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#### ORIGINAL PAPER



# Modeling soil water dynamics in a drip-irrigated intercropping field under plastic mulch

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**Abstract** Intercropping, drip irrigation, and the use of plastic mulch are important management practices, which can, when utilized simultaneously, increase crop production and save irrigation water. Investigating soil water dynamics in the root zone of the intercropping field under such conditions is essential in order to understand the combined effects of these practices and to promote their wider use. However, not much work has been done to investigate soil water dynamics in the root zone of drip-irrigated, strip intercropping fields under plastic mulch. Three field experiments with different irrigation treatments (high T1, moderate T2, and low T3) were conducted to evaluate soil water contents (SWC) at different locations, for different irrigation treatments, and with respect to dripper lines and plants (corn and tomatoes). Experimental data were then used to calibrate the HYDRUS (2D/3D) model. Comparison between experimental data and model simulations showed that HYDRUS (2D/3D) described different irrigation events and SWC in the root zone well, with average relative errors of 10.8, 9.5, and 11.6 % for irrigation treatments T1, T2, and T3, respectively, and with corresponding root mean

tively. The results showed that the SWC in the shallow root zone (0-40 cm) was lower under non-mulched locations than under mulched locations, irrespective of the irrigation treatment, while no significant differences in the SWC were observed in the deeper root zone (40-100 cm). The SWC in the shallow root zone was significantly higher for the high irrigation treatment (T1) than for the low irrigation treatment, while, again, no differences were observed in the deeper root zone. Simulations of two-dimensional SWC distributions revealed that the low irrigation treatment (T3) produced serious severe water stress (with SWCs near the wilting point) in the 30-40 cm part of the root zone, and that using separate drip emitter lines for each crop is well suited for producing the optimal soil water distribution pattern in the root zone of the intercropping field. The results of this study can be very useful in designing an optimal irrigation plan for intercropped fields.

square errors of 0.043, 0.035, and 0.040 cm<sup>3</sup> cm<sup>-3</sup>, respec-

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#### Introduction

Intercropping is the agricultural practice of growing two or more crops side by side in one field. For example, a deeprooted crop can be planted with a shallow-rooted crop or a tall crop with a shorter crop. One-third of all cultivated land in China is used with an intercropping technique (Zhang and Li 2003) because when compared to monoculture, this management practice largely improves water-use efficiency (WUE) (Tanwar et al. 2014), nitrogen-use efficiency (Rowe et al. 2005), light- and radiation-use efficiency (Awal et al. 2006), and land-use efficiency (Dhima et al. 2007; Tanwar et al. 2014). Intercropping has played an important role in increasing the crop production and farmers' income in many countries, including China (Zhang et al. 2007), India



(Singh et al. 2013), Egypt (Schader et al. 2005), Malawi (Makumba et al. 2006), and the United States (Parajulee et al. 1997). However, the spatial root distribution, root water uptake, and crop water requirements of two crops in an intercropping field are different compared to monoculture, displaying a non-uniform soil water pattern and crop competition for water and nutrients. Additionally, different crops have different water requirements and need different irrigation amounts at different times in order to increase WUE.

In the Hetao Irrigation District, in the Yellow River basin of Northwest China, water scarcity is the most critical factor restricting agricultural development (Xu et al. 2010). Additionally, the traditional flood irrigation technique produces very low WUE and can hardly resolve the problem of inconsistent water requirements of two crops in an intercropping field. On the other hand, drip irrigation can precisely control the irrigation amount, increase crop yields, reduce water loss (Yaghi et al. 2013), and reduce the risk of soil degradation and salinity (e.g., Keller and Bliesner 1990; Burt and Isbell 2005). Additionally, drip irrigation can easily be operated to account for the different irrigation requirements of the two crops.

Although soil water dynamics was investigated by several scientists for either intercropping fields (e.g., Sampath-kumar et al. 2012) or mulched drip irrigation (e.g., Wang et al. 2014), it has not been studied in a system that couples both intercropping and mulched drip irrigation. Soil water dynamics in the root zone under such conditions is very complex, and its understanding is critical for optimizing irrigation management. It is thus important to investigate soil water dynamics under such conditions before promoting the complex management of intercropping, mulching, and drip irrigation agro-techniques.

Although many scientists have studied soil water dynamics in drip-irrigated fields (e.g., Hussein et al. 2011; Ityel et al. 2011; Badr and Abuarab 2013), it is still difficult to design strategies optimizing irrigation amounts, irrigation frequencies, and emitter depths to obtain the highest WUE (Kandelous et al. 2012). The use of computer simulations with validated mathematical models to optimize management practices is a fast and inexpensive approach that has received a lot of attention during the last few decades. In general, there are two types of approaches for simulating the soil water distribution in the drip-irrigated field. One approach is based on the analytical solutions of the governing flow equation, such as the solution of Philip (1984) used in the WetUp model (Cook et al. 2003). Another, more widely used approach is based on the numerical solution of the Richards equation, such as in the HYDRUS (2D/3D) (Šimůnek et al. 2008), Drip-Irriwater (Arbat et al. 2013), or APRI (Zhou et al. 2007) models.

