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### Authors

Zhou, Xuance Majidi, Carmel O'Reilly, Oliver M

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# Energy Efficiency in Friction-Based Locomotion Mechanisms for Soft and Hard Robots: Slower can be Faster

Xuance Zhou · Carmel Majidi · Oliver M. O'Reilly

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Abstract Many recent designs of soft robots and nano robots feature locomotion mechanisms that cleverly exploit slipping and sticking phenomena. These mechanisms have many features in common with peristaltic locomotion found in the animal world. The purpose of the present paper is to examine the energy efficiency of a locomotion mechanism that exploits friction. With the help of a model that captures most of the salient features of locomotion, we show how locomotion featuring stick-slip friction is more efficient than a counterpart that only features slipping. Our analysis also provides a framework to establish how optimal locomotion mechanisms can be selected.

#### **1** Introduction

Recent advancements in the field of robotics include the development of soft robots [10] and micro-robots [4, 5, 20, 23]. For some soft robot designs, such as the recent pneumatic quadruped in [19], locomotion can be achieved by coordinated sticking and slipping of the limbs. A similar mechanism can be found in certain micro-robots, such as the ETH-Zürich Magmite [8,14, 15], UT-Arlington ARRIpede [12,13], Dartmouth scratchdrive MEMS robot [3], and magentic micro-robot from Carnegie-Mellon University [17]. At the macroscale, stickslip locomotion is also featured in the Capsubot from Tokyo's Denki University [9] and the Friction Board System [21]. It is also of interest to note that locomotion mechanisms featuring sticking and slipping of limbs can also be related to the limbless crawling (peristaltic locomotion [7, 11]) observed in a wide variety of species and bio-inspired robots [16, 18] where anchoring (sticking) is realized either by bristles or mucus [2].

Xuance Zhou · Oliver M. O'Reilly Department of Mechanical Engineering University of California at Berkeley Berkeley CA 94720-1740 USA Tel.: +510-642-0877 Fax: +510-643-5599 E-mail: oreilly@berkeley.edu, xuance.zhou@berkeley.edu Carmel Majidi Department of Mechanical Engineering

Carnegie Mellon University Pittsburg PA 15213 USA Tel.: +412-268-2492 Fax: +412-268-3348 E-mail: cmajidi@andrew.cmu.edu

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Fig. 1 Locomotion of a toy horse. (a) Photo of the stationary toy. (b) Photo of the primary components of the toy horse. (c) A series of time-lapsed images of the toy horse in motion. The coin in (a) and (b) is a U.S. quarter.



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Fig. 2 Schematic of a two-degree-of-freedom model for the toy horse shown in Figure 1. The figure also indicates the forces  $\mathbf{F}_{P_1}$ and  $\mathbf{F}_{P_2}$  exerted on the respective links by the pneumatic actuator.

The wealth of designs and implementations in the aforementioned works make it difficult to gain a perspective on the overall energy efficiency of a locomotion scheme controlled by friction. A commercially available toy, shown in Figure 1, enables us to see the features of robot locomotion that suffice to examine this efficiency. The toy horse has three main components: two limbs joined by a pair of hinge joints and an air bellows. As documented in Figure 1(c), by pumping on the bellows, the toy locomotes forward. One model for this toy is to represent it as the two-link mechanism shown in Figure 2. The forces due to the bellows (actuator) are modeled by the forces  $\mathbf{F}_{P_1}$  and  $\mathbf{F}_{P_2}$  acting on the links. As these forces are varied, the normal forces on the end masses  $m_A$  and  $m_B$  change. The resulting change in the normal forces can induce changes to the friction forces acting on the end masses. If the system is properly designed and the forces  $\mathbf{F}_{P_1}$  and  $\mathbf{F}_{P_2}$  are properly coordinated, then, as illustrated in Figure 3, a net forward motion of the center of mass of the system can be achieved.

We consider the locomotion shown in Figure 3 to be an example of stick-slip locomotion (SSL). However, as we subsequently discovered, it is also possible to achieve locomotion with both limbs in a perpetual state of sliding. We define this locomotion as sliding locomotion (SL). It is of interest to us to examine how both of these



Fig. 3 Illustration of the locomotion for the model system shown in Figure 2. In (a) the system is at rest, then in (b) the actuator extends and the link labeled II moves forward while link I stays fixed. In (c), the point A stays fixed, the actuator retracts and link I slips. The net motion  $\Delta$  of the system after one cycle is shown in (d). In these figures the point C is the center of mass of the system.

types of locomotion schemes can be actuated, which one of them is more energy efficient, and which one of them enables faster locomotion. To perform such an analysis, we found it essential to simplify the model shown in Figure 2 to the two mass system shown in Figure 4. In this representation, the actuator is modeled as a spring with a variable initial length  $\ell_0(t)$ . The spring is also inclined so that changing the initial length induces changes to the normal forces on the masses. By changing the angle of inclination for a given  $\ell_0(t)$ , the center of mass of the system can be made to move backwards or forwards.

