Title
An IL28B Genotype-Based Clinical Prediction Model for Treatment of Chronic Hepatitis C

Permalink
https://escholarship.org/uc/item/72h5d8d8

Journal
PLoS ONE, 6(7)

ISSN
1932-6203

Authors
O'Brien, Thomas R.
Everhart, James E.
Morgan, Timothy R.
et al.

Publication Date
2011-07-08

License
CC BY 4.0

Peer reviewed
An *IL28B* Genotype-Based Clinical Prediction Model for Treatment of Chronic Hepatitis C

Thomas R. O'Brien1*, James E. Everhart2, Timothy R. Morgan3,4, Anna S. Lok5, Raymond T. Chung6, Yongwu Shao7, Mitchell L. Shiffman8, Myhanh Dotrang9, John J. Sninsky10, Herbert L. Bonkovsky11,12, Ruth M. Pfeiffer1, and the HALT-C Trial Group

1 Division of Cancer Epidemiology and Genetics, National Cancer Institute, National Institutes of Health, Department of Health and Human Services, Bethesda, Maryland, United States of America, 2 Division of Digestive Diseases and Nutrition, National Institute of Diabetes and Digestive and Kidney Diseases, National Institutes of Health, Department of Health and Human Services, Bethesda, Maryland, United States of America, 3 Division of Gastroenterology, University of California Irvine, Irvine, California, United States of America, 4 Gastroenterology Service, VA Long Beach Healthcare System, Long Beach, California, United States of America, 5 Division of Gastroenterology, University of Michigan Medical Center, Ann Arbor, Michigan, United States of America, 6 Gastrointestinal Unit, Medical Services, Massachusetts General Hospital, Department of Medicine, Harvard Medical School, Boston, Massachusetts, United States of America, 7 Information Management Services, Silver Spring, Maryland, United States of America, 8 Liver Institute of Virginia, Bon Secours Health System, Newport News, Virginia, United States of America, 9 CSC, Rockville, Maryland, United States of America, 10 Celera Corporation, Alameda, California, United States of America, 11 Departments of Medicine and Molecular & Structural Biology and The Liver-Biliary-Pancreatic Center, University of Connecticut Health Center, Farmington, Connecticut, United States of America, 12 Carolinas Medical Center, Charlotte, North Carolina, United States of America

**Abstract**

**Background:** Genetic variation in *IL28B* and other factors are associated with sustained virological response (SVR) after pegylated-interferon/ribavirin treatment for chronic hepatitis C (CHC). Using data from the HALT-C Trial, we developed a model to predict a patient’s probability of SVR based on *IL28B* genotype and clinical variables.

**Methods:** HALT-C enrolled patients with advanced CHC who had failed previous interferon-based treatment. Subjects were re-treated with pegylated-interferon/ribavirin during trial lead-in. We used step-wise logistic regression to calculate adjusted odds ratios (aOR) and create the predictive model. Leave-one-out cross-validation was used to predict a priori probabilities of SVR and determine area under the receiver operator characteristics curve (AUC).

**Results:** Among 646 HCV genotype 1-infected European American patients, 14.2% achieved SVR. *IL28B* rs12979860-CC genotype was the strongest predictor of SVR (aOR, 7.56; p < 0.0001); the model also included HCV RNA (log10 IU/ml), AST:ALT ratio, Ishak fibrosis score and prior ribavirin treatment. For this model AUC was 78.5%, compared to 73.0% for a model restricted to the four clinical predictors and 60.0% for a model restricted to *IL28B* genotype (p < 0.001). Subjects with a predicted probability of SVR < 10% had an observed SVR rate of 3.8%; subjects with a predicted probability > 10% (43.3% of subjects) had an SVR rate of 27.9% and accounted for 84.8% of subjects actually achieving SVR. To verify that consideration of both *IL28B* genotype and clinical variables is required for treatment decisions, we calculated AUC values from published data for the IDEAL Study.

**Conclusion:** A clinical prediction model based on *IL28B* genotype and clinical variables can yield useful individualized predictions of the probability of treatment success that could increase SVR rates and decrease the frequency of futile treatment among patients with CHC.

