensory thermo-neutral zone is quite narrow (i.e.  $\sim 0.98$  to  
344  $\sim 1.33 \text{ }^\circ\text{C}$ ), that over a considerable span of skin temperatures (between 27.5 and 34.9 °C) it  
345 moves along with the starting skin temperature while maintaining a relatively constant width,  
346 and that it is contained within the thermally insensitive zone between the cool and warm  
347 detection thresholds. Both the sensory thermo-neutral zone and the inter-threshold range depend  
348 on the starting skin temperature; but they do not coincide. The latter is almost twice as wide

349 (~2.25 to ~2.47°C versus ~0.98 to ~1.33°C) and has different offsets on the warm side versus the  
350 cold side.

351 Altogether, our results indicate that sensory thermo-neutrality is not constrained to a specific skin  
352 temperature range (i.e. 32-34°C) as previously thought and that, at least at a local level, can be  
353 shifted well outside this range. The observed shift in sensory thermo-neutrality across a skin  
354 temperature range of 27.5 and 34.9 °C is likely the result of some form of sensory and  
355 neurophysiological adaptation.

356

### 357 *Adaptive sensory thermo-neutrality: psychophysical substrates*

358 Comparing the results of the traditional staircase method used for determining detection  
359 thresholds against results from our new thermo-neutral zone method highlights the difference  
360 between qualitative and quantitative aspects of thermal sensation. The dissociation between the  
361 *quantitative* (i.e. temperature detection threshold) and *qualitative* (whether a sensation is cool or  
362 warm) aspects of thermal sensation was first described by Kenshalo et al. (Kenshalo et al. 1961).  
363 This distinction is important in the understanding of thermoneutrality. For example, the  
364 observation that a drop of almost 2°C in skin temperature is required to trigger a change in  
365 sensation in skin adapted to 36°C (see Fig. 6A) does not necessarily imply that temperatures  
366 within the 36 to 34.2°C range are not perceived as warm. It could be that the ongoing sensation  
367 at 36°C is that of warmth and that there is a ~2°C range of thermal insensitivity to either warm or  
368 cool temperature changes. The changes to skin temperature in detection threshold tests could be  
369 perceived by the subject as diminishing (e.g. “less warm”) or increasing (i.e. “progressively  
370 colder”) the existing sensation, or perceived as a switch to the opposite sensation.

371 Hence, the staircase method discovers a zone of thermal insensitivity (in which temperature  
372 might change without conscious detection) that is not synonymous with sensory neutrality as  
373 discovered by our new test procedure.

374 It is important to note that the difference observed between thermal insensitivity and neutrality is  
375 larger on the warm side of the temperature spectrum; the lower end of the thermo-neutral zone is  
376 closer to the cold detection threshold (see Fig. 9). The larger difference between the warm  
377 detection threshold and the upper margin of the thermo-neutral zone may be evidence of a  
378 greater temperature change needed to trigger a clear change in sensation (i.e. an unmistakable  
379 warm sensation) than the change needed to induce a loss of thermal neutrality. Cutaneous warm  
380 sensitivity appears to be lower than cold sensitivity due to lower density of warm-sensitive skin  
381 afferents (Filingeri 2016) and in their neurophysiological properties (e.g. warm thermoreceptors  
382 have significantly lower conduction velocities than cold thermoreceptors) (Darian-Smith 1973;  
383 Darian-Smith et al. 1979). Differences in depth of warm and cold receptors could also be a likely  
384 factor.

385

### 386 *Adaptive sensory thermo-neutrality: neural substrates*

387 In mammalian models, physiological recording of the afferent neurons has revealed rather  
388 invariant and overlapping impulse rates in cold- and warm-sensitive cutaneous thermoreceptors  
389 at steady state skin temperatures in the range of 32 to 35°C (Hensel 1973; Darian-Smith 1984).  
390 This observation has contributed to the concept that simultaneous afferent firing represents the  
391 neural substrate of sensory thermal neutrality (Hensel 1973, 1981). Accordingly, it would be  
392 reasonable to hypothesize that, as long as there is balance in firing rates between cold and warm

393 thermoreceptors, thermo-neutrality might be experienced outside the 32 to 35°C skin temperature  
394 range.

395 It is known that at temperatures below the range of 30 to 34 °C, cold thermoreceptors show an  
396 increase in steady-state discharge frequency, while warm thermoreceptors become progressively  
397 silent; similar responses (however in the opposite direction) occur at temperatures above the  
398 range of 30 to 34 °C (Hensel and Kenshalo 1969; Hensel and Iggo 1971; Johnson 1973). Such  
399 changes in the balance between warm and cold receptors would indicate that if neutrality were  
400 the result of a balanced neural activity, the same would not be achievable at temperatures above  
401 or below 30–34 °C, unless some mechanism changed the reciprocal activity in warm and cold  
402 thermoreceptors.

403 In this respect, an important feature of thermal integration in cutaneous first-order thermo-  
404 sensory neurons is adaptation, a phenomenon with both short- (Darian-Smith 1984) and long-  
405 term components (Kozyreva 2006). Cutaneous thermoreceptors are sensitive to dynamic changes  
406 in temperature, and undergo a decrease over time in their discharge frequency at a maintained  
407 steady state skin temperature (Darian-Smith 1984). This underlies the progressive decrease in  
408 the magnitude of an on-going thermal sensation following initial exposure to a thermal stimulus  
409 (Kenshalo and Scott 1966).

410 It could be speculated that the ongoing discharge of cold or warm thermoreceptors adapted to  
411 temperatures outside the overlapping range of steady state firing (30–34 °C skin temperature)  
412 would diminish within seconds from the initial change in skin temperature. The initial thermal  
413 sensation (e.g. coldness) experienced outside the 30–34 °C range would be reduced in its  
414 magnitude (i.e. less cold) to an extent proportional to the reduction in (e.g. cold) thermoreceptors'  
415 firing rate.

416 It could be argued that such a reduction in firing rate in the primarily active class of  
417 thermoreceptors (e.g. cold) would still be not enough to re-establish neural balance (hence  
418 sensory neutrality) between both classes of thermoreceptors. The results of this study tend to  
419 confirm this argument. When starting at temperatures of 26 or 36°C, thermo-neutrality did not  
420 occur exactly at 26 or 36°C, but at skin temperatures slightly above and below these values (see  
421 Fig. 9). The presence of these temperature differences supports the possibility that at those skin  
422 temperatures experienced as neutral, neural balance between warm and cold receptors could have  
423 been occurring.

