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# Genetic differentiation across eastern Pacific oceanographic barriers in the threatened seahorse *Hippocampus ingens*

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**Abstract** Understanding the population structure and evolutionary history of the eastern Pacific seahorse *Hippocampus ingens* is critical for the effective management of this threatened species. Life history characteristics of *H. ingens* (site fidelity and brooding of young) may limit gene flow and lead to population differentiation. A recent study analyzing conserved fragments of the mitochondrial *cyt b* and control region found no population structure. We re-assess this conclusion with a phylogeographic analysis of relationships among 115 individuals of *H. ingens* over a broader geographic range (San Diego Bay in California, Gulf of California, Central America, Ecuador, and Peru) based on a more variable 428 base pair fragment of the control region. This expanded analysis affirms low overall nucleotide diversity relative to other seahorses ( $\theta_\pi = 0.004$ ), and shows evidence of a recent bottleneck and population expansion since the middle Pleistocene. AMOVA analysis shows moderate overall population structure ( $\Phi_{ST} = 0.10$ ,  $P$  val = 0.00), and pairwise  $\Phi_{ST}$

estimates indicate structure between the Gulf of California and all Pacific coast localities. Knowledge of population structure in *H. ingens* may improve conservation efforts by identifying evolutionarily important management units, and could determine source regions in the continuing trade of seahorses for traditional Chinese medicine. The level of genetic divergence observed between the Gulf of California and all other localities sampled may distinguish the Gulf as a separate management unit. Additional phylogeographic research with more quickly evolving genetic markers and targeted sampling at the mouth of the Gulf of California is warranted to inform strategies for conservation of this threatened seahorse.

**Keywords** Control region · *Hippocampus ingens* ·  $F_{ST}$  ·  $\Phi_{ST}$  · Phylogeography · Eastern Pacific · Gulf of California

## Introduction

Understanding the process by which marine populations diverge or remain homogenous presents a major challenge in evolutionary biology. The resulting patterns of genetic variation can have conservation implications by informing us about the past and present evolutionary dynamics of a species. Population genetic statistics are useful in testing hypotheses about the distribution of alleles within and between populations, and can therefore be used to interpret movement of individuals (Bohonak 1999). An understanding of dispersal patterns can identify evolutionary source populations of high conservation priority, while maintenance of overall intraspecific genetic variation is important for supporting adaptive response to global change (Moritz 1994). Measures of population structure

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can uncover these patterns and identify demographically independent populations that should be managed as separate units (Moritz 1994; Palsbøll et al. 2007). Population structure develops when genetic drift and local adaptation are strong enough forces to counteract gene flow. In the marine environment, the development of population structure is influenced by barriers to dispersal such as ocean currents, historical vicariance, geographic distance coupled with differences in dispersal ability, and habitat discontinuity (Dawson et al. 2002; Doherty et al. 1995; Hellberg 1996; Johnson and Black 1995).

The focal taxon of this study, *Hippocampus ingens*, lives exclusively in sub-tidal habitat of the eastern Pacific. The common ancestor of both *H. ingens* and its central Pacific sister species, *H. fisheri*, diverged from Atlantic *H. reidi* at the rise of the Isthmus of Panama during the early Pliocene (4–5 mya) (Teske et al. 2007; Jacobs et al. 2004). The later divergence of *H. ingens* and *H. fisheri* 2.5–3 mya (Teske et al. 2007) left *H. ingens* the only seahorse found in the eastern Pacific. *H. ingens*' contemporary distribution includes a putatively transient population in San Diego, California (Hubbs and Hilton 1963; Jones et al. 1988), and otherwise extends from a northern limit of lower Pacific Baja to a southern limit of Peru (Lourie et al. 2004). The only known oceanic island population is found in the Galapagos Islands (Lourie et al. 2004). *H. ingens* lives in a diversity of tropical and subtropical coastal habitats found within the Eastern Pacific; mangrove forests, seagrass beds, rocky reefs, and coral reefs (Lourie et al. 2004). Analysis of the overall taxonomic configuration of this region yields five major biogeographic provinces; California (coastline north of the Gulf of California), the Gulf of California (GOC), the Panamic province, the oceanic islands province, and the Peruvian province (Muss et al. 2001; Robertson and Cramer 2009; Spalding et al. 2007). There has been disagreement in the way in which the Pacific coastline south of the GOC should be divided. Some have argued that there are significant differences north and south of the Central American Gap, a 1,200 km long span of sandy and muddy coastal habitat, such that the Panamic province which we use in this study should be divided into the Mexican Province north of the gap, and the Panamic province south of the gap (Robertson and Cramer 2009; Hastings 2000). Thus, the regional definitions used for this study are not always agreed upon, but are strongly supported by recent studies (Robertson and Cramer 2009).

The majority of breaks in species boundaries which define these provinces reflect differences in physical and ecological conditions rather than hard barriers to dispersal (Jacobs et al. 2004; Robertson and Cramer 2009). This is because dynamic oceanographic processes facilitate sporadic dispersal (Jacobs et al. 2004). This pattern is evidenced by the lack of genetic differentiation in wide-

ranging reef fish species across thousands of kilometers of the mainland coast (Muss et al. 2001; Craig et al. 2006). In contrast to this pattern of genetic connectivity in wide ranging species along the mainland coast, genetic differentiation has been found between the mainland coast and oceanic islands east of Central America (Muss et al. 2001). *H. ingens* is not found on these oceanic islands, so is unaffected by this barrier. The Gulf represents a putative dispersal barrier which remains unresolved. *H. ingens* is found frequently within the GOC (Thomson et al. 2000), making the investigation of this barrier an important aspect in characterizing demographic and phylogeographic patterns in *H. ingens*.