**Citation:** O'Brien TR, Everhart JE, Morgan TR, Lok AS, Chung RT, et al. (2011) An *IL28B* Genotype-Based Clinical Prediction Model for Treatment of Chronic Hepatitis C. PLoS ONE 6(7): e20904. doi:10.1371/journal.pone.0020904

**Editor:** Johan K. Sandberg, Karolinska Institutet, Sweden

**Received** January 25, 2011; **Accepted** May 12, 2011; **Published** July 8, 2011

This is an open-access article, free of all copyright, and may be freely reproduced, distributed, transmitted, modified, built upon, or otherwise used by anyone for any lawful purpose. The work is made available under the Creative Commons CC0 public domain dedication.

**Funding:** This study was supported by the National Institute of Diabetes and Digestive and Kidney Diseases (NIDDK), the National Institute of Allergy and Infectious Diseases (NIAID), the National Cancer Institute (NCI), the National Center for Minority Health and Health Disparities, General Clinical Research Center and Clinical and Translational Science Center grants from the National Center for Research Resources, National Institutes of Health. Employees of NIDDK and NCI played a role in study design, data collection and analysis, decision to publish, or preparation of the manuscript. Additional funding to conduct this study was supplied by Hoffmann-La Roche, Inc., and Celera Corporation through Cooperative Research and Development Agreements (CRADA) with the National Institutes of Health. The present study was also supported by the Intramural Research Program of the National Institutes of Health, National Cancer Institute, Division of Cancer Epidemiology and Genetics. The content of this publication does not necessarily reflect the views or policies of the Department of Health and Human Services, nor does mention of trade names, commercial products, or organizations imply endorsement by the U.S. Government. Yongwu Shao was employed by Information Management Services, Silver Spring; Myhanh Dotrang by CSC, Rockville; and John J. Sninsky by Celera Corporation, Alameda. These authors played a role in study design, data collection and analysis, decision to publish, or preparation of the manuscript. Contract and grant numbers: University of Massachusetts School of Medicine, St Louis, MO: (Contract N01-DK-9-2324); Massachusetts General Hospital, Boston, MA: (Contract N01-DK-9-2319, Grant M01RR-01066; Grant 1 U1L RR025758-01, Harvard Clinical and Translational Science Center); University of Colorado Denver, School of Medicine, Aurora, CO: (Contract N01-DK-9-2327, Grant M01RR-00051, Grant 1 U1L RR 025780-01); University of California Irvine, Irvine, CA: (Contract N01-DK-9-2320, Grant M01RR-00827); University of Texas Southwestern Medical Center, Dallas, TX: (Contract N01-DK-9-2321, Grant M01RR-00633, Grant 1 U1L RR024982-01, North and Central Texas Clinical and Translational Science Initiative); University of Southern California, Los Angeles, CA: (Contract N01-DK-9-2325, Grant M01RR-00043); University of Virginia Commonwealth University Health System, Richmond, VA: (Contract N01-DK-9-2322, Grant M01RR-00065).
Introduction

Chronic infection with hepatitis C virus (HCV) is an important cause of liver cancer and end-stage liver disease in the United States and worldwide [1,2]. About 60–80% of persons who become infected with HCV fail to clear the virus spontaneously. Treatment with pegylated-interferon-α/ribavirin is associated with many adverse effects and results in a sustained virological response (SVR; i.e., undetectable HCV RNA 6 months post-treatment) in only 40–50% of interferon-naïve patients who are infected with HCV genotype 1 [3] (the most common viral genotype in the United States and many other developed countries) [4]. Recently, genome wide association studies (GWAS) found single nucleotide polymorphisms (SNPs) located upstream of IL28B (alternatively known as interferon-λ3) to be associated with SVR [5,6,7,8]. IL28B variants are also associated with decreased frequency of spontaneous clearance of HCV [6,9]. Interferon-λ induces the JAK/STAT pathway, which up-regulates genes with anti-viral effects against HCV [10,11]. The newly identified SNPs likely mark a functional variant that affects response to interferon-α [12,13].