Because of the flexibility of HYDRUS (2D/3D) to accommodate different types of boundary conditions and the root uptake of water and nutrients, and because of its ease of use due to a graphical, user-friendly interface, the model has been widely and successfully used to simulate water movement under drip irrigation in many studies (e.g., Cote et al. 2003; Skaggs et al. 2004; Lazarovitch et al. 2009; Kandelous et al. 2012; Abou Lila et al. 2013; Dabach et al. 2013). For example, Skaggs et al. (2004) compared experimental soil water distributions for drip irrigation with different irrigation amounts and different irrigation durations (20, 40, and 60 L m<sup>-1</sup> of applied water) with the results of numerical simulations with HYDRUS (2D/3D), in which the soil hydraulic properties were obtained using pedotransfer functions (Schaap et al. 2001). Their results supported the use of HYDRUS (2D/3D) as an important tool for investigating and designing drip irrigation management practices. Although higher irrigation frequencies were suggested in the literature to be a better drip irrigation practice (Assouline et al. 2006), the numerical simulations by Abou Lila et al. (2013) showed that the lower irrigation frequency actually produced a bigger wetted soil volume without an increase in water percolation below the plant roots. Skaggs et al. (2010) evaluated the effects of the application rate, pulsed water applications, and the antecedent water content on the spreading of water from drip emitters using both field experimental data and numerical HYDRUS (2D/3D) simulations. Their results showed that it was mainly the soil texture and the antecedent water content that determined the spreading and distribution of soil water, while irrigation frequency and flow rate had only very little impact (Skaggs et al. 2010). Dabach et al. (2013, 2015) used HYDRUS (2D/3D) to optimize the irrigation threshold, i.e., the pressure head at a selected location, which triggers irrigation when reached; the placement of the triggering location; and the amount of irrigation water applied during one irrigation episode, in order to increase WUE.

Few studies have been carried out for drip irrigation under mulched conditions (e.g., Liu et al. 2013; Wang et al. 2014). For example, Liu et al. (2013) introduced a concept of a partitioning coefficient to describe the effect of plastic mulch on prevention of evaporation. They used the numerical HYDRUS (2D/3D) model to simulate temporal variations in soil water contents (SWC) in a drip-irrigated cotton field under plastic mulch. However, they only calibrated the model (e.g., Liu et al. 2013; Wang et al. 2014) and did not evaluate the effect of the presence or absence of mulching on the SWC distribution.

In intercropping fields, it may be necessary to use different irrigation practices than in monoculture in order to



satisfy different water requirements of two crops. Consequently, the numerical model must accommodate more complicated boundary conditions and root distribution patterns existing in intercropping fields. Sampathkumar et al. (2012) used experimental data to evaluate soil water movement in a drip-irrigated intercropping field under plastic mulch, without comparing the experimental data with the results of a numerical model. On the other hand, De Silva et al. (2008) used HYDRUS (2D/3D) to numerically evaluate root water uptake and soil water movement in a region with a mixture of natural vegetative cover (i.e., trees and grasses), and concluded that different irrigation amounts and frequencies should be used for different plant species in irrigated strip intercropping fields. However, it is difficult to collect information about complex boundary conditions and other operational parameters required by a numerical model for intercropping fields. On the other hand, when the numerical model is calibrated, it can provide a fast and inexpensive approach for investigating soil water dynamics in a drip-irrigated intercropping field under plastic mulch.

The main objectives of this study were (i) to calibrate and validate the HYDRUS (2D/3D) model using the experimental data, (ii) to evaluate the soil water distribution under a mulched drip irrigation system in an intercropping field, and (iii) to compare soil water distributions under different irrigation practices.

Table 1 Design of different irrigation treatments

Treatment	Irrigation amount (mm per irrigation event)				
	Tomato	Corn			
<i>T</i> 1	22.5	30			
<i>T</i> 2	16.5	22.5			
<i>T</i> 3	10.5	15			

#### Materials and methods

#### Field experiment

The field experiment was carried out from April 1 to September 26, 2012 at the Dunkou Agroecosystem Experimental Station (40°20′15″N, 107°1′45″E, altitude 2004 m), located in the western Hetao Irrigation District, in the Yellow River basin of Northwest China. Average annual precipitation is 198 mm, and average annual evaporation from a free water surface is 2460 mm. The main soil texture in the region is sandy clay loam. The groundwater table during our study was between 73 and 232 cm deep, with the average depth of 134 cm during the crop growth period.

Drip lines were laid out in a "one drip line, one mulch, two crop rows" pattern (i.e., two rows of crops were irrigated with one drip line and mulched with a 70-cm-wide plastic mulch). The drip tape diameter was 16 mm, the wall thickness 0.4 mm, and the discharge rate of the pressurecompensated emitter was 2.4 L h<sup>-1</sup> at a line pressure of 50 kPa. The strip intercropping consisted of four rows of tomato and two rows of corn. Three irrigation treatments with different (low T3, moderate T2, and high T1) irrigation amounts were used (Table 1), and each was replicated three times in a completely randomized block design of nine plots. While the T1 treatment was meant to represent a control, full irrigation treatment, the T2 and T3 treatments represented moderate and severe deficit irrigations. A compound fertilizer (18 % N, 46 %  $P_2O_5$ , and 1.5 %  $SO_4^{2-}$ ) of 350 kg ha<sup>-2</sup> was uniformly applied to the plots before sowing. Drip tapes with an emitter spacing of 30 cm and a length of 22 m were placed between two rows of tomatoes and corn, providing water and fertilizer for two adjacent rows of plants. The cropping pattern is shown in Fig. 1. Each treatment had two water meters (with a precision of 0.001 m<sup>3</sup>) to control irrigation amounts.

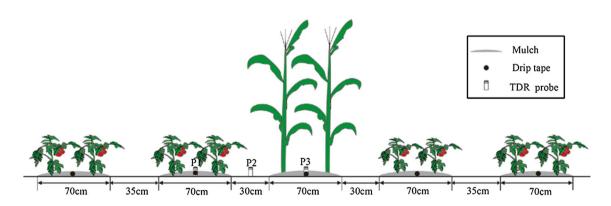
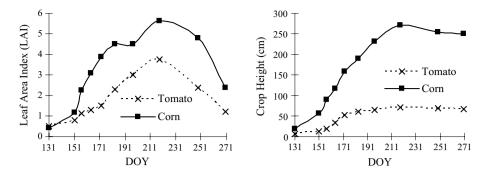


Fig. 1 Schematic showing the cropping pattern (with two double rows of tomatoes and one double row of corn), the arrangement of drip tapes, and the locations of TDR probes and surface mulch



Fig. 2 Leaf area index (LAI) and the crop height of tomato and corn during the growing season



Corn was planted on day of year (DOY) 111, and tomatoes were transplanted on DOY 131. After transplanting, all three fields with different irrigation treatments received a large flood irrigation (about 55 mm) on DOY 132. Different irrigation amounts (high T1, moderate T2, and low T3) were then adopted for tomatoes and corn in the same irrigation treatment (Table 1). Drip irrigation was applied on DOY 167, 175, 190, 196, 199, and 214 to both crops. Since tomatoes were harvested on DOY 221, there was no irrigation applied to the tomato strip after this date. Corn received two additional irrigations on DOY 222 and 228, since it was harvested on DOY 270.

