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High-resolution Urban Thermal Sharpener (HUTS)

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**Abstract**

A high resolution urban thermal sharpener (HUTS) was developed that increases the resolution of thermal infrared (TIR) data to that of visible and near infrared (VNIR) data by fitting the relationship between radiometric surface temperature, normalized difference vegetation index (NDVI) and surface albedo (\(\alpha\)). HUTS was applied to TIR data aggregated to 90 m to represent a satellite acquired dataset and validated against the measured 10 m data from aircraft over San Juan, Puerto Rico. HUTS sharpening reduced the root mean square error of surface temperature at the high resolution by 17 % compared to no sharpening and outperformed other sharpening methods. HUTS is proposed as a useful tool to study urban meteorology and climatology at the microscale using ASTER satellite data.

**Keywords**

Land surface temperature, Thermal sharpening, Urban heat island, Urban meteorology
1. Introduction

Satellites acquire thermal infrared (TIR) data to compute land surface temperature (LST) at a resolution as high as 60 m (Landsat ETM+). This information can be used to study the impact of urbanization such as the Surface Urban Heat Island (SUHI) which describes the increase in (radiometric) LST in an urban area compared to surrounding rural areas (Voogt and Oke, 2003).

UHIs have fundamental impacts on meteorology (e.g. land-sea breezes, Lebassi et al. 2009), air quality, public health (e.g. heat related deaths, Beniston, 2004), energy consumption, and economics. The global coverage of satellites allowed quantifying and studying the SUHI in e.g. Houston, Texas (Streutker 2003), Indianapolis, Indiana (Wilson et al. 2003), and 18 Asian mega cities (Hung et al. 2006). While satellite TIR resolution resolves the scales of urban-rural LST differences, it is not sufficient to resolve most urban features (roads, buildings) to study microclimates and human comfort in urban areas.

As the pace of urbanization increases, studies on the microclimate within urban areas are becoming more important as urban canyon LST affects pedestrian heat stress (Crutzen 2004) and building energy use (Yaghoobian et al. 2010). High resolution TIR data from remote sensors flown on aircraft (e.g. NASA’s Advanced Thermal and Land Applications Sensor, ATLAS, 5 m to 10 m resolution, depending on flight altitude) has been used to study the surface microclimate over Huntsville, Alabama (Lo et al. 1997), and generate thermal, land cover classification, and urban fabric maps for Atlanta, Georgia, Baton Rouge, Lousiana, Salt Lake City, Utah, and Sacramento, California (Quattrochi et al. 2000). A one-day intensive experiment was conducted in Phoenix, Arizona using airborne IR thermography to investigate the UHI at
different spatial scales (Di Sabatino et al. 2009). Airborne remote sensing campaigns are limited in spatial extent and repeat cycle due to high cost. As each urban microclimate is unique due to urban fabric and meteorology, a tool that would allow the downscaling of global satellite datasets in urban areas would be extremely useful. The utility of global and repeating high resolution TIR data motivates thermal sharpening, or estimating the TIR data at higher resolution using complementary information available at that resolution.

Visible and Near Infrared (VNIR) data can generally be acquired at higher resolution than TIR as a consequence of their shorter wavelength. Kustas et al. (2003) leveraged higher resolution VNIR data by relating LST to the normalized difference vegetative index (NDVI) at the lower resolution (96 m) and then applying the relation at the higher resolution available for NDVI (24 m). Agam et al. (2007) replaced NDVI by a simplified fractional vegetation cover and named the method TsHARP. TsHARP provided reasonably accurate high resolution (60 m) TIR maps from 1 km TIR data, but the accuracy decreased with increased resolution of the sharpened map (Agam et al., 2008). In urban areas, solar reflectance (albedo) is another determinant of LST. While LST is a function of land class (e.g. deep water and shadowed areas are cooler than equally low-albedo sunlit surfaces), Small (2006) found that within unshaded land classes albedo correlates well with LST.