The GOC displays high levels of endemism (17% of continental shelf fish) and genetic differentiation in some species (Bernardi et al. 2003; Thomson et al. 2000; Walker 1960). Genetic studies assessing dispersal barriers separating the GOC have been limited. Most studies of in-shore fishes have focused on endemics and species with a range extending north of the GOC. These studies have revealed population structure in only some of the species investigated. Furthermore, only the minority of studies have sited barriers with potential to influence *H. ingens*, while most have attributed population structure to past vicariance only influential to species with more temperate distributions than *H. ingens* (Bernardi et al. 2003; Riginos 2005; Sandoval-Castillo et al. 2004), or to ecological barriers (Pfeiler et al. 2008) only influential to species with more specialized habitat needs than *H. ingens*. Thus, the only published barriers with potential to influence *H. ingens* include geographic distance coupled with low dispersal ability (Craig et al. 2006; Riginos and Nachman 2001), and contemporary oceanographic barriers (Bernardi et al. 2003; Selkoe et al. 2007; Terry et al. 2000). These potential oceanographic barriers are caused by the formation of two gyres in the upper and central GOC regions (Alvarez-Borrego 2002; Beier 1997; Lavin et al. 1997), a persistent oceanic front in the cape of Baja region, formed by the convergence of the California Current Water, Tropical Surface Water, and the GOC Water (Castro et al. 2006), and inflow of surface waters during summer months (Lavin and Marinone 2003). Despite the existence of these potential barriers, a study of gastropod distribution data shows that the majority of gastropods found in the region are unaffected by a barrier at the mouth of the GOC (Simison 2006). This leaves the question of a contemporary barrier to dispersal at the mouth of the GOC unresolved.

Here we present the phylogeography of a fish with a distribution extending both north and south from the GOC. Only a few phylogeographic studies that present molecular data have been conducted in fishes with a similar range, even though 73% of GOC fishes have this distribution. Furthermore, studies of wide ranging species have been

conducted exclusively in taxa with high dispersal potential. They have revealed structure only between offshore islands and the mainland coastline (Craig et al. 2006; Muss et al. 2001). *H. ingens* is unique in that it presumably has much lower dispersal potential than species previously examined, which predicts a higher degree of population structure. Site fidelity and brooding of young suggest relatively restricted dispersal capabilities (Foster and Vincent 2004). Previous studies within *Hippocampus* spp. (Lourie et al. 2005; Teske et al. 2003) are largely consistent with this prediction of population structure. However, results from a previous study in *H. ingens* (Sanders et al. 2008) detected no population structure among 4 localities along the Pacific coast based on two mitochondrial gene fragments compared among 19 and 13 specimens separately (Sanders et al. 2008). The limited scale of the previous study merits reassessment of population structure with a more comprehensive sample. Here, we present mitochondrial phylogeographic analyses in *H. ingens* from a larger set of specimens more densely sampled across *H. ingens*' geographic range.

*H. ingens* is not only a representative of a geographic distribution understudied within the framework of eastern Pacific phylogeographic patterns, but it is also a threatened fish of ecological, economic and cultural value. More than 20 million seahorses are sold each year for use in traditional Chinese medicine (TCM), for aquarium exhibits, and as curiosities (Foster and Vincent 2005). This high demand threatens the genus *Hippocampus* globally, which were placed on Appendix II of the Convention on International Trade in Endangered Species of Wild Fauna and Flora in 2004 (CITES 2004). During the process of listing this genus, *H. ingens* emerged as one of the six most impacted seahorse species (CITES 2002). Furthermore, Mexico and Peru are classified as major seahorse exporters with more than 1 dry ton sold annually (Baum and Vincent 2005). To manage and conserve seahorse populations, as required by CITES, resource managers need basic information such as species distributions, population size and structure, and genetic indices of geographic partitioning that could help define management units (Moritz 1994; Palsbøll et al. 2007). Additionally, phylogeographic analyses may allow preliminary assessments of the origin of specimens confiscated in light of the trade regulations mandated by CITES in 2004 (Lourie et al. 2004).

The objectives of the present study are to (i) examine demographic and phylogeographic patterns of *H. ingens* sampled from multiple localities within four biogeographic provinces (ii) interpret these demographic and phylogeographic findings considering likely variables of past and present geology, oceanography, and ecology and (iii) elucidate conservation implications. We hypothesize that geographic genetic structure in *H. ingens* will correspond

with oceanographic barriers that have caused biogeographic and phylogeographic breaks in other eastern Pacific species (e.g. Bernardi et al. 2003; Selkoe et al. 2007; Terry et al. 2000; Jacobs et al. 2004; Muss et al. 2001; Robertson and Cramer 2009). We further hypothesize that the barriers most likely to have influenced *H. ingens*' phylogeography include historical vicariance and oceanographic barriers between the GOC and the Pacific coast, and the combination of ocean currents and geographic distance between the extreme northern and southern limits of *H. ingens*' range; San Diego, California and Peru.

## Materials and methods

### Localities sampled

A total of 115 *H. ingens* individuals were sampled from 13 localities: San Diego, California (n = 18); Loreto, Mexico (n = 1); Guaymas, Mexico (n = 9); Mazatlan, Mexico (n = 6); Salina Cruz, Mexico (n = 5); Buena Vista, Guatemala (n = 18); Puerto San Jose, Guatemala (n = 12); Guayaquil, Ecuador (n = 6); Manta, Ecuador (n = 14); Salango, Ecuador (n = 3); Sechura, Peru (n = 3); Chiclayo, Peru (n = 4); Pisco, Peru (n = 4). Another 12 samples of unknown port of entry are from within the political boundaries of Peru. DNA sequence data from 56 of these samples were donated without corresponding tissues via Dr. K. Koepfli, Dept. of Ecology and Evolutionary Biology, UCLA. Additional Genomic DNA extractions were obtained via Dr. Stephen Palumbi, Dept. of Biology, Stanford University, in collaboration with the Redpath Museum, McGill University, and Project Seahorse. The remaining 29 *H. ingens* samples were obtained as whole specimens in collaboration with the Birch Aquarium at Scripps Institution of Oceanography, the Ecuador Dept of Fisheries, and the U.S. Fish and Wildlife Service.