While the majority of works in the application area of interest have addressed hardware design and fabrication, there is an ever increasing number of papers devoted to a systematic analysis of relevant theoretical models (see, e.g., [1,6,9,22,24]). Such analysis is challenging because the dynamics are governed by nonsmooth hybrid dynamical systems and recourse to numerical methods is necessary. The present paper expands such efforts by examining the energetics and performance of devices that feature friction-induced locomotion.

The paper is organized as follows: In the next section, Section 2, a two degree-of-freedom model for the locomotion system is described. The model is excited internally by changing the unstretched spring length  $\mathcal{L}_0(t)$ . The interaction of the resulting normal and friction forces then leads to locomotion. In Section 3, this locomotion is classified into two types and the influence of some system parameters on the locomotion is discussed. We then turn to examining the energy efficiency of the SSL and SL mechanisms. Our numerical analysis features a range of simulations with varying system parameters. As in [1, 24], we show how uneven friction force distribution can lead to locomotion of the center of mass. Our analyses conclude with a discussion of the effects of mass distribution in Section 5. The paper concludes with a set of design recommendations for balancing time taken by the model to travel a given distance subject to a given energy dissipation.

#### 2 A Simple Model

While the exploitation of friction to generate locomotion is well known (see, e.g., [4]), analyzing simple models to examine the features of the implementation of this locomotion mechanism are rare. The simplest model we found that could explain the salient features and some of the challenges of SL and SSL was a two degree-offreedom mass-spring system shown in Figure 4(a). As can be seen from the figure, the masses are connected by a spring and are both free to move on a horizontal surface.

The spring element in the model features an unstretched length  $\mathcal{L}_0$  that is to be controlled. This feature of the model mimics the bellows in the toy horse and is similar to the active spring used in the recent work [22] to explain some features of peristaltic locomotion. We assume that the spring element is linear and exerts a force  $-\mathbf{F}_s$  on  $m_1$  and  $\mathbf{F}_s$  on  $m_2$ :

$$\mathbf{F}_{s} = -K \left(\mathcal{L} - \mathcal{L}_{0}(t)\right) \left\{ \left(\frac{X_{2} - X_{1}}{\mathcal{L}}\right) \mathbf{E}_{1} + \frac{D}{\mathcal{L}} \mathbf{E}_{2} \right\}, \quad (1)$$

where  $X_1$  is the displacement of the mass  $M_1$  and  $X_2$  is the displacement of the mass  $M_2$ . The extended length  $\mathcal{L}$  of the spring features a vertical offset D:

$$\mathcal{L} = \sqrt{(X_2 - X_1)^2 + D^2}.$$
 (2)

The corresponding angle between the directions of  $\mathbf{F}_s$ and a unit vector in the horizontal direction,  $\mathbf{E}_1$ , is

$$\theta = \arcsin \frac{D}{\mathcal{L}}, \quad 0 \le \theta < \frac{\pi}{2}.$$
(3)

We choose the origin so that the position of the centers of mass for  $M_1$  and  $M_2$  are 0 in the vertical  $\mathbf{E}_2$  direction. Finally, the position vector of the center of mass C is

$$X\mathbf{E}_{1} = \frac{M_{1}X_{1} + M_{2}X_{2}}{M_{1} + M_{2}}\mathbf{E}_{1}.$$
(4)

The motion C is crucial to characterizing the efficiency of the locomotion scheme

To mimic the effect of varying normal force that would be present in a more realistic model of the actual system, we have tilted the spring at an angle  $\theta$  to the horizontal. In this way, varying  $\mathcal{L}_0$  induces a change in the normal forces  $N_{1,2}$  on the individual masses:

$$N_1 = M_1 g + \mathbf{F}_s \cdot \mathbf{E}_2, \quad N_2 = M_2 g - \mathbf{F}_s \cdot \mathbf{E}_2.$$
(5)

If there is sufficient variation, then it can enable a transition to and from static and dynamic friction. If  $\mu_s > \mu_d$ , then this transition can produces a motion of the mass particles. For instance in SSL a recurring pattern of transitions where  $M_1$  is stuck and  $M_2$  moves, followed by  $M_2$  being stuck and  $M_1$  moving towards  $M_2$ can occur. We also observe from Figure 4(b) that if  $\ell_0$ is properly controlled, then locomotion of the center of mass C of the system is possible.