Meteorological data, including solar radiation (S-LIB-M003), photosynthetically active radiation (S-LIA-M003), air temperature and relative humidity (S-THB-M002), air pressure (S-BPA-CM10), wind speed (S-WCA-M003), and precipitation (S-BPA-CM10), were collected at the meteorological station (HOBO, USA) located approximately 500 m from the experimental field. Data were recorded at 1-h intervals, stored in a datalogger (H21-001 DT-80), and regularly downloaded. Reference crop evapotranspiration (ET<sub>0</sub>) was calculated using the Penman–Monteith equation (Allen et al. 1998). The SWC was measured using TDR probes (TRIME-PICO-IPH, IMKO GmbH, Germany), which were installed between two rows of tomatoes (P1), in the bare section between rows of tomatoes and corn (P2), and between two rows of corn (P3) in each treatment plot (Fig. 1). TDR measurements were taken once every 5 days at soil depths of 0–10, 10–20, 20–40, 40–60, 60–80, and 80-100 cm. Additional gravimetric measurements were used to verify TDR measurements (Skaggs et al. 2004). The leaf area and the plant height were regularly measured using the leaf area meter (Li-3000, LI-COR, USA) and a tape (the accuracy is about 0.1 cm) (Gao et al. 2010). The leaf area index (LAI), displayed in Fig. 2, was then calculated using the FAO method (Allen et al. 1998).

Data about the horizontal and vertical distributions of crop roots were obtained by digging a soil transect on the experimental site (Gao et al. 2010) on June 6, June 22, July 21, and August 20. The working surface of the soil profile was smoothed, the face of the pit was trimmed to be

vertical, and then the vertical plane was divided into square,  $5 \times 5$  cm cells between the tomato strip (P1) and the corn strip (P3). The entire sampling area was  $100 \times 100$  cm. The sample volumes were 125 cm<sup>3</sup>. Root samples were collected and washed off using a root washing machine (Delta-T, CSIRO, Australia). The root length was measured using the root system scanner (Perfection4870photo, Epson, Japan) and then analyzed using the WinRHIZO software. The root length density was calculated (Gao et al. 2010), and the average of the last three measurements was used as an input in HYDRUS (2D/3D) during the second simulation period (explained below).

#### **Numerical model**

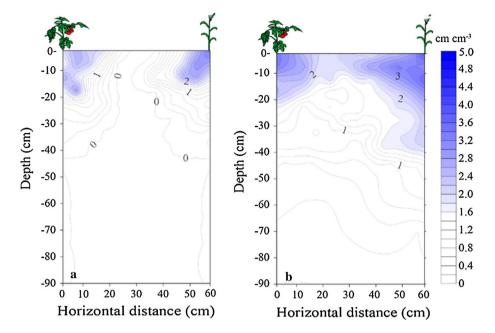
Drip irrigation is a fully three-dimensional (3D) flow problem (Kandelous et al. 2011). However, under certain conditions, the effects of individual emitters along the drip line can be neglected, and the problem of drip irrigation can be considered as a line source, with infiltration and redistribution being two-dimensional processes (Skaggs et al. 2004; Kandelous et al. 2011; Liu et al. 2013). Although, in general, wetting patterns mainly depend on the emitter's spacing, irrigation duration, initial SWC, and soil hydraulic properties (Skaggs et al. 2004, 2010), the principal concerns of this study are the effects of mulching and different crops on SWC distributions. The HYDRUS (2D/3D) code (Šimůnek et al. 2008) was used to evaluate collected experimental data. HYDRUS (2D/3D) uses the Galerkin finite element method to numerically solve the governing equation (Richards 1931) for variably saturated water flow:

$$\frac{\partial \theta(h)}{\partial t} = \frac{\partial}{\partial x} \left[ K(h) \frac{\partial h}{\partial x} \right] + \frac{\partial}{\partial z} \left[ K(h) \frac{\partial h}{\partial z} + K(h) \right] - S(h) \tag{1}$$

where  $\theta$  is the volumetric soil water content (cm<sup>3</sup> cm<sup>-3</sup>); h is the pressure head (cm); K(h) is the unsaturated hydraulic conductivity (cm day<sup>-1</sup>); t is time (day); x and z are the horizontal and vertical coordinates (cm), respectively; and S is the sink term (day<sup>-1</sup>). The root water extraction S was



Fig. 3 Average measured spatial root distribution during two simulation periods: **a** DOY 111–138 and **b** DOY 139–270



computed according to the Feddes model (Feddes et al. 1978):

$$S(h) = \tau(h) \cdot \beta(x, z) \cdot T_p L_t \tag{2}$$

where  $T_{\rm p}$  is the potential transpiration rate (cm day<sup>-1</sup>),  $L_{\rm t}$ is the surface length associated with transpiration (cm),  $\beta(x,z)$  is the root water uptake distribution function (cm<sup>-2</sup>), and  $\tau(h)$  is the root water uptake stress reduction function  $(0 < \tau < 1)$ , which includes the following parameters: (a)  $P_0$  and  $P_{\text{Opt}}$  are the pressure heads, below which roots start extracting water from the soil and roots start extracting water at the maximum possible rate, respectively; (b)  $P_{2H}$ and  $P_{2L}$  are the limiting pressure heads, below which roots can no longer extract water at the maximum rate (assuming potential transpiration rates  $r_{\rm 2H}$  and  $r_{\rm 2L}$ , respectively); and (c)  $P_3$  is the pressure head, below which root water uptake ceases (the wilting point). The following parameter values for corn were adopted from the HYDRUS (2D/3D) database (Šimůnek et al. 2006; Wesseling and Brandyk 1985):  $P_0 = 15$  cm,  $P_3 = -8000$  cm,  $P_{Opt} = -30$  cm,  $P_{2H} = -325 \text{ cm}, P_{2L} = -600 \text{ cm}, r_{2H} = 0.5 \text{ cm day}^{-1}, \text{ and}$  $r_{2L} = 0.1 \text{ cm day}^{-1}$ .