### DNA extraction, amplification, and sequencing

DNA was extracted from a 3 × 3 mm piece of skin and muscle tissue using the Qiagen *DNAeasy*<sup>TM</sup> tissue extraction protocol and quantified using a Nanodrop nucleic acid calibrator. A 428 basepair (bp) fragment spanning the last 70 bp of mitochondrial tRNA-Pro and the first 359 bp of the control region was sequenced for all individuals. This region is designated as bp 15,580–16,008 in the annotated *H. kuda* mitochondrial genome available on Genbank (NC-010272.1, Kawahara et al. 2008). The target region was amplified in a 25 µl polymerase chain reaction (PCR) using Taq polymerase (New England Biolabs Inc.) at the recommended final concentration of 25 units per ml. A PTC-100

Thermocycler was used under the following PCR conditions: 94°C for 2 min 30 s; 35 cycles of 94°C for 30 s, 50°C for 45 s, and 72°C for 1 min 15 s; and then a final extension step of 72°C for 5 min. We used the following primers: forward HCBF1009 5'-TGAATTGGGGGAA-TACCTGT-3' and reverse HCRLrv 5'-AAGCGTCG ATGAAAGTGTG-3' designed for this study. PCR products were cleaned using shrimp alkaline phosphatase and exonuclease I (USB Corp., Cleveland, OH, USA). Cycle sequencing was performed in both directions with BigDye Terminator™ (vers. 3.0, Applied Biosystems Inc.) following the manufacturer's protocol scaled to a final volume of 10 µl, using the following reaction mix: 5.3 µl of H<sub>2</sub>O, 1.5 µl of BigDye Terminator™ buffer (5X), 0.2 µl of BigDye Terminator™ (3:1 dilution), 0.2 µl primer (10 uM), 5.0 µl PCR product under the following PCR conditions (PTC-100 Thermocycler): 24 cycles of 96°C for 10 s, 50°C for 5 s, and 60°C for 4 min. Sequencing reactions were precipitated in Sephadex gel filtration spin columns (Sigma-Aldrich, Inc.), dried in a speed-vacuum for 30 min on medium heat, re-suspended in 10 µl formamide, and loaded on an ABI Prism 3730 DNA sequencer (Applied Biosystems Inc.). Both strands were sequenced in all samples. Sequences were assembled and edited using Sequencher (Gene Codes Corp.) and aligned using the Clustal-W algorithm in BioEdit (Hall 1999). Sequences are available on Genbank, accession numbers GQ386655–GQ386769.

#### Sequence variation

The number of unique haplotypes, segregating sites, pairwise average nucleotide diversity ( $\theta_\pi$ ) (Nei and Li 1979), segregating sites diversity ( $\theta_s$ ) (Watterson 1975), and haplotype diversity ( $H_d$ ) were calculated using DnaSP (vers. 4.1, Rozas et al. 2003). Deviation from neutrality expectations was tested with Tajima's D (Tajima 1989) and Fu's  $F_s$  statistic (Fu 1997), calculated using DnaSP (vers. 4.1, Rozas et al. 2003) and Arlequin (vers. 3.0, Excoffier et al. 2005). Tajima's test is the most conservative test of neutrality, while Fu's  $F_s$  is the most powerful test for population growth (Ramos-Onsins and Rozas 2002). Fu and Li's  $D^*$  and  $F^*$  statistics (Fu and Li 1993) were also calculated in DnaSP (vers. 4.1, Rozas et al. 2003) and compared with Fu's  $F_s$  statistic (Fu 1997) to test for population expansion. In conjunction, these statistics are able to distinguish between demographic effects and selection which are the two major causes of deviation from mutation/drift equilibrium.

A signal of demographic non-equilibrium was further tested using the coalescence-based method implemented in FLUCTUATE (vers. 1.4, Kuhner et al. 1998) to jointly estimate regionally specific exponential growth rate ( $g$ )

and nucleotide diversity ( $\theta$ ). All runs were completed including only the in-group sequences, for the total data set and for each regional definition with the following model parameters; random starting trees, empirical nucleotide frequencies, initial  $g$  value of 0, starting  $\theta$  value estimated with Watterson's (1975) approach, overall transition-transversion bias  $t_i/t_v = 2.7545$  determined in MrModeltest (vers. 2.3, Nylander et al. 2004) under the best fit model, HKY+I+G. The search strategy implemented is as follows; 10 short chains of 4,000 steps and 4 long chains of 400,000 steps, trees sampled every 20 steps. Analyses were repeated five times per data partition to ensure stability of the estimates; the mean values are reported. Significance was assessed using a two-tailed chi-square likelihood test.

For regions with significant results from the coalescence based test of growth, we estimated the time of coalescence as the time at which effective population size was 1% of the present day effective population size, following Wares and Cunningham (2001). The time at which the population was 1% of its current size is given as  $\ln(0.01)/\mu g$ , a calculation derived from the equation  $N_t = \theta e^{-(g\mu)t}$ , where  $N_t$  is the population size at time  $t$ ,  $\theta$  is the nucleotide diversity ( $=2 N\mu$ ),  $\mu$  is the mutation rate per site for mtDNA, and  $g$  is the estimated exponential growth rate (Wares and Cunningham 2001). Mutation rate  $\mu$  was estimated at  $6.3 \times 10^{-9}$  per site based on a generation time of approximately 1 year (Curtis and Vincent 2006), and time since divergence from outgroup *H. kuda* of 5 mya as calibrated in Teske et al. (2007).

#### Phylogenetic analysis

The minimum spanning haplotype network was built using a parsimony based median-joining method in Network (vers. 4.5, Bandelt et al. 1999). This method combines multiple equally parsimonious minimum-spanning trees into a single minimum-spanning network with the addition of a few consensus sequences (median vectors) of three mutually close sequences to improve visualization (Bandelt et al. 1999).

