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Effect of pressure on the crystal structure of ettringite

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Abstract
X-ray diffraction and infrared data have been collected from a sample of ettringite from ambient pressure to 6.4 GPa. The sample was found to reversibly transform to an amorphous phase at 3 GPa. The isothermal bulk modulus of ettringite was found to be 27(7) GPa and the incompressibilities of the lattice parameters were found to be 71(30) GPa along \textit{a} and 108(36) GPa along \textit{c}.

Keywords: B. Amorphous Material; Crystal Structure; X-ray Diffraction; D. Ettringite

1. Introduction
Ettringite is an hydrated calcium aluminum sulfate hydroxide (Ca\textsubscript{6}Al\textsubscript{2}(SO\textsubscript{4})\textsubscript{3}(OH)\textsubscript{12}.26H\textsubscript{2}O). It is a mineral that rarely occurs in nature but is an important hydration product of portland cement, formed from the reaction of calcium aluminate with gypsum, affecting both short term strength development [1] and long term stability [2,3]. The formation of secondary ettringite is associated with the expansion and degradation of concrete exposed to sulfate attack [4]. The crystal structure of ettringite is known [5,6,7,8]. It is composed of columns of Ca\textsubscript{6}[Al\textsubscript{2}(OH)\textsubscript{12}.24H\textsubscript{2}O]\textsuperscript{6+} lying parallel to the c-axis with sulfate and water molecules in the inter-column channels joining the whole structure together by a network of hydrogen bonds (fig. 1). The structure is quite open
with a large proportion of interconnected void space allowing some mobility of water and hydrated ions. The ettringite structure is quite flexible allowing interchange of atomic species resulting in the structure being adopted by other minerals with through series solid solution [9] and potential applications in waste management [10].

The thermal dehydration of ettringite has been studied [11, 12, 13, 14] with some disagreement over the exact order of hydroxyl and free water leaving the crystal but general agreement over a large water loss at 110°C followed by amorphization of the sample after the loss of 20 water units. Given that 80% of the atoms in this mineral are either part of a water molecule or an hydroxide, resulting in a specific gravity of 1.7 one could regard ettringite as being almost all water and as such might expect it to be an extremely soft solid and potentially unstable under compression.

Here we report the results of a x-ray and infrared study of the effect of pressure on the crystal structure of ettringite. Although the pressures that our ettringite sample was subjected to in this study are much higher than could exist in a cement paste this approach to studying the stability of cement phases is important since it gives us insight into the underlying factors controlling phase stability and helps us understand the possible mechanisms controlling reactivity by analogy to simpler systems.

2. Experimental

The samples investigated in this study were obtained from a natural sample of ettringite from N’Chwanning mine, Kurruman, South Africa [15]. The sample was characterized by laboratory powder X-ray diffraction. The measured unit-cell parameters are $a_0 = 11.240 \pm 0.001 \, \text{Å}$, $c_0 = 21.468 \pm 0.006 \, \text{Å}$, in good agreement with values from the literature [5,6]. A transparent, prismatic fragment 5mm long and 2mm wide was picked from a larger specimen and ground to a fine powder of about 5µm particle size.

High-pressures were generated using diamond anvil cells (dacs). Typical features of a dac are shown in figure 2. Samples are contained between two opposed diamonds. A thin metal foil is pressed between the diamonds and a hole drilled in the center to give a sample chamber. The
sample together with a few chips of ruby, which are used to determine pressure, and a pressure transmitting fluid are loaded into the hole in the gasket. Pressing the diamonds together decreases the sample chamber volume and increases the pressure.

