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Low Calorie Dieting Increases Cortisol

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Objective: To test the hypothesis that dieting, or the restriction of caloric intake, is ineffective because it increases chronic psychological stress and cortisol production—two factors that are known to cause weight gain; and to examine the respective roles of the two main behaviors that comprise dieting—monitoring one’s caloric intake and restricting one’s caloric intake—on psychological and biological stress indicators. Methods: In a 2 (monitoring vs. not) × 2 (restricting vs. not) fully crossed, controlled experiment, 121 female participants were assigned randomly to one of four dietary interventions for 3 weeks. The monitoring + restricting condition tracked their caloric intake and restricted their caloric intake (1200 kcal/day); the monitoring only condition tracked their caloric intake but ate normally; the restricting only condition was provided 1200 kcal/day of food but did not track their calories, and the control group ate normally and did not track their intake. Before and after the interventions, participants completed measures of perceived stress and 2 days of diurnal saliva sampling to test for cortisol. Results: Restricting calories increased the total output of cortisol, and monitoring calories increased perceived stress. Conclusions: Dieting may be deleterious to psychological well-being and biological functioning, and changes in clinical recommendations may be in order. Key words: dieting, psychological stress, cortisol.

INTRODUCTION

Obesity is among the most significant health problems facing the United States, and levels of obesity are increasing throughout the world. One third of U.S. adults are estimated to be obese (1), and obesity is beginning to replace malnutrition and infectious diseases as the most significant contributor to poor health worldwide (2). In light of these trends, much research is being conducted on intentional weight loss and weight maintenance. Although intentional weight loss efforts may be effective in the short term, these losses are often not maintained over the long term, and it is essential that researchers identify the mechanisms that lead to weight regain after intentional weight loss to inform interventions that can reverse, circumvent, or alter them.

One of the most common methods of intentional weight loss is the restriction of caloric intake, commonly known as dieting (3). Nationally representative numbers (4) from 2005 indicated that approximately 47% of adults in the United States are trying to lose weight at any given time. Despite the high prevalence of dieting, research (5,6) found that dieting leads to successful weight loss in the short term but not the long term. A review article (5) found that 30% to 64% of diet study participants gain back more weight than they lost on the diet. Furthermore, having dieted in the past was a predictor of weight gain, and the longer participants were followed up, the more weight they had regained (5).

To fully understand the mechanisms of diet failure, it is necessary to consider the biological and psychological processes that occur when individuals go on diets. We began with the broad hypothesis that diets fail because they increase stress. In this study, we specifically tested the latter half of this hypothesis, namely, that dieting causes increases in indicators of stress. Stress is defined as a negative emotional experience that is accompanied by predictable biochemical, physiological, cognitive, and behavioral changes (11), and it is a prime suspect as a potential cause of weight regain after dieting. First, stress can increase weight through the stress-responsive hypothalamic-pituitary-adrenocortical (HPA) pathway that affects energy metabolism—the focus of the current study. HPA-mediated weight gain has been studied extensively (12). Research (12–16) suggested strong connections between stress and weight gain through elevations of cortisol regulated by the prolonged activation of the HPA axis and resulting insulin resistance.

Dieting is likely psychologically stressful. As dieting, by definition, is an act of restriction of eating, this deprivation may elicit negative emotion. Dieting involves not merely resisting temptation, but also a physically aversive feeling of being hungry. Reviews (17) of dieting studies have documented negative emotional consequences of dieting, such as depression, anxiety, decreased self-esteem, nervousness, and irritability.

In addition to restricting one’s intake, there is another main task in dieting: monitoring one’s caloric intake. Research (18) indicated that frequent, repetitive hassles can accumulate over time to comprise a chronic stressor that has negative health consequences. Monitoring food and caloric intake during a diet may be one of these daily hassles. Consistent with this

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We note that some studies, such as the Finnish Diabetes Prevention Study (7), Diabetes Prevention Program (8), Diabetes Prevention Program Outcomes Study (9), and Look AHEAD (10), have demonstrated some positive weight (and health) outcomes. These interventions, however, were life-style interventions that confound physical activity with pure caloric restriction, and we therefore focus on caloric restriction interventions only in our discussion.
point, in the original sample used in the development of the Hassles Scale, “concerns about weight” were among the five most frequently cited hassles (18).

