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Introduction

Layer (L)2 is a major output of primary sensory cortex that exhibits very sparse spiking, but the structure of sensory representation in L2 is not well understood. We combined two-photon calcium imaging with deflection of many whiskers to map whisker receptive fields, characterize sparse coding, and quantitatively define the point representation in L2 of mouse somatosensory cortex. Neurons within a column-sized imaging field showed surprisingly heterogeneous, salt-and-pepper tuning to many different whiskers. Single whisker deflection elicited low-probability spikes in highly distributed, shifting neural ensembles spanning multiple cortical columns. Whisker-evoked response probability correlated strongly with spontaneous firing rate, but weakly with tuning properties, indicating a spectrum of inherent responsiveness across pyramidal cells. L2 neurons projecting to motor and secondary somatosensory cortex differed in whisker tuning and responsiveness, and carried different amounts of information about columnar whisker deflection. From these data, we derive a quantitative, fine-scale picture of the distributed point representation in L2.

Key words: calcium imaging; L2/3; sensory code; sensory map; somatosensory cortex; sparse coding

Structure of a Single Whisker Representation in Layer 2 of Mouse Somatosensory Cortex

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Layer (L)2 is a major output of primary sensory cortex to higher cortical areas, and its sensory coding is thus relevant for perception. In rodents, L2 is characterized by very sparse spiking (de Kock et al., 2007; O’Connor et al., 2010b; Barth and Poulet, 2012) and locally heterogeneous (salt-and-pepper) sensory tuning (Ohki et al., 2005; Kerr et al., 2007; Sato et al., 2007; Bonin et al., 2011; Margolis et al., 2012). How these features jointly determine the structure of sensory representation in L2 is unknown. Here, we show that sparse spiking and local tuning heterogeneity lead to a highly distributed, sparse, and shifting cortical point representation (the number and spatial arrangement of neurons that spike in response to a point stimulus), from which different L2 subpopulations sample different sensory information for downstream processing.

We study mouse somatosensory cortex (S1), where each facial whisker is represented by a ~150 μm diameter column centered on a L4 “barrel” (Woolsey and Van der Loos, 1970; Lübke and Feldmeyer, 2007). In classical unit recordings, >80% of L2/3 neurons are tuned to the column-associated whisker (Simons, 1978; Armstrong-James and Fox, 1987). However, substantial cross-columnar and paralemniscal input to L2 suggests a more distributed, less topographic organization (Bureau et al., 2006). In Ca²⁺ imaging experiments, the relative response to two adjacent whiskers, termed two-whisker dominance, is highly variable among neighboring L2/3 neurons (Kerr et al., 2007; Sato et al., 2007; Margolis et al., 2012). This suggests a salt-and-pepper receptive field organization, as in visual (V1) and auditory (A1) cortex (Ohki et al., 2005; Bandyopadhyay et al., 2010; Bonin et al., 2011). However, the true scatter of whisker receptive fields in S1 remains unclear, because prior Ca²⁺ imaging studies only stimulated 2 whiskers, not the 3 × 3 or 4 × 4 array needed to fully map S1 receptive fields.

Whisker-evoked spiking in L2 is mostly binary (0 or 1 spikes) and strikingly unreliable [mean response probability (Pr), ~0.1 per deflection; Crochet and Petersen, 2006; de Kock et al., 2007], even during behavior (Sachidanandam et al., 2013). Most neurons have very low Pr (<0.1) to columnar whisker deflection, with ~10% of neurons having higher Pr (~0.2–0.6) and generating most whisker-evoked spikes (O’Connor et al., 2010a). The fine structure of sparse coding within L2/3 remains unclear (Barth and Poulet, 2012), but will strongly impact the point representation on single trials, which is the time scale relevant for perception.

We used two-photon Ca²⁺ imaging with OGB-1AM (Stosiek et al., 2003; Ohki et al., 2005; Kerr et al., 2007) and deflection of 9–15 whiskers to map whisker receptive fields, Pr, and the point representation of a single whisker in L2 of S1 in anesthetized mice. We observed markedly heterogeneous whisker tuning among nearby neurons, a spectrum of inherently more- and less-responsive pyramidal cells, and distinct sensory tuning for different L2 output pathways. From these results, we de-
rived a quantitative picture of the sparse, distributed point representation in L2, with different output pathways sampling distinct information for relay to downstream targets.

Materials and Methods
All procedures were approved by the University of California Berkeley Animal Care and Use Committee, and follow NIH guidelines. Male mice (P30–P45) were used (n = 35 C57BL/6j, 4 GAD67-GFP, 6 GAD67-GFP mice were provided by Yuchio Yanagawa (Tamamaki et al., 2003), bred with C57BL/6j mice, and heterozygous offspring were used for experiments.

GAD1-AM bolus loading. Mice were anesthetized with urethane (1.2 g/kg) and chloroprocaine (0.08 mg). A stainless steel head holder containing a 7 mm imaging aperture was affixed over S1. The location of D1–3 whisker columns was mapped through the intact skull using intrinsic signal optical imaging (Grinvald et al., 1986), as by Drew and Feldman (2009). A 1 mm craniotomy was made. 50 μg OGB-1 AM (Life Technologies) was dissolved in 5 μL of 20% Pluronic F127 in DMSO (Teflabs), and then diluted 10- to 20-fold in buffer (containing the following, in mM: 150 NaCl, 2.5 KCl, 10 HEPES; Garaschuk and Konnerth, 2010). This solution was pressure ejected (3 PSI, 1-5 min) from a 3 μm tip pipette at 250 μm below the pia, centered in the intrinsic signal response area of one whisker. Surface blood vessels were used for alignment. The pipette was removed and dye was allowed to load for ~1 h before imaging. Typically, cells within ~250 μm radius were loaded. A coverslip (no. 1 thickness, 7 mm diameter) was held in place within the imaging aperture via a locking metal ring to minimize brain pulsation.