It is convenient to define the normalized vertical offset  $d = D/\hat{\mathcal{L}}$ , where  $\hat{\mathcal{L}}$  is a suitable length scale. Possible choices of  $\hat{\mathcal{L}}$  include D and  $\mathcal{L}_0(t=0) \neq 0$ . As can be seen from the results shown in Figure 4(b), when d > 0 (< 0), then the center of mass of the model moves forward (backward) and is stationary when d = 0. In



Fig. 4 A simple two degree-of-freedom model used to analyze SL and SSL. (a) Schematic of the model showing the normal and friction forces. (b) The displacement x of the center of mass C of the system for various values of d and  $\ell_0(t) = 0.05 \sin(\pi t) + 3$ . Referring to (1), the parameters for this model are K = 50,  $m_1 = m_2 = 1$ ,  $\mu_s = 0.7$ ,  $\mu_k = 0.5$ , and  $d = D/\hat{\mathcal{L}}$  is assigned the values of -0.5, -0.25, 0.0, 0.25, and 0.50.

compiling the results shown in this figure, we choose  $\mathcal{L}_0(t) = A\sin(\pi t) + \bar{\mathcal{L}}$ . It is natural to ask what is the optimal  $\mathcal{L}_0(t)$  needed to achieve locomotion for a given average speed of the center of mass C? A related question is what is the optimal  $\mathcal{L}_0(t)$  to have the system perform a prescribed task with minimal power expenditure?

#### 2.1 Equations of motion

Before we derive the equations of motion, we define a dimensionless time  $\tau = t\sqrt{g}/\hat{\mathcal{L}}$  and introduce some new dimensionless parameters

$$m_{1} = \frac{M_{1}}{M}, \quad m_{2} = \frac{M_{2}}{M},$$

$$x_{1} = \frac{X_{1}}{\hat{\mathcal{L}}}, \quad x_{2} = \frac{X_{2}}{\hat{\mathcal{L}}}, \quad \ell = \frac{\mathcal{L}}{\hat{\mathcal{L}}},$$

$$a = \frac{A}{\hat{\mathcal{L}}}, \quad d = \frac{D}{\hat{\mathcal{L}}}, \quad \ell_{0} = \frac{\mathcal{L}_{0}}{\hat{\mathcal{L}}}, \quad \bar{\ell} = \frac{\bar{\mathcal{L}}_{0}}{\hat{\mathcal{L}}},$$

$$\omega = \Omega \frac{\hat{\mathcal{L}}}{g}, \quad k = \frac{K\hat{\mathcal{L}}}{Mg}, \quad c = \frac{C}{M}\sqrt{\frac{\hat{\mathcal{L}}}{g}},$$

$$n_{1} = \frac{|N_{1}|}{Mg}, \quad n_{2} = \frac{|N_{2}|}{Mg}, \quad (6)$$

where we choose  $M = (M_1 + M_2)/2$ . In the following equations, the () indicates a differentiation with respect to  $\tau$ .

The equations of motion for the simple model form a hybrid system with state-dependent switching. Here, we treat the friction as Coulomb friction. For a given mass  $M_i$ , the pair of conditions required for static friction are

Condition 1.  $\dot{x}_i(t) = 0$ . Condition 2.  $|k(\ell - \ell_0)\cos(\theta)| \le \mu_s n_i(t)$ . Here,  $\mu_s$  is the coefficient of static friction. Based on the pair of conditions, the switching sets can be defined as follows:

$$\mathcal{B}_{1} = \{ (x_{1}, \dot{x}_{1}, x_{2}, \dot{x}_{2}) | \dot{x}_{1} = 0 \text{ and } |f_{x}| \leq \mu_{s} n_{1} \}, \\ \mathcal{B}_{2} = \{ (x_{1}, \dot{x}_{1}, x_{2}, \dot{x}_{2}) | \dot{x}_{2} = 0 \text{ and } |f_{x}| \leq \mu_{s} n_{2} \}.$$
(7)