The relationship between dieting and both perceived stress and cortisol has been investigated in cross-sectional and longitudinal studies. For example, in a study of 17,159 adolescent females, French and Jeffery (17) found that dieting five or more times in the past year was correlated with emotional stress in whites, blacks, and Asians. Researchers (19–21) have long known that fasting and starvation are associated with an elevation of cortisol or failure to suppress cortisol after a dexamethasone suppression test. In addition, studies have found that higher dietary restraint (a measure of dieting)2 is associated with higher 24-hour urinary free cortisol concentrations, and cortisol-creatinine ratios, salivary cortisol, and cortisol awakening response (22–24). To date, however, no study has used an experimental design to test the causal effects of dieting on stress in humans.

The present research tested the following two hypotheses: 1) the monitoring aspect of dieting causes increases in psychological stress and cortisol; and 2) the restricting aspect of dieting causes increases in psychological stress and cortisol. These hypotheses were tested, using a fully crossed, randomized, controlled experiment with monitoring and restricting as two factors.

METHODS
Participants were assigned randomly to one of four diet conditions, and self-reported stress and diurnal cortisol were measured at baseline and immediately after the 3-week manipulation. The short-term nature of the study allowed the investigation of the effects of the diet at its most potent point, as participants in diet studies tend to adhere closely to their diets during the early weeks of the diet, with nonadherence becoming more likely after the initial months of the diet (26). To test whether restricting intake, monitoring intake, or a combination of the two leads to stress and elevations in cortisol, a fully crossed (monitoring diet or not) x 2 (restricting diet or not) between-groups longitudinal design with repeated measures compared participants at baseline and post manipulation.

Sample
Because dieting can have deleterious health consequences, the sample consisted of individuals from the UCLA and University of Minnesota communities who were seeking to go on a diet and would have done so regardless of participation in the study. We focused on females because the prevalence of restrained eating is higher in this population (27). A total of 115 participants were randomized; complete follow-up data were available for 99 participants (86% retention rate).

Inclusion/Exclusion Criteria
To ensure that weight was measured with high validity, participants were required to have a history of stable weight (no weight change of >5 kg or 11 lbs in the last 3 months) and not taking weight-altering medications. To ensure that cortisol was measured with high validity and for participant safety, participants were excluded if they were smokers or had recent or current

 PROCEDURES

This study was conducted in compliance with American Psychological Association ethical standards for the treatment of human subjects and was fully approved by the UCLA and University of Minnesota Institutional Review Boards. Data collection occurred between September 2007 and January 2009. At baseline, all participants provided their informed consent, underwent screening for inclusion and exclusion criteria, were weighed and measured, and completed baseline questionnaires. Cortisol follows a diurnal rhythm, with highest levels at around 30 minutes to 45 minutes after waking and a gradual decline throughout the day (28). Following the recommendations of the MacArthur Research Network on Socioeconomic Status and Health (29), diurnal saliva sampling was used to capture both the cortisol awakening response as well as the total daily output of cortisol. Participants’ salivary cortisol levels were measured at three time points for 2 days: immediately after wake-up, 45 minutes after wake-up, and 12 hours after wake-up. Cortisol was assessed with commercially available salivettes (Salimetrics, State College, Pennsylvania). Participants were thoroughly trained on diurnal saliva sampling and were instructed to begin sampling the next day and continue for 2 days total. Participants were also instructed to check in via phone, E-mail, or text message after each sample was taken to ensure compliance. At the end of each sampling day, participants also completed a questionnaire to measure potential confounders of cortisol: physical activity, stressful events, general health, pain, and alcohol and caffeine consumption.

Participants were then assigned randomly to one of four study conditions. All participants returned the day after their saliva sampling days to return the samples and were trained on the condition to which they had been randomized. Participants followed the instructions of their assigned condition for 3 weeks.

Participants assigned to the Monitoring and Restricting condition received training on how to follow a classic low-calorie diet consisting of 1200 kcal/day with no more than 50% energy from carbohydrates, 30% energy from total fat, and 20% energy from protein. Participants also received instructions on how to complete a daily food diary, so that they monitored their caloric intake. Participants in the Monitoring Only condition were not placed on a low-calorie diet, but were instructed on how to complete a daily food diary, so that they monitored their intake. Participants in Restricting Only condition were provided all the food that they ate over the course of the study. The food was prepackaged prepared food from one of two diet food companies and was prepared and eaten by participants in the same manner that participants in this type of diet plan typically prepare and consume these foods. The daily menus varied, but caloric intake was restricted to 1200 calories per day, with the same percentage of calories from carbohydrates, fats, and protein as in the full monitoring + restricting diet. Thus, this condition served as a control for the full monitoring + restricting diet, in terms of the amount of daily caloric intake; however, participants did not engage in any monitoring of their intake, because all food was eaten in prescribed amounts given to them. Participants in the Control condition were not placed on a low-calorie diet or instructed to complete a daily food diary.