Guo and Moore (1998) sharpened LST using the VNIR bands to identify topographic variations. While LST for areas with varied topography and homogeneous land cover where sun angle is the driving force for LST differences can be predicted accurately, this method is not applicable to flat urban areas with very heterogeneous land covers. Nichol (2009) sharpened a
nighttime ASTER image of Hong Kong using emissivities based on land class from the MODIS emissivity library. However, emissivity is not the main determinant of LST during the daytime, and the accuracy of the land class based emissivities is questionable when emissivity libraries are used.

In this study high resolution ATLAS data (Section 2) over urban Puerto Rico is analyzed. Based on the relationship between TIR derived LSTs and VNIR signals (Section 3), a High-resolution Urban Thermal Sharpening method (HUTS) is proposed using NDVI and albedo from the VNIR channels (Section 4). The high resolution ATLAS data are used to quantify the accuracy of HUTS and TsHARP (Section 5).

2. ATLAS Airborne Data

NASA ATLAS data taken over the greater San Juan, Puerto Rico area on February 16, 2004 (Gonzalez et al. 2005, 2006) at 10 m resolution over 15 spectral channels (Table 1) is used. The study focuses on a 900 x 720 pixel (9 km x 7.2 km) urban and suburban, cloud-free region from the 3rd flight line at 5200 m altitude, taken between 1507 and 1512 local standard time (AST, Fig. 1). Air temperature on this day averaged 26 °C with a high of 28.5 °C and a low of 23 °C, Humidity was an average of 80%, varying from 59% to 100%. Winds were from the East at an average 4.5 m s⁻¹, but were sustained at 7.6 -9.4 m s⁻¹ during 1407-1612 AST (NWS Daily Summary). Since the study area is flat, topographic shading is minimal. Shadows will be present due to (primarily low) buildings and the early afternoon flight time. Considering solar geometry at the time of the flight, the shadow length for a 3 m tall building would be 2.00 m for a North – South wall and 2.66 m for an East – West wall. Since shaded areas have small surface
temperature \((T_s)\) and appear to have a low reflectance, the expected relationship between
reflectance and \(T_s\) is not preserved at shaded surfaces.

ATLAS calibration and correction is described by Rickman et al. (2000). From the spectral
irradiance in each band \([W \text{ cm}^{-2} \text{ sr}^{-1} \mu^{-1}]\) the following quantities were computed. \(NDVI\) was
calculated as in previous ATLAS studies (e.g. Lo et al., 1997) as:

\[
NDVI = \frac{(b6-b3)}{(b6+b3)}
\]  

(1)

Surface albedo was calculated as the ratio of incident irradiance that is reflected in the VNIR
(bands 1-6). \(T_s\) was computed assuming an emissivity of 0.98. Since emissivities in an urban
landscape varies from 0.91 to 0.99 (MODIS emissivity library), the surface temperatures used
throughout this study should be considered as thermal energy expressed in temperature units.

A calculation based on actual emissivity would increase \(T_s\). Emissivity could be obtained at high
resolution based on land cover class (Nichol 2009) or at low resolution through methods that
utilize multiple TIR bands, e.g. the Grey Body Emissivity (Barducci and Pippi 1996) or
Temperature Emissivity Separation methods (Kealy and Hook 1993). These calculations are left
to future work.

ATLAS data were aggregated to 90 m resolution and to simulate ASTER satellite data. The
original 10 m resolution data is used as the ‘measured temperature’ to evaluate the accuracy of
the sharpening methods similarly as in Agam et al. (2008). \(NDVI\) and \(T_s\) were aggregated by
averaging \(NDVI\) and TIR irradiance from the 10 m high resolution pixels, respectively.
Table 1: ATLAS bands and approximate band widths. Bands 3 and 6 are used for the NDVI calculation. Band 12 is used to calculate $T_s$.