Here,

$$f_x = k \left(\ell - \ell_0\right) \cos(\theta), \text{ and}$$
$$\theta = \arcsin\left(\frac{d}{\sqrt{\left(x_2 - x_1\right)^2 + d^2}}\right). \tag{8}$$

Using the switching sets, the equations of motion of the system can be expressed as follows:

$$m_{1}\ddot{x}_{1} = 0, \qquad (x_{1}, \dot{x}_{1}, x_{2}, \dot{x}_{2}) \in \mathcal{B}_{1}, m_{2}\ddot{x}_{2} = 0, \qquad (x_{1}, \dot{x}_{1}, x_{2}, \dot{x}_{2}) \in \mathcal{B}_{2}, m_{1}\ddot{x}_{1} + c\dot{x}_{1} - f_{x} + \frac{\dot{x}_{1}}{|\dot{x}_{1}|} \mu_{d}n_{1} = 0 m_{2}\ddot{x}_{2} + c\dot{x}_{2} + f_{x} + \frac{\dot{x}_{2}}{|\dot{x}_{2}|} \mu_{d}n_{2} = 0 \right\} \qquad (x_{1}, \dot{x}_{1}, x_{2}, \dot{x}_{2}) \notin \mathcal{B}_{1,2}.$$

$$(9)$$

The dimensionless total energy e of the system is

$$e = \frac{1}{2}m_1\dot{x}_1^2 + \frac{1}{2}m_2\dot{x}_2^2 + \frac{1}{2}k\left(\sqrt{(x_2 - x_1)^2 + d^2} - \ell_0\right)^2.$$
(10)

#### 2.2 Analytical modes and natural frequencies

We expect four modes of behavior for the two degreeof-freedom system:

Mode 0.  $m_1$  - stick,  $m_2$  - stick; Mode 1.  $m_1$  - slip,  $m_2$  - slip; Mode 2.  $m_1$  - slip,  $m_2$  - stick; Mode 3.  $m_1$  - stick,  $m_2$  - slip. It is convenient to define four natural frequencies that pertain to the case where d = 0 (i.e., the spring is horizontal) and the system dynamics are assumed to be linear. In this case, for Mode 1, we expect the system response to contain the natural frequency  $\omega_{n_1}$  along with the rigid body mode  $\omega_0$ :

$$\omega_0 = 0, \quad \omega_{n_1} = \sqrt{\frac{k \ (m_1 + m_2)}{m_1 m_2}}.$$
 (11)

For Modes 2 and 3, the system shoud behave as a single mass system whose natural frequencies are, respectively,

$$\omega_{n_2} = \sqrt{\frac{k}{m_1}}, \qquad \omega_{n_3} = \sqrt{\frac{k}{m_2}}.$$
(12)

For the majority of the subsequent analyses, we set  $m_1 = m_2$ . Thus,  $\omega_{n_2} = \omega_{n_3}$ .

#### 2.3 Internal excitation

In many of the applications of interest, the motion is controlled by varying a physical parameter of the system. For example in the toy horse shown in Figure 1, the bellows serves as a spring of time-varying length, in the soft robot in [19], pneumatic cylinders are used to change an intrinsic curvature, and in peristaltic locomotion a traveling wave is used to induce changes to the structure's contact geometry [22]. To model these effects as simply as possible, we assume that the two degree-of-freedom system is excited by a time dependent varying intrinsic length

$$\ell_0 = a\sin(\omega t) + \bar{\ell}.\tag{13}$$

In applications, this type of excitation could be realized either by a pneumatic cylinder, elastic deformation, or electromagnetic fields. Admittedly, other choices of the function  $\ell_0(t)$  are possible and so our numerical investigation is not exhaustive. Indeed, within the context of the current system, it would be useful to develop a framework by which the optimal  $\ell_0(t)$  that would minimize energy consumption while still ensuring that certain performance metrics are satisfied.

#### 3 Two Types of Locomotion: SL and SSL

In simulations of the simple model presented in Section 2, two types of motion are anticipated: either stickslip locomotion (SSL) or slip locomotion (SL). These two representative locomotion behaviors, which are discussed extensively in the sequel, are shown in Figure 5. What distinguishes SL from SSL is that for the latter



**Fig. 5** Illustration of (a) SSL and (b) SL in the two-degreeof-freedom model governed by Eqn. (9). In (a) the excitation frequency is  $\omega = 0.2\omega_{n_1}$  and in (b) the excitation frequency is  $\omega = 2.3\omega_{n_1}$ . For both examples, the remaining parameters are  $a = 0.05, k = 50, \bar{\ell} = 3, d = 0.5, c = 0.01, m_1 = m_2 = 1,$  $\mu_k = 0.5, \mu_s = 0.7$  and  $\omega_{n_1} = 10$ .

