Communitywide strategies key to preventing childhood obesity

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Approximately 25 million children in the United States are obese or at risk of becoming obese, with anticipated negative consequences for individual health as well as the nation’s future health-care costs. Effective interventions to prevent obesity require more than educating individuals. To bring about change, we must deploy tactics at multiple levels, from community facilities like parks and bike paths to foods offered in schools. The Spectrum of Prevention proposed in 1999 by L. Cohen and S. Smith first described this approach. UC Cooperative Extension (UCCE) has helped evaluate large-scale community-based obesity prevention programs and has experience aligning county nutrition programs with new dietary guidelines. This UCCE expertise enables UC to develop more effective obesity prevention strategies and to influence policy addressing childhood obesity. Notably, UCCE’s expertise in nutrition and obesity prevention will be applied to implementing a new intervention program. The new program employs multiple components including UC Cooperative Extension materials and community networks and is designed to impact factors contributing to risk for childhood obesity.

The rise in obesity in the United States is a severe health crisis that is undermining our well-being, economic competitiveness and even our national security (Glickman, Leavitt et al. 2012). Two-thirds of Americans are either overweight or obese, and the number of obese adults has doubled over the last 30 years. Even more troubling, the prevalence of obesity among children has tripled. From 1980 to 2010, obesity increased from 6.5% to 18.0% among 6- to 11-year-olds and from 5.0% to 18.4% among adolescents aged 12 to 19 (Ogden et al. 2012).

Currently, 25 million children in the United States are obese or overweight (Ogden et al. 2010). These youth are at increased risk for a variety of medical conditions that have lifelong consequences. One-sixth of all school-aged obese children are already experiencing heart disease risk factors, including high blood pressure and lipid disorders (Berenson 2005). In addition, it is now projected that one-third of the children born in this decade will develop type 2 diabetes in their lifetime and that our current generation of youth will have a shorter life span than their parents if we are not able to reduce the current rates of obesity in the United States (Olshansky et al. 2005).

These outcomes could be economically devastating for the U.S. medical care system, which will face the extraordinary expense of caring for vastly increased numbers of people suffering from chronic weight-related conditions. The current annual cost of obesity-related conditions in the United States is $147 billion for direct medical care (Finkelstein et al. 2009), and these costs are projected to double every decade if obesity rates are not curbed (Wang et al. 2008).

Preventing obesity

The primary cause of obesity is energy imbalance — too many calories consumed and too few calories burned. While the medical approach to combating
child obesity is oriented toward treating individuals, populationwide prevention may be a more effective and economical approach (Fisberg et al. 2004; Swinburn et al. 2005). Prevention efforts have the benefit of promoting healthy lifestyles for children of all weights, are less resource intensive than individual remediation and avoid the difficulty of case identification and the expense of intensive long-term counseling (Kumanyika et al. 2002). Further, individually oriented treatment can be damaging to children’s self-esteem and psychological well-being (Zametkin et al. 2004). Moreover, efforts to prevent obesity may offer more promise for children than for adults, since youth have not had as many years to establish health-related behaviors that contribute to excessive weight gain (Fisberg et al. 2004).

To identify strategies for obesity prevention — ways to limit excess caloric intake or encourage adequate physical activity — population behaviors that may contribute to the rise in obesity have been looked at by expert panels convened by the Centers for Disease Control and Prevention (CDC), U.S. Department of Health and Human Services, U.S. Department of Agriculture, American Institute for Cancer Research and World Health Organization (WHO) (Kumanyika et al. 2002). In recommending obesity prevention strategies, these expert panels acknowledge that it is likely that environmental factors have contributed to the rapid rise in obesity, since human genetics cannot have changed in the brief period of the last 30 years. Indeed, it has been suggested that the community environment has a greater impact on individual health than genetic background or use of the health-care system (Cubbin et al. 2008).

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Therefore, to reverse the current obesity crisis, we must create environments that support health (Parker et al. 2009). In other words, to enable individual children to make healthy choices, we must focus on changing the communities where they live and go to school in order to provide an environment that makes healthy choices possible.