Phylogenetic relationships among sequences were assessed using the maximum parsimony method as implemented in PAUP\* (vers. 4.0, Swofford 2002), and Bayesian methods as implemented in MrBayes (vers. 3.1, Huelsenbeck and Ronquist 2001; Ronquist and Huelsenbeck 2003). The HKY+I+G model of molecular evolution was applied in Bayesian analyses, which was the best fit model selected by a hierarchical likelihood ratio test as implemented in MrModeltest (vers. 2.3, Nylander et al. 2004). A consensus tree was constructed from 15 million generations sampled every 1,000 trees after stationarity was observed. A burn in of 12,000 generations was



excluded from the consensus tree. The corresponding control-region sequence from the *H. kuda* mitochondrial genome (Genbank NC-010272.1) was used as the outgroup (Kawahara et al. 2008; Teske et al. 2004).

### Population subdivision

Biogeographic provinces define regions that have been influenced by a unique set of evolutionary and ecological forces. However, they do not necessarily correlate well with intraspecific phylogeographic boundaries in eastern Pacific marine taxa (Jacobs et al. 2004). For this reason, we conducted analyses at multiple scales with attention to evolutionary processes that have operated in other species of similar contemporary and historical range. Population-level geographic definitions were used in descriptive statistics, neutrality statistics, Analysis of Molecular Variance (AMOVA), estimates of  $\Phi_{ST}$  (analogous to  $F_{ST}$ ), and a Mantel test for ‘isolation by distance’ (IBD). Regional-level geographic definitions were used in AMOVA, descriptive statistics, neutrality statistics, estimates of  $\Phi_{ST}$ , growth rate ( $g$ ), and time of coalescence ( $T_c$ ). For regional analyses we pooled samples into five groupings (California, GOC, Central America, Ecuador, and Peru) on the basis of recognized biogeographic provinces (Hastings 2000; Robertson and Cramer 2009). Both the Central American and Ecuador localities fall into the same extensive Panamic biogeographic province defined by Robertson and Cramer (2009). However, we maintain separate regional definitions to improve geographic resolution because there has been discussion regarding whether this region should be considered two provinces divided north and south of the Central American Gap (e.g. Hastings 2000; Robertson and Cramer 2009).

To test for population structure among localities, an AMOVA was performed using Arlequin (vers. 3.0, Excoffier et al. 2005). Overall  $\Phi_{ST}$  and pairwise  $\Phi_{ST}$  values at both the population and regional scales were calculated. The  $F_{ST}$  analog  $\Phi_{ST}$  was used to estimate population structure in this study to take nucleotide differences between haplotypes of sequence data into account (Excoffier et al. 1992). Significance of these values was tested using a non-parametric permutation approach described in Excoffier et al. (1992).

A pattern of IBD was tested with a Mantel test using GENEPOP (vers. 3.0, Raymond and Rousset 1995). For this test, four populations were pooled to increase sample size; Loreto and Guaymas, Mexico (separated by 183 km), Buena Vista and Puerto San Jose, Guatemala (separated by 19 km); Manta and Salango, Ecuador (separated by 57 km); and Sechura and Chiclayo, Peru (separated by 131 km). Matrices were calculated for both geographic and

genetic distances. The logarithmic distance matrix was based on geographic distance in km measured in Google Earth (vers. 4.3, Google 2008) using the ruler function with shortest line defined by eye, avoiding land. The genetic distance was defined by pairwise  $\Phi_{ST}$  generated in Arlequin (vers. 3.0, Excoffier et al. 2005). The analysis was repeated excluding the GOC to compare with the overall results.

## Results

### Sequence variation and estimates of demographic history

The 115 *H. ingens* mitochondrial sequences, 428 bp in length, contained 23 polymorphic sites defining 28 unique *H. ingens* haplotypes (Table 1), with 1–9 base differences between sequences. Nucleotide diversity  $\theta_\pi$ , and segregating site  $\theta_s$  diversity estimates were about half that found in several other species of seahorses (e.g.  $\theta_\pi = 0.016$  in *H. kuda*;  $\theta_\pi = 0.013$  in *H. spinosissimus*, Lourie et al. 2005) (Table 1), and consistent with estimates of diversity in *cyt b* found in this species by Sanders et al. (2008). A single insertion was detected at basepair number 207 of this dataset which falls within the control region at basepair 15,787 in the annotated *H. kuda* mitochondrial genome available on Genbank (NC-010272.1, Kawahara et al. 2008). This insertion defines one unique haplotype (named HapA207) with a count of just four.

Tajima’s D (Tajima 1989) was significantly different from neutral expectations for the total data set (Table 1). Fu and Li’s  $D^*$  and  $F^*$  statistics (Fu and Li 1993) did not reject neutral expectations while Fu’s  $F_s$  (Fu 1997) was significantly negative and rejected neutral expectations in four population definitions; Buena Vista and Puerto San Jose, Manta and Salango, Guayaquil, and unknown Peru, and in three regional definitions; Central America, Ecuador, and Peru, and in the overall dataset (Table 1).

Results from the coalescent based analysis using FLUCTUATE (vers. 1.4, Kuhner et al. 1998) suggest a high growth rate for the entire geographic range sampled (Table 2). At a regional scale, non-significant growth estimates were observed in California, and the GOC, and high estimates of growth with significant probability values were found in Central America, Ecuador and Peru (Table 2). Time since coalescence approximates the lower limit in timing of population growth. All four analyses that produced significant results (Central America, Ecuador, Peru, and the overall dataset) indicated a coalescent time during the middle Pleistocene (0.25–0.54 mya) (Table 2).