Retrograde tracer injection. A subset of mice were injected with retrograde tracer in motor cortex (M1) or S1 ~1 week before calcium imaging. P22–P25 mice were anesthetized with isoflurane, placed in a stereotax, and body temperature was maintained at 37°C, secondary somatosensory cortex (S2) was localized via intrinsic signal imaging through the intact skull. S2 appeared as a strong intrinsic signal focus lateral to S1, typically at ~12 mm caudal, 4.2 lateral to bregma. M1 was targeted stereotaxically at 1.0 mm rostrocaudal, 0.7 mm lateral to bregma (Sato and Svoboda, 2010). A small craniotomy (~0.5 mm) was opened over either M1 or S2. Two-hundred nanoliters of CTB-AlexaFluor 594 (10 μg/μl in PBS; Life Technologies, C-22842) was injected via a glass pipette (tip diameter 40–60 μm) 500 μm below the pia. Injection was performed using a Nanoliter 2000 (WPI) at 20 nl/min for 10 min, with a 10 min pause before pipette withdrawal to prevent backflow. The scalp was sutured and the animal recovered. Calcium imaging was performed in S1 ~3–2 d later to allow tracer transport. Injection site location was histologically confirmed after analysis.

GCaMP6f imaging. A small number of experiments were performed with GCaMP6f imaging. P22–P25 mice were anesthetized using isoflurane, placed in a stereotax, and body temperature was maintained at 37°C, and a small craniotomy (0.5 mm) was opened over S1. To label pyramidal cells, we injected 300 nl of a 50:50 mixture of AAV9.CaMKII0.4.Cre.SV40 and a small craniotomy (0.5 mm) was opened over either M1 or S2. Two-hundred nanoliters of CTB-AlexaFluor 594 (10 μg/μl in PBS; Life Technologies, C-22842) was injected via a glass pipette (tip diameter 40–60 μm) 500 μm below the pia. Injection was performed using a Nanoliter 2000 (WPI) at 20 nl/min for 10 min, with a 10 min pause before pipette withdrawal to prevent backflow. The scalp was sutured and the animal recovered. Two to 3 weeks later, we implanted the imaging head holder, opened a 1–2 mm craniotomy over S1, and performed calcium imaging as above, under urethane/chloroprocaine anesthesia. Because we did not perform cell-attached calibrations of the GCamp6 data, calcium events were detected in GCamp6 imaging by thresholded ΔF/F, not deconvolution, using 0.15 ΔF/F as the threshold for a spike-associated calcium event, as by T. W. Chen et al. (2013).

Two-photon calcium imaging and whisker stimulation. Imaging was performed with a Moveable Objective Microscope (Sutter) and Chameleon Ultra Ti/Sapphire mode-locked laser (Coherent). OGB-1 and AlexaFluor 594 were excited at 800 nm, and GCaMP6f and GFP were excited at 920 nm. Red and green emission were separated with Chroma HQ 525/50 and 575/50 filters and detected with Hamamatsu photomultiplier tubes (H10770PA-40). Using a Nikon objective (16×, 0.8 NA), movies (100 s, 7.23 Hz frame rate, 128 × 512 pixel frame size) were collected using ScanImage (Pologruto et al., 2003). A square area, typically ~160 × 160 μm, was imaged. We sampled at lower resolution in the y dimension (fewer pixels per micron) to achieve faster frame rates, and spatially rescaled the images for display. Each field was imaged for 80–120 min, interleaving spontaneous and stimulus-evoked epochs. One to six fields were imaged per mouse.

Whiskers were deflected using a 3 × 3 or 4 × 4 array of calibrated piezoelectric actuators, each attached to a single whisker. Single rostrocaudal deflections were used (4” amplitude, 4 ms ramp and return, 100 ms hold, delivered 3 mm from skin). Stimuli were delivered at 3–5 s interstimulus interval, interleaved across whiskers. Ramp-hold-return stimuli are commonly used to quantify receptive fields in spiking experiments (Simmons, 1978; Simmons and Carvell, 1989). We did not explore responses to single-step whisker deflections.

Imaging data analysis. Movies were corrected for slow drift in the x-y plane using TurboReg in ImageJ (Thévenaz et al., 1998). The main source of motion in our images was slow XY drift. The rate of drift was quantified as follows. In a randomly selected subset of data (5 fields from 5 mice), we selected two to three bright astrocytes for reference. We tracked the centroids of these astrocytes frame by frame. The maximum distance between the centroid from one frame to the next never exceeded 1.2 pixels, which is within the effective range for TurboReg motion correction. Over the course of an entire imaging session, the slow drift averaged 7.3 pixels/hour, or 0.0002 pixels/frame. Any frames or movies with severe motion artifacts were excluded from analysis. Less than 2% of frames were excluded for motion artifacts (range: 0–8% of frames for a single recording session).