<table>
<thead>
<tr>
<th>ATLAS band</th>
<th>Approximate Bandwidth (μm)</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>0.45 – 0.52</td>
</tr>
<tr>
<td>2</td>
<td>0.52 – 0.60</td>
</tr>
<tr>
<td>3</td>
<td>0.60 – 0.63</td>
</tr>
<tr>
<td>4</td>
<td>0.63 – 0.69</td>
</tr>
<tr>
<td>5</td>
<td>0.69 – 0.76</td>
</tr>
<tr>
<td>6</td>
<td>0.76 – 0.90</td>
</tr>
<tr>
<td>7</td>
<td>1.55 – 1.75</td>
</tr>
<tr>
<td>8</td>
<td>2.08 – 2.35</td>
</tr>
<tr>
<td>9</td>
<td>3.35 – 4.20</td>
</tr>
<tr>
<td>10</td>
<td>8.20 – 8.60</td>
</tr>
<tr>
<td>11</td>
<td>8.60 – 9.00</td>
</tr>
<tr>
<td>12</td>
<td>9.00 – 9.40</td>
</tr>
<tr>
<td>13</td>
<td>9.60 – 10.2</td>
</tr>
<tr>
<td>14</td>
<td>10.2 – 11.2</td>
</tr>
<tr>
<td>15</td>
<td>11.2 – 12.2</td>
</tr>
</tbody>
</table>
Figure 1: 9 x 7.2 km images of corrected and calibrated ATLAS measurements over a region of San Juan, Puerto Rico centered at 18.3933°N, 66.1447°W on February 16, 2004 from 1507-1512 AST. (a) visible composite image; (b) Surface Temperature; (c) NDVI; (d) albedo. The high albedo features in the northern region are commercial buildings. The large, white region in the West (Fig. 1a) is suburban residential. The high NDVI regions are densely vegetated. Rio Hondo river runs North-South from the top of the image.

3. Relationship between VNIR and TIR data

3.1 High resolution (10 m)

The assumption behind any thermal sharpening tool is that a relationship must exist between the TIR data and the visible and near-infrared (VNIR) data at the high resolution. Since all ATLAS
bands were acquired at 10 m resolution, this assumption could be tested by investigating the
relationship between NDVI, α, and T_s (Fig. 2). Each 10 m pixel was sorted into one of 100 NDVI x
100 α bins, and the average T_s of each bin, along with the standard deviation and total number
of pixels in the bin is displayed in Figure 2. This display format also allows visualization of the
relationship of T_s to NDVI at any constant α or T_s to α at any constant NDVI. For example,
consider the vertical dashed line in Fig. 2a at α = 0.2. Going from NDVI of 0.0 to 0.6, T_s
decreases from about 40 to 30 °C. Fig. 2b shows the variance of the pixels along that same line
to be less than 3 °C (i.e. much less than the observed change in average T_s), while Fig. 2c shows
that the line is in a high density of samples, with over 100 samples contributing to each mean T_s
and standard deviation pixel. Consequently, T_s decreases with NDVI, but the slope is different
for different α.

Figure 2 shows that, as expected, the highest T_s occur for -0.2 < NDVI < 0.0 and 0.1 < α < 0.25,
which are typical properties of unvegetated, man-made urban materials. With increasing NDVI,
T_s decreases as more vegetation leads to more latent heat flux and evaporative cooling. NDVI <
-0.25 shows water bodies with low albedo and negative NDVI. Figure 2 also shows a strong
dependence of T_s on α, which differs by NDVI. In the built up region (-0.2 < NDVI < 0.2) an
increase in α leads to a decrease in T_s, as lighter surfaces reflect more solar radiation. Increasing
α in the vegetative region (NDVI > 0.2) leads to an increase in T_s since darker vegetative areas
tend to have denser and healthier (more transpiring) vegetation than drier, stressed vegetation.
Overall, for the region with the greatest density of samples, a bivariate function T_s(NDVI,α) is
required to fit the data with little scatter (small standard deviation of T_s in Fig. 2b). Since the
relationship between $T_s$, $NDVI$ and $\alpha$ is nonlinear, higher order polynomials are required to
describe it.

Figure 2: (a) Mean and (b) standard deviation of $T_s$ [°C] and (c) histogram [log$_{10}$(# of pixels)] vs. $NDVI$ and albedo for (top) all data and (bottom) region with the majority of the data.

The relationships shown in Fig. 2 also reveal outliers with unrealistic values of $\alpha > 0.8$ and seemingly erroneous cases of high $T_s$ and high albedo over rooftops. These albedo outliers may be due to roof coverings such as gravel that have non-lambertian reflectance characteristics.

