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Functional imaging of dolphin brain metabolism and blood flow

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Introduction

Dolphins and related small whales in the delphinoid cetacean family have shown slow wave sleep (SWS) electroencephalograhams (EEG) in one brain hemisphere while producing waking EEG in the other (Serafetinides et al., 1970; Mukhametov et al., 1977; Mukhametov, 1984; Mukhametov, 1987; Ridgway, 2002; Lyamin et al., 2001; Lyamin et al., 2004). Left and right hemispheres alternate SWS by some unknown mechanism. Several physiological and anatomical observations suggest a degree of dolphin brain hemispheric independence. These observations include independent eye movement and closure (McCormick, 1969; Dawson et al., 1981; Lyamin et al., 2001; Lyamin et al., 2004), observations of behavior in nocturnal rest periods (Flanigan, Jr, 1974; Goley, 1999), a small corpus calosum (Tarpley and Ridgway, 1994), complete crossing of the nerves at the optic chiasm (Tarpley et al., 1994), and absence of an arterial Circle of Willis (McFarland et al., 1979). What triggers one hemisphere to go into SWS while the other hemisphere often displays an EEG indistinguishable from that of an awake animal remains to be determined.

Only once have investigators explored hemispheric physiology beyond recording EEG and other electrophysiological signs. The study of Koval’zon and Mukhametov was aimed at determining if brain temperature cycled with SWS (Koval’zon and Mukhametov, 1982). The authors studied four Black Sea bottlenose dolphins (Tursiops truncatus) and one harbor porpoise (Phocoena phocoena). Two thermisters were implanted in each animal – one in the auditory cortex of each cerebral hemisphere by some unknown mechanism. Several physiological and anatomical observations suggest a degree of dolphin brain hemispheric independence. These observations include independent eye movement and closure (McCormick, 1969; Dawson et al., 1981; Lyamin et al., 2001; Lyamin et al., 2004), observations of behavior in nocturnal rest periods (Flanigan, Jr, 1974; Goley, 1999), a small corpus calosum (Tarpley and Ridgway, 1994), complete crossing of the nerves at the optic chiasm (Tarpley et al., 1994), and absence of an arterial Circle of Willis (McFarland et al., 1979). What triggers one hemisphere to go into SWS while the other hemisphere often displays an EEG indistinguishable from that of an awake animal remains to be determined.

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This report documents the first use of magnetic resonance images (MRIs) of living dolphins to register functional brain scans, allowing for the exploration of potential mechanisms of unihemispheric sleep. Diazepam has been shown to induce unihemispheric slow waves (USW), therefore we used functional imaging of dolphins with and without diazepam to observe hemispheric differences in brain metabolism and blood flow. MRIs were used to register functional brain scans with single photon emission computed tomography (SPECT) and positron emission tomography (PET) in trained dolphins. Scans using SPECT revealed unihemispheric blood flow reduction following diazepam doses greater than 0.55 mg kg\(^{-1}\) for these 180–200 kg animals. Scans using PET revealed hemispheric differences in brain glucose consumption when scans with and without diazepam were compared. The findings suggest that unihemispheric reduction in blood flow and glucose metabolism in the hemisphere showing USW are important features of unihemispheric sleep.

Functional scans may also help to elucidate the degree of hemispheric laterality of sensory and motor systems as well as in neurotransmitter or molecular mechanisms of unihemispheric sleep in delphinoid cetaceans. The findings also demonstrate the potential value of functional scans to explore other aspects of dolphin brain physiology as well as pathology.

Key words: dolphin, Tursiops, functional imaging, diazepam, SPECT scan, MRI scan, PET scan, brain, unihemispheric sleep, slow wave, hemisphere autonomy.
in its most vivid form’ (Mukhametov, 1987). Diazepam binds to GABA_A receptors and a change in the sensitivity of GABA_A receptors is one mechanism that might be involved in dolphin unihemispheric SWS. There is ample evidence that GABA plays a major role in sleep regulation in land mammals (Ali et al., 1999; Xi et al., 1999; Gallop et al., 2000; Koop et al., 2004). Garey et al. (Garey et al., 1989) determined that the quantitative distribution of GABA neurons in the Black Sea porpoise (*Phocoena phocoena*) within the visual cortex is similar to that in land mammals.