Regions-of-interest (ROIs) were manually selected in ImageJ to include all neuronal somata that appeared in all movies. All other analysis was performed in MATLAB. Traces of relative fluorescence change, ΔF/F, were calculated for each ROI as ΔF/F = (Fi − F0)/F0, where Fi is the instantaneous fluorescence value of a cell in one frame, and F0 its average fluorescence value over the preceding 4 s. Positive deconvolution was used to estimate the instantaneous probability of Ca2+ events (Vogelstein et al., 2010) which was thresholded to convert probabilities to a binary event train. A threshold level of 0.18 was used for all cells, and was determined based on cell-attached recordings (see Population Ca2+ imaging of single whisker receptive fields in S1 in Results). Spontaneous event rates were determined from deconvolved event trains. Because each whisker stimulus lasted 108 ms, and spikes in L2 can occur with up to 50 ms latency (Brecht et al., 2003), we considered any event with two frames after the whisker stimulus onset to be a stimulus-evoked response. All reported Pr values are whisker-evoked Pr — spontaneous event rate, unless specified otherwise. All classification of responsive versus unresponsive neurons and whisker tuning are based on net Pr above spontaneous rate.

Astrocytes were excluded from analysis based on extreme brightness and morphology, as they preferentially take up OGB-1. In a subset of experiments, we included 1 μm sulfurphodamine in the OGB solution to selectively label astrocytes. We found that astrocytes were clearly identifiable by their brightness, morphology, and calcium dynamics. Signal-to-noise ratio (SNR) was calculated by dividing the average ΔF/F over all detected events by the SD of baseline. To assess the significance of whisker tuning, we calculated 95% confidence interval (CI) by simulating Poisson-distributed responses to each whisker, based on mean Pr and actual number of stimulus presentations. Whiskers whose 95% CI overlapped with the BW were considered equally responsive. For population analysis, neurons with P_R < 0.05 were considered unresponsive. Only responsive neurons were included in analyses of tuning width or BW identity. For calculation of mutual information, we compared for each cell a binary vector representing the occurrence of a calcium event on each trial, and a binary vector representing whether the EBW or a different whisker was deflected on each trial. Mutual information was calculated using the MATLAB package mutualinfo (Hanchuan Peng, MATLAB Central File Exchange, retrieved June 5 2014).

In GAD67 mice, GFP-labeled neurons were identified after separating GFP and OGB fluorescence by subtracting an image with 920 nm excitation (peak GFP excitation); an image with 800 nm excitation (peak
OGB-1 excitation). This subtraction was only used to identify GFP+ neurons, and was not carried through the ΔF/F calculation.

Cell-attached calibration of spike detection. OGB-1 loaded neurons were recorded juxtaocularly under two-photon guidance (Kerr et al., 2007; Sato et al., 2007), using a recording pipette (3 μm tip, 3–5 MΩ) filled with fluorescent HEPES-buffered Ringers (in mM: 126 NaCl, 20 HEPES, 2.5 KCl, 2 CaCl₂, 1.3 MgSO₄, 14 D-glucose, 50 AlexaFluor 594, pH 7.3, 290 mOsm). A loose seal configuration was obtained, and spike-associated currents were measured in voltage-clamp mode with holding potential adjusted to maintain a holding current of 0 pA. Spikes from the loose seal recording were collected simultaneous to OGB imaging with Ephus software (Suter et al., 2010). Spike times were binned into imaging frames, taking into account the scan time of each cell within the imaging frame. A spike in the same frame as a calcium event was considered a successful detected spike. A false alarm was a calcium event without a corresponding spike. We based all Pr and spontaneous rate measurements on the binary occurrence of a calcium event in each frame or stimulus response window.

Histological localization of imaging fields relative to anatomical barrels. In a subset of experiments, imaging fields were localized relative to anatomical boundaries of whisker-related barrel columns. To do this, low-power images of the calcium imaging field and surrounding surface blood vessels were obtained on the two-photon microscope. The mouse was anesthetized with isoflurane and killed, and then the brain was removed, fixed with 4% paraformaldehyde, and flattened. One-hundred-fifty micrometer-thick tangential sections were cut, preserving the surface blood vessels, and stained for cytochrome oxidase activity to reveal L4 barrels. Barrel boundaries were projected onto the surface vessel map, which was then aligned to the low-power images of the calcium imaging field (Golgiani et al., 2009; Knott et al., 2009).

Tetrode recording. Extracellular recordings were made with custom-made dual tetrodes (12.5 μm nichrome wire, 0.3–1.5 MΩ). Signals were preamplified (20×, Plexon Instruments), bandpass filtered (0.3–8 kHz), further amplified (50×), and digitized at 31.25 kHz. Signals were acquired continuously. Single units were isolated offline using Ultra Mega Sort 2000 (Hill et al., 2011). Spike waveforms (1.5 ms) were aligned, overclustered using hierarchical clustering, and aggregated into statistically distinct clusters. Clusters were manually evaluated for separability in amplitude and principal component space. We required <0.5% refractory period violations (interspike interval <1.5 ms) and <30% missed spikes (estimated by comparing spike detection threshold with Gaussian-fitted distribution of spike amplitude). L2/3 recordings were made at 163–408 μm depth. Fast-spiking (FS) units were identified by peak-to-trough time of the spike waveform (<0.6 ms; Gabernet et al., 2006) and excluded from analysis. Spontaneous and evoked firing rates were calculated over 50 ms before and after stimulus onset, respectively.