Each condition was, to the greatest extent possible, modeled after what people do in real life when they go on diets. Thus, the Monitoring and Restricting and Monitoring Only condition materials were designed by a registered dietitian to be representative of what dietitians usually use when advising their clients. The Restricting Only condition utilized an actual diet food company and is analogous to many similar types of diet plans, such as Jenny Craig.

On the day after the conclusion of the 3 weeks, participants completed follow-up questionnaires and conducted 2 final days of diurnal saliva
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sampling. Finally, participants returned to the laboratory for follow-up measurements and returned the samples. Participants were paid $40 for participation.

Materials
All measures were taken at both baseline and follow-up.

Perceived Stress
Stress was assessed via the widely used 10-item Perceived Stress Scale (PSS) (Cronbach’s α in this sample = 0.90) (30). A sample item is, “How often have you felt nervous and stressed?” Respondents are asked to rate how often they experienced stress in the past month (baseline) or last 3 weeks (post manipulation) on 5-point Likert-type scales from Never = 0 to Very Often = 4. The PSS prompt was modified at the postintervention follow-up to say “In the past three weeks while you were in the study...” to attempt to capture more specifically dieting-related stress.

Cortisol
Total cortisol output was calculated by calculating the area under the curve for the two pre- and postintervention days, using the formula (with respect to ground) outlined by Pruessner and colleagues (28). The cortisol awakening response (CAR) refers to the normative increase between waking up and 30 minutes to 45 minutes after waking up. The meaning of high versus low awakening responses has been debated in the literature but has been characterized as indexing the robustness of the HPA axis as well as tonic stress levels in some studies (31), and was therefore used as an outcome measure here. The cortisol awakening response was calculated by subtracting the wake-up cortisol (natural log) value from the wake-up + 45 minute sample value.

Anthropometry
A portable adult measuring stadiometer was used to measure height. Subjects were measured at least twice, in their stocking feet with head positioned in the Frankfort plane. Body weight was measured using a physician’s scale (Detecto, Webb City, MO).

RESULTS
Preliminary Analyses
Descriptive statistics for demographic characteristics of the study sample measured at baseline are provided in Table 1. Table 2 depicts statistics for the major outcome variables stratified by group assignment and time of assessment. Values beyond 3 standard deviations (SD) on key variables were considered outliers, and all analyses were rerun without the inclusion of these participants. Notably, the results were the same whether outliers were included or excluded.

Manipulation Check
The participants in the Monitoring + Restricting and Monitoring Only conditions significantly differed in the number of calories they consumed, such that Monitoring Only participants, who were asked to not restrict their calories, ate more (grand mean = 35715.5, SD = 9717.21, daily mean = 1700.74) than did Monitoring + Restricting condition participants, who were instructed to keep their consumption to 1200 kcal per day (grand mean = 26449.89, SD = 5483.57, daily mean = 1259.52).

Weight Change
To test whether the groups differed in the amount of weight they lost or gained, a repeated-measures analysis of variance was conducted, revealing a main effect of restricting (but not monitoring) on weight change ($F(97,1) = 4.67, p = .03$). The restricting groups lost significantly more weight (mean weight loss = 1.9 pounds) than the groups who did not restrict (mean weight gain = 2.6 pounds); therefore, weight change was used in all analyses as a covariate.