It can be said that bottlenose dolphins and their close relatives in the cetacean family, Delphinidae, have large brains and have reached the zenith of cetacean brain development (Marino, 1998; Ridgway, 1999; Marino et al., 2004). Modern morphomolecular studies of fixed material have begun to reveal information relative to the neurochemistry of some regions of the dolphin brain (cf. Hof et al., 1995; Glezer et al., 1998; Manger et al., 2003; Manger et al., 2004). However, non-invasive means of investigating this large and highly organized brain in the living animal have been quite limited and there is little understanding of the neurotransmitter and neuromodulator distribution in the dolphin brain as a whole. Prior to our recent studies (Houser et al., 2004), live cetacean scans were limited to one computed tomography (CT) study of a pygmy sperm whale with a sinus abscess (Tristan et al., 2001). Houser et al. (Houser et al., 2004) expanded the use of medical imaging modalities on live cetaceans to include functional scanning (SPECT and PET) and coupled the images obtained with these scans to structural imagery obtained *via* CT. To investigate brain function in context of the finer anatomy of the brain, CT imaging of dolphin anatomy must be replaced by an imaging modality sensitive to soft tissue. MRI permits detail of soft tissues to be discerned, but the application of MRI to living cetaceans has yet to be reported.

The combination of functional imaging with soft and hard tissue structural imaging will permit *in vivo* assessments of dolphin brain functional anatomy. The information obtained from such scans will yield invaluable information on dolphin brain physiology, making possible the understanding of some of the apparently distinctive capabilities of dolphins. Such capabilities include their excellent SONAR system, the tactile sensitivity of their skin, the ability of the brain to withstand hypoxia during diving, acoustic communication, underwater vision, and how dolphins sleep at sea. Additionally, the combined imaging modalities can increase both our understanding of how various medications affect brain chemistry and our ability to employ imaging techniques in the diagnoses of illness in the dolphin brain.

Here we report results of the first functional scans of the dolphin brain registered to MR images obtained in the same animals. The functional scans, SPECT and PET, were collected with and without the administration of diazepam to induce SWS. SPECT scans were used to monitor cerebral blood flow and PET scans were used to estimate brain glucose metabolism via the uptake of a glucose analog. Differences in treatment and non-treatment scans were used to describe the physiology of unihemispheric SWS as a function of the brain’s specific anatomy by co-registration to MRI scans. The results provide the first ever indication of localized and regional variations in brain metabolism and blood flow resulting from the induction of unihemispheric SWS.

**Materials and methods**

**Procedures for scans**

Three live, adult males (WEN, OLY and FLP) and one post mortem, adult male (MAY) bottlenose dolphins (*Tursiops truncatus* Montagu) were used in this study (Table 1). This study includes two MRIs (WEN and MAY), three SPECT scans (2 WEN and 1 FLP), and four PET scans (2 WEN and 2 OLY).

Prior to this study the animals were trained to slide out of the water onto a padded transport mat (Fig. 1). Functional scans (SPECT and PET) were either baseline (no diazepam prior to ligand injection) or diazepam test scan. For scans under the influence of diazepam, the animal was given 0.55–0.60 mg kg$^{-1}$ in a fish 1 h prior to their removal from the water. Taking a lead from Mukhametov’s observation that

<table>
<thead>
<tr>
<th>Dolphin</th>
<th>Sex</th>
<th>Age (years)</th>
<th>Mass (kg)</th>
<th>Length (cm)</th>
<th>Scan type</th>
</tr>
</thead>
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<tr>
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<td>M</td>
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<td>196</td>
<td>252</td>
<td>MRI, SPECT, PET</td>
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<tr>
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<td>M</td>
<td>21</td>
<td>182</td>
<td>239</td>
<td>PET</td>
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<tr>
<td>FLP</td>
<td>M</td>
<td>26</td>
<td>225</td>
<td>256</td>
<td>SPECT</td>
</tr>
<tr>
<td>MAY</td>
<td>M</td>
<td>30</td>
<td>209</td>
<td>260</td>
<td>MRI</td>
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</tbody>
</table>