3.2 Low resolution (90 m)

A strong relationship between $T_s$ vs. $NDVI$ and $\alpha$ exists at the 10 m resolution (Fig. 2). When applying sharpening techniques to satellite data, however, only low resolution $T_s$ will be available for training this relationship. To test the assumption of scale-invariance, the relationship of $T_s$ vs. $NDVI$ and $\alpha$ were again compared at the low resolution (Figure 3). Though the low resolution data cover a smaller $NDVI$ and $\alpha$ range than the high resolution data, the
NDVI relationship is consistent. The analysis in Section 3 motivates the development of the sharpening method, which consists of fitting a 4\textsuperscript{th} order, bivariate $T_s(\text{NDVI}, \alpha)$ polynomial to the low resolution training data (Section 4.3). The aim of the model is to fit Fig. 3 to recreate the true relationship observed in Fig. 2a and use it to assign high resolution $T_s$. Assuming this can be done accurately, random errors in the sharpened temperatures will be due primarily to deviations shown in Fig. 2c.

Figure 3: NDVI and albedo vs. $T_s \,[\degree C]$ at the aggregated resolution of 90 m. Due to the low number of pixels, the majority of the bins contain less than 5 pixels, making histogram and standard deviation figures (as used in Fig. 2) irrelevant.

4. Sharpening Methodology

4.1 UniTrad

UniTrad is the base case, where the high resolution $T_s$ is assumed to be uniform within the underlying low resolution pixel (Kustas, 2003).

4.2 TsHARP
The TsHARP method (Agam et al. 2007) is applied by calculating a simplified fractional vegetation cover \( f_{cs90} = (1 - NDVI_{90})^{0.625} \) at the low 90 m resolution. A linear regression between \( f_{cs90} \) and \( T_s \) at the low resolution yields the coefficients \( c_0 \) and \( c_1 \) in equation (2):

\[
T_{s90} = c_0 + c_1 f_{cs90}. \tag{2}
\]

Each high resolution pixel is then assigned a temperature based on the high resolution \( NDVI \):

\[
T_s = c_0 + c_1 (1 - NDVI)^{0.625} + dT_s \tag{3}
\]

and a difference \( dT_s = T_{s90} - \hat{T}_{s90} \) which corrects for the difference in \( T_s \) between measured \( T_{s90} \) and \( \hat{T}_{s90} \), the mean value of the sharpened surface temperature within each low resolution pixel. The addition of \( dT_s \) conserves energy within each low resolution pixel such that aggregating the sharpened \( T_s \) reproduces the original low resolution \( T_{s90} \).

### 4.3 High resolution Urban Thermal Sharpener (HUTS)

Four steps were defined that outline the approach to sharpening which are similar to the procedure in TsHARP. Each step was individually optimized in the development of HUTS.

**Aggregation** consists of averaging \( NDVI \) and \( \alpha \) over each low resolution pixel.

**Training** is conducted by applying multi-variate regression to solve for the vector \( P \) (\( P = [p_1 \ p_2 \ldots \ p_{15}] \)) of coefficients for the 4th order, bivariate regression of \( T_{s90} \) based on the 90 m resolution \( NDVI \) and \( \alpha \).

**Sharpening** is conducted by applying Eq. 4 to the \( NDVI \) and \( \alpha \) of each high resolution pixel to obtain the high resolution \( T_s \):
\[ T_s = p_1 NDVI^4 + p_2 NDVI^3 \alpha + p_3 NDVI^2 \alpha^2 + p_4 NDVI \alpha^3 + p_5 \alpha^4 + p_6 NDVI^3 + p_7 NDVI^2 \alpha + p_8 NDVI \alpha^2 + p_9 \alpha^3 + p_{10} NDVI^2 + p_{11} NDVI \alpha + p_{12} \alpha^2 + p_{13} NDVI + p_{14} \alpha + p_{15} \] (4)

Quality control is then performed, as the regression is poorly fit outside of the region with a high density of data points. A reasonable temperature is defined as 27 °C < \( T_s < 60 \) °C, where the lower limit is set by the water surface temperature and the upper limit is 5 °C larger than the highest value of \( T_{s90} \). If the temperature is outside the acceptable range, it is defined through an interpolation of the surrounding 5x5 pixel block weighted by distance. In the case that the surrounding 5x5 pixel block does not contain a pixel with an acceptable \( T_s \), an iterative process is used until all pixels have been assigned a \( T_s \). Finally, the sharpened pixel temperatures are corrected by applying the same energy balance procedure used for TsHARP.