The standard version of HYDRUS (2D/3D) permits the use of the root water uptake stress reduction function for only one crop, while in the intercropping system there are two types of crops with different capacities of root water uptake and with different sensitivities to environmental stresses (e.g., saturation or salinity). We have resolved this problem by considering root water uptake in the model to be directly proportional to the crop root distribution, and thus by adjusting the spatial root distribution in the soil profile, we can affect root water uptake from different parts

of the soil profile as well (similarly as done by De Silva et al. 2008). We introduced the ratio of root water uptake per unit root length between corn and tomato (k), assuming that k was identical to the ratio of potential transpirations between corn and tomato monoculture. The ratio k was found to be about 0.96 based on the ratio of crop coefficients during the growing season of corn and tomato (Allen et al. 1998). The region was equally divided into two parts, and the root distribution of a tomato strip was multiplied by k. Since HYDRUS (2D/3D) cannot model root growth, the entire simulation was divided into two parts (Fig. 3). During earlier times, between DOY 111-138, the root zone of both crops was small and did not intersect each other. During later times, between DOY 139–270, in which roots quickly developed and heavily overlapped, there were higher crop transpiration rates and a larger effect of root distributions on the soil water dynamics. The average root distribution during this time period was adopted in calculations in order to reduce the error of not considering a dynamic root growth (Fig. 3).

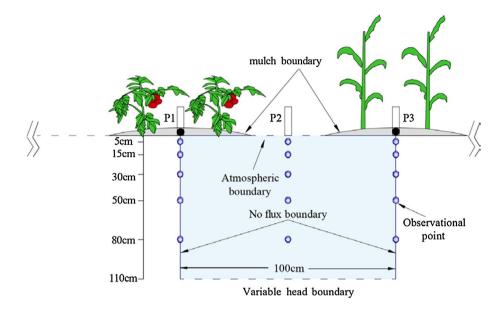
The HYDRUS (2D/3D) software was easily parameterized as the root distribution is internally normalized (Liu et al. 2013). We assumed that the root length was a relative value equal to 1.0 in the  $10 \times 10$  cm square directly beneath the corn stem. The relative root length in other areas, Root(x, z), was calculated as:

$$Root(x, z) = \frac{\sigma(x, z)}{\sigma_0}$$
(3)

where Root(x, z) is a characteristic value of a normalized, dimensionless spatial distribution of the root length,  $\sigma_0$  is the root length in the 10  $\times$  10 cm square directly beneath



Fig. 4 Schematic of the flow domain and boundary conditions used in the HYDRUS (2D/3D) simulations



the corn stem (cm), and  $\sigma(x, z)$  is the root length in other squares (cm). In the HYDRUS (2D/3D) software, the spatial root distribution function assigned to each node,  $\beta(x, z)$ , is a normalized relative root length (Šimůnek et al. 2006):

$$\beta(x,z) = \frac{\text{Root}(x,z)}{\int_{\Omega} \text{Root}(x,z)d\Omega}$$
(4)

where  $\Omega$  is the root zone domain. Additionally, the soil water content distribution at the end of the first simulation period was used as the initial soil water content distribution for the second simulation period.

#### **Initial and boundary conditions**

The initial spatial SWC distribution was estimated based on samples taken from the intercropping field on DOY 110. We assumed that the initial SWC was uniform in the horizontal direction and linearly varied with depth, from about  $\theta = 0.18 \text{ cm}^3 \text{ cm}^{-3}$  at the soil surface to about  $\theta = 0.39 \text{ cm}^3 \text{ cm}^{-3}$  at the bottom of the simulated region (110 cm depth).

Fifteen observation points were defined in HYDRUS (2D/3D) that were located at depths of 5, 15, 30, 50, and 80 cm at three horizontal locations in the middle of the tomato strip (P1), bare strip (P2), and corn strip (P3), as shown in Fig. 4. The simulation was carried out for 160 days. The horizontal width of the flow domain was set to 100 cm, which was the spacing between two lateral drip emitters in the tomato and corn strips. The vertical size of the flow domain was fixed at 110 cm.

The "No Flow" boundary condition was used at vertical sides of the flow domain. The upper boundary was divided into the no-mulch section in the middle 30 cm, where the "Atmospheric" boundary condition was used (Neuman

et al. 1974), and the mulch section, where the "Time-Variable Flux" boundary condition (35 cm on each side) was imposed. The "Time-Variable Pressure Head" boundary condition was imposed at the bottom of the transport domain where the pressure head that was measured at regular intervals was imposed. It should be noted that to fully account for complex conditions at the soil surface, the surface energy balance should be solved for each section of the soil surface (e.g., plastic mulch), taking into account different properties of different sections of the soil surface (such as albedo). However, since such option is currently not available in HYDRUS (2D/3D), the approach described below was adapted instead.