![Fig. 1. A trained dolphin slides out of the water onto a padded transport mat. (A) Dolphin swims around its bay enclosure. (B) The dolphin is signaled to station in front of the trainer. (C) The dolphin slides out onto the padded transport mat. (D) The padded sides of the transport mat are brought together so that the dolphin is secure in the mat with the lateral walls up and fastened.](image-url)
Diazepam could produce unihemispheric slow waves (Mukhametov, 1987), we determined that this amount of diazepam was just over the threshold dosage for producing signs of unihemispheric EEG slow waves in our dolphins (Fig. 2). In a separate preliminary study, the dosage was determined by EEG telemetry (D70-EEE, Transoma Medical, Aden Hills, MN, USA) from needle electrodes (25-gauge Neuroline, Ambu, Denmark) placed to the skull – two electrodes over each hemisphere while the dolphin was resting quietly, without external stimulation and with negligible movement, in our veterinary clinic (Ridgway, 2002). There was an interval of at least 2 weeks between each diazepam dose to minimize the animal’s potential for developing a tolerance to diazepam.

Each scan procedure began with the dolphin voluntarily sliding onto the transport mat (Fig. 1). After a short ride to the dolphin veterinary clinic, the animal was injected with the ligand \[^{99mTc}\text{bicisate for SPECT, }^{18F}\text{-2-fluoro-2-deoxyglucose (FDG for PET)}\] into the central circulation through the common brachiocephalic vein (Fig. 3) under ultrasound guidance. After injection of the ligand, the dolphin was kept in a darkened area and had movement or stimulation minimized during the ligand brain uptake period. The animal, with trainers and attending veterinarian, was then transported by covered truck to the nearby imaging facility where the scan was begun within 2 h after ligand injection.

Since the tables used by the various scanners were not built to take the mass of a dolphin (180–230 kg), a special table was constructed to fit over the human table and hold all of the dolphin’s mass as the animal was placed in the scanner. All of the animals were trained to remain still while out of the water. An animal trainer was present during the scans, stationed just in front of the animal (Fig. 4). The animal was kept moist during the scans by sponging its skin with water. The scanners were protected from the water by placing thin plastic sheeting under the dolphin. Respiration, body temperature (via rectal thermometer), and electrocardiogram were monitored during the procedure. Dolphins returned to their enclosures within 4 h of being removed from the water for the procedure (Fig. 1).

**SPECT scans**

Dolphins WEN and FLP were scanned using a SPECT scanner (ADAC Forte SPECT camera, Milpitas, CA, USA)
following an administration of 50 mCi (1850 MBq) of $^{99m}$Tc-bicisate (Neurolite®), a radiopharmaceutical used to map blood flow and to diagnose vascular abnormalities of the brain (Itoh et al., 2001; Laliberte et al., 2004; Kusaka et al., 2005). More details on the scan procedure are published elsewhere (Houser et al., 2004). In the control (non-diazepam) scan, the dolphin was not given diazepam until 20 min after the Neurolite® injection so that the animal was not under the influence of the diazepam during the radiopharmaceutical uptake period. In the test scan, the diazepam was given 1 h before the injection of Neurolite® so that the animal would be under the influence of diazepam while the Neurolite® was being taken up by the brain. Blood analysis showed significant levels of circulating diazepam 1 h after oral administration (data not shown).

**PET scans**

The same non-diazepam/diazepam protocol was followed when dolphins WEN and OLY were administered 20 mCi (740 MBq) of $^{18}$F-2-fluoro-2-deoxyglucose (FDG). FDG is an analog of glucose and is often used in PET imaging to estimate glucose uptake by the brain. FDG was given $\sim$2 h prior to each of four scans (one with and one without prior diazepam each for WEN and OLY) to map relative metabolic activity within the brain. As in the SPECT procedure, the animal was kept in a quiet, darkened room for 20 min after injection of the ligand. The dolphin was then transported, as outlined above, to the facility where the PET scans were conducted. Images were acquired on a Seimens HR+ PET scanner (Knoxville, TN, USA) with the dolphin on the same specially engineered table as used in the SPECT scan. A 5-min transmission scan was first acquired for attenuation correction. The emission scan consisted of eight frames of 4 min acquisitions to allow for repetition in case of any subject movement. This resulted in a total scan time of approximately 37 min. The scan images were converted from the ECAT7.2 format to DICOM 3.0 for further processing (see also Houser et al., 2004).