A goal of genomic research is to yield information that leads to treatment decisions based on a patient’s genetic makeup [14]. Personalized clinical decision-making for treatment of patients with chronic hepatitis C requires estimates of the probability that a patient will achieve SVR which consider not only genotype, but also other factors that are associated with treatment response [12]. Here we examine the association of IL28B genotype with response to treatment among participants in The Hepatitis C Antiviral Long-term Treatment against Cirrhosis (HALT-C) Trial, which enrolled patients with bridging fibrosis or cirrhosis who had not responded to previous interferon therapy [15]. We use these results to develop a model that predicts the individual probability of SVR for such patients based on genotype for the IL28B rs12979860 SNP and four commonly measured pre-treatment clinical variables.

Methods

Subjects

The design and primary results of the HALT-C Trial have been reported [15,16]. Briefly, at enrollment, HALT-C patients had an Ishak fibrosis score ≥3 by local assessment of liver biopsy, had not previously responded to interferon treatment, had a Child-Turcotte-Pugh score <7 and had no evidence of hepatocellular carcinoma. Final assessment of fibrosis stage was performed by a panel of hepatopathologists [15,16]. Patients with other liver diseases, human immunodeficiency virus infection, active illicit drug use or current alcohol abuse were excluded. During lead-in, patients received pegylated-interferon-α2a 180 mcg/week plus ribavirin 1.0–1.2 g/day. Subjects with undetectable HCV RNA at week 20 remained on combination treatment through week 48 and were followed until week 72. Subjects with undetectable HCV RNA at weeks 48 and 72 were considered to have an SVR.

Investigations of human genetics in the HALT-C Trial were conducted in those participants who provided (written) consent for genetic testing. Subjects who reported themselves to be ‘White,’ but not of Latino/Hispanic ethnicity, were termed ‘European American;’ those who reported themselves to be ‘White’ and of Latino/Hispanic ethnicity were termed ‘Hispanic;’ those self-reporting as Black were termed ‘African American.’ Subjects who did not report themselves to be in one of these three groups (n = 27) were excluded from this analysis. For external comparison, we genotyped IL28B SNPs in reference populations representative of European American or African American subjects (Text S1 and Table S1).

The HALT-C Trial was approved by institutional review boards of the participating institutions: Human Subjects IRB, University of Massachusetts Medical Center, Worcester, MA; Human Subjects Protection Office, University of Connecticut Health Center, Farmington, CT; Biomedical IRB, Saint Louis University School of Medicine, St Louis, MO; Partners Human Research Committee, Boston, MA; Colorado Multiple Institutional Review Board, Aurora, CO; University of California - Irvine Institutional Review Board, Irvine, CA; IRB (Subcommittee on Human Studies), Long Beach VAMC Research Health Care Group, Long Beach, CA; Institutional Review Board, University of Texas Southwestern Medical Center, Dallas, TX; Institutional Review Board, University of Southern California, Los Angeles, CA; Institutional Review Board for Human Subject Research, University of Michigan Medical Center, Ann Arbor, MI; Office of Research Subjects Protection, Virginia Commonwealth University Health System, Richmond, VA; Institutional Review Board, National Institute of Diabetes and Digestive and Kidney Diseases, National Institutes of Health, Bethesda, MD; Institutional Review Board, New England Research Institutes, Watertown, MA.

Laboratory

Serum HCV RNA and HCV genotype were determined as described previously (Text S1) [17]. The methods used to extract genomic DNA were described in an earlier publication [18]. Genotyping of IL28B SNPs was carried out by allele-specific real-time PCR [19] at a high throughput facility. For each allele-specific PCR reaction, 0.3 ng of DNA was amplified. Genotypes were automatically called by an in-house software program followed by manual curation without knowledge of phenotype. Primer sequences can be found in the Text S1.
**Statistical analyses**

**HALT-C Trial.** Analyses of virological response were stratified by ethnicity and restricted to subjects who were infected with HCV genotype 1. To examine the effect of IL28B genotype over a range of possible virological responses, we divided patients into four mutually exclusive outcome groups based on serum HCV RNA levels during and after treatment: 1) null (<2 log_{10} IU/mL decrease at week 12); 2) partial early viral response (EVR) only (week 12: >2 log_{10} decrease; week 20: detectable); 3) relapse (week 20 and 48: undetectable; week 72: detectable) or breakthrough (week 20 undetectable; detectable sometime between week 20 and 40); 4) SVR (week 20, 48, and 72 undetectable). In these analyses, IL28B genotype frequencies were determined for each virological response group. Using null responders as the referent (i.e., baseline subject group) and homogeneity for the ancestral allele (rs12979860-T or rs8099917-T) as the baseline genotype, the genotype specific odds ratio (OR) and 95% confidence interval (CI) were determined.