Local obesity prevention

The highest childhood obesity prevalence is among low-income groups as well as racial/ethnic groups including African Americans, Latinos and Native Americans (Madsen et al. 2010). Many of the factors that contribute to obesity are exacerbated in low-income communities, where healthy and affordable food options and safe opportunities for physical activity are lacking (Samuels et al. 2010). Individuals’ behavior choices and weight status are believed to be influenced by various environmental factors such as easy availability of fast foods, increased portion sizes, availability of soft drinks and unhealthy snack food on school campuses, reductions in physical activity programs and increases in sedentary activities, inadequate parks and recreational facilities, limited access to healthy foods and advertising of low-nutrient-dense foods to children and their families (Koplan et al. 2005).

Prevention strategies can reach whole communities and populations, and there is an increasing sense of urgency in mounting these strategies (Kumanyika et al. 2002; US Department of Health and Human Services 2001). For example, it has become increasingly clear that children’s environments strongly influence their behaviors, and that meaningful and sustainable behavior change is unlikely to occur without support from the home, school and the larger community (Ritchie et al. 2006). Schools — where students spend a large proportion of their waking hours and where they eat one or two meals each weekday — are a prime area for changing the environment of children (Crawford et al. 2011). In addition, local communities can also be an effective arena for change and may be more responsive to new initiatives and more likely to achieve consensus than legislative and administrative bodies at higher levels of government. Further, obesity prevention policies that are adopted locally may also be implemented more widely (Khan et al. 2009).

Effective prevention strategies

Early obesity prevention strategies were relatively unsuccessful, focusing on educational tactics rather than combining education with broadly based community change designed to support lessons learned. In contrast, recent comprehensive school and community interventions are beginning to show decreases in children’s body mass indices (BMIs) on a case by case basis (Economos et al. 2007; Foster et al. 2008; Hollar et al. 2010). Strategies to support healthy eating patterns in communities and neighborhoods have included zoning that limits fast food restaurants, improving restaurant menu offerings for children, locating supermarkets in “food deserts” and increasing the availability of farmers markets and community gardens (Larson et al. 2009; Lovasi et al. 2009; Zenk et al. 2009). Strategies to encourage physical activity have included establishing parks and bike paths and...
facilitating safe routes to schools (Parker et al. 2009).

Community-based intervention. Shape up Somerville, a comprehensive intervention undertaken in an ethnically diverse city of 77,000 near Boston, Massachusetts, united city and school officials and stakeholders to facilitate change in students’ behavior patterns. A strong emphasis on school involvement was combined with changes in the broader community environment. Schools offered a new health curriculum as well as enhanced quality and quantity of healthful foods for students. In addition, the program encouraged walking to school with additional crossing guards and walking school buses, in which organized groups of students walk to school accompanied by one or more adults. Bike lanes and paths were improved, parks were renovated and sites were identified for new parks. Restaurants were encouraged to increase healthy options and/or alter portion sizes.

Documented improvements. Children’s BMI z-scores were decreased by −0.1005 ($p < 0.001$) and the program demonstrated the effectiveness of broad-based community efforts to change the environment to one that is more supportive of behaviors that promote health and healthy weights (Economos et al. 2007). Examples of documented improvements included repainting crosswalks so people could see them better and hiring crossing guards, resulting in a 5% increase in children walking to school. In addition, schools featured more produce at breakfast and lunch, increasing their produce purchases from $90,000 to $165,000 (Berman and Russo 2007).

Large community interventions

California was the first state with private investments in large-scale, multisector community health initiatives designed to promote healthful eating and physical activity. Both the California Endowment’s Healthy Eating, Active Communities (HEAC) Initiative and Kaiser Permanente’s Healthy Eating Active Living (HEAL) campaign were implemented in three low-income communities in Northern California — Modesto, Richmond and Santa Rosa. The HEAL program helped communities develop strategies for an action plan targeting four sectors: (1) schools (improving cafeteria options, nutrition education and physical education), (2) health care (incorporating BMI measures into well visits at community clinics in the HEAC Initiative include: school food marketing policies, school fundraiser policies, school food and physical activity policies, after-school wellness policies, after-school physical activity and food policies, neighborhood healthy vending policies, fast food moratoriums, health promotion in general plans, walkability policies and park space policies (Samuels 2010).