424 Let us take the example of the 26°C starting temperature. Under these conditions, the initial  
425 increase in firing rate in cold receptors (along with the silencing of warm receptors) would have  
426 likely been reduced (along with the initial cold sensation) after adaption had occurred (e.g.  
427 seconds after the initial exposure). At this point, a slight increase in skin temperature (as the one  
428 required to reach the neutral temperature recorded here) would have further suppressed the  
429 already low on-going firing in the cold receptors, making the balance in activity between the  
430 nearly silent warm receptors, and the minimally active cold receptors, almost “neutral”. From a  
431 sensory point of view, the warming-induced reduction in the on-going cold sensation would have  
432 likely passed through a “neutral state” before being experienced as a clearly detectable warm  
433 sensation. This is in line with previous psychophysical findings which have shown that at  
434 adapting skin temperatures outside the thermoregulatory neutral range (i.e. <30-34°C<), sudden  
435 changes in skin temperature are initially perceived as reducing the initial persisting thermal  
436 sensation (e.g. stimulus perceived as less cold), before inducing new thermal sensations (e.g.  
437 warm sensation) aligned to the direction of the temperature change (e.g. increase in temperature)  
438 (Kenshalo et al. 1961). In this particular case, changes in skin temperature from adapting values

439 outside the 30-34°C range would initially reduce activity in cold fibers before reaching the level  
440 of maximal activation of warm receptors. This, along with the fact that warm sensitive fibers  
441 have a lower peak frequency response and lower cumulative impulses to sudden temperature  
442 changes when adapted to temperatures below the thermo-neutral range (Darian-Smith et al.  
443 1979), could explain why at skin temperatures outside the 30 – 34 °C range, sudden changes in  
444 skin temperature are not immediately experienced as the sensation expected for the resulting  
445 direction of temperature change, but are instead experienced as a reduction in the intensity of the  
446 opposite thermal sensation.

447 Altogether, the evidence presented above would support the contention that the lack of a  
448 prevailing warm or cold sensation experienced outside the range of steady-state skin  
449 temperatures (i.e. ~30 to ~34°C) traditionally considered to provide thermosensory neutrality  
450 (Gagge et al. 1967) has a neural substrate of balanced activity between cold and warm sensitive  
451 thermoreceptors, once these have adapted to cooler or warmer skin temperatures. It would also  
452 appear that whether the current thermal experience itself is characterized as warm, cold, or  
453 thermally neutral will depend on how the difference in the maintained discharge rates from the  
454 periphery are preserved or modulated as they are handed on to higher neural centers in the spinal  
455 cord, brain stem and cortical regions (Filingeri et al. 2017).

456 Finally, mention should be made that we encountered, even among only 10 not obviously  
457 unsuitable participants, two who responded quite differently from the others, and not just by  
458 magnitudes that might be thought to be still within a range of normal scatter. In view of the ion-  
459 channel molecule receptor basis of thermosensation (Vriens et al. 2014), for which genetic  
460 variation is to be expected, and in accord with the now widely-understood molecular genetic  
461 basis of color vision and its deficiencies, we expect that the application of the methods described

462 here will be useful for probing individual differences in thermal sensitivity in both health and  
463 neurological disease, as well as possible psychophysical and behavioral correlates of molecular  
464 heterogeneity.

465

#### 466 *Other body sites*

467 A potential limitation of this study is that experiments were conducted on one local  
468 representative skin site. To address this, our group used these same methods to obtain  
469 preliminary results on another skin site (volar surface of the forearm) in 5 participants (Fig. 10).  
470 It can be observed that the forearm results closely match those for the palm (compare figures 9  
471 and 10). The forearm thermo-neutral zone is also contained within the inter-threshold range, and  
472 both zones shift with the starting skin temperature. Despite the low sample size, such patterns are  
473 already statistically significant. Interestingly, the width of the inter-threshold and thermo-neutral  
474 zones is wider in the forearm than in the palm. This observation could indicate lower thermal  
475 sensitivity in the forearm as compared to the palm. Overall, it would therefore appear that  
476 similar mechanisms of sensory thermo-neutrality could be occurring across different skin regions  
477 and that the methods tested here could be also used to characterize regional differences in these  
478 sensory processes.

479 Further studies will also be necessary to assess whether the width of the sensory thermo-neutral  
480 zone changes with the size of the skin region affected. Recent evidence indicates that the whole-  
481 body skin temperature range for perceptual comfort (and possibly also for neutrality) might not  
482 coincide with the classic thermoregulatory thermo-neutral zone (Kingma et al. 2014). As spatial  
483 summation has been shown to play a significant role in afferent thermal integration (Stevens et al.  
484 1974), it is anticipated that if the entire skin surface of the body is considered as the ‘target area’,

485 the dynamic neutral range observed here will have altered width and positioning on the  
486 temperature spectrum.

487

## 488 **PERSPECTIVES**

489 From a fundamental perspective, determining the characteristics of the sensory thermos-neutral  
490 zone is essential to understanding whether and how the balance in activity between cold- and  
491 warm-sensitive afferents influences thermal sensations, whether shifts in this balance alter output  
492 sensations, and whether central modulation of peripheral afferents occurs (Filingeri et al. 2017).

493 Clinically, this zone could be used as an objective index of neural balance in cold and warm  
494 afferents integration, much as the ON-OFF balance in the visual processing of blackness and  
495 whiteness represents for visual integration (Westheimer 2007). Such a quantitative approach  
496 could be useful in evaluating somatosensory abnormalities in neurological patients (e.g. Multiple  
497 Sclerosis, Parkinson's Disease).

498 From an applied perspective, characterizing the sensory thermo-neutral zone is important in the  
499 field of indoor thermal comfort (Kingma et al. 2014). First, traditional thermo-physiological  
500 modeling is based on neutral set-points whose typically fixed values strongly influence predicted  
501 outcomes. Second, in the effort to reduce building energy consumption and its impact on climate  
502 change (Kingma and van Marken Lichtenbelt 2015), there is major benefit in harnessing  
503 occupants' ability to adapt to the environment (de Dear and Brager 1998; Hoyt et al. 2015). One  
504 adaptive opportunity is in 'personal comfort systems' that directly heat/cool parts of occupants,  
505 such as heated/cooled chairs (Pasut et al. 2015) and local devices that condition hand, foot, and  
506 face (Zhang et al. 2015). Such systems aim at providing thermal neutrality and comfort within  
507 environments that are cooler or warmer than the traditional range of comfortable indoor



508 temperatures. Understanding sensory thermo-neutrality in such complex thermal environments is  
509 key to designing energy-efficient approaches to indoor thermal comfort.