Among European American patients who were infected with HCV genotype 1 (n = 646), we used a step-wise algorithm (selection criteria: entry, p = 0.10; exit, p = 0.05) to select variables for a logistic regression model that was used to calculate adjusted ORs and to estimate an individual’s probability of achieving SVR or not. Candidate variables for this model were IL28B rs12979860 genotype and other factors that have been reported to be associated with SVR. All variables listed in Table S2 were entered into the step-wise model. Continuous variables were divided into categories with a minimum of 50 subjects per category (Table S2) and these variables were treated as ordinal predictors in the model, if appropriate. No variables were forced into the model, but, for the sake of comparison, we also created a model that was limited to the clinical variable and another that was limited to IL28B genotype. We used the likelihood ratio test to compare these models for fit of the data. To examine whether rs12979860 and rs8099917 were independently associated with virological response, we constructed models including both variants and compared them to single SNP models by the log likelihood test.

Among European American patients who were infected with HCV genotype 1 (n = 646), we used the leave-one-out cross-validation (LOOCV) method [20] to estimate the probability of SVR for each subject. A series of 646 logistic regression models was created with each model excluding a different subject from the dataset. An individual’s probability of achieving SVR was obtained from the model to which they did not contribute, making these estimates unbiased a priori predictions of the probability of SVR.

We used the LOOCV predictions to estimate area under the ‘receiver operating characteristic’ curve (AUC), a popular measure of model discrimination [21]. To test for differences in AUC values between models, we computed a p-value based on a chi-square test [1 df] that used a bootstrap variance estimate computed from the model to which they did not contribute, and then repeating the AUC computations for each bootstrap sample.

**IDEAL Study.** SVR rates according to the joint distribution of HCV RNA level (≤600,000, >600,000, METAVIR fibrosis score (F0–2, F3–4) and IL28B rs12979860 genotype (CC, CT, TT), have been published for 1,121 HCV-infected European American patients who enrolled in the IDEAL Study [found in Supplemental Table 4 of the paper by Thompson et al] [22]. The combination of these three risk factors can be viewed as a single predictor (X) with twelve (unordered) categories. We used Bayes’s theorem to determine the distribution of X in IDEAL Study subjects who achieved SVR and in those who did not. We then randomly selected 10,000 values of X separately for IDEAL subjects who achieved SVR and from non-responders, and computed the logistic probability of SVR given X. We calculated AUC as the probability that the score for a randomly selected subject with SVR is greater than the score for a randomly selected non-responder, where score is the probability of SVR for a given X.

**Results**

**Virological Response to Treatment in the HALT-C Trial**

Demographic and clinical data at entry into HALT-C for all lead-in subjects who had an IL28B genotype result are shown in Table 1. Median age was 49 years, 72.4% were male, median pre-treatment HCV RNA was 6.5 log_{10} IU/ml; 37.6% had cirrhosis. Consistent with previous studies [5,9], there was evidence for selection against the IL28B rs12979860-CC genotype among these patients, who had failed both to clear HCV spontaneously and to achieve SVR in response to prior therapy. IL28B rs12979860-CC frequency was 25.7% among 732 European American subjects in the Trial compared to 45.6% in the reference population (p < 0.0001) and 10.8% in 148 African American Trial subjects compared to 20.0% in the reference population (p = 0.01).

Among European American patients who were infected with HCV genotype 1 (n = 646), the overall SVR rate was 14.2%. To examine the relationship between IL28B genotype and a range of virological responses, we performed an analysis among the 622 (96.3%) HCV genotype 1-infected European American patients who could be fully classified for virological response. IL28B rs12979860-CC frequency varied markedly by the degree of virological response (Figure 1; Table S3): null responders (referent), 6.9%; partial EVR, 24.4% (unadjusted OR, 6.69; <.0001); breakthrough/relapse, 48.2%; SVR, 48.9%. The unadjusted OR observed for those with breakthrough/relapse and SVR were approximately 20 (p <.0001, each comparison), but the frequency of rs12979860-CC did not differ between these two groups even when other variables were considered in multivariate models (p = 0.56). Comparing subjects with undetectable HCV RNA at week 20 (breakthrough/relapse or SVR) to those with detectable virus (null or partial EVR) yielded adjusted ORs of 16.29 for rs12979860-CC (95% CI, 8.44–31.47; p <.0001) and 2.02 for rs12979860-CT (95% CI, 1.16–3.52; p = 0.01).