HEAC was one of the first comprehensive community interventions documenting that communities could take a comprehensive approach to improving food and physical activity opportunities for youth. The California Endowment believes that California’s prosperity depends on the health of its population. To this end, the Endowment has initiated a 10-year multimillion-dollar initiative to advance policies and forge partnerships to build healthy communities in California.

Healthy Eating Active Living (Kaiser Permanente). Designed to reduce obesity by improving nutrition, boosting physical activity and supporting community and organizational policy and environmental changes, the Healthy Eating Active Living Community Health Initiative (HEAL-CHI) campaign was implemented in three low-income communities in Northern California — Modesto, Richmond and Santa Rosa. The HEAL program helped communities develop strategies for an action plan targeting four sectors: (1) schools (improving cafeteria options, nutrition education and physical education), (2) health care (incorporating BMI measures into well visits at community clinics

HEAC implemented programs and policies designed to increase healthy eating and physical activity in five environmental sectors: schools, after-school programs, neighborhoods, health care, and marketing and advertising.

Schools implemented state nutrition standards, increased physical education (PE) class time to meet state requirements, hired PE specialists, trained teachers in physical activity and involved parents in changing food and physical activity. After-school programs added physical activity and adopted SPARK, a research-based physical activity curriculum. Parks were outfitted with updated equipment, and walking and biking were encouraged.

To encourage healthy eating habits, unhealthy snack foods were no longer prominently displayed at grocery stores near schools, and convenience stores near schools began selling produce and other healthier items. Finally, local physicians and promotoras (community workers who provide education and referrals) were trained in obesity prevention and policy advocacy, weight management programs were implemented, healthful hospital vending policies were developed, clinical practices were changed to include BMI charting and counseling, and obesity prevention messages were created.

One of the most important outcomes of the HEAC Initiative was the number of health-promoting policies adopted by participating schools and communities. Policies are critical to sustain change initiated in programs and activities. Across the six HEAC sites, about 250 policymakers and public officials were engaged in some way in developing or supporting health policy activities. Policies adopted by schools and communities participating

More than 330 community residents spent the summer biking, doing group exercising in the park and learning to eat better with Get Fit Riverbank, a program that was codeveloped by a UCCE advisor.
and offering routine obesity counseling and referrals), (3) work sites (encouraging use of stairs and including physical activity and more healthful menu options in cafeterias) and (4) neighborhoods (building safe, lighted walking trails and establishing advocacy campaigns to increase healthy eating and physical activity options).

The HEAL-CHI campaign was successful in implementing sustainable policy and environmental strategies, with the most successful strategies identified as those that had an intense impact on more than 20% of the community population. In the three target communities, a total of 76 community health strategies were implemented, including 26 in organizational policy change, 19 at the program level, 14 in building community capacity, 12 in environmental change and five in public policy (Cheadle et al. 2012) (fig. 1). Strategies ranged from adding health elements to city general plans (policy) and improving school food offerings (environment), to cooking classes (programs) and training resident leaders (capacity building).

Significant population-level changes resulted from several high-dose strategies (fig. 2). Population dose includes both the strength of the intervention and the number reached. The highest-dose intervention activities were implemented in and around schools. For example, foods served at schools were modified and students’ physical activity opportunities were enhanced.

Lessons that emerged from the HEAL-CHI implementation can benefit other initiatives. These lessons include selecting intervention strategies of sufficient dose to have an adequate population reach and strength, focusing on specific subpopulations, and developing sensitive measures of impact, which can include behavioral measures of those directly exposed to community changes as well as intermediate measures of behavior change that may result in improvements in nutrition and physical activity. Kaiser Permanente has applied these findings to new HEAL-CHI communities in their Colorado, Northern California and Southern California regions and other Kaiser Permanente regions throughout the country.