Pressure volume data were obtained by powder X-ray diffraction at beamline 12.2.2 at the Advanced Light Source (ALS). This beamline benefits from hard x-radiation generated by a superbend magnet. The beamline operates in angle dispersive geometry (monochromatic) and is equipped with an image plate detector and suitable collimation and goniometry for powder diffraction measurements from samples held in diamond anvil cells [16]. Data were collected using X-radiation of 15 keV energy (wavelength $\lambda = 0.82653 \text{ Å}$). The beam size at the sample was set at $20 \times 20 \, \mu \text{m}^2$ in order to ensure that no diffraction from the gasket material contaminated our diffraction patterns. Any scatter from our focusing optics was removed using 100µm Ta cleanup pinhole positioned about 60mm in front of the diamond anvil cell. Exposure times of 300s were found to be sufficient to give powder diffraction patterns of adequate signal to noise ratio. Powder diffraction data were collected at a total of 14 pressure points in two separate runs. For both runs, a small amount of the powdered ettringite sample was loaded into a 250µm hole in a stainless steel gasket (250µm thickness, pre-indent to 70µm) together with a ruby sphere for pressure determination [17,18]. In both runs a membrane diamond anvil cell equipped with 500µm culet diamonds (1.6 mm height) and WC-backing plates was used. For the first run (10 pressure points) we used silicone oil as pressure medium whereas in the second run (4 pressure points) the sample was embedded in a 4:1 mixture of methanol:ethanol. In both runs diffraction patterns were collected while both increasing as well as decreasing pressure. Pressure was measured off-line using the ruby fluorescence method. The 488 nm line of an Ar-ion laser was used to excite the fluorescence of the ruby crystal loaded into the gasket sample. The pressure dependent fluorescence line was measured using a Roper Scientific spectrometer and analyzed using the WinView software supplied by Roper Scientific.
High-pressure infrared data were collected at beamline 1.4.3 of the advanced light source [19,20,21]. The infrared beam is collimated to about 10 µm in diameter. Spectra were collected using a Nicolet Magna 760 FTIR spectrometer with a resolution of 4 cm\(^{-1}\). A special low profile diamond anvil cell suitable for use with the Nicolet spectrometer was used to generate high-pressures. The cell used 300 µm culets and type 1a diamonds. Samples were contained in a 150 µm hole drilled into a stainless steel gasket. The gasket hole was first filled with KBr which had been dried for three days at 150°C in a vacuum oven. The KBr sample was compressed between the diamonds to give a well packed gasket hole. A small amount of the KBr was then removed from and the sample and one or two ruby chips were then packed into this small hole. Pressures were measured using the ruby fluorescence method. The ruby chips were placed close to the sample in order to minimize errors in pressure measurement. Prior to the acquisition of each sample spectrum a background spectrum was collected in an area of the KBr where there was no sample. Subsequently, a spectrum was collected from the sample from which the background spectrum was subtracted. Spectra were collected with increasing pressure from ambient to 6.4 GPa and then with decreasing pressure to ambient. A number of extra pressure cycles were performed to check the reproducibility of our results.

3. Results

An ambient pressure powder diffraction pattern from ettringite held in a diamond anvil cell is shown in figure 3. The shadow of the x-ray backstop is visible in the center of the pattern as well as the diffraction rings from the sample. All of our X-ray data were radially integrated to give two dimensional powder diffraction patterns using the fit2d program [22] using beam center, detector tilt and sample to detector distance determined using fit2d from a powder diffraction pattern from the NBS LaB\(_6\) powder diffraction standard. The result of integrating the ambient pattern of figure 3 is shown in figure 4. All diffraction peaks were found to originate from the sample except for a peak at about 7 Å which we were unable to assign. The result of a weighted LeBail fit to the ambient data using the Hartman and Berliner [8] structure as a starting point is shown in figure 4. The background was modeled with a shifted Chebyshev function, peak profiles were fitted using
a pseudo-Voigt function [23]. We obtained an adequate fit to our data which yielded lattice parameters of 11.2422(4) Å for \( a \) and 21.496(1) Å for \( c \) (where the number in parentheses is the 1σ uncertainty in the last digit) which compare well with the literature values of 11.26 Å and 21.48 Å [5,6] although they do deviate somewhat from the Hartman and Berliner values of 11.166881(82) Å and 21.35366(22) Å [8]. The effect of pressure on the diffraction pattern of ettringite can be seen in figure 4 which contains a stack plot of the diffraction patterns collected using silicone oil as a pressure transmitting fluid. On increasing pressure to 1.2 GPa the diffraction peaks are seen to move to lower d-spacing, as the unit cell volume decreases, but the overall diffraction pattern does not show any significant change. At 2.4 GPa the peaks broaden and weaken and at 3.7 GPa are all gone except for a broad peak at about 9 Å. On decreasing pressure the broadened peaks are found to reappear. We were able to obtain satisfactory weighted LeBail fits to our 0.5 GPa and 1.2 GPa diffraction patterns (table 1) but no satisfactory fit could be obtained with the subsequent patterns. An estimate of the bulk modulus of ettringite and incompressibility of the lattice parameters was made by linear fit to the pressure dependence of the unit cell volume and lattice parameters that we determined (figure 6). The isothermal bulk modulus of ettringite was found to be 27(7) GPa and the incompressibilities of the lattice parameters were found to be 71(30) GPa along the \( a \)-direction and 108(36) GPa along the \( c \)-direction. Data were also collected using a 4:1 methanol:ethanol mixture as a pressure transmitting medium instead of silicone oil in order to check if the sample environment has any effect on these transitions. The general behavior was found to be the same with the peaks broadening, disappearing and reappearing but the lattice parameters that we obtained differed markedly from those obtained in silicone oil.