Among all European American subjects infected with HCV genotype 1, we compared IL28B genotype between those who achieved SVR and those who did not. Compared to rs12979860-TT, the OR for rs12979860-CC was 5.29 (95% CI, 2.46–11.38; p <.0001) and that for rs12979860-TT was 1.48 (95% CI, 0.69–3.16; p = 0.3). Fibrosis stage did not vary by rs12979860 genotype (mean and median = 4.0 for all three genotypes) in these subjects, but, consistent with prior reports [5], higher median pre-treatment HCV RNA levels were found in subjects with genotype rs12979860-CC (6.74 log_{10} IU/mL; p <.0001) and rs12979860-CT (6.50 log_{10} IU/mL; p = 0.04) compared to rs12979860-TT (6.41 log_{10} IU/mL). The logistic regression model included five variables: IL28B genotype (three categories), pre-treatment HCV RNA level (seven ordinal categories), AST/ALT ratio (five ordinal categories), Ishak fibrosis score (five ordinal categories) and prior treatment with ribavirin (yes/no). This model yielded an adjusted OR of 7.56 (95% CI, 3.20–17.87; p <.0001) for rs12979860-CC and an adjusted OR of 1.83 (95% CI, 0.82–4.11; p = 0.14) for rs12979860–CT.

The final model is described by this equation:

\[
\log \text{odds} = -2.8540 - 0.3532 \times \text{fibrosis} - 0.4067 \times \text{HCV RNA} - 0.4268 \times \text{AST:ALT} - 0.6944 \times \text{prior ribavirin} + 2.0226 \times \text{IL28B rs129}
\]
Table 1. Demographic and clinical characteristics of subjects in the HALT-C Trial study of IL28B genotype.

<table>
<thead>
<tr>
<th>Characteristic</th>
<th>All Lead-In Subjects (n = 992)</th>
<th>Prediction Model (n = 646)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Age (median, IQR)</td>
<td>49 45–53</td>
<td>49 45–53</td>
</tr>
<tr>
<td>Race</td>
<td></td>
<td></td>
</tr>
<tr>
<td>White (n, %)</td>
<td>732 73.8</td>
<td>646 100.0</td>
</tr>
<tr>
<td>Black (n, %)</td>
<td>148 14.9</td>
<td>0</td>
</tr>
<tr>
<td>Hispanic (n, %)</td>
<td>85 8.6</td>
<td>0</td>
</tr>
<tr>
<td>Other (n, %)</td>
<td>27 2.7</td>
<td>0</td>
</tr>
<tr>
<td>Male (n, %)</td>
<td>718 72.4</td>
<td>487 75.4</td>
</tr>
<tr>
<td>Ishak Fibrosis Score&lt;sup&gt;b&lt;/sup&gt;</td>
<td></td>
<td></td>
</tr>
<tr>
<td>2 (n, %)</td>
<td>82 8.3</td>
<td>48 7.4</td>
</tr>
<tr>
<td>3-4 (n, %)</td>
<td>534 53.8</td>
<td>357 55.3</td>
</tr>
<tr>
<td>5-6 (n, %)</td>
<td>373 37.6</td>
<td>241 37.3</td>
</tr>
<tr>
<td>HCV Genotype 1 (n, %)</td>
<td>877 88.4</td>
<td>646 100.0</td>
</tr>
<tr>
<td>HCV RNA level (log 10) (median, IQR&lt;sup&gt;a&lt;/sup&gt;)</td>
<td>6.5 6.1–6.8</td>
<td>6.5 6.2–6.8</td>
</tr>
<tr>
<td>Prior treatment:</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Interferon alone (n, %)</td>
<td>282 28.4</td>
<td>176 27.2</td>
</tr>
<tr>
<td>Interferon and ribavirin (n, %)</td>
<td>710 71.6</td>
<td>470 72.8</td>
</tr>
</tbody>
</table>

<sup>a</sup>IQR – Intra-quartile range (25th percentile–75th percentile).
<sup>b</sup>Final assessment of fibrosis stage was performed by a panel of hepatopathologists.

doi:10.1371/journal.pone.0020904.t001

79860(CC)+0.6063×IL28B rs12979860(CT). Reference categories for co-variates are: HCV RNA = 6.50–6.74; AST:ALT = 0.50–0.74; fibrosis = 3; prior ribavirin = yes; IL28B rs12979 860(TT). On the basis of these log odds ratio parameter estimates, IL28B genotype was the strongest predictor of SVR in this study.