**Table 1** Genetic diversity indices and sample sizes (n) of the California, Gulf of California, Central America, Ecuador and Peru regional populations, and the total combined data set

Sampling locality	n	S	H	H <sub>d</sub>	θ <sub>π</sub>	θ <sub>s</sub>	Tajima's D	Fu's F <sub>s</sub>	Fu and Li's D*	Fu and Li's F*
San Diego, California	18	1	3	0.471	0.0011	0.0007	1.17	1.22	0.67	0.91
Gulf of California	10	9	5	0.756	0.0062	0.0074	-0.74	0.03	-0.59	-0.71
Central America										
Mazatlan	6	6	4	0.800	0.0047	0.0061	-1.37	-0.50	-1.40	-1.49
Salina Cruz	5	2	2	0.400	0.0020	0.0024	-0.97	1.04	-0.97	-0.95
BuenaVista and Puerto San Jose	30	13	17	0.897	0.0058	0.0083	-1.01	<b>-10.69***</b>	-0.27	-0.59
Central America (pooled)	41	15	18	0.834	0.0052	0.0089	-1.33	<b>-11.04***</b>	-1.05	-1.34
Ecuador										
Manta and Salango	17	4	5	0.684	0.0020	0.0028	-0.89	<b>-1.79*</b>	-0.67	-0.84
Guayaquil	6	3	4	0.800	0.0057	0.0031	-0.45	<b>-1.45*</b>	-0.37	-0.41
Ecuador (pooled)	23	6	7	0.704	0.0023	0.0039	-1.24	<b>-3.38***</b>	-0.95	-1.20
Peru										
Chiclayo and Sechura	7	2	3	0.667	0.0018	0.0019	-0.27	-0.44	-0.06	-0.11
Pisco	4	3	3	0.833	0.0035	0.0038	-0.75	-0.29	-0.75	-0.67
Unknown	12	8	8	0.894	0.0046	0.0062	-1.05	<b>-4.10***</b>	-1.01	-1.16
Peru (pooled)	23	8	9	0.798	0.0034	0.0051	-1.09	<b>-4.33***</b>	-1.02	-1.21
Overall	115	23	28	0.782	0.0039	0.0101	<b>-1.79*</b>	<b>-23.62***</b>	-1.93	-2.25

Populations were grouped according to region of origin (*italics* entries) to gain statistical strength. Indices include number of segregating sites (S); number of haplotypes (H); haplotype diversity (H<sub>d</sub>); nucleotide diversity (θ<sub>π</sub>); and segregating site diversity (θ<sub>s</sub>), neutrality statistics Tajima's D, Fu's F<sub>s</sub> and Fu and Li's F\* and D\* statistics. Neutrality tests are non-significant unless *bold italics* and *marked*

\* Represents significance  $P < 0.05$ , \*\* represents significance  $P < 0.02$ , \*\*\* represents significance  $P < 0.01$

**Table 2** Estimates of theta with variable growth (θ<sub>v</sub>), standard deviation (SD of θ<sub>v</sub>), effective population size (N<sub>e</sub>) expressed in millions of individuals, growth factor (g), standard deviation (SD of g), and time of coalescence (T<sub>c</sub>) expressed in "years ago"

Region	θ <sub>v</sub>	SD of θ <sub>v</sub>	g	SD of g	T <sub>c</sub> (-SD-+SD)
San Diego, California	0.0011	±0.0004	358.14	±871.27	Not estimated
Gulf of California	0.0156	±0.0057	236.81	±140.87	Not estimated
Central America	0.0745	±0.0206	<b>1351.26</b>	±237.96	<b>541,135</b> (460,109–656,797)
Ecuador	0.0248	±0.0113	<b>2256.17</b>	±778.89	<b>324,095</b> (240,921–494,973)
Peru	0.0655	±0.0148	<b>2899.93</b>	±330.03	<b>252,148</b> (226,384–284,529)
Overall	0.1295	±0.0099	<b>1913.33</b>	±83.45	<b>382,166</b> (366,193–399,596)

Estimates reported are the mean value of repeated coalescence based analyses using FLUCTUATE (vers. 1.4.) with mutation rate per site (μ) set to  $6.3 \times 10^{-9}$ , and populations grouped according to region of origin. Estimate of T<sub>c</sub> in *parentheses* indicates the range of time estimates including standard deviation of growth factor. Significant growth factor (g) estimates with a probability value below 0.05 shown in *bold italics*

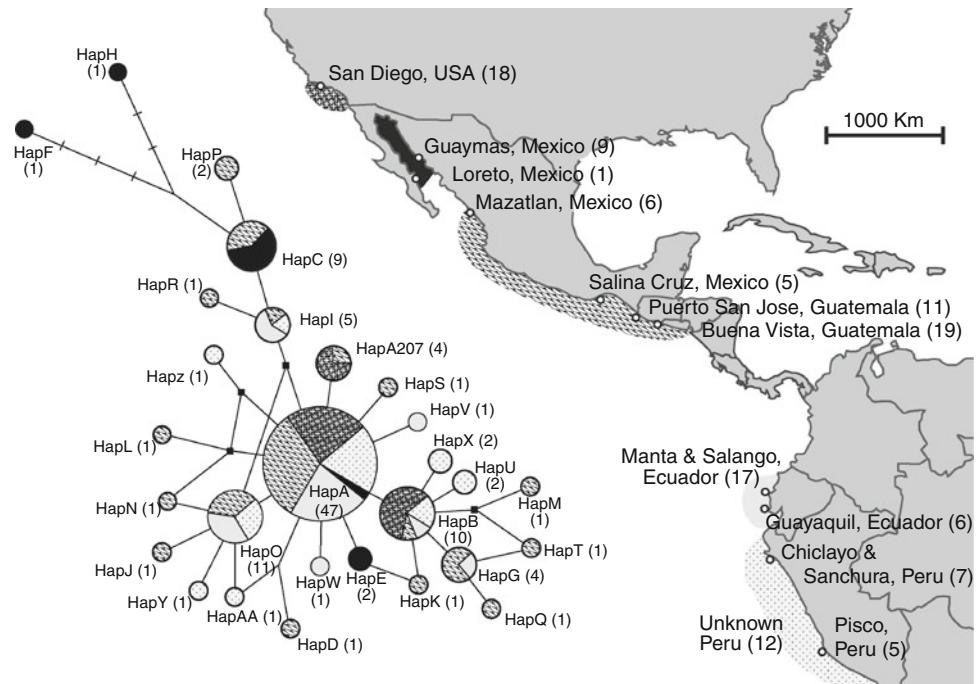
### Phylogenetic analysis

The minimum spanning network demonstrates that the most common haplotype (A) was found in 47 sequences representing all geographic regions (Fig. 1). Median vectors were calculated and are shown in this network as solid squares at the intersection of two separate network branches. Most haplotypes were separated by a single mutation, with no clear assortment of haplotypes based on geographic locality. The two most distant haplotypes were found in the GOC, separated from one another by six

polymorphic sites, and a minimum of three polymorphic sites from closest haplotype C. There were four common haplotypes with total counts ranging from 9 to 47. All San Diego, California individuals sampled (n = 18) shared three haplotypes; A, A207 and B (Fig. 1).