Reference crop evapotranspiration (ET<sub>0</sub>) was calculated using the Penman–Monteith equation (Allen et al. 1998) during the experimental period by using collected meteorological data. Daily potential evapotranspiration (ET<sub>p</sub>) (Fig. 5), required by the model, was obtained by multiplying ET<sub>0</sub> with the crop coefficient ( $K_c$ ) (Allen et al. 1998):

$$ET_{p} = K_{c} \cdot ET_{0} \tag{5}$$

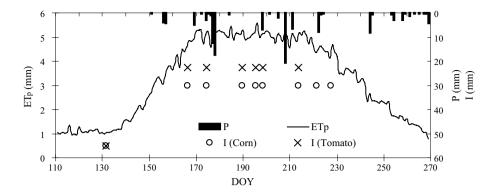
where  $K_c$  is the synthetic crop coefficient for an intercropping field as recommended by the FAO 56 paper (Allen et al. 1998), which can be calculated as follows:

$$K_{\rm c} = \frac{f_1 h_1 K_{\rm c1} + f_2 h_2 K_{\rm c2}}{f_1 h_1 + f_2 h_2} \tag{6}$$

where  $f_1$  and  $f_2$  are fractions of the soil surface planted by tomatoes and corn in an intercropping field, i.e., 0.67 and 0.33, respectively;  $h_1$  and  $h_2$  are the heights of tomato and corn, respectively (Fig. 2); and  $K_{\rm c1}$  and  $K_{\rm c2}$  are crop coefficients for tomato and corn under monoculture conditions, i.e., 1.15 and 1.20, during the middle growth stage, and



Fig. 5 Potential evapotranspiration ( $\mathrm{ET_p}$ ), precipitation (P), and irrigation (I) for treatment T1 during the entire growing season. Note that irrigation amounts for tomato and corn are different, and that there are two additional corn irrigations on DOY 222 and DOY 228



**Table 2** Parameters of the van Genuchten model of soil hydraulic properties used in the study (value—optimized value, S. E.—standard error)

Soil layers (cm)	$\theta_{\rm r}$ (cm <sup>3</sup>	cm <sup>-3</sup> )	α (1/cn	n)	n (-)		$\theta_{\rm s}  ({\rm cm}^3  {\rm cm}^{-3})$	$K_{\rm s}$ (cm day <sup>-1</sup> )	l
	Value	S. E.	Value	S. E.	Value	S. E.			
0–20	0.083	0.01	0.054	0.016	1.20	0.03	0.472	170	0.5
20-110	0.092	0.02	0.101	0.025	1.16	0.02	0.484	306	0.5

0.7–0.9 and 0.35–0.6 at the later growth stage, respectively. The FAO 56 paper recommends reducing these values by 10–30 % under plastic mulch conditions. A reduction of 15 % was adopted in our study, and the crop coefficients were considered for tomato and corn ( $K_{\text{c-ini}}$ ) with values of 0.2 and 0.3 at the initial stage, 0.98 and 1.02 at the middle stage ( $K_{\text{c-mid}}$ ), and 0.6 and 0.3 at the later stage ( $K_{\text{c-end}}$ ), respectively. Daily potential evapotranspiration (Fig. 5) was then divided into potential transpiration ( $T_p$ ) and potential evaporation ( $E_p$ ), as required by HYDRUS (2D/3D), as follows (Campbell and Norman 1989):

$$T_{\mathbf{p}} = (1 - \mathbf{e}^{-k \cdot LAI}) \mathbf{E} \mathbf{T}_{\mathbf{p}} \tag{7}$$

$$E_{\rm p} = ET_{\rm p} - T_{\rm p} \tag{8}$$

where k is the radiation extinction coefficient, which is 0.45 for tomato (Cavero et al. 1998) and 0.49 for corn (Lindquist et al. 2005), and LAI is the leaf area index (Fig. 2).

Evaporation should theoretically be completely prevented when plastic mulch is used. However, due to the aging of plastic, there will always be some evaporation. The potential evaporative flux trough plastic mulch can be calculated according to Liu et at. (2013) as:

$$E_{\text{mulch}} = C_{\mathbf{p}} \cdot E_{\mathbf{p}} \tag{9}$$

where  $E_{\text{mulch}}$  is the evaporative flux through the mulching boundary and  $C_{\text{p}}$  is a partitioning coefficient of plastic mulch. According to Liu et al. (2013), its lowest value is 0.07.

The two drip emitters were represented as quarter circles with a 0.8-cm radius located in upper corners of the flow domain (Fig. 4). During irrigation, fluxes of drip emitters were described in HYDRUS (2D/3D) as:

$$\varphi = \frac{Q}{L \times 2\pi R} \tag{10}$$

where  $\varphi$  is the input irrigation flux (cm day<sup>-1</sup>), Q is the discharge rate (2.4 L h<sup>-1</sup>), R is the radius of the drip emitter (0.8 cm), and L is the spacing between drip emitters (30 cm). The following values of  $\varphi$  were used for the two crops and three irrigation treatments: 31.35 cm day<sup>-1</sup> for tomato and 41.79 cm day<sup>-1</sup> for corn for treatment T1, 22.99 and 31.35 cm day<sup>-1</sup> for treatment T2, and 14.63 and 20.90 cm day<sup>-1</sup> for treatment T3, respectively.

#### Soil hydraulic parameters

Soil hydraulic properties characterizing soil water retention and hydraulic conductivity were described using the analytical functions of van Genuchten (1980) as follows:

$$S_e(h) = \frac{\theta(h) - \theta_r}{\theta_s - \theta_r} = \frac{1}{(1 + |\alpha h|^n)^m} \quad (m = 1 - 1/n) \quad (11)$$

$$K(\theta) = K_s S_{\rho}^{l} [1 - (1 - S_{\rho}^{1/m})^{m}]^{2}$$
(12)

where  $S_e$  is the relative saturation;  $K_s$  is the saturated hydraulic conductivity (cm day<sup>-1</sup>);  $\theta_s$  and  $\theta_r$  are the saturated and residual water contents, respectively (cm<sup>3</sup> cm<sup>-3</sup>); and n,  $\alpha$ , l (0.5) are empirical shape factors (Table 2).

The saturated hydraulic conductivity was determined using the constant head method (Booltink et al. 1991), and the saturated soil water content was measured gravimetrically. The inverse approach was used to optimize parameters ( $\theta_r$ , n,  $\alpha$ ) and to calibrate the HYDRUS (2D/3D) model (Hopmans et al. 2002; Lazarovitch et al. 2007). The SWC measured at P1 and P2 locations of treatment 2 between



DOY 60 and DOY 124 was used to calibrate the van Genuchten–Mualem parameters using the Levenberg–Marquardt nonlinear minimization method.