510

## 511 **CONCLUSION**

512 For the first time to our knowledge, we have provided a quantitative mapping of the human  
513 sensory thermo-neutral zone and shown that, at least at a local level, this does not lie only within  
514 a specific range of steady-state skin temperatures, but that it shifts across the temperature  
515 continuum as a function of the starting skin temperature, while maintaining a relatively constant  
516 width. These findings highlight a hitherto unexamined feature of human thermoreception, that  
517 thermo-sensory neutrality is an adaptive phenomenon.

518

519

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527

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532

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629           non-neutral ambient environments. *Build Environ* 91: 15–41, 2015.

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634

635 **FIGURE CAPTIONS**

636 **Figure 1.** A schematic representation of the coincidence of the temperature ranges for sensory  
637 thermo-neutrality and for thermoreceptors' firing balance within the 30 to 34°C temperature-  
638 dependent activity in peripheral cold- and warm-sensitive thermoreceptors and related cold,  
639 warm and neutral zones.

640 **Figure 2.** Experimental set up. The 1.32 cm<sup>2</sup> round thermal probe (red circle) is held in light  
641 contact with the participant's left palm, the arm resting comfortably on a table. The participant is  
642 prompted by a signal on a computer screen and reports the response by activating a mouse button.

643 **Figure 3.** Determination of individual warm (A) and cold (B) temperature detection thresholds  
644 with the staircase method. This schematic representation shows data from a representative  
645 participant. To determine a threshold, the probe's temperature raised or lowered during each run  
646 as following. First, an up-step (if determining a warm threshold) or a down-step (if determining a  
647 cold threshold) stimulus of 2°C from the starting temperature was delivered; depending on  
648 whether the participant detected or not such change, the successive stimulus was either 0.4 °C  
649 smaller or greater than the first stimulus respectively. Whenever a stimulus was detected, the  
650 following one would be 0.4 °C smaller (i.e. down-step) until the participant no longer detected a  
651 change from the starting temperature. Whenever this occurred, a reversal in the direction of the  
652 following stimulus occurred (i.e. 0.4 °C up-step), until the participant detected again a change  
653 from the starting temperature. A test ended whenever a participant moved between up- and  
654 down-steps 0.4 °C apart for six consecutive times. Accordingly, tests presented variable duration  
655 (as it can be observed in the figure when comparing panel A and B) depending on participants'  
656 performance. The mean of six pairs of temperatures at which the subject first sensed and then  
657 failed to sense was determined as the participant's detection threshold. Warm and cold thresholds

658 where assessed separately and the inter-threshold range was calculated based on the sum of the  
659 relative cold and warm thresholds.

660 **Figure 4.** The testing procedure used during Experiment 2. This consisted in randomly  
661 delivering one of seven temperature stimuli differing by -3, -2, -1, 0, +1, +2, +3 °C from either  
662 26, 31 or 36°C starting temperature. Each of the seven temperature stimuli was randomly  
663 presented 15 times during each test, cumulating a total of 105 stimuli presentations for each  
664 starting temperature. Whenever a temperature stimulus was delivered, the participant was then  
665 prompted with a 2-alternative forced choice and had to report on the screen, if necessary by  
666 guessing, whether the stimulus was perceived as “warm” or “cold”. Once the participant  
667 reported the sensation, the probe temperature returned to the starting temperature, and a 4-s  
668 waiting phase initiated, after which a new temperature stimulus was delivered.

669 **Figure 5.** Determination of individual temperature range corresponding to sensory thermo-  
670 neutrality. This schematic representation shows a hypothetical Gaussian ogive resulting from 105  
671 scores (i.e. warm or cold) resulting from exposure to 7 temperature stimuli in the 28-34 °C range.  
672 The 50<sup>th</sup> percentile on the ogive corresponds to the point of subjective equality between cold and  
673 warm responses and it is therefore considered as the neutral temperature. The temperature values  
674 on the 25<sup>th</sup> and 75<sup>th</sup> percentiles on the ogive corresponded to the points of subjective equality  
675 between cold and neutral and between warm and neutral responses respectively, and are  
676 therefore considered as the lower and upper bounds of the thermo-neutral zone. Accordingly, the  
677 temperature range between 25<sup>th</sup> and 75<sup>th</sup> percentiles on the ogive corresponds to the width of the  
678 sensory thermo-neutral zone.

679 **Figure 6.** Detection thresholds and inter-threshold range. Panel A shows relative mean (n= 8)  
680 and 95% CI values for changes in skin temperature required to induce a detectable warm and



681 cold sensation at different starting skin temperatures ( $T_{sk}$ ) (note: mean absolute detection  
682 thresholds for each starting  $T_{sk}$  are shown parenthetically). Panel B shows individual and mean  
683 ( $n=8$ ) and 95% CI values for inter-threshold ranges at different starting skin temperatures. \*  
684 denotes  $p<0.05$ .

685 **Figure 7.** Frequency of thermal responses as a function of starting skin temperature (26, 31 and  
686 36°C) for a typical participant. Seven stimuli were applied with probe temperature steps  
687 randomly selected to differ -3, -2, -1, 0, +1, +2 or +3°C from the starting skin temperatures  
688 (indicated by the vertical dashed lines). A cold response is scored 0, a warm response 1, and a  
689 Gaussian ogive fitted to the seven points. It yields a mean value, the temperature of which score  
690 is 0.5 and hence midway between the temperatures at which 25% of stimuli would be sensed as  
691 cooler and the temperature at which 25% as warmer. The mean represents measure of the  
692 thermo-neutral temperature (indicated by the horizontal dashed line originating at  $y=0.5$ ) while  
693 the 25<sup>th</sup> and 75<sup>th</sup> percentile represent upper and lower limits of the thermo-neutral zone (indicated  
694 by the horizontal dashed lines originating at  $y=0.25; 0.75$ ). Separate experimental runs were  
695 carried out at base skin temperature of 26, 31 and 36°C. Each curve is based on 105 stimuli  
696 presentations in random order.

697 **Figure 8.** Neutral temperature and thermo-neutral zone. Panel A shows individual data ( $n=8$ ) for  
698 calculated neutral temperatures as a function of different starting skin temperatures ( $T_{sk}$ ).  
699 Regression line with 95% CI band is pictured. Panel B shows individual, mean ( $n=8$ ) and 95%  
700 CI values for the width of the thermo-neutral zone at different starting skin temperatures.