Simulation of the cortical point representation. We simulated whisker responses in nine adjacent cortical columns of 100 neurons each. Neural tuning and Pr for each simulated neuron was drawn randomly from response statistics of imaged neurons from all nine whisker experiments combined. Neurons were spatially arranged within columns based on their whisker tuning to match the subcolumnar topography reported by Sato et al. (2007). No explicit gradient of Pr, or map of direction selectivity was included (Kerr et al., 2007; Kremer et al., 2011). We simulated responses to 50 trials of rostral deflection of the whisker corresponding to the central column. A Poisson-generated spike train was generated for each neuron based on its whisker receptive field and Pr. The simulation shows predicted spiking over spontaneous rate.

Results

Population Ca²⁺ imaging of single whisker receptive fields in S1

We imaged whisker-evoked activity in populations of L2 neurons in mouse S1 by bolus injecting the calcium indicator OGB-1 AM (Stosiek et al., 2003; Ohki et al., 2005; Kerr et al., 2007; Sato et al., 2007) into a functional whisker-related column (C1–3, D1–3, or E1–2) identified by intrinsic signal imaging (Grinvald et al., 1986). Activity was measured using two-photon imaging in 20–50 neurons in a ~160 × 160 μm field (approximately the size of an anatomical whisker column), at 120–180 μm depth corresponding to L2 (Lefort et al., 2009). Whisker stimuli were single, interleaved deflections of nine or 15 neighboring whiskers in a 3 × 3 or 4 × 4 array (Fig. 1a–c). Discrete calcium events corresponding to spikes or spike bursts were identified from ΔF/F traces by non-negative deconvolution (Vogelstein et al., 2010). The sensitivity of spike detection was calibrated by simultaneous cell-attached recording (n = 15 cells; Fig. 1f; see Materials and Methods). Deconvolution detected 55% of single spikes (within 140 ms, a single imaging frame) and 92% of spike doubles, with...
Whisker receptive fields of individual neurons were narrow, on average, with immediate surround whiskers evoking 38 ± 3% Pr compared with the BW (Fig. 4c). Whisker tuning of entire imaging fields (compiled across all cells) was much broader, with whiskers immediately adjacent to the FBW evoking 65 ± 5% Pr compared with the FBW (Fig. 4d). To compare tuning width statistically, we calculated whisker selectivity index (WSI), defined as Pr to the best whisker (for 1 neuron or the entire field) divided by the average Pr to immediately neighboring whiskers. WSI was significantly greater for single neurons than for fields (p = 3.5e-21, Wilcoxon rank sum test; Fig. 4e). Thus, local fields are composed of individual neurons with somatotopically heterogeneous whisker tuning.

Neurons sharing the same BW were spatially scattered across each imaging field, but could potentially share inputs from common excitatory subnetworks (Ko et al., 2011; Harris and Mreic-Fogel, 2013). We tested whether cotuned neurons share functional inputs by measuring the correlation between calcium event trains for pairs of neurons during spontaneous activity periods. Across all neurons, pairwise correlations fell off with distance between neurons; however, neurons tuned to the same BW showed higher correlations than neurons tuned to different BWs (Fig. 4f). This finding is similar to results in visual and motor cortex (Komiyama et al., 2010; Chng and Reid, 2010; Ko et al., 2011), and suggests that cotuned neurons are organized into distinct subnetworks.

Skewed distribution of more-responsive and less-responsive neurons

Pr to the FBW (Pr_{FBW}) was low, and skewed across the L2 neuron population: mean Pr_{FBW} was 0.09 (Fig. 5a, solid curve), and 35% of neurons were unresponsive to FBW (defined as Pr_{FBW} < 0.05). This confirms prior studies that showed weak whisker responses in L2/3 with a small tail of more responsive neurons (de Kock et al., 2007; Kerr et al., 2007; Sato et al., 2007; Crochet et al., 2011). Our average Pr is weaker than a prior study (Sato et al., 2007) because we include all cells, not just responsive cells, in the distribution. Responsiveness of each neuron to its individually determined BW (Pr_{BW}) was somewhat stronger: mean Pr_{BW} was 0.12, and only 16% of neurons were unresponsive (Fig. 5a, dashed curve). Thus, Pr was 33% greater when assayed with the individually determined best whisker, but was still quite low overall. The Pr_{BW} distribution was skewed both before and after subtracting each neuron’s spontaneous firing rate (Fig. 5a, bars). All results outside of Figure 5a are based on Pr_{BW} with spontaneous firing rate subtracted.

Because only 55% of single spikes were detected by OGB-1 imaging, the absolute Pr values reported here likely underestimate true Pr, and the 16% of unresponsive neurons (Pr < 0.05) may actually respond up to Pr = 0.09. Incomplete spike detection may also explain why our Pr distribution is less skewed than observed in extracellular or juxtarcellular recordings (Hromádková, 2008; Sakata and Harris, 2009; O’Connor et al., 2010b).