one or more of the masses stick for discrete intervals of time during the motion. That is, for SSL,  $\exists i \in \{1, 2\}$ :  $\dot{x}_i(t) = 0$  and  $|k(\ell - \ell_0)\cos(\theta)| \le \mu_s n_i(t) \,\forall t \in [T_1, T_2].$ In order for this pair of conditions to be satisfied, we found that we need the excitation frequency  $\omega$  to be lower than the lowest  $\omega_{n_{1,2,3}}$  and the amplitude of excitation a should also be small. These results from our numerical simulations are shown in Figure 6. In these numerical simulations, we categorize the motion as SL when no sticking behavior happens during a time interval  $\Delta \tau = 25$  in the steady-state motion, otherwise, as SSL. Of all the parameters governing whether the locomotion was SSL or SL,  $\omega$  was the most prepotent. As can be seen from Figure 6, if  $\omega$  is sufficiently large then the system's locomotion is SL. The discrete peaks in the transition curve seen in this figure also proved to be very sensitive to tolerances in our numerical integration schemes.

For all the above and subsequent numerical simulations, we set the initial conditions as

$$x_1 (t = 0) = 0, \qquad x_2 (t = 0) = \overline{\ell}, \dot{x}_1 (t = 0) = \dot{x}_2 (t = 0) = 0.$$
(14)

The properties we characterize are based on the behavior of the system after the initial transients have subsided. We assume that a time period of at least 80 periods of the lowest natural frequency is sufficient of these transients to have decayed.

The second parameter which should play a key role in the occurrence of SSL is the static friction coefficient  $\mu_s$ . To explore the effects of this parameter, we examined the relationship between the time taken  $\tau_5$  for the center of mass C to travel a distance of 5 dimensionless units and the difference between the static friction coefficient and the dynamic coefficient:  $\mu_s - \mu_k$ . In other words, we are interested in the effects of static friction



Fig. 6 A graph illustrating the region of SSL with the dimensionless amplitude *a* and dimensionless frequency  $\omega$  as the varying parameters. The other parameter that are kept fixed are k = 50, c = 0.01,  $\bar{\ell} = 3$ , d = 0.5,  $\mu_s = 0.7$ ,  $\mu_k = 0.5$ ,  $m_1 = m_2 = 1$ , and  $\omega_{n_1} = 10$ .

on the average speed of locomotion. Referring to Figure 7, we found that a larger static friction will help accelerate the system in a certain range. However, in general, the effects of varying the static friction coefficient  $\mu_s \geq \mu_k$  are not significant when the dynamic coefficient  $\mu_k$  is fixed.



Fig. 7 Influence of  $\mu_s - \mu_k$  on the dimensionless time  $\tau_5$  taken for the center of mass C to travel a distance of 5 dimensionless units with  $\omega = 0.2\omega_{n_1}$ , a = 0.05, k = 50, c = 0.01,  $\bar{\ell} = 3$ , d = 0.5,  $m_1 = m_2$ ,  $\mu_k = 0.5$ ,  $\omega_{n_1} = 10$  and  $\mu_s$  varying from 0.5 to 1.0.

#### 4 Energetic Considerations

An optimal locomotion scheme could be considered as one where a fixed distance is travelled in the shortest time while minimizing energy consumption. To examine optimality, we first need to define the energy consumption. For the system at hand, the energy consumption can be inspected in two equivalent manners. First, the energy consumed in actuating the spring is used to counterbalance the energy dissipated by friction and the linear damper. The energy dissipated  $e_d$  has the dimensionless representation:

$$e_d = \int_{\tau_1}^{\tau_2} \left\{ \mu_k n_1 |\dot{x}_1| + \mu_k n_2 |\dot{x}_2| + c\dot{x}_1^2 + c\dot{x}_2^2 \right\} \mathrm{d}\tau.$$
(15)

The second measure is to consider the work w done by the spring force. The work is balanced with the change in the total energy e of the system and the energy  $e_d$ dissipated by the system:

$$w = e_d + e(\tau = \tau_2) - e(\tau = \tau_1).$$
(16)

In the following numerical analysis,  $e_d$  will be used as a measure of the energy consumption. The advantage of choosing  $e_d$  over w is that  $e_d$  not only indicates the amount of energy consumed in order to make the system move, but also shows the amount of energy converted to heat. Heat dissipation is often a non-trivial issue for MEMS devices which can be susceptible to thermal failure.