701 **Figure 9.** Palm's inter-threshold and thermo-neutral zones. Mean values ( $n=8$ ) for warm and  
702 cold detection thresholds and related inter-threshold range, as well as neutral temperatures and  
703 width of the thermo-neutral zone, as a function the three starting skin temperatures ( $T_{sk}$ ) assessed,

704 are pictured. CI intervals are given in Figure 4 for temperature thresholds and in Figure 6 for the  
705 thermo-neutral zone.

706 **Figure 10.** Forearm's inter-threshold and thermo-neutral zones. Mean values (n=5) for warm and  
707 cold detection thresholds and related inter-threshold range, as well as neutral temperatures and  
708 width of the thermo-neutral zone, as a function the three starting skin temperatures ( $T_{sk}$ )  
709 assessed, are pictured.

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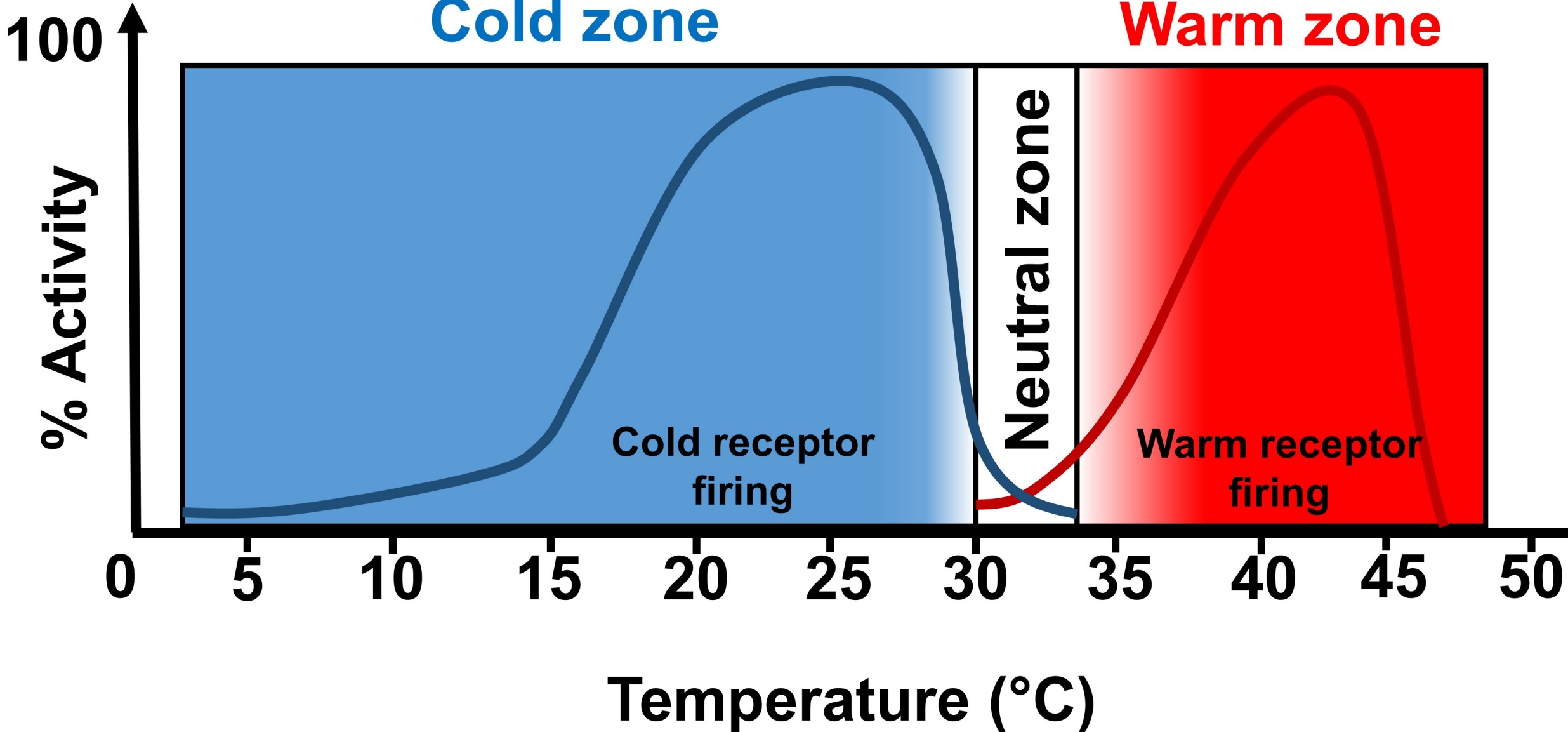
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**Cold zone**