An infrared spectrum collected from an ettringite sample held in a diamond anvil cell at ambient pressure is shown in figure 7. Strong absorption lines from the diamonds are seen between 1800 and 2600 cm\(^{-1}\). We assign the sharp absorption at 3634 cm\(^{-1}\) as being due to non-hydrogen bonded O-H stretch, the broad absorption at 3422 cm\(^{-1}\) as due to hydrogen bonded O-H stretch and free water, the peak at 1674 cm\(^{-1}\) as due to O-H bend and the peak at 1108 cm\(^{-1}\) as due to S-
O stretch from $\text{SO}_4^{2-}$ groups in line with previous assignations [24-27]. The effect of pressure on the infrared spectrum of ettringite is shown in figure 8. In the O-H stretch region (figure 8a) the non-hydrogen bonded O-H stretch absorbance is seen to decrease with increasing pressure and disappear at about 3 GPa. At the same time the broad hydrogen bonded absorption further broadens up to the maximum pressure of 6.4 GPa. On decreasing pressure the broad hydrogen bonded absorption is seen to sharpen and the non-hydrogen bonded absorption reappears to give a spectrum that is very similar to the original ambient spectrum. In the low frequency section of the spectra (figure 8b) we see the O-H stretch band broaden with pressure and sharpen on pressure release while the sulfate band does not change in width or intensity but moves to lower frequency on pressurization and higher frequency on pressure release. The position of the sulfate band as a function of pressure is shown in figure 9. The band is seen to linearly increase in frequency at a rate of about 12 cm$^{-1}$/GPa until about 3 GPa when it then linearly increases at a rate of about 5.9 cm$^{-1}$/GPa.

4. Discussion

Both the infrared and diffraction data show a transformation in ettringite at about 3 GPa. The x-ray data show the structure of ettringite transforming to a distorted version of the ambient crystal structure and then losing long range order as the pressure is increased ending up with only a broad basal reflection. We interpret this as being due to strain on the crystal structure inducing increasing amounts of disorder leading to amorphization. The return of x-ray diffraction peaks indicates that the crystalline to amorphous transition is reversible. Our data indicate that this is a continuous transition but further data collection with a finer pressure sampling is required to confirm this. The infrared data give us some indication of the mechanism of this amorphization. We see a decrease in the number of hydroxyl groups that are non-hydrogen bonded and an increase in the width of the hydrogen bonded O-H stretch peak. This we interpret as being due to an increased number of hydroxyl bonding environments as the crystal structure becomes more disordered. The sulfate S-O stretch band moves to higher frequency with increasing pressure which we interpret as being due to bond shortening but remains intact through out the
amorphization and re-crystallization. We interpret this as indicating that the basic structural units
within the crystal structure remain intact but disorder with respect to each other and the free water
in the structure. We can not tell if the amorphization is associated with dehydration of the
structure as happens during thermal decomposition [14] but the prevalence of the hydroxyl bands
and the reversibility of the transitions suggest that no dehydration occurs. Ca(OH)$_2$ [28], Ni(OH)$_2$
and Co(OH)$_2$ [29] all exhibit a reversible pressure induced amorphization near 11GPa. These
amorphizations have been found to be caused by disruption of the network of hydrogen bonds
[30]. This may also be the case for ettringite.