To explore efficiency, we considered the time  $\tau_z$  taken for the center of mass C to travel a distance z and the corresponding energy  $e_z$ . We computed these metrics for a range of excitation frequencies  $\omega$  and have compiled a representative selection of the results in Figure 8. The results shown in Figure 8 challenge our perception that it is always economical to excite a system at resonance. Clearly, one attains the minimum time to travel a given distance when  $\omega$  is close to the frequency  $\omega_{n_1}$  and there are also local minima near  $\omega_{n_2} = \omega_{n_3}$ . However, the maximum energy dissipated also occurs when  $\omega$  is close to  $\omega_{n_1}$ .

In the region  $\omega/\omega_{n_1} < 0.3$  where SSL is the observed locomotion mechanism, several local minima in travel time  $\tau_5$  occur with minimal changes in  $e_5$ . However, there are several disadvantages for those minima in SSL region. First, these critical points are very sensitive to changes in  $\omega$  and, second, the average speed doesn't compare to that when  $\omega$  is close to  $\omega_{n_1}$ . In general, the results in Figure 8 indicate that energy efficiency can never be achieved without lowering the average speed of the center of mass C.

Another key factor in excitation is the amplitude a of the spring's intrinsic length  $\ell_0(t)$ . In order to draw some conclusions on the influence of a, five excitation frequencies were selected featuring two low frequencies (one with SSL and one featuring SL), one frequency near resonance and two high frequencies. On the whole, the trend in Figure 9 agrees with the results shown in Figure 8 that a higher average speed can only be achieved with a higher concomitant energy dissipation.<sup>1</sup> One feature of particular interest in Figure 9 is that

<sup>&</sup>lt;sup>1</sup> While the energy  $e_5$  dissipated for  $\omega = 0.95\omega_{n_1}$  does decrease after a certain amplitude *a* is reached, this region in parameter



Fig. 8 Numerical results to analyze the efficiency of the model in Figure 4 as a function of the excitation frequency  $\omega$ . (a) Nondimensionalized time  $\tau_5$  taken to travel a distance of 5 dimensionless units. (b) The corresponding non-dimensionalized energy consumption  $e_5$  during the motion. The parameters for the model used to produce these results were a = 0.05,  $\bar{\ell} = 3$ , d = 0.5, k = 50, c = 0.01,  $m_1 = m_2$ ,  $\mu_k = 0.5$ ,  $\mu_s = 0.7$  and  $\omega_{n_1} = 10$ .



Fig. 9 Numerical results to analyze the efficiency of the model in Figure 4 for a varying exciting frequency with three representative frequencies . (a) Nondimensionalized time  $\tau_5$  travel a distance of 5 dimensionless units. (b) The corresponding dimensionless energy consumption  $e_5$ . The parameters for this model are  $\bar{\ell} = 3$ , d = 0.5, k = 50, c = 0.01,  $m_1 = m_2 = 1$ ,  $\mu_k = 0.5$ ,  $\mu_s = 0.7$  and  $\omega_1 = 2$ ,  $\omega_2 = 6$ ,  $\omega_3 = 9.5$ ,  $\omega_4 = 16$  and  $\omega_5 = 23$  respectively.

when  $a \leq 0.08$ , the system substantially traveled the fixed distance in the same amount of time with same amount of energy dissipated for low frequency  $\omega =$  $0.60\omega_{n_1}$  as with a high frequency  $\omega = 1.60\omega_{n_1}$ . However, when a > 0.08, the system excited with a low frequency  $\omega = 0.60\omega_{n_1}$  can travel the fixed distance in less time and with a smaller energy dissipation than the system excited with a frequency  $\omega = 1.60\omega_{n_1}$ . In other words, when all the other conditions are equal, the excitation with a lower frequency, namely, a longer period, appears to allow the system to take more advantage of the resultant force on the system in the  $\mathbf{E}_1$  direction than one with a high excitation frequency.