**Warm zone**



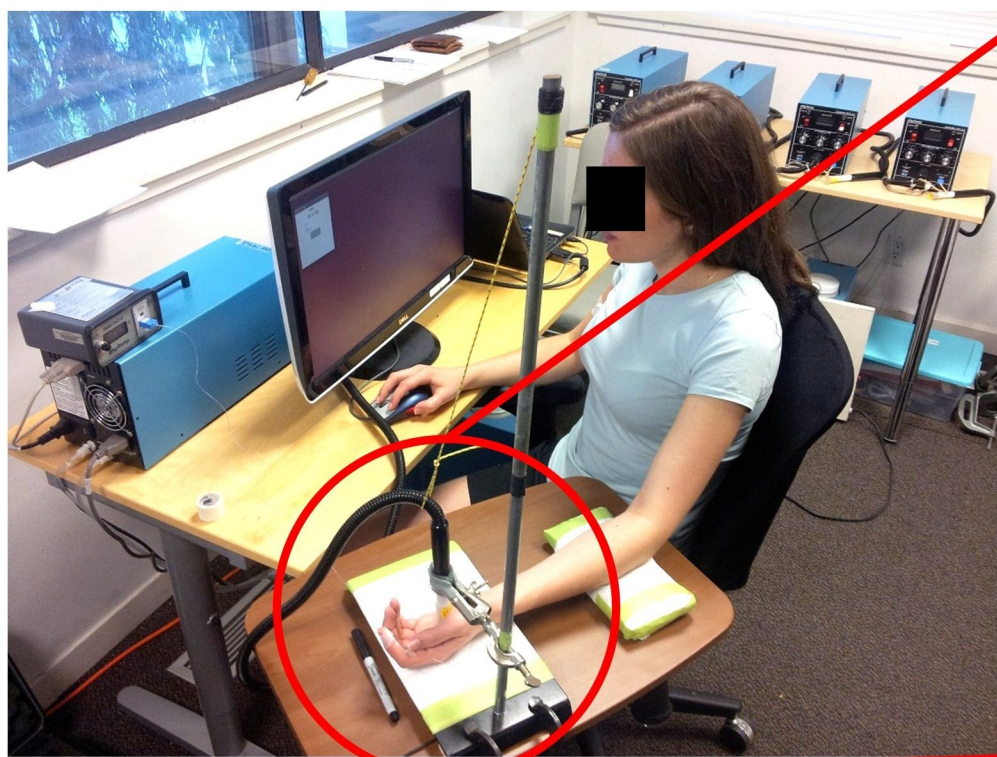
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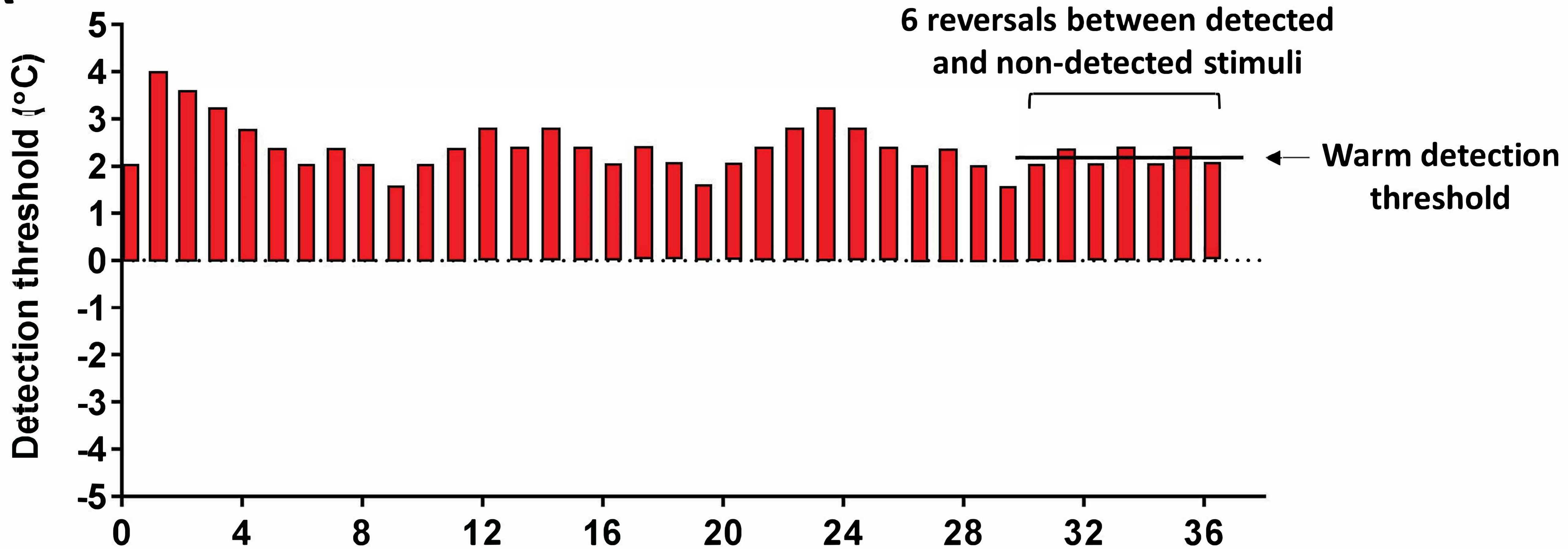
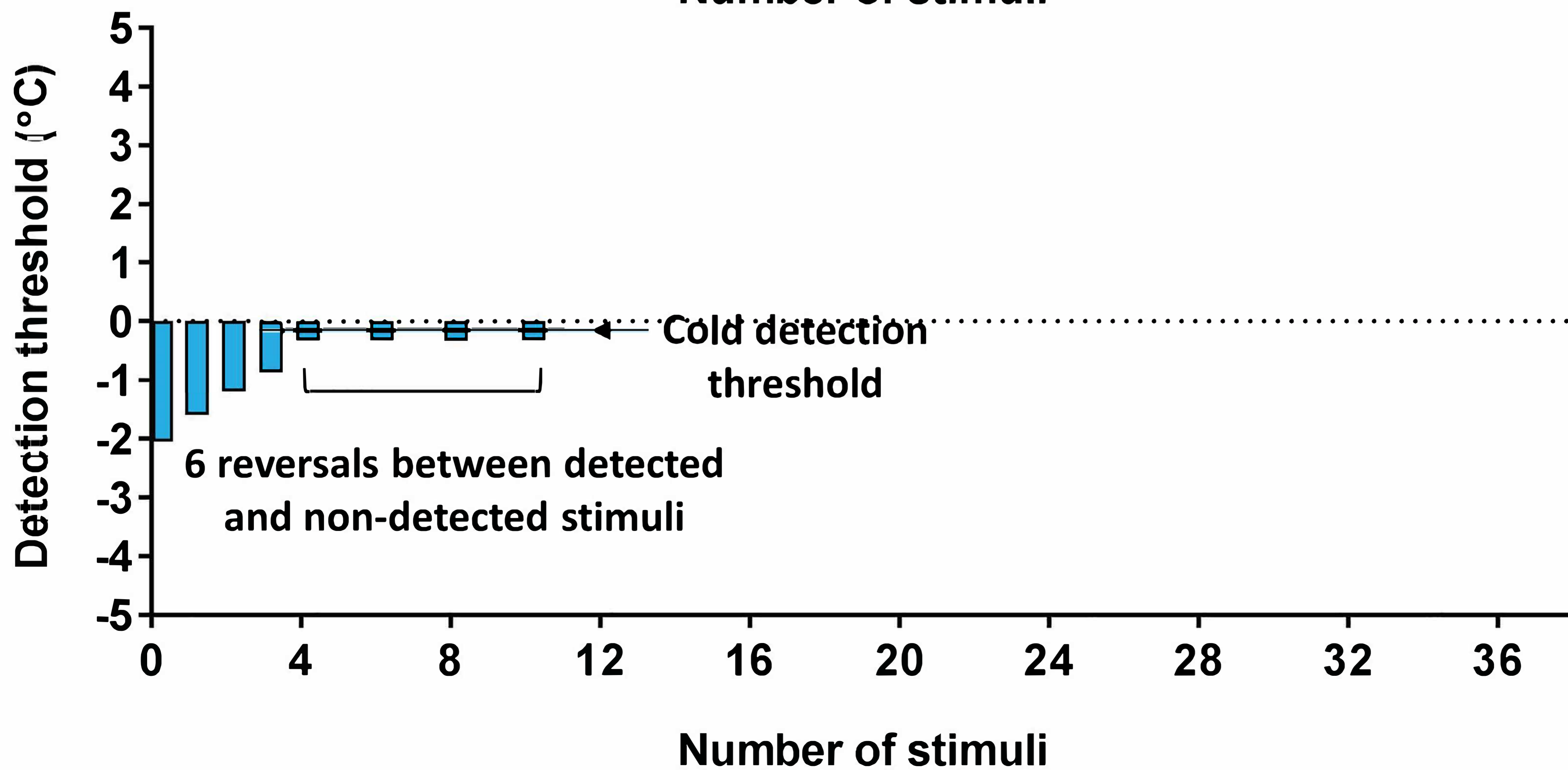
**Neutral zone**