#### Model performance

The model performance was evaluated using the root mean square error (RMSE) (e.g., Skaggs et al. 2004; Kandelous et al. 2011), the mean absolute error (MAE) (e.g., Doltra and Muñoz 2010), and the mean relative error (MRE) (e.g., Cook et al. 2003; Zhou et al. 2007):

RMSE = 
$$\left[\frac{1}{n}\sum_{i=1}^{n} (P_i - O_i)^2\right]^{1/2}$$
 (13)

$$MAE = \frac{1}{n} \sum_{i=1}^{n} |P_i - O_i|$$
 (14)

MRE = 
$$\frac{\frac{1}{n} \sum_{i=1}^{n} |P_i - O_i|}{O_i} \times 100\%$$
 (15)

where  $P_i$  is the predicted value,  $O_i$  is the observed value, and n is the number of compared values.

#### Results and discussion

#### Calibration and validation

The T2 treatment data were used to calibrate the soil hydraulic parameters (Table 1), and the T1 and T3 treatment data were used to validate the model and its optimized parameters. Since relatively similar trends in SWCs were obtained for the three treatments, the results only for the T1 treatment (validation) are presented in Fig. 6. Figure 6 documents that a relatively good agreement between experimental and simulated data was obtained. Overall, temporal changes in SWCs in the upper soil layers (0-10, 10-20, and 20-40 cm) were larger than in the deeper layers (40-60 and 60-100 cm), since these layers were more directly affected by irrigation, precipitation, evaporation, and transpiration. After all irrigation and rainfall events, SWCs increased quickly in the upper soil layers (0–40 cm), especially in the very top layers (0-20 cm), and mainly under the tomato and corn strips. On the other hand, SWC fluctuations in the bare strip were less dramatic, since this area was not directly affected by irrigation.

During the entire crop growth season, the mean relative errors (MRE) for all three treatments and all locations were smaller than 20 % (Table 3), with the average MRE for treatments T1, T2, and T3 being 10.7, 9.5, and 11.6 %,

respectively. The root mean square errors (RMSE) for the three treatments were 0.043, 0.035, and 0.040 cm<sup>3</sup> cm<sup>-3</sup>, respectively. The average errors in upper layers were slightly larger than in deeper layers, reflecting higher SWC variations due to irrigation, precipitation, and root water uptake (Table 3). The lowest errors were obtained for the deepest soil layer of 60–100 cm. From these values, it could be concluded that SWCs simulated using HYDRUS (2D/3D) agreed well with those measured, and that HYDRUS (2D/3D) is well suited for simulating water contents in a drip-irrigated strip intercropping field under plastic mulch.

#### **SWC** differences between different locations

In Table 4 (for all three treatments) and Fig. 7 (only for treatment T1), we compare SWCs for a time period of drip irrigation between DOY 167 and DOY 220. Table 4 and Fig. 7 indicate that there were significant differences (p < 0.05) between SWCs at the P1, P2, and P3 locations in the top soil layers (0–10 and 10–20 cm), and that these differences gradually decreased with depth, especially for the bottom soil layer (60–100 cm) where no visible differences were observed. Although the overall trend in SWC variations was very similar for all three treatments during the growth period, it was obvious that there were differences in SWCs among different treatments due to different irrigation amounts in the upper soil layers. However, there were insignificant differences in SWCs in the deeper layers of 40–60 and 60–100 cm (Table 4; Fig. 7).

The SWCs in the main root region (0-40 cm) were highest at P1 and lowest at P2, and there were significant differences in SWCs at these three locations (p < 0.01). The SWCs at these three locations (P1, P2, and P3) were statistically different because of different flow processes at these locations, such as root water uptake, evaporation, and irrigation. The maximum difference in the SWC was observed between the P1 and P2 locations (Table 4), with the average SWC in the upper soil layer (0-40 cm) at the P1 location being about 30 % higher than at the P2 location. This was caused mainly by the absence of irrigation and mulch at the P2 (bare strip) location, especially during DOY 167-208 (Fig. 7). There was a competition for water and fertilizer uptake between the two crops.

Since there were very few roots in the deep root zone (40-100 cm) and the effect of irrigation there was negligible, this zone was largely affected by the shallow groundwater. Table 4 shows that the average SWCs were very similar at the three locations at depths of 60-100 cm, and that there were no statistically significant differences between T1 (p=0.720), T2 (p=0.956), and T3 (p=0.979), because SWCs at these depths were almost unaffected by irrigation (see also Fig. 7).



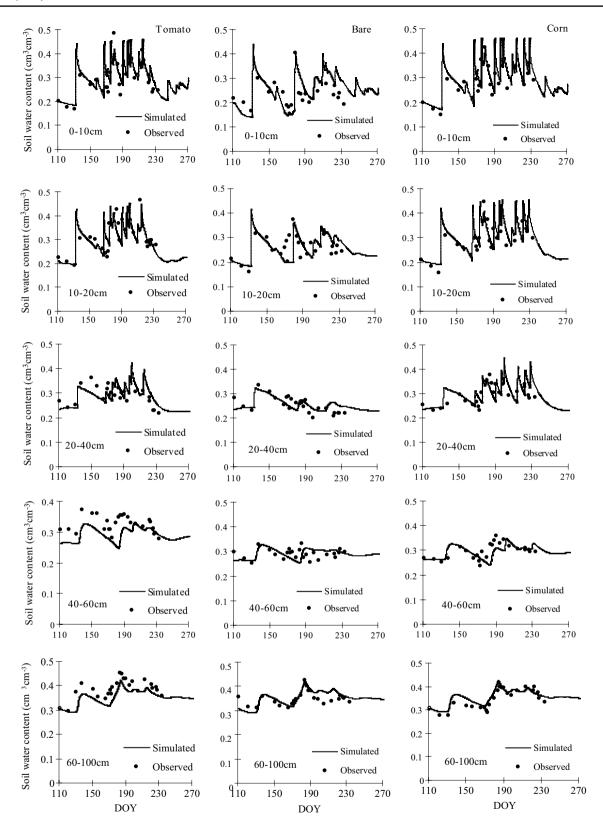


Fig. 6 Simulated and observed SWCs at P1 (under tomatoes, left), P2 (in the bare section, middle), and P3 (under corn, right) locations for treatment T1 at different depths