UCCE community coalitions

Over a decade ago, UCCE nutrition specialists designed the first program in the country to train Cooperative Extension advisors to organize diverse, multisector community coalitions to promote community health (Ikeda et al. 2001). The purpose was to educate and empower coalitions to improve or create environments that foster healthy lifestyles for families and children at the local level. California counties implemented 13 of these projects, and the model has been used by numerous Cooperative Extension groups nationwide.

Spectrum of Prevention. The problem of pediatric overweight was addressed on multiple levels using the Spectrum of Prevention (fig. 3), an approach that has been applied successfully in a wide variety of initiatives, including violence prevention, injury prevention, traffic safety, nutrition, and fitness (Cohen and Smith 1999). The Spectrum identifies six levels of intervention that are complementary and, when taken together, can change the environment to promote healthy behaviors. By envisioning the individual within a rich and complex environment, the Spectrum’s broad, multilevel approach to change provides a context within which individual change is most likely to occur.

The levels addressed by UCCE nutrition advisors ranged from educating individuals and providers to advocating for systemic and environmental change. The resulting programs were tailored to meet
the needs and priorities of the advisors’ various communities and provide diverse models of promising practices for the promotion of health behaviors elsewhere in the state and nation.

UCCE nutrition programs

Two UCCE nutrition programs work with community agencies and schools to deliver nutrition education to low-income families, adults and youth. These programs are the Expanded Food and Nutrition Education Program (EFNEP) and the UC CalFresh Nutrition Education Program (formerly known as the Food Stamp Nutrition Education Program). Historically, the programs have focused primarily on strengthening individual knowledge and skills (the first level of the Spectrum of Prevention) to change behaviors necessary for nutritionally sound diets.

Lessons emphasize appropriate portion sizes, label reading, meal planning, healthy choices, decreasing unhealthy fats, reducing sugar consumption and increasing physical activity and consumption of fruits, vegetables and whole grains. Although it was not the original intent of the program to prevent obesity, lesson topics link to nutrition factors that influence obesity. Measured outcomes have included increased consumption of fruits, vegetables, whole grains and low-fat dairy products as well as increased physical activity and improved budgeting skills (UC CalFresh 2011; UC EFNEP 2011).

Low-income, racial and ethnic groups. EFNEP and UC CalFresh educators reach out to underserved urban and rural community members. While poor nutrition and obesity cross all income levels, they are more prevalent in low-income and some racial and ethnic groups (Ogden and Carroll 2010; Ogden et al. 2010; Singh et al. 2010). Santa Clara UC CalFresh taught more than 500 Hispanic families with limited resources how to make the most of their grocery purchases nutritionally while saving money, and use saved dollars to purchase additional healthy foods. An inventory of the home food environment can demonstrate what families have available for healthy meals and snacks. The Santa Clara food inventory indicated that most families changed their home food environment after completing the classes: They increased fruit and vegetable variety by 30%, the amount of fresh fruit by 30% and the use of whole wheat bread by 100% (Algert 2011).

For families starting new lives in the United States, it is easy to succumb to the high-fat, high-sugar eating habits and sedentary lifestyle that are common here. EFNEP educators in San Diego worked with Somali refugee parents by showing them how to plan, shop for and prepare healthy family meals on a limited budget. In addition, along with UC Davis researchers, EFNEP advisors developed and implemented curricula and DVD video teaching aids for Hmong families to encourage healthful nutrition practices. Hmong families showed improvements in food purchasing, water intake and physical activity (Peterson 2010) (table 1).