Figure 1. Distribution of IL28B rs12979860 genotypes, by response to treatment with pegylated-interferon-α2a plus ribavirin among European American subjects infected with HCV genotype 1, lead-in phase of the HALT-C Trial. EVR, early virological response; BT, breakthrough; SVR, sustained virological response.
doi:10.1371/journal.pone.0020904.g001
Of the 646 European American subjects infected with HCV genotype 1, 350 were maintained on at least 80% of full dose for both pegylated-interferon-α2a and ribavirin for the first 20 weeks of treatment. Among this ‘fully adherent’ subgroup, the association between IL28B genotype and SVR (rs12979860-CC: adjusted OR, 7.91; 95% CI, 2.80–22.35; p < .0001. rs12979860-CT: adjusted OR, 1.75; 95% CI, 0.67–4.57; p = 0.25) was similar to that found among HCV genotype 1-infected European American subjects as a whole.

Among 134 HCV genotype 1-infected African American patients, eight achieved SVR. For rs12979860-CC, the unadjusted OR was 12.69 (95% CI, 1.19–135.66; p = 0.04) and adjustment for covariates increased the association (adjusted OR, 48.02; 95% CI, 2.57–898.09; p = 0.01). The rs12979860-CC genotype was much more common in African American subjects with undetectable HCV RNA at week 20 (n = 21) than those with detectable virus (adjusted OR, 15.88; 95% CI, 2.90–86.96; p = 0.001). Hispanic subjects who were infected with HCV genotype 1 were too few (n = 67) for a meaningful analysis of virological response.

IL28B rs8099917 has been associated with response to treatment for chronic hepatitis C [5,6,7,8] and this SNP is in strong linkage disequilibrium with rs12979860 [5]. Associations of rs8099917 genotype with virological response were similar to those for rs12979860, but models including both SNPs showed no independent effect of rs8099917 (data not presented).

Prediction of Treatment Response in the HALT-C Trial

The subjects for the prediction model, European American patients who were infected with HCV genotype 1, were similar to HALT-C Trial lead-in subjects as a whole with regard to other demographic and clinical variables (Table 1). The distribution of IL28B rs12979860 genotypes among these 646 subjects was: CC, 24.0%; CT, 56.8%; TT, 19.2%.

The logistic regression model based on IL28B genotype plus four clinical predictors of SVR (described above) fit the data better than models that included the four clinical predictors only or IL28B genotype only (p < 0.001, both comparisons). For the full model (IL28B genotype plus clinical predictors), AUC was 78.5% compared to 73.0% for the model based on the clinical predictors without IL28B genotype (p < 0.001; Figure 2). AUC was 60.0% for the model with IL28B genotype only.

Based on the LOOCV models, 30.2% of these HALT-C subjects had an a priori predicted probability of achieving SVR < 5%, 56.7% had a predicted probability < 10%, 77.6% had a predicted probability < 20% and 90.1% had a predicted probability < 35% (Table 2). The distributions of a priori predicted probabilities of SVR differed markedly between the 554 non-responders and the 92 subjects who actually achieved SVR (Figure 3). For example, 36.5% of non-responders had a predicted probability ≥ 10%, compared to 84.8% of HALT-C subjects who achieved SVR. As a result of this relationship, the IL28B genotype-based model could predict which HALT-C subjects were more likely to achieve SVR. Table 2 shows SVR rates under a range of model-based treatment decision scenarios. For example, among the 280 HALT-C subjects with a predicted probability ≥ 10%, the observed SVR rate was 27.9%, compared to an SVR rate of 3.8% among the 366 patients with a predicted probability < 10%. For HALT-C subjects, a strategy of treating those with a predicted...
probability ≥10% (and deferring treatment for the remaining subjects) would have yielded ~85% of the total number of patients with SVR through treatment of 43% of the patients. Limiting treatment to those with a predicted probability ≥15%, would increase the SVR rate to 32.5% and decrease the number treated to 194 (30.0% of total subjects), while also decreasing the number who achieved SVR to 63 (68.5% of all subjects who actually achieved SVR).