Main Results
To test the two hypotheses, three analyses of covariance were conducted, using the following postintervention variables as outcomes: 1) psychological stress (indexed by the PSS); 2) total cortisol output; and 3) cortisol awakening response. Restricting and monitoring were dummy-coded and entered as fixed factors. Baseline values of each of the three outcome variables were included in each respective analysis as covariates. The respective main effects of monitoring and restricting were examined to determine whether these factors increased stress. Significance was set at $p = .05$ and all tests

<p>| TABLE 1. Descriptive Statistics for Key Variables at Baseline and Post Manipulation |</p>
<table>
<thead>
<tr>
<th>Variable</th>
<th>Pre</th>
<th>Post</th>
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</thead>
<tbody>
<tr>
<td></td>
<td>Mean</td>
<td>SD</td>
</tr>
<tr>
<td>Weight (lb)</td>
<td>147.38</td>
<td>28.8</td>
</tr>
<tr>
<td>BMI</td>
<td>24.79</td>
<td>3.85</td>
</tr>
<tr>
<td>PSS</td>
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<td>0.52</td>
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<tr>
<td>Cort AUC</td>
<td>1674.27</td>
<td>337.07</td>
</tr>
<tr>
<td>CAR</td>
<td>0.44</td>
<td>0.59</td>
</tr>
</tbody>
</table>

SD = standard deviation; lb = pounds; BMI = body mass index; PSS = Perceived Stress Scale; Cort = cortisol; AUC= area under the curve with respect to ground; CAR = cortisol awakening response.

Key: Cortisol-ln (nmol/L).

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were two-tailed. Physical activity, stressful events unrelated to the diet, general health, pain, alcohol consumption, and caffeine consumption were tested one at a time to see if they were significantly related to each relevant outcome measure. Including these covariates did not change the pattern of results in any of the reported analyses.

**Psychological Stress**

Results indicated that participants who monitored their caloric intake reported increased perceived stress \(F(97,1) = 5.45, p = .02, \text{partial } \eta^2 = 0.06\) (Fig. 1). The effect size was small to medium, with a Cohen’s \(d\) of 0.38. Restricting, on the other hand, did not increase perceived stress \(F(97,1) = 0.92, \text{partial } \eta^2 = 0.00\).
Salivary Cortisol

Q-Q plots indicated that the values for salivary cortisol were nonnormally distributed. A natural-log transformation corrected this skew; thus, natural log values were used in the following analyses.

Results indicated a main effect of restricting ($F(92,1) = 8.77, p = .004, \eta^2 = 0.05$), such that restricting increased the total output of cortisol (Fig. 2). The effect size was medium, with a Cohen’s $d$ of 0.63. There was no main effect of monitoring ($F(92,1) = 3.71, p = .07$) and no interaction ($F(92,1) = 0.27, p = .60$). The difference in cortisol output seems to have been driven by the evening cortisol level, as shown in Figure 3.

For cortisol awakening response, there were no main effects (monitoring: $F(93,1) = 0.88, p = .63$; restricting: $F(93,1) = 0.10, p = .35$), and there was no interaction ($F(93,1) = 0.70, p = .41$).

DISCUSSION

Dieting is one of the most common behaviors used to control weight. This study suggests that dieting may, however, potentially be deleterious to psychological well-being and biological functioning. Specifically, this study found that...
monitoring one’s diet increased perceived psychological stress, and restricting one’s caloric intake increased total daily cortisol. These findings lend support to the idea that stress may be a mechanism of diet failure.

Monitoring one’s diet involved continuously recording consumed food. Like the stressors characterized in the daily hassles literature (“irritating, frustrating demands that occur during everyday transactions with the environment”) (32), monitoring via the use of food diaries likely increased perceived stress by creating repeated stressors throughout the day.

Restricting, on the other hand, increased the total cortisol output among the participants, consistent with previous research (23,33). This finding may seem unexpected, as restricting caloric intake can trigger mechanisms to reduce energy expenditure, including reduced corticotrophin-releasing hormone output, which, in turn, may reduce cortisol downstream (34). However, restricting caloric intake may be a particularly salient biological stressor, because one of the main functions of cortisol is to increase the availability of energy in the body. The stress resulting from restricting one’s caloric intake to a mere 1200 kcal, therefore, may have reduced the absolute amount of energy available to the body, leading to increased cortisol output to release energy stores. Alternatively, the increase in cortisol under conditions of caloric restriction may reflect a biological freeing of energy that is entirely not stress-mediated.

A critical and related question is whether the cortisol increase is likely to translate into weight gain. No studies, to our knowledge, have examined basal cortisol and weight gain longitudinally in humans, but experimental studies (35) showed a dose/response relationship between glucocorticoids and weight in rodents.