Point representation of a single whisker deflection in L2

We calculated the cortical point representation of a single whisker deflection, based on the spatial spread of neurons tuned to each whisker, receptive field width for individual neurons, and whisker-evoked Pr. In the average imaging field, 25% of neurons were tuned to the FBW and 3–6% were tuned to any one single immediately adjacent row, arc, or diagonal whisker (Fig. 5a). Thus, the set of neurons cotuned to one whisker (here called the tuning ensemble) is distributed across columns. A much larger
fraction of neurons in each field was at least minimally responsive ($Pr > 0.05$) to the FBW (58%) or to any one single adjacent row, arc, or diagonal whisker (41–44%). We call this the minimally responsive ensemble. Because $Pr$ was very low for most neurons, a much smaller fraction of neurons spiked, on average, to a single trial of FBW deflection (6.8%) or the other whiskers (4.8–5.1%). We call this the single-trial spiking ensemble, and it was spatially broader than the tuning ensemble. Because we detected only 55%
of single spikes, the true size of the single-trial spiking ensemble for the FBW may be \( \sim 14\% \) of L2 neurons in its home column and \( \sim 10\% \) of neurons in each adjacent and diagonal column.

We used these data, and our measured distribution of \( Pr \) across neurons, to simulate the distribution of spiking neurons across multiple S1 columns in response to a single rostral deflection of the center-column whisker under our anesthesia conditions (Fig. 6b,c). We simulated nine adjacent columns of 100 neurons each. For each neuron, whisker tuning and \( Pr \) were randomly sampled from the pooled, measured data, and were used to generate a Poisson spike train in response to repeated trials of whisker deflection. This approach assumes that the average imaging field represents a column. We ignored variations in \( Pr \) with distance from column center (Kerr et al., 2007; Sato et al., 2007), and mapping of direction tuning (Andermann and Moore, 2006; Kremer et al., 2011). The simulation shows quantitatively the sparsity of L2 spiking to single-whisker stimuli, and demonstrates that tuning and spiking ensembles are spread across multiple cortical columns, with spiking ensembles being much less focused than the tuning ensemble. Eighty-two percent of whisker-evoked spikes occurred outside the central column. The single-trial spiking ensemble was only partly overlapping with the tuning ensemble, because many spikes were evoked in neurons with peak tuning to nearby whiskers. Overall, 65% of spiking occurred in the 30% most responsive neurons.

Factors that distinguish high- from low-\( Pr \) neurons

Within the skewed \( Pr \) distribution, high- and low-\( Pr \) neurons could represent different cell types, different pyramidal cell subcircuits, or could simply reflect different sensory tuning among otherwise identical pyramidal cells (Barth and Poulet, 2012). We examined the relative contribution of each of these factors. We first tested whether \( Pr \) varied with tuning to different whiskers (analyzing only whisker-responsive neurons). Within an imaging field, neurons with high \( Pr_{BW} \) were only slightly more likely to be tuned to the FBW than neurons with low \( Pr_{BW} \) (Fig. 5b, black line). High- and low-\( Pr_{BW} \) neurons did not differ in tuning width (WSI; Fig. 5c). To avoid measurement bias, WSI was calculated here using equal numbers of calcium events in high- and low-\( Pr \) neurons. Consistent with this, \( Pr_{BW} \) did not differ substantially between cells with the highest- and lowest-quartile WSIs (Fig. 5d). Thus, \( Pr_{BW} \) was not strongly predicted by best whisker identity or tuning width.

We next tested whether high-\( Pr \) neurons represent inherently more active neurons, independent of stimulus choice (Gentet et
To test this idea, we compared $P_{rBW}$ with spontaneous activity rates, which are not determined by stimulus choice. Spontaneous rates were stable across hours of imaging (2677 cells in 51 animals, t test early versus late mean spontaneous rate, $p = 0.83$), and did not change after epochs of whisker stimulation (1620 cells in 29 animals; t test prestimulus vs poststimulus spontaneous rates, $p = 0.98$). We observed a broad range of spontaneous activity rates (Fig. 7a; de Kock et al., 2007; Kerr et al., 2007; O’Connor et al., 2010b) and found that spontaneous rate was strongly correlated with $P_{rBW}$ (Fig. 7b; $R^2 = 0.32, p < 1e-16$). Examples of this correlation can be seen in raw $∆F/ F$ traces in Figure 1e. We used the rank-order of $Pr$ and spontaneous rates within each field to compare across animals with variable anesthesia levels. We only included fields where a large number of cells were recorded, to fairly sample from a broad distribution of cells. Cells with high $P_{rBW}$ also had high $Pr$ to non-BW whiskers (Fig. 7c; $R^2 = 0.69, p < 1e-14$), consistent with a generally more responsive subset of neurons. These correlations do not reflect contamination of evoked $Pr$ by spontaneous activity, because $Pr$ was calculated as net $Pr$ above spontaneous event rate. $P_{rBW}$ was not correlated to SNR, ruling out an artifact of OGB loading or imaging noise (Fig. 7d; $R^2 = 0.02, p = 1.5e-13$). On the population level, cells with the highest quartile of spontaneous rate dominated the population of high $P_{rBW}$ cells (Fig. 7e), as observed in A1 (Sakata and Harris, 2009). The correlation between spontaneous rate and $P_{rBW}$ was also significant for raw $∆F/ F$ and for unthresholded, deconvolved data (data not shown). Thus, a spectrum of inherent responsiveness exists across L2 neurons, independent of stimulus choice.