**MRI scan**

The first MRI scan ever done on a live dolphin was accomplished with the dolphin WEN (Figs 4, 5). The dolphin had been exposed to the recorded sounds of the MRI scanner over 10 training periods during the month before the actual scan. The dolphin received oral diazepam (0.55 mg kg$^{-1}$ body mass) 2 h before the scan. MRI data were collected on a Hitachi Airis II, 0.5 Tesla (T) scanner. A T2 weighted pulse sequence was used to acquire image data in the axial plane. Data were acquired with a slice thickness of 8 mm, a slice interval of 9 mm, and FOV of 280. The repetition rate (TR) was set to 5700 ms, echo time (TE) set to 125 ms, and flip angle set to 90°. A total of 20 slices were acquired with a scan time of approximately 3.5 min. These scan slices were then used for registration of the SPECT and PET scans.

When a dolphin (MAY), not associated with this project, died of natural causes, the animal was perfused immediately after death with 4% paraformaldehyde in buffered ringer’s solution. After fixing in situ, this brain was removed from the skull and scanned on a 3 T scanner for finer anatomical detail. Based on cranial volume measurements, the brain of MAY was of similar size to both WEN and OLY. We were not able to MRI scan subject OLY and thus registered some of the OLY scans to sections of this well-fixed post mortem brain. Some scans obtained from WEN were also registered to the MAY scans to show more anatomical details than were available in the 0.5 T scans of WEN.

**Image analysis**

The Subtraction Ictal SPECT co-registered to MRI algorithm, or SISCOM, was used to analyze variations in $^{99m}$Tc-bicisate distribution and FDG uptake as a function of diazepam induced unihemispheric sleep. The SISCOM procedure capitalizes on seizure-related transient increases in regional blood flow to isolate the anatomy of the brain involved in the seizure. The algorithm is amenable to other methods of assessing variation in brain function using similar isotopic methods. In this study, SISCOM was employed to isolate focal regions of the brain that demonstrated reduced blood flow or reduced metabolism following induction of unihemispheric sleep.

Data acquired from all of the imaging modalities were processed using Analyze 5.0/6.0, created by the Biomedical Imaging Resource of the Mayo Clinic (Robb, 1999). All data were converted to AVW format (native Analyze format) and volumes made cubic (equivalent voxel dimensions) through the use of linear interpolation. Test data were co-registered to the control data from the same respective scan type and animal using the normalized mutual information (NMI) voxel.
matching algorithm. The control volume and transformed test volume were then segmented for creation of binary masks. Using the ‘Morphology’ module of Analyze, thresholds were applied to the volumes so that isotope activity within the brain was isolated from surrounding tissues. The volumes were then segmented and exported as a binary volume. Holes within the binary volumes were filled utilizing a 2D processing algorithm applied in the transverse, coronal and sagittal planes, and then once again in the transverse plane. The resultant control and treatment binary volumes were then multiplied together to form a binary mask common to the two volumes.

Binary masks common to the SPECT volume were multiplied by the control and co-registered test volumes, respectively, to generate masked control and co-registered treatment volumes. The information in these volumes corresponded only to combined estimates of voxels within the brain. The mean value of all non-zero voxels was determined for the masked control and masked co-registered treatment volume and mean values were subsequently used to normalize the respective volumes to a normalized mean of 100. The normalized co-registered treatment volume was then subtracted from the normalized control volume, resulting in a mean voxel value near zero, and the standard deviation of voxel values within the subtraction volume was calculated.

Voxels corresponding to the brain were segmented from the MRI volume and the volume passed through an inhomogeneity filter. The control volume was then co-registered to the segmented MRI volume utilizing the ‘Surface Matching’ algorithm within Analyze. The registration was fine-tuned through manual controls and the resultant transform matrix was applied to the control volume, co-registered treatment volume, and subtraction volume. Once co-registered to the MRI volume, each of the SPECT and PET volumes were color-mapped to an 8-bit color scale and fused to the MRI to permit the overall pattern of blood flow or metabolic activity to be observed, as well as activity of focal regions within the brain to be isolated.