[An uncertainty weighted correction was also attempted, where the uncertainty metric was assigned to each pixel based on the variability of \( T_s \) within its range of \( NDVI \) and \( \alpha \) and whether or not it was acquired through interpolation. The uncertainty was then used as a weighting factor where the pixels with the greatest uncertainty were changed the most to achieve energy balance. However, this method resulted in larger sharpening errors and was discarded.]

5. Results

5.1 Sharpened images and qualitative analysis

Figure 4 shows the measured 10 m \( T_s \), the unsharpened 90 m image, and the sharpened image from both HUTS and TsHARP. Water pixels (from the rivers) can be ignored as they were
not taken into consideration when training the sharpening method, though the error in their estimation contributes to the error metrics in section 5.3. The improvement of both TsHARP and HUTS over UniTrad is evident in Fig. 4. Major landscape features such as parks are clearly delineated in TsHARP and HUTS. Small urban features such as roads which are barely visible in UniTrad become well resolved in TSHARP and HUTS. However, smaller urban features which cause variability at the high resolution (e.g. in and around the black box) are not depicted accurately. Consequently, the quality of sharpening of smaller urban features requires further investigation.

Figure 5 shows the same results zoomed into a mixed urban and suburban region. In the suburban areas (region A) HUTS accurately resolves the $T_s$ patterns over roads, yards, and buildings, but not as distinctly as the measured $T_s$. TsHARP, however, inaccurately shows roads to be cooler than buildings since they have a higher NDVI. There are some regions where HUTS is visibly superior to TsHARP, but both do not resolve or represent microscale variability. Region B highlights a parking lot, where HUTS captures the variability between the lot and surrounding buildings that TsHARP does not. Region C highlights a boundary between an asphalt road and dirt to the North which is resolved by HUTS but not in TsHARP. Both TsHARP and HUTS do not represent the true variability of $T_s$ which is a result of the averaging through the regression polynomial. There sometimes occur large gradients in $T_s$ along the boundaries of the coarse pixels (e.g. north-west part of Fig. 5), which is a result of forcing energy conservation across the low resolution pixels. The very cold (very warm) ‘outlier’ high resolution pixels are overpredicted (underpredicted) by the sharpening polynomial leading to a erroneous decrease (increase) in temperature for all high resolution pixels once energy conservation is applied.
Figure 4: $T_s$ maps of the area shown in Fig. 1 including measured surface temperature at 10 m, unsharpened surface temperature at 90 m (UniTrad), and sharpened temperature for both HUTS and TSHARP. The 900 x 720 pixel image covers a region of 9.0 x 7.2 km. Black boxes outline the close-up region shown in Fig. 5.
Figure 5: Sharpened $T_s$ zoomed in to a 100 x 100 pixel urban region (centered at 18.390698°N, 66.153084°W) at 10 m resolution. The figure shows a major highway intersection (Cll 2 and Carr 174). To the south of the east-west highway are mostly residential neighborhoods with trees, while parks, parking lots, and commercial buildings are to the north. West of the north-south highway is a waterway.

5.2 Distribution of sharpened $T_s$ vs. NDVI and $\alpha$
The distribution of sharpened $T_s$ stratified by NDVI and $\alpha$ is shown in Fig. 6 to determine how the relationships are represented after sharpening. Despite the limitations of the low resolution training set, HUTS recreates the high resolution relationship more accurately than TsHARP. Most of the differences are in regions of high $T_s$ variability. There, the $4^{th}$ order polynomial cannot resolve the differences in $T_s$ or application of energy conservation over the low resolution pixel may result in erroneous corrections. Presumably other (unobserved) variables such as geometrical or physical properties of the urban fabric would have to be considered to explain the $T_s$ variability. However, HUTS shows a decreases in error compared to TsHARP especially for the higher albedo for both vegetated (NDVI ~ 0.4) and urban pixels (NDVI ~ 0).