Figure 4 displays selected examples of SVR probabilities predicted by the model, as well as the observed SVR rates overall (14.2%) and by IL28B genotype (CC, 29.0%; CT, 10.3%; TT, 7.3%). Clinical profile 1 consists of relatively favorable values for the four clinical predictors (HCV RNA, 5.75–5.99; AST/ALT, 0.50–0.74; no prior ribavirin; fibrosis score, 3). Among individuals with this clinical profile, the model predicted a probability of SVR as 74.5% for patients with the IL28B-CC genotype, 41.5% for

Table 2. Number of subjects with various a priori predicted probabilities of SVR; projected SVR rates and numbers of patients achieving SVR if decision to treat was based on predicted probability of SVR estimated from LOOCV models among EA patients infected with genotype 1, HALT-C Trial.

<table>
<thead>
<tr>
<th>Predicted Probability SVR</th>
<th>Any</th>
<th>5%</th>
<th>10%</th>
<th>15%</th>
<th>20%</th>
<th>35%</th>
</tr>
</thead>
<tbody>
<tr>
<td>Treatment Decision Group</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>≥Predicted Probability SVR</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Treat</td>
<td>646</td>
<td>100</td>
<td>451</td>
<td>69.8</td>
<td>280</td>
<td>43.3</td>
</tr>
<tr>
<td>Defer</td>
<td>0</td>
<td>0</td>
<td>195</td>
<td>30.2</td>
<td>366</td>
<td>56.7</td>
</tr>
<tr>
<td>≤Predicted Probability SVR</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Treat</td>
<td></td>
<td>14.2</td>
<td>18.8</td>
<td>27.9</td>
<td>32.5</td>
<td>35.9</td>
</tr>
<tr>
<td>Defer</td>
<td></td>
<td>N/A</td>
<td>3.6</td>
<td>3.8</td>
<td>6.4</td>
<td>8.0</td>
</tr>
<tr>
<td>SVR Rate</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Treat</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>SVR Achieved</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Treat</td>
<td>92</td>
<td>100</td>
<td>85</td>
<td>92.4</td>
<td>78</td>
<td>84.8</td>
</tr>
<tr>
<td>Defer</td>
<td>0</td>
<td>0</td>
<td>7</td>
<td>7.6</td>
<td>14</td>
<td>15.2</td>
</tr>
<tr>
<td>SVR Not Achieved</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Treat</td>
<td>0</td>
<td>0</td>
<td>7</td>
<td>7.6</td>
<td>14</td>
<td>15.2</td>
</tr>
<tr>
<td>Defer</td>
<td>0</td>
<td>0</td>
<td>7</td>
<td>7.6</td>
<td>14</td>
<td>15.2</td>
</tr>
</tbody>
</table>

SVR Rate Treat 14.2 18.8 27.9 32.5 35.9 42.2
SVR Rate Defer N/A 3.6 3.8 6.4 8.0 11.2
SVR Achieved
92 100 85 92.4 78 84.8 63 68.5 52 56.5 27 29.3
SVR Not Achieved
0 0 7 7.6 14 15.2 29 31.5 40 43.5 65 70.7
doi:10.1371/journal.pone.0020904.t002

Figure 3. Distributions of a priori predicted probability of sustained virological response (SVR) for 554 non-responders and 92 subjects who achieved SVR - European American patients infected with HCV genotype 1, HALT-C Trial. Predicted probabilities are based on a series of leave-one-out cross-validation logistic regression models.
doi:10.1371/journal.pone.0020904.g003
IL28B-CT and 27.9% for IL28B-TT. Among individuals with the ‘intermediate’ clinical profile (Clinical profile 2: HCV RNA, 6.25–6.49; AST/ALT, 0.75–0.99; no prior ribavirin, fibrosis score, 4), the range in genotype-specific predicted probability of SVR was 30.0% (IL28B-CC, 37.3%; IL28B-CT, 12.6%; IL28B-TT, 7.3%).