We found no change in the cortisol awakening response. However, as noted, the “healthy” versus “unhealthy” cortisol awakening response is unclear, with some studies documenting a higher response in stressed populations, whereas others find the opposite (36). It is, therefore, difficult to speculate on the meaning of not finding a change in awakening response.

In this study, there was a disconnect between psychological and biological stress responses: monitoring increased perceived stress, whereas restricting increased cortisol output. This may have been due to a potential inadequacy of the PSS to capture the dieting-related stress in the restricting conditions only (despite the fact that the prompt was changed to say “In the past three weeks while you were in the study . . . “). A more fine-grained measurement of perceived stress in future studies would also allow for an untangling of whether the effect is stress-mediated or not. Alternatively, the prolonged increase in perceived stress in the monitoring conditions may yet translate, over a longer time frame, into increased cortisol for this group as well. Differences between psychological and biological stress responses are, however, found commonly throughout the stress literature (37). For example, Fischer and colleagues (38) examined cortisol and psychological stress responses over 7145 hours in physicians and nurses and found that cortisol and psychological stress did not overlap 71.3% of the time.

Dieters may not even realize that restricting their caloric intake produces a physiological stress response, as it does not lead to a perceived stress response. As a result, dieters may assume that their restriction is not harmful, and may persevere in what may be a physiologically stressful diet because they do not feel psychologically stressed.

The findings from this study provide preliminary evidence that dieting may not lead to positive outcomes in terms of stress indicators. This does not mean, however, that the implication is that individuals engaged in weight management efforts should disregard self-awareness of eating patterns or give up on the practice of portion control. Life-style modifications that include exercise and avoidance of overeating (39) may be the most prudent approach for improving weight-related health.

There were several limitations to this study. A number of participants (n = 15) dropped out of the study after undergoing the first round of saliva sampling, which may have led to a biased sample. The participants who dropped out at this point were experiencing significantly more perceived stress than those who continued, and as such, the results of the current study may not be generalizable to people most likely to be stressed by the procedures. Note, however, that this bias in the sample results in an underestimation of the effect. Furthermore, perhaps monitoring and restricting have effects only on compliant participants. If this were the case, however, the results of this study may still be informative, as compliant participants are likely to be compliant dieters, who are most likely to monitor and restrict their intake successfully. Finally, as dropouts occurred before randomization, the validity of the results is not in question.

It should also be noted that the manipulations in this study altered more than simply restricting and monitoring. The participants in the different groups may have been eating different types (and even quantities) of food with different macronutrient compositions. Because we could not have the control group tell us what they were eating (because that would have constituted a monitoring manipulation), we must consider an alternative explanation that some differences, for example, in carbohydrate composition of participants’ diets, may have differentially altered cortisol production (40,41).

Finally, we note that the saliva sampling protocol in this study was suboptimal. In particular, basing the CAR on only two time points was problematic, with at least three needed to calculate a reliable CAR (36). Furthermore, afternoon values and at least 1 or 2 additional days of sampling may have been necessary to assess a true tonic measure of cortisol (42). Funding limitations precluded such gold standard measurement of cortisol in this study, and therefore, the null results of a) the outcome measure of CAR and b) monitoring of cortisol may have been due to unreliable measurement of cortisol.

The broad hypothesis framing this study is that dieting is ineffective, because it is a stressor. This study, however, did not assess actual dieting outcomes, and thus, the full hypothesis remains to be tested. At the moment, this study has
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provided initial evidence of the two manipulations, monitoring and restricting, on the presumptive mediators of psychological stress and cortisol, respectively. Future research must examine the effects of monitoring and restricting over longer terms and in relation to the outcomes of the diets themselves, namely, whether or not participants actually lose weight and whether or not they keep it off. The relationship between dieting and stress over the long term may be curvilinear, such that the initial weeks of monitoring and restricting are stressful, becoming less so as individuals actually lose weight, usually through the first 6 months (5), and then perhaps more so as the weight returns. This needs to be studied further.

The results of this study have a number of important implications. Regardless of diet success or failure, if dieting is shown in future studies to reliably increase stress and cortisol, clinicians may need to rethink recommending dieting to their patients to improve health. Chronic stress, in addition to promoting weight gain, has been linked with a host of negative health outcomes, such as atherosclerosis, coronary heart disease, hypertension, diabetes, cancer, and impaired immune functioning (43). To the extent that dieting might potentially add to this stress burden, its psychological and biological consequences would best not be ignored.

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