Both parsimony and Bayesian phylogenetic methods recovered a star phylogeny with minimal topology. Bayesian analyses produced trees with an average likelihood score of  $-\ln L = 895.40$ . The resulting consensus tree was not included as a figure in this manuscript because of the simple topology and the low posterior probability

**Fig. 1** Minimum spanning network with haplotype name, sample number of each haplotype, and indication of proportion from each region in shades that correspond to adjacent map. Median vectors indicated by *solid squares* at branch intersections. The map on the right shows sample localities with sample number in parentheses. Shaded areas indicate which region each locality was assigned



node support values recovered. However, it is worth noting that two haplotypes sampled exclusively from within the GOC (F and H) formed a sub-clade with posterior probability node support of 0.88 nested within the poorly supported clade (posterior probability 0.67) comprised of haplotypes C, P, F, and H.

**Population subdivision**

The overall  $\Phi_{ST}$  value 0.109 revealed statistically significant ( $P$  value = 0.00) moderate genetic differentiation between geographic regions (Table 3). An AMOVA analysis excluding the GOC revealed low structure;  $\Phi_{ST}$  was calculated at 0.023 with a probability value of 0.03 (Table 3). Almost 90% of the control region genetic variation resided within populations rather than among the five regions (Table 3). The Central American regional definition spans the largest distance (2,195 km), from Mazatlan to Panama (Table 4). This regional grouping is justified by  $\Phi_{ST}$  calculations that indicated much greater differentiation north of Mazatlan than south of Mazatlan.  $\Phi_{ST}$  between Mazatlan and the GOC was moderate (Table 4), though marginally significant (probability value = 0.05, value not shown in table), while the overall  $\Phi_{ST}$  among the 3 Central American populations was non-significant (Table 3). Pair-wise estimates of genetic structure produced high and significant  $\Phi_{ST}$  values, ranging from 0.21 to 0.45 between the GOC and all other regions (Table 5). San Diego, California had statistically significant but low pairwise  $\Phi_{ST}$  values of 0.10 and 0.04 when compared to Ecuador and

Central America, respectively. We found no evidence of population structure south of the GOC.

The Mantel test performed in GENEPOP (vers. 3.0, Raymond and Rousset 1995) with the genetic and geographic distances displayed in Table 4 show a slight but non-significant pattern of IBD, with a one-tailed probability value of 0.91 (Slatkin 1993). When analysis was repeated excluding the GOC, there was no pattern of IBD, with a one-tailed probability value of 0.74.

**Discussion**

**Demographic history**

Our results are consistent with a dynamic demographic history for the *H. ingens* lineage. Evidence of a recent population bottleneck in *H. ingens* include deviation from neutrality expectations, FLUCTUATE estimates of positive population growth, and a star phylogeny. Significant deviation from neutrality expectations in Tajima’s and Fu’s statistics rejected a neutral model with constant population size. Negative values of these statistics are signatures of population expansion or purifying selection (Tajima 1989), specifically in the southern portion of *H. ingens*’ range (Table 1). Non-significant Fu and Li’s  $D^*$  and  $F^*$  statistics point to demographic expansion rather than selection (Fu 1997). Inferences drawn from these neutrality statistics were consistent with FLUCTUATE results and provide evidence of population expansion within the last 0.25–0.54 mya (Table 2). We hypothesize that *H. ingens*



**Table 3** Analysis of Molecular Variance (AMOVA) calculated in Arlequin (vers. 3.0)

Hierarchical level	Variance	% Variation	$\Phi_{ST}$	<i>P</i>
Among all groups	0.13	11.47	<b>0.115</b>	0.0000
Among all groups excluding Gulf of California	0.02	2.33	<b>0.023</b>	0.0303
Among sampling sites within all groups	2.59	−1.83	−0.021	0.7908
Among sampling sites within Central America	1.06	>100	−0.041	0.8446
Among sampling sites within Ecuador	0.36	>100	0.000	0.5698
Among sampling sites within Peru	0.41	>100	0.000	0.7278
Within sampling sites (overall)	0.79	89.11	<b>0.109</b>	0.0000

Probability value displayed in *parentheses*; calculated with 1,023 permutations with a random value less than or equal to observed value for  $\Phi_{ST}$ ; significant  $\Phi_{ST}$  values displayed in *bold*. Populations were grouped according to region of origin to gain statistical strength. Groups defined with sampled sites as follows with population size in parentheses: California = San Diego Bay, USA (18); Gulf of California = Loreto and Guaymas, Mexico (10); Central America = Mazatlan, Mexico (6), Salina Cruz, Mexico (5) and Buena Vista/Puerto San Jose, Guatemala (30); Ecuador = Guayaquil, Ecuador (6) and Manta/Salango, Ecuador (17); Peru = Sechura/Chiclayo, Peru (7) and Pisco/unknown origins, Peru (16)

**Table 4** Pairwise comparisons of genetic structure ( $\Phi_{ST}$ ) among all sampled sites (defined by closest port of entry) below the *diagonal*; significant  $\Phi_{ST}$  values ( $\Phi_{ST} > 0$ ) with a probability value below 0.05 show in *bold*