**Table 3** Error analysis for different treatments (*T*1, *T*2, and *T*3) at different locations (*P*1, *P*2, and *P*3) and different depths

Depth (cm)/error		Treatment/location									
		$\overline{T1}$			T2			<i>T</i> 3			
		P1	P2	P3	P1	P2	P3	P1	P2	Р3	
0–10	MAE (cm <sup>3</sup> cm <sup>-3</sup> )	0.04	0.04	0.05	0.02	0.04	0.03	0.04	0.04	0.04	
	MRE (%)	11.86	18.32	15.99	8.36	17.51	11.74	18.25	17.66	17.23	
	$RMSE (cm^3 cm^{-3})$	0.05	0.05	0.07	0.03	0.04	0.05	0.05	0.05	0.06	
10-20	$MAE (cm^3 cm^{-3})$	0.03	0.03	0.05	0.02	0.02	0.03	0.04	0.03	0.05	
	MRE (%)	10.74	11.85	15.60	7.27	10.35	10.98	16.53	10.03	18.32	
	$RMSE (cm^3 cm^{-3})$	0.05	0.04	0.07	0.03	0.03	0.04	0.05	0.03	0.06	
20-40	$MAE (cm^3 cm^{-3})$	0.03	0.02	0.04	0.02	0.02	0.04	0.02	0.02	0.03	
	MRE (%)	10.8	9.17	13.05	6.80	7.15	16.36	10.10	5.86	14.28	
	$RMSE (cm^3 cm^{-3})$	0.04	0.03	0.05	0.02	0.02	0.05	0.03	0.02	0.04	
40-60	$MAE (cm^3 cm^{-3})$	0.04	0.02	0.02	0.02	0.02	0.02	0.02	0.03	0.03	
	MRE (%)	10.75	7.83	6.70	7.56	8.43	7.24	7.19	9.47	9.02	
	$RMSE (cm^3 cm^{-3})$	0.04	0.03	0.03	0.03	0.03	0.03	0.03	0.04	0.03	
60–100	$MAE (cm^3 cm^{-3})$	0.03	0.02	0.02	0.04	0.02	0.02	0.02	0.03	0.03	
	MRE (%)	8.22	4.56	5.77	10.69	7.05	5.23	5.11	7.47	7.55	
	$RMSE (cm^3 cm^{-3})$	0.04	0.02	0.02	0.05	0.03	0.02	0.02	0.04	0.03	

**Table 4** Simulated average SWCs (cm³ cm⁻³) at different depths and locations (*P*1, *P*2, and *P*3), and for different treatments (*T*1, *T*2, and *T*3) between DOY 167 and DOY 220

	nents/loca-	Depths								
tions		0–10 cm	10–20 cm	20–40 cm	40–60 cm	60–100 cm				
<i>T</i> 1	P1	0.3657A	0.3358A	0.3084A	0.2980A	0.3740A				
	P2	0.2490B	0.2585B	0.2451B	0.2960A	0.3740A				
	P3	0.3627A	0.3292AB	0.3030A	0.2939A	0.3738A				
<i>T</i> 2	<i>P</i> 1	0.3510A	0.3188A	0.2841A	0.2900A	0.3734A				
	P2	0.2379B	0.2430B	0.2336B	0.2949B	0.3735A				
	P3	0.3476AB	0.3114A	0.2778A	0.2840A	0.3732A				
<i>T</i> 3	<i>P</i> 1	0.3312A	0.2965A	0.2533A	0.2885A	0.3736A				
	P2	0.2322B	0.2345B	0.2289B	0.2944B	0.3736A				
	P3	0.3278AB	0.2890AB	0.2477AB	0.2822A	0.3733A				

Values followed by the same letter within a column are not significantly different at  $p \le 0.05$  according to Duncan's multiple range test

#### Two-dimensional soil water distributions

Two-dimensional soil water distributions (Fig. 8) for different irrigation treatments were analyzed to evaluate the movement of soil water in the root zone during the time period between DOY 214 and DOY 216 (i.e., after the sixth irrigation cycle). The SWCs increased 1 day after irrigation in all three treatments, especially in the top 0- to 30-cm-soil layer around the emitters. Because of a low amount of irrigation water, the infiltration front moved only about 30 cm in both vertical and horizontal directions from drip emitters.

When the soil water content is below the wilting point, it cannot be used any longer by crops (Allen et al. 1998). The soil water content at a wilting point is about 0.21 cm<sup>3</sup> cm<sup>-3</sup>

for a given soil texture according to the UNSODA database (Leij et al. 1996) and about 0.17–0.24 cm<sup>3</sup> cm<sup>-3</sup> according to Allen et al. (1998). As a result, a severe "water stress zone" (with water contents near the wilting point) developed between the two drippers at a depth of about 30–40 cm. When the amount of irrigation water was reduced in treatments *T*2 and *T*3, the "water stress zone" increased in size. The size of the "water stress zone" was about 48 cm<sup>2</sup> in treatment *T*1 (evaluated using Auto-CAD 2007 (Autodest Inc., USA) and simulated results), which resulted in a negligible stress on root water uptake (Fig. 8a). The "water stress zone" was about 530 cm<sup>2</sup> in treatment *T*2, which caused a slight restriction of the crop growth (Fig. 8c). On the other hand, this area was about 1134 cm<sup>2</sup> in treatment *T*3, which clearly affected the crop



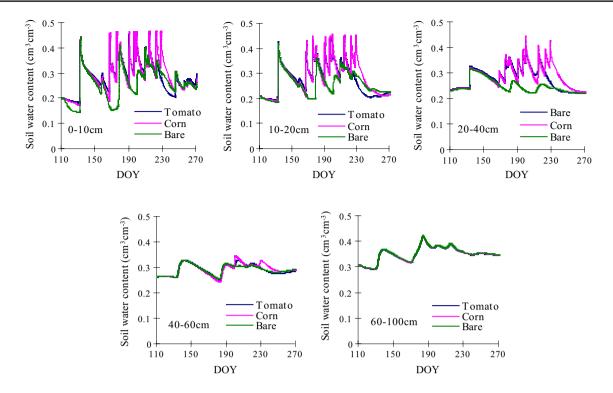


Fig. 7 A comparison of simulated SWCs at P1 (tomato), P2 (bare), and P3 (corn) locations at different depths for the T1 treatment

growth (Fig. 8e). However, since the SWC in soil layers below a depth of 60 cm was mainly influenced by the shallow groundwater, especially in the 80- to 100-cm-soil layer, there was almost no water stress on plant water uptake in this domain (Fig. 8a–f).