![Figure 6: $T_s$ vs NDVI and $\alpha$ for UniTrad (a), TsHARP (b), and HUTS (a) (top) and difference to measured $T_s$ (bottom) in °C.](image)

5.3 Error and correlation metrics and accuracy by land class
Root Mean Square Error (RMSE), Mean Absolute Error (MAE), and correlation coefficient (R) were used to quantify the difference between sharpened and measured $T_s$ at high resolution for the entire 900 x 720 pixel image (Table 2). Over the entire image, HUTS improves upon TsHARP about as much as TsHARP improves upon UniTrad for both error measures. Comparing HUTS directly to UniTrad shows a 17% reduction in RMSE and 22% reduction in MAE.

### Table 2: Error in the estimation of sharpened $T_s$ over the image in Fig. 4.

<table>
<thead>
<tr>
<th></th>
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<th></th>
<th></th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>UniTrad</td>
<td>3.33</td>
<td>2.48</td>
<td>0.712</td>
<td>0.000</td>
</tr>
<tr>
<td>TsHARPfcs</td>
<td>3.07</td>
<td>2.17</td>
<td>0.765</td>
<td>-0.019</td>
</tr>
<tr>
<td>HUTS</td>
<td>2.76</td>
<td>1.94</td>
<td>0.813</td>
<td>-0.015</td>
</tr>
</tbody>
</table>

Since HUTS is developed specifically for use in urban areas it is useful to examine its accuracy within various land classes. For classification a training set was developed based on manual selection of pixels and the classification function in MATLAB’s image processing toolbox was used on high resolution ATLAS bands 1, 2, 3, 5, 7, 8, as well as NDVI and $\alpha$ (Fig. 7).

The land classes used were motivated by Nichol (2009) and included ‘Forest’, ‘Water’, ‘Dry Grassland’, ‘Other Vegetation’, ‘Urban’, and ‘Soil/Sand’. However, ‘Urban’ was replaced by ‘Suburban’, ‘Warehouse’, ‘Road’, and ‘Recreation’. The classification also contains a ‘misfit’ class with pixels that were not highly matched with any class (‘highly matched’ = >80% agreement with algorithm-defined parameters for any class).
Figure 7: Scene (same as Fig. 4) with land cover classification into 9 classes. The class ‘misfit’ contains pixels with less than 80% fit to any category.

RMSE, MAE, mean bias error (MBE) and correlation coefficient were calculated within each land cover class (Figure 8). Since ‘Other Vegetation’ has a much lower number of pixels than the other classes, the result may not be significant. The high errors in ‘warehouse’ class are partially due to the high albedo ‘outliers’ observed in Fig. 2 which account for many pixels in the ‘warehouse’ class.

The ‘suburban’ class is poorly defined since at 10 m resolution it is a combination of landcover types. Instead of using the image processing toolbox to determine suburban pixels, the ‘road’, ‘water’, and ‘recreational’ pixels were excluded from a residential area of 200 x 200 pixels to form the suburban class. While the MBE for all methods in the suburban class is nearly zero, HUTS has a significantly higher correlation, meaning that it better captures the variability in
suburban LST caused by houses, yards, and mixed pixels. For the other land classes, HUTS performs measurably better than both TsHARP and UniTrad, especially for classes defining small scale features such as ‘road’ and ‘soil/sand’.

Figure 8: Graphical representation of correlation coefficient, MAE, RMSE, and MBE in sharpened $T_s$ by land class.
6. Conclusions

Albedo and vegetation are expected to be important determinants of land surface temperatures (LST) in urban areas. The **High-resolution Urban Thermal Sharpener** (HUTS) method was motivated by analysis of the high resolution relationship between the TIR and VNIR signal (NDVI and albedo) from 10 m resolution ATLAS data over Puerto Rico. It was demonstrated that a low resolution (90 m) NDVI and albedo training set preserved the observed relationship to LST at high resolution. The training relationship was applied to estimate high resolution LST from 90 m LST sharpened using the VNIR signal at 10 m resolution over a 900 x 720 pixel image. Since HUTS can express the complex non-linear relationship between LST, albedo, and NDVI, it performed significantly better than both no sharpening (UniTrad) and an approach solely based on NDVI (TsHARP) based on qualitative comparison and RMSE, MAE, and correlation coefficient. Overall, HUTS showed an improvement of over 0.5 °C and over 17% in MAE and RMSE from no sharpening, more than twice the improvement from the TsHARP method. A land classification was applied to the image, and sharpening accuracy was assessed in each land class. The low MBE of HUTS indicated that the average LST of various land classes in the HUTS sharpened image is a more realistic input to models for urban microclimate or building energy use.