To rule out the possibility that the correlation between spontaneous rate and whisker-evoked responsiveness was an artifact of relatively low spike detection with calcium imaging, we performed an independent set of experiments using tetrode recordings to measure single-unit spiking in L2/3 under identical anesthetic conditions ($n = 8$ mice). Single units were isolated with standard methods. To exclude fast-spiking interneurons, we only analyzed units with spike width $>0.6$ ms (Gabernet et al., 2005). A strong correlation was observed between spontaneous firing rate and principal whisker-evoked spike rate, calculated as net firing above spontaneous rate (Fig. 7f; $n = 43$ single units, $R^2 = 0.60, p = 1.2e-09$). This confirms the correlation observed with imaging.

High $Pr$ cells include both pyramidal cells and interneurons

High $P_{rBW}$ cells may be interneurons, which have substantially higher spontaneous firing rates than pyramidal cells in L2/3 (Gentet et al., 2010). High $Pr$ neurons in our dataset are unlikely to be FS interneurons, because the high firing rate of these cells obscures discrete spike-evoked calcium events that would be detectable by the deconvolution method. To test what fraction of high $P_{rBW}$ neurons are interneurons, we imaged whisker-evoked responses in GAD67-GFP heterozygous mice, which label all interneurons with GFP (Tamamaki et al., 2003; Fig. 8a–g). Only three whiskers were stimulated in these experiments. Interneurons showed significantly higher spontaneous event rates than GFP-negative neurons (putative pyramidal cells; $p = 0.002$, Wilcoxon rank sum test), and showed a nonsignificant trend for higher $P_{rBW}$ ($p = 0.09$, Wilcoxon rank sum test). However, the high $P_{rBW}$ population included both putative pyramidal cells and interneurons (Fig. 8e–g). We confirmed the skewness of the $Pr$ distribution in pyramidal cells by expressing GCaMP6f in pyramidal cells using a dual virus strategy (AAV9.CaMKII.O.A.Cre.SV40; AAV9.Syn.Flex.GCaMP6f.WPRE.SV40; $n = 3$ mice; (Fig. 8H–J)). Pyramidal cells ($n = 312$) showed a skewed distribution of $P_{rBW}$ including a tail of high-responsive neurons, and spontaneous activity and $P_{rBW}$ were highly correlated within the pyramidal cell population. Absolute $Pr$ values are not readily comparable to OGB-1 experiments, because we did not calibrate single-spike detection efficiency for GCaMP6 imaging.

Tuning and responsiveness differ between M1- and S2-projecting neurons

Finally, we tested whether high- and low-$Pr$ cells represented pyramidal cell subclasses projecting to different downstream targets of S1. Different output streams from primary sensory cortex can be specialized to represent different sensory features and to have different spike rates (Movshon and Newsome, 1996; Sato and Svoboda, 2010; Jarosiewicz et al., 2012; J. L. Chen et al., 2013;
Glickfeld et al., 2013; Yamashita et al., 2013). Output targets of L2 of S1 include primary motor cortex (M1) and S2, with only modest overlap (1–10%) between these populations (Sato and SBOUND, 2010; J. L. Chen et al., 2013; Yamashita et al., 2013). We compared whisker tuning and sparse coding between these populations by labeling M1-projecting (M1p) neurons or S2-projecting (S2p) neurons in separate experiments by injecting the retrograde tracer CTB-AlexaFluor 594 into either M1 or S2 (Fig. 9). After allowing time for CTB transport, we imaged in S1 and recorded activity from M1p or S2p neurons and unlabeled neurons. The latter represent both neurons that do not project to M1/S2 and an unknown fraction of unlabeled M1p/S2p neurons. M1p, S2p, and unlabeled neurons did not differ in spontaneous activity (Pr > 0.05). After allowing time for CTB transport, we imaged in S1 and recorded activity from M1p or S2p neurons and unlabeled neurons. The latter represent both neurons that do not project to M1/S2 and an unknown fraction of unlabeled M1p/S2p neurons. M1p, S2p, and unlabeled neurons did not differ in spontaneous activity (Pr > 0.05).

Figure 6. Point representation of one whisker in L2 of S1. a, Number of cells in each imaging field that were minimally responsive to one given whisker (Pr > 0.05), tuned to that whisker, or that spiked to that whisker on a single trial. b, c, Summary of the point representation of a single whisker deflection in L2, from a simulation of cortical activity based on measured whisker tuning and Pr. Eight neighboring cortical columns are shown, with 100 neurons each. A single rostral deflection of the whisker corresponding to the central barrel column was simulated. Each cell is shaded gray to indicate Pr to each whisker. d, Highest quartile of spontaneous activity. e, Effect of spontaneous firing rate on Pr to each whisker. For each column, mean Pr to deflection of the central whisker is shown. The spiking ensemble is sparse and distributed broadly across columns, more broadly than the tuning ensemble.