Sampling locality	1	2	3	4	5	6	7	8	9
1. San Diego, California	0	<i>878</i>	<i>1494</i>	<i>2911</i>	<i>3400</i>	<i>5204</i>	<i>5091</i>	<i>4792</i>	<i>4649</i>
2. Gulf of California	<b>0.4458</b>	0	<i>618</i>	<i>2052</i>	<i>2552</i>	<i>4340</i>	<i>4234</i>	<i>3944</i>	<i>3890</i>
3. Mazatlan	0.0697	<b>0.1682</b>	0	<i>1443</i>	<i>1948</i>	<i>3724</i>	<i>3622</i>	<i>3336</i>	<i>3346</i>
4. Salina Cruz	0.0901	<b>0.1338</b>	−0.0990	0	<i>510</i>	<i>2293</i>	<i>2181</i>	<i>1893</i>	<i>2031</i>
5. Guatemala	<b>0.0477</b>	<b>0.2098</b>	−0.0414	−0.0299	0	<i>1819</i>	<i>1692</i>	<i>1392</i>	<i>1568</i>
6. Manta and Salango	<b>0.1745</b>	<b>0.3554</b>	0.0497	0.0084	0.0217	0	<i>210</i>	<i>527</i>	<i>1507</i>
7. Guayaquil	−0.0148	<b>0.2630</b>	−0.1077	0.0000	−0.0610	0.0970	0	<i>336</i>	<i>1298</i>
8. Chiclayo and Sechura	−0.0343	<b>0.3418</b>	−0.0244	0.0615	−0.0434	0.0337	−0.0792	0	<i>1053</i>
9. Pisco and unknown	0.0546	<b>0.3282</b>	0.0024	0.0298	0.0066	0.0283	−0.0221	−0.0665	0

$\Phi_{ST}$  calculated in Arlequin (vers. 3.0). Approximate geographic distance between localities used in analyses shown in kilometers above the diagonal (*italics*)

**Table 5** Pairwise comparisons of genetic structure measured with  $\Phi_{ST}$  among five geographic regions shown below the diagonal

Region	1	2	3	4	5
1. San Diego, California (18)	0	<i>0.000</i>	<i>0.0473</i>	<i>0.0132</i>	<i>0.0929</i>
2. Gulf of California (10)	<b>0.4459</b>	0	<i>0.0000</i>	<i>0.0000</i>	<i>0.0000</i>
3. Central America (41)	<b>0.0392</b>	<b>0.2162</b>	0	<i>0.4790</i>	<i>0.1477</i>
4. Ecuador (23)	<b>0.1004</b>	<b>0.3616</b>	−0.0034	0	<i>0.2288</i>
5. Peru (23)	0.0388	<b>0.3718</b>	0.0159	0.0114	0

Significant  $\Phi_{ST}$  values ( $\Phi_{ST} > 0$ ) with a probability value below 0.05 shown in *bold*; probability value shown above the diagonal (*italics*).  $\Phi_{ST}$  calculated in Arlequin (vers. 3.0)

experienced a severe bottleneck (where effective population size dropped below 1% of its current size) subsequent to its divergence from *H. fisheri* during the middle Pleistocene. This bottleneck may have been associated with a number of dynamic processes that occurred in the eastern

Pacific during the Pleistocene. This epoch is characterized by the re-establishment of an upwelling regime, significant sea level flux, increasingly rocky shorelines, multiple glaciation events, and finally a general increase in sea level at the end of the epoch (Jacobs et al. 2004). A more detailed reconstruction of historical population dynamics awaits increased sampling in the northern portion of *H. ingens*' range.

Standard diversity statistics revealed interesting dynamics at the northern end of *H. ingens*' range. Comparison of regional sequence variation shows that San Diego, California had extremely low relative diversity (Table 1) suggesting a recent founder event. This evidence supports the hypothesis that *H. ingens* populations are mainly transient north of the Tropical Eastern Pacific (TEP). Warming of coastal water during El Niño events (Robertson and Cramer 2009) can temporarily extend the range of tropical endemics, and may play a role in the periodic *H. ingens* colonization of San Diego Bay (Hubbs and Hilton 1963; Jones et al. 1988). A

single *H. ingens* specimen, housed in the Harvard Museum of Comparative Zoology (specimen number MCZ 53292), was collected as far north as San Francisco Bay in 1960 following the El Niño event of 1957–1959 (Hubbs and Hilton 1963). Collection records (Jones et al. 1988) and recent field work done for this study suggest that there has been a breeding population of *H. ingens* in San Diego Bay since the mid 1980s, putatively following the major El Niño event of 1982–1983 (Jones et al. 1988). The low haplotype diversity observed in San Diego, California relative to all other localities sampled (Table 1) implies that the founding population was small. Non-significant FLUCTUATE results from this region leave this interpretation a tentative hypothesis.

#### Phylogeographic patterns and potential barriers to gene flow

Population structure develops when gene flow between two populations is low enough to allow genetic drift and local adaptation to create genetic divergence. Discrete genetic breaks can be caused by both incomplete and complete barriers that operate across multiple scales in both time and space. Examples include persistent oceanographic barriers and geological events. Even when these barriers are lacking, simple geographic distance coupled with limited dispersal ability can be enough to prevent gene flow between separate populations.

In contrast to previous analysis of *H. ingens* phylogeography (Sanders et al. 2008), our study indicates moderate and statistically significant overall population structure (Table 3). Our results are consistent with vicariance by persistent oceanographic processes. Vicariance yields a pattern of high  $\Phi_{ST}$  values between isolated populations, and low  $\Phi_{ST}$  among populations on either side of the barrier. We observed high and significant  $\Phi_{ST}$  values between the GOC and Pacific coast populations from California to Peru, and low  $\Phi_{ST}$  values among Pacific coast populations. Spatial resolution of sampling both north and south of the GOC was not sufficient to fully evaluate this genetic break in *H. ingens*. Nonetheless, lack of structure along the Pacific coast is exemplified by non-significant pairwise  $\Phi_{ST}$  estimates between the two most geographically distant regions, San Diego, California and Peru, and between all localities and regions south of the GOC (Tables 4 and 5). The exception to this trend is found in minimal but still significant pairwise  $\Phi_{ST}$  estimates between San Diego, California and Central America and Ecuador. This signal is difficult to interpret in light of the low haplotype diversity found in San Diego, California and the unresolved transient status of this population.