To illustrate the aforementioned comment about the resultant force in the horizontal direction, we sum the external forces which are composed of friction and damping forces in  $\mathbf{E}_1$  direction for the system:

$$\mathbf{F}_{ex} \cdot \mathbf{E}_1 = (\mathbf{F}_{f_1} + \mathbf{F}_{d_1} + \mathbf{F}_{f_2} + \mathbf{F}_{d_2}) \cdot \mathbf{E}_1.$$
(17)

We next consider the system and subject it to a periodic external force. In the first case, the excitation frequency is sufficiently small that SSL occurs and in the second case the frequency is sufficiently high so that SL occurs. For cases exhibiting SSL and SL, the resultant force  $\mathbf{F}_{ex} \cdot \mathbf{E}_1$  as a function of time are shown in Figure 10. The sets of results shown in Figure 10(a),(b) exhibit a similar average speed for the center of mass *C*. However, the amplitude of force for the SL case in Figure 10(b) is almost twice that for the SSL case in Figure 10(a). Since all the parameters except the excitation frequency  $\omega$  are identical, this effect must be attributed to  $\omega$ . According to Figure 10, the period of the resultant force  $\mathbf{F}_{ex} \cdot \mathbf{E}_1$ is half the period of  $\ell_0(t)$ .

To develop a sense of the role that the period of the resultant external force  $\mathbf{F}_{ex} \cdot \mathbf{E}_1$  in Figure 10 plays on the motion of the system, we consider a similar scenario of a particle m under the influence of the sawtooth periodic force in the x direction (cf. Figure 11). The sawtooth profile is an approximation of the profile of  $\mathbf{F}_{ex} \cdot \mathbf{E}_1$  that is visible in Figure 10(b) and the particle m can be considered as the system composed of  $m_1$ 

space is not feasible because when the two mass are too close to each other there is a possibility that the normal force on one of them will vanish and that mass would then loose contact with the ground.

-1.5-1.550.347 53 49.7 Fig. 10 Illustration of the force and velocity profiles for the two typical types of motion exhibited by the solutions to Eqn. (9). In (a), the excitation frequency is  $\omega = 0.2\omega_{n_1}$  and in (b) the excitation frequency is  $\omega = 2.3\omega_{n_1}$ . For both examples, the remaining

parameters are a = 0.05, k = 50,  $\bar{\ell} = 3$ , d = 0.5, c = 0.01,  $m_1 = m_2 = 1$ ,  $\mu_k = 0.5$ ,  $\mu_s = 0.7$ , and  $\omega_{n_1} = 10$ .



Fig. 11 Schematic of a particle m moving under the influence of a resultant periodic force f(t). The sawtooth profile of f is also shown.

and  $m_2$ . Based on the above assumption, if the particle of mass m has an initial velocity  $v_0$ , then the corresponding average speed  $\bar{v}$  in one period of the forcing is

$$\bar{v} = v_0 + \frac{F_{max}T_f}{6m} = v_0 + \frac{F_{max}\pi}{3m\omega_f},$$
 (18)

where  $\omega_f = \frac{2\pi}{T_f}$ . Even though the situation in the two degree-of-freedom model with friction is far more complicated (because the quantities corresponding to F and  $v_0$  are functions of  $\omega_f$ ) we can still use (18) to obtain some qualitative insights. For instance, assuming that  $F_{max}$  and  $v_0$  have the same sign, then Eqn. (18) shows that the lower the frequency  $\omega_f$ , the higher the value we can expect for the average speed  $\bar{v}$ . This simple model also shows why we should not expect SL to yield faster locomotion than SSL.

#### 5 The Effects of Mass Distribution

The motion of the system is achieved in part by varying the normal forces at the contact points with the ground. These forces are also proportional to the masses  $m_1$ and  $m_2$ , respectively. Consequently, it is of interest to

examine how the mass distribution  $\frac{m_1}{m_2}$  can effect the locomotion of the system. In this section, we examine how the time to travel  $\tau_5$  and the energy dissipated  $e_5$ are related to the mass parameter  $\frac{m_1}{m_2+m_1}$  for a set of five representative excitation frequencies.

In Figure 12(b), for high frequency  $\omega = \omega_5 = 23$ , we find three local minima for  $\tau_5$  near  $\hat{m} = m_1/(m_1 + m_2)$  $(m_2) = 0.05, 0.95, \text{ and } 0.5.$  When  $\hat{m} = 0.05$  or 0.95, then the natural frequency  $\omega_{n_1} = \omega$ . Like the case shown in Figure 8, the least time needed to achieve a given distance is near  $\omega_{n_1}$  and this is produced with maximum energy consumption. We obtain another local minimum in  $\tau_5$  when  $\hat{m} = 0.5$  (i.e.,  $m_1 = m_2$ ) and this is produced with (a local) minimal energy consumption.