Figure 7. Whisker-evoked responsiveness correlates with spontaneous activity. a, Histogram of spontaneous firing rates. b, Correlation between Pr to each whisker and spontaneous firing rate, calculated by rank within the imaging field for each neuron. Only data from fields with >30 cells are included to ensure adequate sampling of response properties in each field. c, Same as b, but for Pr to each whiskers second-best whisker. d, SNR and Pr to each whisker are not correlated. Inset, SNR calculation. e, Effect of spontaneous firing rate on Pr to BW-evoked spike rate. f, Correlation between best whisker-evoked spike rate and spontaneous spike rate, for single L2/3 units recorded with tetrodes.
Responsiveness of interneurons versus pyramidal cells. a–g. Responsiveness in GAD67-GFP mice. a, Example imaging field showing GFP-labeled interneurons (g; imaged at 920 nm), OGB-1 dye loading (b; imaged at 800 nm), and c the overlap. d, ΔF/F traces for four neurons indicated in c. Magenta traces are interneurons. e, f, Cumulative distributions of PrBW and spontaneous firing rate for interneurons and putative pyramidal cells. g, Distribution of PrBW for interneurons and putative pyramidal cells (curves), overlaid on PrBW for all neurons (bars, replotted from Fig. 6a). h–j, Responsiveness of pyramidal cells measured with GCaMP6f expressed selectively in pyramidal cells using a CaMKII promoter and dual virus strategy (see text). h, Example imaging field. i, Skewed distribution of PrBW in L2 pyramidal cells. j, PrBW was strongly correlated with spontaneous event rate in pyramidal cells.

Discussion

Ca^{2+} imaging is well suited to measure sensory tuning of L2/3 pyramidal cells (Stosiek et al., 2003; Kerr et al., 2005, 2007; Ohki et al., 2005). Prior Ca^{2+} imaging studies revealed sparse, low-probability sensory responses in L2/3 of S1, a gradient of whisker-evoked response probability from column centers to edges, and salt-and-pepper organization for whisker direction tuning (Kerr et al., 2007; O’Connor et al., 2010b; Kremer et al., 2011; Gdalyahu et al., 2012; Margolis et al., 2012). Prior studies also showed that two-whisker dominance (the relative response to two adjacent whiskers) has high local scatter superimposed on a slow progression across columns, suggesting distributed somatotopy (Sato et al., 2007; Sato and Svoboda, 2010). We measured full whisker receptive fields and true somatotopy by imaging responses to 9–15 whiskers. We found surprising heterogeneity of whisker tuning within single columns, with only 25% of neurons in each imaging field tuned to the field best whisker, 34% tuned to adjacent and diagonal whiskers, and 19% tuned to distant, nonadjacent whiskers (Fig. 4). These were interspersed locally in a salt-and-pepper arrangement (Figs. 2,3). Despite local tuning diversity, the modal best whisker within each field matched anatomical column identity,
consistent with orderly topography in the whisker map (Simons, 1978; Armstrong-James and Fox, 1987). Thus, our results confirm salt-and-pepper micro-organization for somatotopy in L2/3 of S1, similar to retinotopy and orientation tuning in V1 and tonotopy in A1 (Ohki et al., 2005; Kerr et al., 2007; Sato et al., 2007; Bandyopadhyay et al., 2010; Rothschild et al., 2010; Bonin et al., 2011).

From these results, we can calculate the spatial spread of neurons tuned for one whisker (the tuning ensemble). Assuming that an imaging field represents a column, 32% of neurons in the tuning ensemble are located in the anatomically corresponding column, 29% in the four immediately adjacent row and arc columns, 14% in the four adjacent diagonal columns, and 24% in more distant columns. Thus, the tuning ensemble for one whisker is scattered across multiple columns, with ~4× greater density in the home column than any one adjacent column (Fig. 6).

Structure of the sparse code in L2
The cortical point representation in S1 can be defined as the number and spatial distribution of neurons that spike to a single whisker deflection, and is a product of the spatial spread of the tuning ensemble, receptive field width for individual neurons, and whisker-evoked response probability for each neuron. Rodent sensory cortex exhibits sparse coding based both on narrow stimulus selectivity and low Pr (de Kock et al., 2007; Hromadka et al., 2008; Jadhav et al., 2009; Poo and Isaacson, 2009; Stettler and Axel, 2009). In L2/3 of S1, average Pr is low for single-whisker stimuli, and a skewed distribution of Pr exists across L2/3 neurons, with a small population of high-Pr neurons generating most whisker-evoked spikes (Kerr et al., 2007; de Kock et al., 2007; de Kock and Sakmann, 2009; O’Connor et al., 2010b; Crochet et al., 2011; Margolis et al., 2012; Sachidhanandam et al., 2013). Pr is determined, in part, by excitation-inhibition ratio, which varies across neurons (Crochet and Petersen, 2006). However, it remains unclear whether high- and low-Pr neurons are different cell classes (e.g., interneurons vs pyramidal cells; Gentet et al., 2010), different pyramidal cell subclasses (Yassin et al., 2010), or a product of differential sensory tuning among otherwise equivalent pyramidal cells (Barth and Poulet, 2012). We tested the relative contribution of these factors in determining Pr, and measured how low, skewed Pr impacts the cortical point representation.