We hypothesize that two main processes have contributed to the pattern of a single distinct genetic break; the life

history of *H. ingens*, and oceanographic conditions of both the eastern Pacific and the GOC. Seahorses are thought to display site fidelity as adults, but dispersal ability in juveniles is largely unknown. There is genetic evidence for limited dispersal in all *Hippocampus* species that have been previously analyzed in a phylogeographic context (Lourie et al. 2005; Teske et al. 2003). Nonetheless, after male pregnancy, fully formed juveniles are delivered into the water column and the few that survive seem to rise in the water column to surface waters and float with little mobility in currents for unknown duration (Lourie et al. 2004). Hitch-hiking on macro algae floats may be one mode of dispersal that could increase connectivity across large geographic distances dependent on oceanographic processes.

The eastern Pacific coast is characterized by stochastic processes which facilitate sporadic dispersal. Siegel et al. (2008) demonstrate through modeling that alongshore larval connectivity is inherently a stochastic process due to chaotic coastal currents. This local process, coupled with extensive mixing due to a seasonal shift of the Inter-tropical Convergence Zone and periodic El Niño events, facilitate sporadic dispersal between regions of the eastern Pacific (Muss et al. 2001; Pisiyas and Mix 1997). The geographic scale of the resulting pattern of genetic connectivity depends on dispersal potential, which in turn depends on larval characteristics such as duration and mobility. A species with low dispersal potential would experience reduced likelihood of gene flow with greater distance, which may be reflected in statistically significant  $\Phi_{ST}$  estimates and population structure exhibiting IBD. On the other hand, a species with high dispersal potential would display a pattern of minimal or non-significant  $\Phi_{ST}$  estimates and no IBD (e.g. *Epinephelus labriformis*, Craig et al. 2006; *Ophioblennius steindachneri*, Muss et al. 2001). *H. ingens* exhibited moderate  $\Phi_{ST}$  estimates driven by structure across one discrete barrier, but no pattern of IBD. Although there remains the possibility that population structure exists on the Pacific coast at scales not captured in this dataset, the most likely explanation is that dynamic oceanographic conditions facilitate connectivity along the Pacific coast, while unique oceanographic conditions of the GOC facilitate population structure.

We hypothesize that population structure developed between the GOC and Pacific coast localities because of restricted genetic exchange due to site fidelity in adults and contemporary oceanographic barriers to juvenile dispersal between regions. Site fidelity is typical in adult seahorses (Foster and Vincent 2004). Potential juvenile dispersal barriers include the formation of two gyres in the upper and central GOC regions (Alvarez-Borrego 2002; Beier 1997; Lavin et al. 1997), a persistent oceanic front where the California Current Water, Tropical Surface Water, and the Gulf of California Waters converge (Castro et al. 2006),

and inflow of surface waters during summer months (Lavin and Marinone 2003). The patterns of gyre formation, positioning of the oceanic front, and patterns of inflow and outflow are heavily influenced by inter-annual variability caused by El Niño (Lavin and Marinone 2003; Castro et al. 2000). Despite this variability, conditions within the GOC may increase self-recruitment of juvenile *H. ingens*. High diversity and the presence of endemic haplotypes in the GOC are congruent with this hypothesis. Additionally, the oceanic inflow of surface waters during the summer may coincide with *H. ingens*' peak in reproductive output, pending confirmation that *H. ingens* follows the trend in breeding season timing and duration reported in other seahorses (Foster and Vincent 2004). Coincidence of breeding season with surface water inflow would facilitate a decrease in juvenile migration out of the GOC while allowing genetic influx from the outer coast. Detailed studies of breeding habits in *H. ingens* and finer scaled sampling within the GOC and along the adjacent outer coasts would be necessary to further resolve this question.

#### Conservation implications

Understanding broad patterns of gene flow is an important advancement in creating a successful international management plan for *H. ingens*. Moderate overall geographic structure with only one distinct genetic break suggests that *H. ingens* populations comprise a large management unit of the entire Pacific coast, and a possible second management unit within the GOC. Significant  $\Phi_{ST}$  values (Tables 4 and 5) between the GOC and all other localities sampled correlate with migration levels of less than two individuals per generation under the Wright-Fisher island population model. This implies demographic independence (Palsbøll et al. 2007). Evidence of demographic independence and high relative nucleotide diversity in the GOC suggest that the GOC depends heavily on self-recruitment and may be more vulnerable to over-fishing than populations with greater connectivity such as those along the Central American coast.

These results have important implications for management of seahorse populations in Mexican waters. Mexico is considered a major exporter of dried seahorses (Baum and Vincent 2005). The majority of these seahorses are caught as bycatch by shrimp trawlers operating along the western coast of Mexico (Baum and Vincent 2005, Sarah Foster *pers obs.* 2010). Furthermore, the effort of trawlers measured in number of boats operating are much greater in the GOC than elsewhere, evidenced by the fact that the states of Sonora, Sinaloa and Nayarit produce the most shrimp in Mexico (SAGARPA 2008). The combination of higher bycatch of seahorses in the region surrounding the GOC, and low connectivity of the GOC with the outer coast populations leaves GOC populations particularly

vulnerable to overharvest. Current regulations of shrimp trawl bycatch within the states of Sonora, Sinaloa and Nayarit and regulation of seahorse exports from northern Mexico may need to be strengthened in order to meet CITES requirements.

Although results of this study have increased our understanding of the geographic genetic relationships among *H. ingens* populations, several pertinent questions remain unanswered. The limited size of some sampled populations and the relatively low diversity of the mitochondrial gene fragments sequenced do not allow identification of TCM trade source populations derived from Pacific coast populations. Furthermore, these data provide insufficient power to determine migration rates with coalescent based methods, and specific demographic dynamics in the GOC and San Diego, California could not be resolved. This study highlights the need for further genetic characterization with more rapidly evolving markers and fine-scaled geographic sampling focused on the GOC. Field work that can yield improved estimates of life history characteristics such as adult movement, generation time, and breeding season are further steps to ensure the persistence of this valuable and threatened species.

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