**Cold receptor firing**

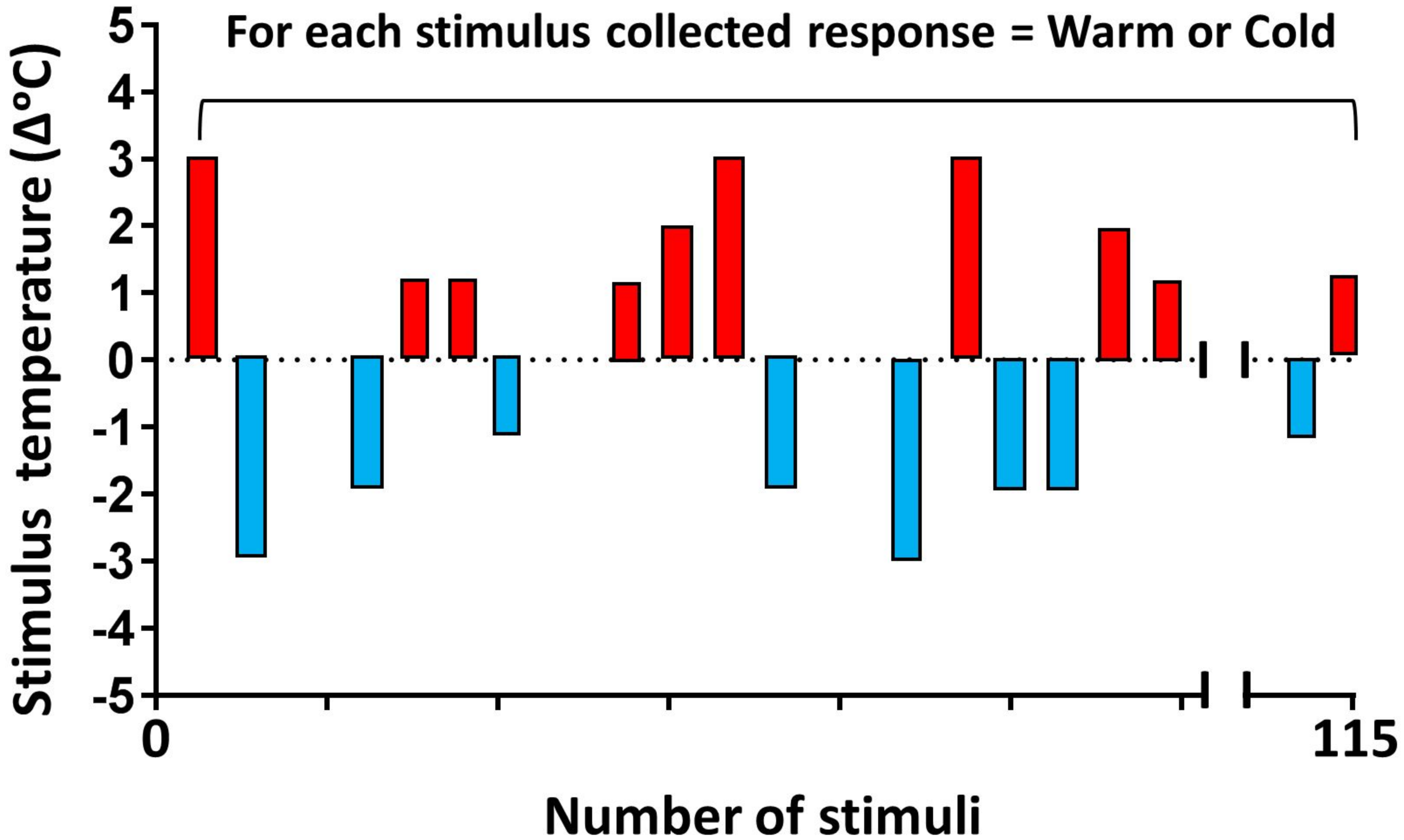
**Warm receptor firing**

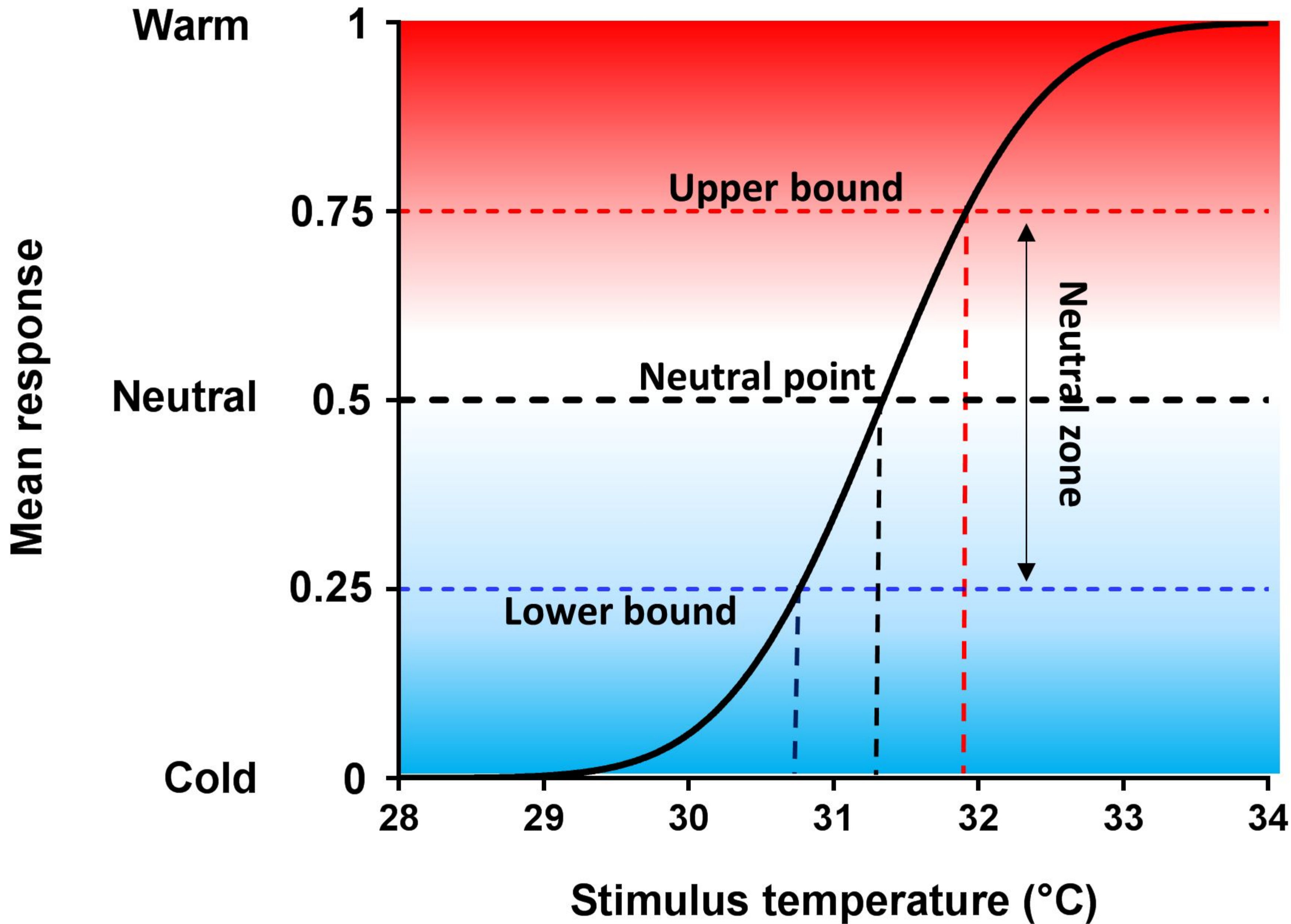