To reach more low-income consumers, UCCE nutrition staff promote community nutrition efforts with partners (level two of the Spectrum of Prevention for promoting nutrition education). They train agency staff and volunteers and providers to teach nutrition education (level three of the Spectrum). UCCE in Riverside County partnered with nine middle and high schools to deliver the EatFit program to more than 4,400 students. EatFit was developed by UCCE and UC Davis researchers to help teens analyze their own diets and set personal eating and fitness goals. The EatFit curriculum was part of their physical education course work. A retrospective evaluation completed by nearly one-quarter of the students after the program showed increased consumption of fruits and vegetables and reduced consumption of fast foods, snacks and sweetened beverages (UC CalFresh 2011) (table 2).

Community partnerships. UCCE works with community partners to teach nutrition. UCCE Sacramento and San Joaquin partnered with First 5 to extend nutrition programming within their communities. First 5 is a California program that educates parents and caregivers about the important role they play in their children’s development during the first five years. Sacramento Head Start and child-care providers received professional development training on the nutritional needs of preschool youth, a critical age for learning positive behaviors and trying new foods. Head Start is a USDA Health and Human Services program that provides education, health, nutrition and parent involvement services to low-income children and families. Parents served by five San Joaquin community-based agencies were
trained to deliver nutrition education to children. Positive nutrition changes for preschoolers included trying new foods such as fish.

In addition, San Bernardino 4-H, EFNEP and the Master Gardener Program collaborated with the Norton Space and Aeronautics Academy on a gardening and nutrition project to provide youth with a Farm to Fork experience (Barnett et al. 2011). In Contra Costa County, UCCE is collaborating with two limited-income housing communities to promote healthy living with a goal of decreasing childhood obesity. Trained teen and adult volunteers deliver lessons to younger children, using hands-on activities to improve their nutrition, cooking, fitness and gardening skills (UC 4-H 2011).

**Local coalitions and networks.** UCCE nutrition advisors work closely with local coalitions and networks (level four of Spectrum of Prevention for promoting nutrition education). For example, a UCCE Stanislaus-Merced advisor codeveloped Get Fit Riverbank, a community-based 8-week nutrition and physical activity program designed to expose low-income families to inexpensive and fun ways to improve their health. More than 330 residents in the community united to spend the summer biking, walking and learning to eat better. Paired pre- and post-program clinical measurements showed that waistlines decreased an average of 2.3 inches, cholesterol levels dropped more than nine points and blood sugar levels dropped more than 10 points (Spezzano 2012) (fig. 4).

From 2010 to 2012, UCCE Fresno and UC Davis researchers partnered with the City of Fresno Parks and Recreation’s Healthy Lifestyles Fitness Camp, using a family-centered teaching method in a summer day camp setting for low-income overweight youth. In 2011, Fitness Camp youth had lost weight (−1.06 kilograms in 9- to 11-year-olds and −1.58 kilograms in 12- to 17-year-olds), while control youth had gained weight (+0.33 kilogram), after accounting for baseline BMI for age ($p = 0.04$). A decrease in waist circumference was also significantly different between Fitness Camp and control groups, after controlling for baseline BMI ($p = 0.003$) (George et al. 2012).

**Classroom nutrition education.** UC CalFresh’s evaluation task force members from the UC Davis campus, the UC CalFresh state office and UCCE developed the Teacher Observation Tool for use with UC curricula for preschool through sixth grade. The Teacher Observation Tool is a retrospective evaluation measuring teachers’ perceptions and observations related to changes in students’ food-related attitudes and behaviors as well as the teachers’ impact on the classroom nutritional health environment (level five of the Spectrum of Prevention). Teachers reported that after completing the UC CalFresh Nutrition Education Program, more students could identify healthy food choices and were more willing to try new foods than they had been at the beginning of the school year (fig. 5). In 2011, 753 teachers reported that 17,551 of 18,672 students improved their ability to identify healthy food choices, with mean scores increasing from 71% to 81% ($p < 0.0001$) (Kaiser et al. In press).

**New obesity prevention program**

A new UCCE obesity prevention program will target children and their families in two California communities. This program is part of Healthy Families and Communities, an ANR strategic initiative that focuses on encouraging healthy lifestyles, boosting science literacy and fostering positive development among California’s youth.