We confirmed a low and skewed distribution of Pr to deflection of the columnar whisker (de Kock et al., 2007; Kerr et al., 2007; O’Connor et al., 2010b; Crochet et al., 2011; Margolis et al., 2012; Sachidhanandam et al., 2013). Pr to each neuron’s individually determined best whisker (PrBW) was also low (mean: 0.12) and skewed (Fig. 5a), PrBW correlated only weakly with sensory tuning (Fig. 5), but strongly with spontaneous firing rate (Fig. 7), as in A1 and other areas (Sakata and Harris, 2009; Buzsáki and Mizuseki, 2014). This
indicates that a major component of responsiveness is independent of stimulus choice. More active cells included both interneurons, as expected (Gentet et al., 2010), and also many pyramidal cells (Fig. 8). Among pyramidal cells, S2p cells were more responsive than M1p cells (Fig. 9). These results are consistent with the existence of a more active subclass of pyramidal cells that receive greater excitation from the local network (Yassin et al., 2010; Benedetti et al., 2013). High-$P_{Pr}$ neurons also spike more to L4 microstimulation, but are not intrinsically more excitable (Elstrott et al., 2014), indicating specializations in local cortical circuits. An important caveat is that the absolute $P_{r}$ values reported here might be underestimated due to incomplete single spike detection. However, the findings of salt-and-pepper somatotopy and cell type- and pathway-specific response properties are based on relative $P_{r}$, not absolute $P_{r}$, and should be robust to incomplete spike detection.

**The point representation of a whisker in L2**

We performed a simple simulation based on whisker receptive field and $P_{r}$ data to determine the spatial organization of the single-trial spiking ensemble for a whisker (the set of neurons that spike to a single whisker deflection) and the minimally responsive ensemble (the complete set of neurons with nonzero $P_{r}$ to that whisker, sampled over many trials). Both spiking ensembles include many neurons outside the tuning ensemble, because S1 neurons respond to multiple nonbest whiskers. Because mean $P_{r}$ is low, the single-trial spiking ensemble is a small, shifting subset of the minimally responsive ensemble. Both spiking ensembles are broadly spread across columns: fully 82% of spiking neurons are located outside the home column, and the ratio of whisker-evoked spikes in one surround column versus the home column is $\sim 0.70$. This agrees well with a prior study (0.77; Kerr et al., 2007). Thus, the point representation in L2 is spread much more broadly than the tuning ensemble, and includes a substantial number of neurons in a nine-column region. This distributed representation is fundamentally distinct from topographically focused activation in L4 (Petersen and Diamond, 2000).

This distributed representation may reflect dynamic spread of cortical activity during one to two imaging frames (Ferezou et al., 2007), and is similar to a functional whisker representation measured by intrinsic signal imaging (Polley et al., 1999). Although a spatially distributed representation contrasts with the standard idea of S1 columnar precision, it is consistent with some single-unit recording studies showing that 4–10% of L2/3 single units spike more to one arbitrarily chosen neighboring-arc or -row whisker than to the home-column whisker (Fox, 1992; Glazewski and Fox, 1996; Glazewski et al., 1999, 2000).

**Specializations of S2 and M1 output pathways**

Cortical pyramidal neurons projecting to distinct long-range target areas can exhibit specialized sensory tuning and firing patterns (Movshon and Newsome, 1996; Sato and Svoboda, 2010; Jarosiewicz et al., 2012; Petreanu et al., 2012; J. L. Chen et al., 2013; Glickfeld et al., 2013; Yamashita et al., 2013). In S1, M1p and S2p pyramidal cells differ in sensory tuning, intrinsic biophysics, recruitment during different behavioral tasks, and dynamics of whisking- and contact-related spikes (Sato and Svoboda, 2010; J. L. Chen et al., 2013; Yamashita et al., 2013). By receptive field mapping across many whiskers, we found that S2p neurons were more narrowly tuned than other neurons, were more likely to be tuned to the column-related whisker, and had higher $P_{Pr}$ (Fig. 9). This agrees with the finding that S2p neurons exhibit stronger, more sustained spiking during active touch than M1p neurons, and are more synapticly excitable (Yamashita et al., 2013). In contrast, M1p neurons were more broadly tuned to multiple whiskers (Fig. 9), as in a prior study (Sato and Svoboda, 2010). M1p and S2p neuronal identity modestly predicted a neuron’s position on the $P_{Pr}$ distribution (Fig. 9). Because of higher $P_{r}$, narrower tuning, and greater likelihood to be tuned to the columnar whisker, each S2p neuron carried more information about columnar whisker deflections (Fig. 9). Thus, S2p and M1p subpopulations sample different whisker information, coded with different spike probability, from the distributed point representation in L2.

**Summary**

These data show that single whisker deflection elicits sparse spikes in small, shifting ensembles of L2 neurons spanning many cortical columns (Kerr et al., 2007). This sparse, divergent code is a substantial transformation from L4, where $P_{r}$ is higher and more neurons are tuned for the column-appropriate whisker (Armstrong-James and Fox, 1987; de Kock et al., 2007; Le Cam et al., 2011). The sparse code in L2 is highly structured, with a small tail of inherently more responsive pyramidal cells and differences in responsiveness and sensory tuning between S2 and M1 output streams. Somewhat stronger spiking is expected in awake animals (Niell and Stryker, 2010). Low-responsive neurons contribute to the spatial spread of the point representation into surrounding columns. These neurons may also represent a reserve pool to be recruited during plasticity, or in behavioral tasks by association with reward (J. L. Chen et al., 2013; Clancy et al., 2014).

**Notes**

Supplemental material for this article is available at http://mcb.berkeley.edu/labs/feldman/resources.html. This is a movie showing the full simulation described in Figure 6. Point representation of a single whisker deflection in L2 of S1, shown by simulation of spiking across nine columns in response to deflection of the whisker corresponding to the central column. This material has not been peer reviewed.

**References**


Clancy et al. • Single Whisker Representation in Layer 2 of S1