**Temperature (°C)**

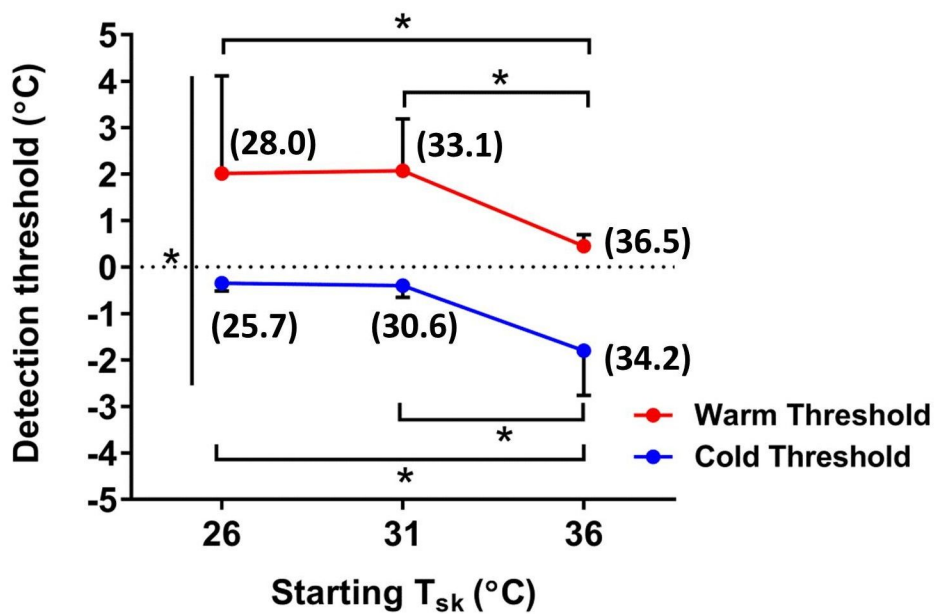
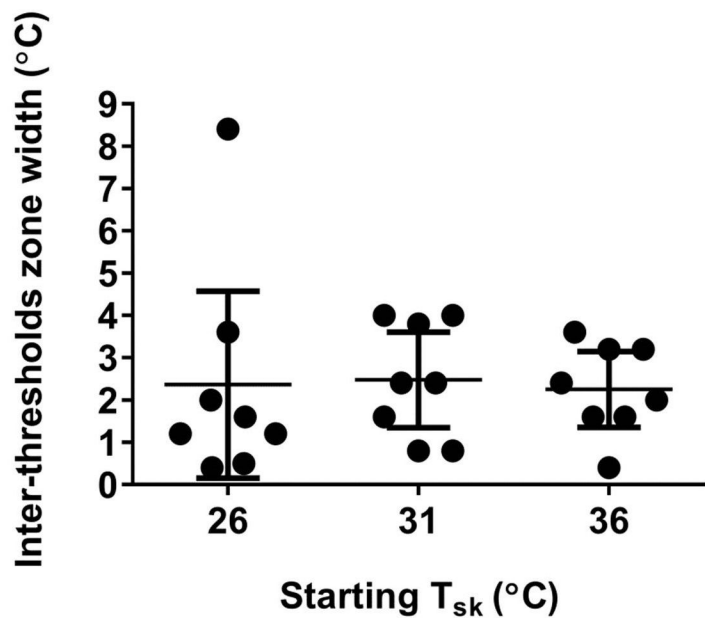