Local reductions in blood flow following diazepam treatment were visualized by fusing to the MRI only those voxels within the subtraction SPECT volume with values more than two standard deviations below the mean value of the subtraction volume. Similarly, local reductions in metabolism were visualized by fusing to the MRI only those voxels within the subtraction PET volume with values more than two standard deviations below the mean value of the subtraction volume. For both the PET and SPECT scans, values more than two standard deviations below the mean corresponded to a greater than 95% reduction in isotope distribution and activity, relative to the control. Thus, the anatomy to which these voxels are mapped correspond to regions of reduced blood flow (SPECT) and regions of reduced glucose uptake (PET).

**Results**

**Functional SPECT scans**

Processing of SPECT images revealed an area of reduced blood flow around a major artery in the left hemisphere (Fig. 5). The light area at the center of the square on the left shows the left middle spinal meningeal artery, a major supply to the left brain (Fig. 5A). On Fig. 5B are overlaid regions of decreased blood flow from two previous SPECT scans imaged with 50 mCi (1850 MBq) of technetium (Tc-99m) bisciscate (Neurolite®). One SPECT scan was under the influence of 0.55 mg kg⁻¹ of diazepam while the other was not. The colored areas show regions of at least two standard deviations of reduction in blood flow.

**Functional PET scans**

PET images from dolphin WEN are shown in Figs 6 and 7. Four sample frames, left and right sagittal, coronal and axial from Dolphin WEN without diazepam treatment are shown in Fig. 6. In Fig. 7 are different coronal, axial and sagittal sections showing the reduction in glucose consumption in the diazepam scan in specific areas. In these scan comparisons from Dolphin WEN, areas of metabolic reduction were most pronounced in the right hemisphere and especially in the right posterior cortex (Fig. 7N,O), right insular cortex (Fig. 7B,M), cerebellum (Fig. 7B,C,G,N,O), and notably in the right locus coeruleus (Fig. 7F). However, some areas of marked metabolic reduction appeared in the left cortex, especially in frontal areas (Fig. 7A,E).

Fig. 8 shows raw scan sections from Dolphin OLY as seen with the program PET VIEWER® (©Tim Van den Wyngaert). Four scan sections in the left column (Fig. 8A–D) were taken
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without prior diazepam (control scan) while the four scan sections in the center column (Fig. 8E–H) were taken with the dolphin under the influence of 0.60 mg/kg of diazepam. Sections from the diazepam scan (center column) show greater asymmetry than sections from the control scan (left column). Some selected sections (Fig. 8J–L) registered to the 3 T MRIs of Dolphin MAY and sliced on the oblique (I) to show the hippocampus (section K), reveal metabolic reduction (compared to control scan) on the left side. There is discernable metabolic reduction in the left hippocampus.

Discussion

Since this was the first MRI study of a living dolphin, we were concerned about the animal’s potential magnetic sensitivity (Bauer et al., 1985). The animal remained quite still while in the magnet and showed no apparent response during the scan. Examination of the scans revealed no indication of magnetite; however, since granules of magnetite are usually no more than 50 μm in diameter the grains, if present, could have been too small to see with our MRI system.

This investigation of functional imaging focused not only on developing methodology for live dolphin imaging but also on diazepam, known to enhance sleep in humans and laboratory animals (Sierra et al., 1997; Echizenya et al., 2003; Koop et al., 2004). Diazepam also produces unihemispheric sleep in dolphins (Mukhametov, 1984; Mukhametov, 1987). Our observations of unihemispheric SWS after diazepam dosages of 0.55 or 0.60 mg kg⁻¹ body mass is supportive of the previous findings. In the present study, diazepam caused a reduction in blood flow to one brain hemisphere as demonstrated by SPECT imaging (Fig. 5).