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References


Figure captions

Fig. 1  Crystal structure of ettringite projected on to the (0001) plane [6]. Ca$_6$[Al$_2$(OH)$_{12}$.24H$_2$O]$^{6+}$ columns lie parallel to the c-axis with sulfate and water molecules in the inter-column channels. Ca atoms are displayed as blue circles, oxygen atoms in red, aluminum atoms in light blue, sulfate tetrahedral in yellow and hydrogen atoms in grey.

Fig. 2  Schematic diagram of a diamond anvil cell.

Fig. 3  Raw 2-dimensional powder diffraction pattern from an ettringite sample contained in a diamond anvil cell at ambient pressure prior to reduction to a 1-dimensional pattern using the fit2d [18] program.

Fig. 4  1-dimensional powder pattern from an ettringite sample contained in a diamond anvil cell at ambient pressure together with a simulated pattern, calculated from a LeBail fit to our data, and a difference plot. Vertical tick marks show the predicted positions of diffraction peaks.

Fig. 5  Stack plot showing x-ray powder diffraction patterns collected from an ettringite sample at increasing pressures from ambient to 3.7 GPa and decreasing pressure from 3.7 to 1.1GPa.

Fig. 6  Lattice parameters (a and b) and volume (c) determined for ettringite as a function of pressure. Linear fits to these data were used to estimate the isothermal bulk modulus and the two linear incompressibilities along the a and c directions.

Fig. 7  Ambient infrared pattern collected from a sample of ettringite at ambient pressure in a diamond anvil cell. The strong absorption bands between 1800 and 2600cm$^{-1}$ are due to absorption by the diamonds.

Fig. 8  Variation of the infrared absorbance as a function of pressure in the 3000-3800cm$^{-1}$ (a) and 900-1800cm$^{-1}$ (b) ranges.

Fig. 9  Variation of the sulfate infrared absorption band of ettringite as a function of pressure.
Table caption

Table 1 Results of a LeBail fit to our data using the GSAS program.
Fig. 1
Fig. 3
Fig. 5

![X-ray diffraction patterns for different pressures](image)
Fig. 6a

- Pressure (GPa)
- Volume (Å³)

Graph showing the relationship between pressure (GPa) and volume (Å³). The graph indicates a linear decrease in volume as pressure increases from 0 to 1.5 GPa.
Fig. 6b.
Fig. 6c

![Graph showing the relationship between pressure (GPa) and c-parameter (Å). The graph plots pressure on the x-axis and c-parameter on the y-axis. The data points show a decrease in c-parameter with increasing pressure.](image-url)
Fig. 7

![Spectroscopy Graph](image-url)

- **Wavenumber (cm⁻¹):** 3800 to 800
- **Transmittance:** 0.0 to 4.0

This graph illustrates the spectral transmittance of a sample across various wavenumbers.
Fig. 8a

transmittance

ambient

0.4 GPa

2.1 GPa

5.2 GPa

6.4 GPa

4.1 GPa

3.0 GPa

2.2 GPa

2.1 GPa

1.8 GPa

ambient

wavenumber (cm⁻¹)

3800 3700 3600 3500 3400 3300 3200 3100 3000
Fig. 8b
Fig. 9

![Graph showing the relationship between peak position (cm⁻¹) and pressure (GPa).]
### Table 1

<table>
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<th>Pressure (GPa)</th>
<th>Volume (Å$^3$)</th>
<th>a (Å)</th>
<th>c (Å)</th>
<th>Background wRp</th>
<th>Background Rp</th>
<th>CHI$^2$</th>
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<td>11.2422 (4)</td>
<td>21.496 (1)</td>
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<td>0.0484</td>
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<td>11.1898 (5)</td>
<td>21.411 (2)</td>
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<td>0.016</td>
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<td>11.0414 (3)</td>
<td>21.251 (1)</td>
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