**A****B**

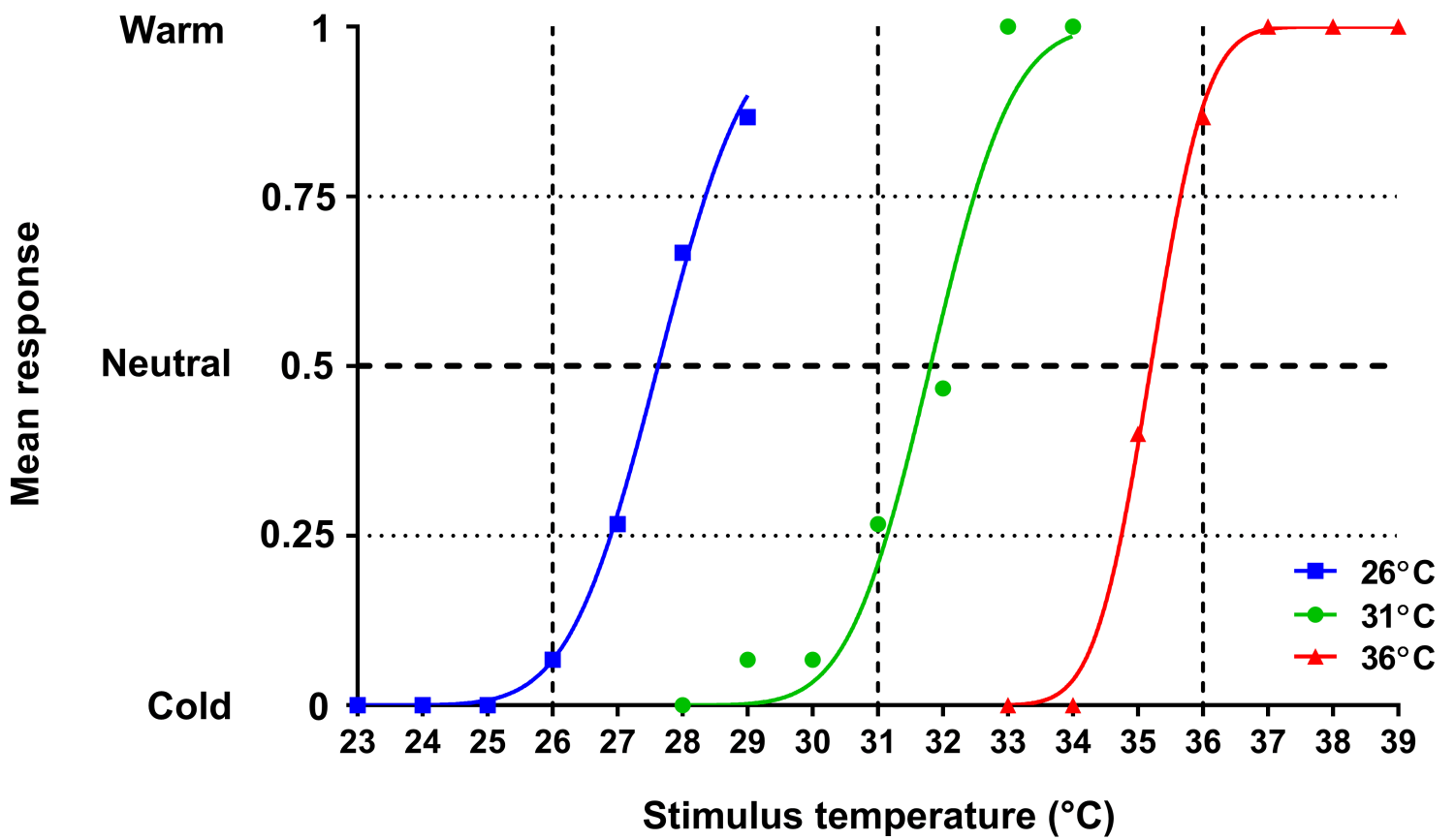


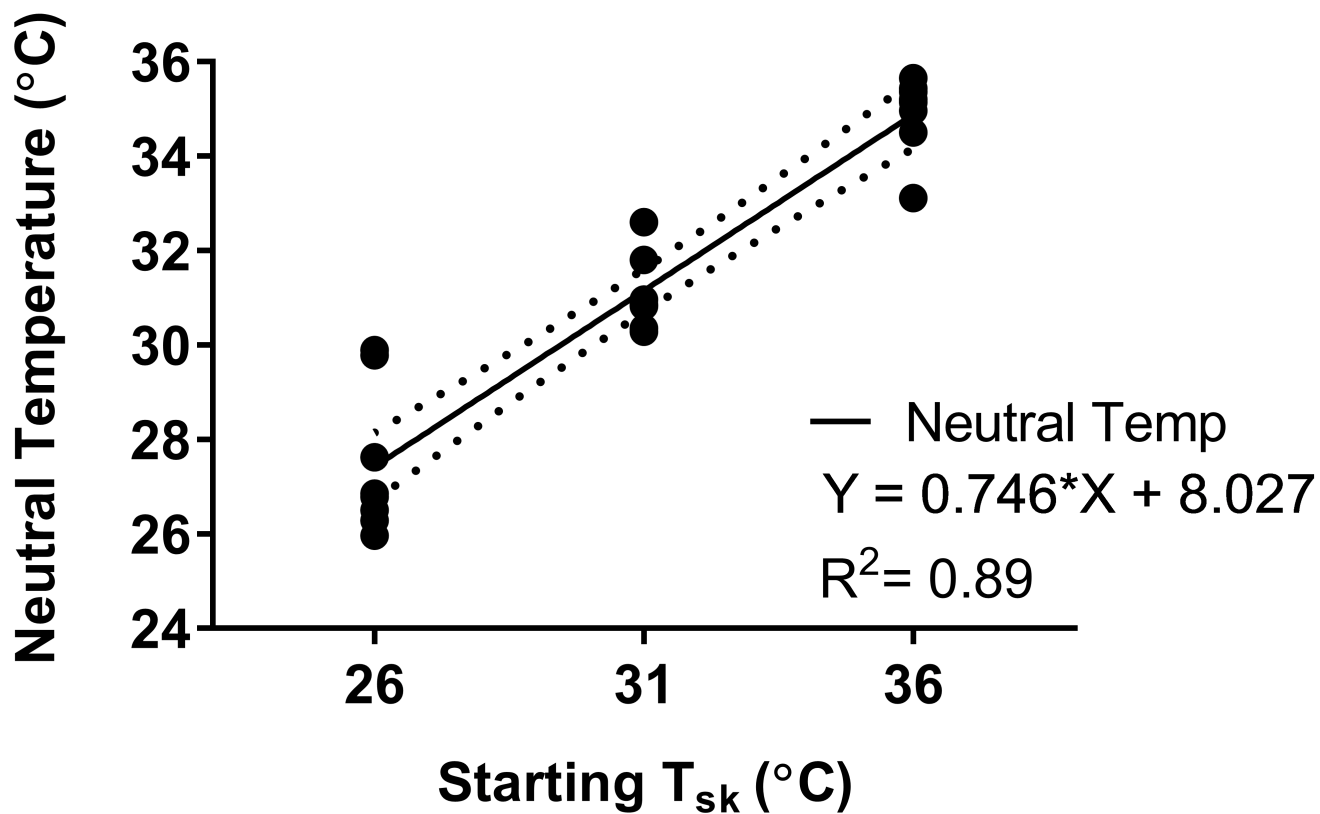




**A****B**





**A****B**