The results shown in Figure 12 provide another way to accelerate our system when changing the excitation frequency is not possible. For  $\omega = \omega_4 = 16$ , the trend follows what happens with  $\omega = \omega_5 = 23$  except the mass ratio where  $\omega = \omega_{n_1}$  changes. The third case we consider is  $\omega = \omega_3 = 9.5$ . Here, as  $\omega_3 \approx \omega_{n_1}$  when  $m_1 = m_2$ , we find that the three valleys reduced to a single wide flat valley. This is a very appealing design region for applications.

If we continue to decrease the excitation frequency to  $\omega = \omega_2 = 6$ , then the first natural frequency  $\omega_{n_1}$  can never be reached regardless of the mass distribution<sup>2</sup>. However, as can be seen from Figure 12(b), we still find three local minima of average velocity at  $\hat{m} = 0.27$ , 0.73, and 0.5.

As can be seen in Figure 12(a), with the two mass distributions  $\hat{m} = 0.27$  and 0.73, the exciting frequencies are quite close to the approximated frequency cor-



<sup>&</sup>lt;sup>2</sup> According to Eqn. (11) and Figure 12(a), the minimum  $\omega_{n_1}$ is 10 with  $m_1 = m_2$ 



Fig. 12 Numerical results that are used to analyze the energy-efficiency of the model in Figure 4 for different mass distributions  $\frac{m_1}{m_1+m_2}$ . (a) Natural frequencies  $\omega_{n_{1,2,3}}$  as functions of the mass ratio  $\frac{m_1}{m_1+m_2}$ . (b) Dimensionless time  $\tau_5$  to travel a distance of 5 dimensionless units. (c) The corresponding dimensionless energy consumption  $e_5$ . The parameters for this model are k = 50, a = 0.05,  $\bar{\ell} = 3$ , d = 0.5,  $\mu_s = 0.7$ ,  $\mu_k = 0.5$  and  $\omega_1 = 2$ ,  $\omega_2 = 6$ ,  $\omega_3 = 9.5$ ,  $\omega_4 = 16$ , and  $\omega_5 = 23$  respectively.

responding to the mode of single mass oscillation  $\omega_{n_2} = 5.85$  and  $\omega_{n_3} = 5.85$ , respectively. For the other minimum at  $\hat{m} = 0.5$ , the excitation frequency  $\omega = \omega_2$  is the closest to  $\omega_{n_1}$ . Of particular interest to us is that its corresponding energy consumption indicated by Figure 12(c) is also a minimum.

The behavior when  $\omega = \omega_1 = 2$  follows what occurred with  $\omega = \omega_2 = 6$  except that it does not exhibit the two valleys for the travel time  $\tau_5$  near the frequency corresponding to a single mass oscillation. By examining numerical simulations for the case  $\omega = \omega_1$ , we found that SSL was dominant during the entire motion. With one of the masses stuck, we have less energy dissipated. However, the time to reach the fixed distance 5 is longer in general compared to the other cases  $\omega_{2,3,4,5,6}$  and is not significantly improved at the minimum  $m_1 = m_2$ . Finally, when  $\omega = \omega_1 = 2$ , the system can only be set into motion in a narrow range of mass distributions near  $\hat{m} = 0.5$ .

#### 6 Conclusions

Based on the numerical simulations and analysis of the simple model, the following conclusions on locomotion can be drawn:

- 1. SSL typically occurs only for frequencies smaller than  $\omega_{n_{1,2,3}}$ .
- 2. SSL is energy efficient, however, it is not always the fastest form of locomotion.

- 3. During SSL, the time to travel a given distance is not very sensitive to the difference in the coefficients of static and dynamic friction.
- 4. To achieve the same average velocity of the center of mass, especially when the excitation amplitude *a* is large, low frequency is better than high frequency in term of energy efficiency.

These observations have potential influence on how frictioncontrolled robots are operated and designed. The design and operation of these devices include the actuator technology, materials, and geometric dimensions required to achieve the actuation frequency, amplitude, kinetic friction, and mass distribution necessary for energetically efficient locomotion at a prescribed velocity. Such insights have particularly important implications in the design and operation of soft robots. In contrast to their rigid counterparts, soft robots elastically conform to a surface and typically engage in frictioncontrolled locomotion. Even for designs that cannot be represented by the models examined here, our analysis nonetheless identifies the important factors (e.q., actuation frequency, amplitude) and general advantages of SSL over SL for accomplishing forward motion with minimal frictional energy dissipation.

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