Numerical simulations indicate that the non-mulched region between two crop strips (i.e., the bare strip, P2) was little influenced by irrigation, and thus, the SWC was significantly lower in this region than in the two other strips. The "water stress zone" can be found in the bare strip (P2)for all three treatments. There was no water stress in other strips (P1 and P3) for treatment T1. However, the water stress was found for both tomatoes (P1) and corn (P3) strips in treatments T2 and T3. The water stress in the P3 strip was higher than in the P1 strip because of higher transpiration and root water uptake in the corn strip. During soil water redistribution, 2 days after irrigation, the SWCs gradually increased at the P2 position and did not affect the crop growth any longer in this region for treatment T1 (Fig. 8b). However, a small "water stress zone" could be found in the non-mulched region for treatment T2 (Fig. 8d). In treatment T3, the water stress was significant (Fig. 8f), indicating that the irrigation was not sufficient either. Figure 8 also shows that the effect of irrigation on the SWC is in all three treatments very low at depths below 40 cm and almost none below the depth of 60 cm where only about 3.4 % of the total root mass can be found. Consequently,

almost identical SWC was found at these depths in all three treatments.

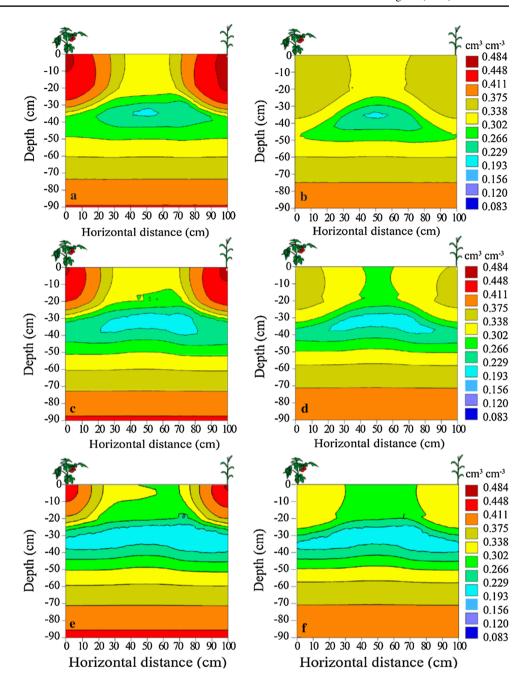
#### Conclusion

The HYDRUS (2D/3D) model was parameterized and calibrated to simulate the soil water movement in a drip-irrigated, strip intercropping field under plastic mulch. Simulated SWCs at observation points at different locations and for different irrigation treatments were found to be in good agreement with experimental data. The average errors were all lower than 20 %, while the average MREs were 10.7, 9.5, and 11.6 %, and the average RMSEs were 0.043, 0.035, and 0.040 cm<sup>3</sup> cm<sup>-3</sup> for irrigation treatments T1, T2, and T3, respectively.

SWCs were significantly affected by irrigation amounts, and significant differences in SWCs were observed between different locations. There were also significant differences in SWCs in the shallow root region (0–40 cm) between P1 (highest water contents), P2 (lowest water contents), and P3 locations. SWC differences at different locations gradually decreased with depth, and there were no visible differences in the deep root region (40–100 cm). Additionally, the SWCs in the non-mulched region (location P2) were similar for all treatments. The average SWC in the shallow root region was largely influenced



**Fig. 8** Two-dimensional soil water distributions after the sixth irrigation cycle for the *T*1 (*top*; **a**, **b**), *T*2 (*middle*; **c**, **d**), and *T*3 (*bottom*; **e**, **f**) treatments; 1 day after irrigation (*left*; **a**, **c**, **e**) and 2 days after irrigation (*right*; **b**, **d**, and **f**)



by irrigation. The higher the irrigation amounts used, the higher the SWCs observed.

The analysis of two-dimensional soil water content distributions revealed that the soil water distributions were affected by irrigation and root distributions. A severe "water stress zone" (with water contents near the wilting point) appeared in the 30- to 40-cm-soil layer, located mainly in the non-mulched region (the bare strip) in a drip-irrigated intercropping field under plastic mulch. The size of the "water stress zone" increased with decreasing irrigation, and it reached 1134 cm<sup>2</sup> for the lowest irrigation amount (T3 treatment). Water redistribution following irrigation

increased the SWCs in the crop strips and reduced the water stress in this area compared to the bare strip. The optimal soil water distribution pattern in the root zone of the intercropping field can be easily maintained using separate drip emitter lines for each crop. However, low irrigation amounts would still cause water stress in crop strips. Although redistribution of water following irrigation gradually reduced this stress for treatment T2 after about 2 days, for treatment T3, the water stress remained present even after water redistribution.

The numerical model HYDRUS (2D/3D) proved to be a powerful tool for investigating dynamics of soil water in a



drip-irrigated intercropping field under plastic mulch. Fully calibrated model could be used to quickly evaluate different irrigation management strategies without the need for a laborious field work. However, much work needs to be done to design optimal irrigation strategies, such as irrigation frequencies, emitter depths, and emitter rates (e.g., Kandelous et al. 2012) for these complex conditions. Additional modifications of HYDRUS (2D/3D), such as considerations of root growth for multiple crops; the surface energy balance; and coupled movement of water, vapor, and energy, would also be greatly beneficial for such analysis.

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