The locus coeruleus (LC) is a key structure modulating sleep and wakefulness in humans and laboratory animals (Nitz and Siegel, 1997). Immunohistochemistry has been employed to characterize the dolphin LC (Manger et al., 2003). There are no specific specializations in the dolphin LC that set it apart from the structure of other mammals as might have been expected in a mammal with a large brain and the ability to sleep unihemispherically. In terrestrial mammals studied, the firing rate of LC neurons slows during SWS (Nitz and Siegel, 1997; Manger et al., 2003). It is particularly noteworthy that there was a significant reduction in metabolism of the right LC areas in our study as shown in Fig. 7F. Our findings lend support to the suggestion (Manger et al., 2003) that dolphin LC neurons must fire at a constant rate, slowing in only one side of the brain during SWS, to maintain muscle tone for swimming and thermoregulating in cold water.

While it is known that diazepam may cause hypothermia in laboratory mammals (Dowden et al., 1999), hypothermia as measured by rectal temperature was not observed in this study. However, it is possible that regional temperature reductions could be present. For example one brain hemisphere could be slightly cooler and the other slightly warmer. Dolphins have numerous retia mirabilia that are known to function as counter-current heat-exchangers to retain metabolic heat within certain regions of the body (Rommel et al., 1993; Heyning and Mead, 1997). The blood supply to the brain comes through a vast retial network in the dorsum of the thorax not through the internal carotids (McFarland et al., 1979).
Our studies suggest that cerebral blood flow reduction may be a controlling factor in the temperature reduction observed by Koval’zon and Mukhametov during unihemispheric slow wave sleep (Koval’zon and Mukhametov, 1982). In mammals, brain temperature may be influenced by three factors: (1) the temperature of blood flowing to the brain, (2) the rate of cerebral blood flow, and (3) the metabolic heat production of neurons and glia. Reduced cerebral blood flow and therefore reduced glucose supply likely will affect regional brain temperature and metabolic heat production. Furthermore, these factors may impact GABA\textsubscript{A} receptor sensitivity to diazepam (Patel et al., 2005; Garey et al., 1989) such that a reciprocal effect between the hemispheres could be created so that the active or ‘non-sleeping’ hemisphere would have a raised threshold for sleep.

The development of the capability to functionally scan dolphins and the finding of unihemispheric diazepam effects has suggested a hypothesis of hemispheric defense. That is, the dolphin brain hemispheres cycle between two brain states that we will call ‘State 0’ and ‘State 1.’ In ‘State 0’ that brain hemisphere may be awake and fully alert or it may sleep. The opposite hemisphere in ‘State 1’ is usually awake and is defended against sleep by physiological mechanisms as yet not completely understood.

The ability to have EEG slow waves in one brain hemisphere (Mukhametov et al., 1977; Mukhametov, 1984; Mukhametov, 1987; Ridgway, 2002) while maintaining an ability to swim and a degree of vigilance (Lilly, 1964) may not be the only advantage of the unihemispheric physiology observed in the dolphin brain. Deep and prolonged diving is important to the foraging success of most dolphin populations (Evans, 1971; Ponganis et al., 2003). The dolphin’s large and active brain, especially the huge and elaborate neocortex, is a considerable metabolic expense (Robin, 1973; Hockett, 1978; McFarland et al., 1979). Alveolar gas tensions after long dives by dolphins was suggested to indicate that the dolphin brain might be capable of short periods of anaerobic metabolism (Ridgway et al., 1969), a capability lacking, or much reduced, in adult land mammals that have been studied (anaerobic brain metabolism has been demonstrated in seals in the later stages of a maximal

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**Fig. 8.** Comparison of four sections each of two different scans of dolphin OLY. The left column (A–D) shows a scan without diazepam while the center column shows sections from a scan with diazepam (E–H). Overall, metabolism is lower in the left hemisphere. The color bar indicates the relative degree of glucose metabolism in sections A–H with red indicating maximum. The right hand column shows oblique axial scans (as indicated in the upper right, section I) of dolphin MAY’s MRI, to which have been registered the difference volumes between the two scans. In sections J–L, the colored regions correspond to a reduction in metabolism in the diazepam scan; the color indicates the relative degree of metabolic reduction with red indicating maximum reductions in glucose consumption.
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References