Since HUTS is a redistribution of LST based on assumed relationships, the source of error is twofold. The first is variance of high resolution LST at constant NDVI and albedo. This is quantified for the study area in Fig. 2b, and is less than 3 °C for the majority of the study area. The second source of error is from the ability to train the high resolution relationship from the
low resolution data. This is quantified for the study area in Fig. 6c, and is less than 0.75 °C for
the majority of the study area. The assumed relationships are accurate, and the reallocation
results in higher accuracy than other sharpening methods.

While HUTS was trained in the same study area that was used for validation, since the
validation data (high resolution LST) is not used in the training this serves as an independent
validation of HUTS. However, our study does not evaluate whether the relationship between Ts,
NDVI and albedo is applicable across measurements platforms. While only a small area is
presented in this manuscript, HUTS was applied to other regions of the dataset with similar
results. Any other high resolution urban dataset could also be used for validation, and similar
results are expected.

HUTS is proposed as a method for providing an estimate of the TIR signature at the same
resolution as VNIR satellite data. Since it is based on physical parameters as opposed to lower
level parameters (direct numerical (DN) value, radiance at sensor, etc), HUTS is applicable to
calibrated datasets acquired from many remote sensing platforms. For example, HUTS can be
applied to widely available ASTER images to estimate LST at the 15 m VNIR resolution from the
measured 90 m TIR resolution. HUTS may also be applicable to lower resolution data such as
that from MODIS, where it could increase thermal IR resolution from 1000 m to ~ 250m. While
the MODIS resolution is too coarse to be of interest for many urban microclimate applications,
TsHARP was found to be more accurate when sharpening to lower resolutions (Agam et al.,
2008). In heterogeneous urban areas HUTS sharpened LST will provide more accurate input
data for studies on how varied land classifications and land use (LCLU) affect the thermal
response of the urban fabric and the urban microclimate. The scale of heterogeneity in surface roughness impacts turbulent parameters such as blending height (Bou-Zeid and Parlange, 2007). Similarly, micro-scale heterogeneity in surface heat flux will impact flow and mixing in urban canyons (Castillo et al. 2009), and HUTS can provide measured high-resolution surface heat fluxes to input into simulations.

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Appendix A: Sensitivity of HUTS

Since various options exist for the implementation of HUTS, a brief justification on the implementation and sensitivity to different options is included here.

Using an entire flight path for training Eq. 4, covering mountains and natural vegetation as well as the urban area, made the sharpened $T_s$ biased low and increased the RMSE and MAE by 0.1-0.2 °C. This could be a result of lower terrain or the urban surface heat island effect that heats urban surfaces compared to surrounding terrain with the same NDVI and albedo. A higher error associated with a larger training set indicates that there are competing land cover types of different $T_s$ in the same NDVI and $\alpha$ ranges and that the relationships developed through this
study are not universal. Rather, the training should only be conducted for the urban area where
the sharpening will be applied.

The training data was also used to generate a look-up table based on Fig. 3 to find the
sharpened $T_s$ and the regression (Eq. 4) was only used on empty bins. While this method had a
significantly shorter computational time, the error measures increased by 10-20%. In addition,
the order of the regression was varied from 3 – 6. The 4\textsuperscript{th} order regression was the most
accurate, with RMSE and MAE within 0.05 °C for the other orders.

The ‘reasonable range’ of $T_s$ was chosen with the river temperature as the lower limit (for many
other urban areas an ocean temperature could be a good choice) and 5 °C higher than the
maximum low resolution temperature as the upper limit. Varying these limits by 5 °C changed
RMSE and MAE by less than 0.1 °C. Overall, while many aspects of HUTS can be changed, it
proves to be robust over a reasonable range of parameters.

References

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NWS Daily Summary courtesy of wunderground.com.