County-level UCCE advisors will lead this community-based program to change student attitudes, knowledge and behaviors in ways that are conducive...
to healthier dietary and physical activity patterns. In accordance with the evidence-based 2010 Dietary Guidelines for Americans (USDA DHHS 2010), the intervention will emphasize key obesity prevention messages: reducing consumption of sugar-sweetened beverages, limiting fast food and decreasing time spent in sedentary pursuits. These strategies have shown promise, as have statewide school policies targeting unhealthy foods and beverages, and both may be linked to the fact that the rising rate of childhood obesity is beginning to plateau in California (Sanchez-Vaznaugh et al. 2010). At the same time that the program discourages consumption of sugar-sweetened beverages and fast food, it will encourage increased consumption of fruits and vegetables.

The 2-year intervention will integrate multiple components: (1) nutrition education in classrooms, (2) work with teachers, administrators, food service staff and school wellness committees, (3) afterschool and summer programs, (4) youth engagement and empowerment using 4-H strategies and (5) parent/family activities to influence the home environment. Outreach to local business and policy leaders will encourage their involvement in changing the community milieu to one that better supports children’s healthful eating and activity behaviors, creating an environment conducive to child health.

Designed by UCCE specialists, nutrition advisors, and 4-H advisors, the intervention will demonstrate a comprehensive community-based approach to preventing child obesity at the local level. Strengthened by advisors’ long-standing community ties, the new program will build upon lessons learned from Cooperative Extension specialists’ work in this area, nutrition advisors’ education programs and 4-H advisors’ youth development expertise.

Intervention materials will be adapted from current Cooperative Extension resources. UCCE links with California’s agricultural community will strengthen the intervention’s ability to positively influence nutritional intakes and to create community-wide support for interventions that promote child health. Programs including UC CalFresh, Farm to School, and a variety of community coalitions will deliver consistent evidence-based obesity prevention messages. Peer leadership will be a unique feature of the program, with 4-H youth serving as peer guides in school and after-school settings. In addition, the program will be continued during summertime activities for students. 4-H youth ambassadors will meet with community business and policy leaders to garner support for this health promotion effort.

UCCE links with California’s agricultural community will serve to strengthen the team’s ability to positively influence nutritional intakes and to create community-wide support for an intervention that promotes nutritional health and disease prevention for children.

The current annual cost of obesity-related conditions in the United States is $147 billion for direct medical care, and these costs are projected to double every decade . . .

Programs in the past that have successfully impacted children’s obesity rates have been both intensive and expensive. The new intervention will examine the potential of Cooperative Extension, with its existing community-based networks, to address this urgent societal problem in a cost-effective manner and to support positive community changes promoting the health and well-being of residents. The effectiveness of the program will be evaluated by comparing outcomes in the two targeted communities with those in comparison communities that do not receive the intervention.

The Institute of Medicine recently identified Cooperative Extension as a community leader well suited to guiding community interventions to prevent obesity (Glickman, Parker et al. 2012, 383). No other organizations have deep roots in communities throughout California and the country and longstanding involvement in youth programs and community nutrition programs. The confluence of these factors positions Cooperative Extension as a potential leader in the effort to solve one of the most critical health problems of our time: the epidemic of childhood obesity. If successful, the Healthy Families and Communities study will provide guidance to other California communities and Cooperative Extension affiliates, and will be a model of effective change in an area of critical importance to the state and nation.
new Healthy Families and Communities obesity intervention, Cooperative Extension will guide a community-based model that will inform future obesity prevention throughout the state. With its longstanding community ties, its experience in the areas of nutrition and 4-H and its history of using research-based knowledge to improve people’s lives, Cooperative Extension is poised to significantly improve the future health of California’s population.

References


UC 4-H. 2011. UC ANR 4-H Healthy Living CYFAR Project www.c4hr.org/Projects/HealthyLiving/CYFAR/ (accessed August 20, 2012).