With a very unfavorable non-genetic profile (Clinical profile 3: HCV RNA, 6.75–6.99; AST/ALT, 1.00–1.24; prior ribavirin, fibrosis score, 5), the model predicted a very low SVR probability for all genotypes including IL28B-CC (5.7%).

**Prediction of Treatment Response in the IDEAL Study**

To determine whether both IL28B genotype and clinical variables improve clinical prediction in a treatment naïve population, we used published data [22] among 1,121 HCV genotype 1-infected European American patients enrolled in the IDEAL study. The overall SVR rate in this population was 45.3%. AUC values were: IL28B genotype, HCV RNA and fibrosis, 73.7%; IL28B genotype, 67.8%; HCV RNA and fibrosis, 60.0% (all AUC values differ from each other, p<.01).

**Discussion**

We demonstrated that IL28B genotype is a very strong predictor of SVR among patients with advanced chronic hepatitis C who failed previous therapy and then used these data to create a model to predict the probability of SVR based on IL28B genotype and selected clinical factors. The model must be validated in other populations before it can be implemented clinically, but our results provide ‘proof of concept’ that this approach has the potential to improve the care of patients with chronic hepatitis C. Patients with advanced chronic hepatitis C who have failed to respond to prior treatment for chronic hepatitis C have a very low rate of SVR overall, nonetheless the model identified patient profiles associated with a high probability of treatment response. As expected, most HALT-C subjects had a profile associated with a very low **a priori** probability of achieving SVR. Treatment decisions involve many considerations, but our work suggests that identifying patients with a low likelihood of success and advising them to await availability of more effective regimens could reduce the number of patients who would be exposed to a treatment with substantial adverse effects from which they will not derive a benefit, while having much less impact on the number who respond. For example, if treatment of HALT-C subjects had been limited to patients with a predicted probability ≥10%, 57% would have been spared treatment, the SVR rate would have been double and the number of subjects achieving SVR would have been 85% of that attained through treating the entire group.

Although IL28B genotype was the strongest predictor of SVR in this study, the prediction model was greatly improved by the inclusion of four parameters commonly available in clinical practice (pre-treatment HCV RNA level; AST/ALT ratio; fibrosis score; whether or not the patient received ribavirin during previous interferon-based treatment). In building the model, we divided continuous variables into multiple categories to avoid assumptions about the relationship between a variable and SVR based solely on the associations observed among HALT-C subjects. In addition, although the difference in SVR rate between rs12979860-CT and rs12979860-TT (adjusted OR, 1.83) did not reach statistical significance (p = 0.14), we retained all three IL28B genotype categories, which is consistent with the approach of some other groups [7]. The number of variables and categories in the model should not present a barrier to its clinical implementation, as these could be accommodated easily in a computer-based instrument.

Some variables previously associated with SVR were not selected into our logistic regression model. The effect of these variables may have been accounted for by variables in the model or our statistical power may have been inadequate to select these variables. Additional subjects might allow us to add variables to the model and improve its predictive ability.

Published data on joint SVR rates by IL28B genotype, HCV RNA level and fibrosis score (from the IDEAL study), allowed us
to verify that AUC is increased among treatment naïve patients when both IL28B genotype and clinical variables are considered. However, individual patient data are required for our model and with additional data, our modeling approach could be extended. The HALT-C Trial was limited to subjects with advanced chronic hepatitis C who had failed to respond to previous interferon-based treatment. In developing the model, we further restricted subjects to those who were infected with HCV genotype 1 and of European ancestry because there were too few subjects in other ‘race’ or viral genotype groups for meaningful analysis. Given sufficient data, a prediction model for chronic hepatitis C treatment response could encompass the full range of HCV-infected patients, including those previously naïve for peg-interferon-alfa/ribavirin and those receiving regimens that include additional agents. Our modeling approach also could be expanded to include data on rapid virological response, but, unfortunately, those data are incomplete among HALT-C subjects. A ‘non-invasive’ prediction model that would not require measurement of fibrosis by liver biopsy might be desirable, but development and evaluation of such a model requires subjects encompassing the full range of fibrosis values.

Direct acting anti-viral agents that inhibit HCV replication, currently in late clinical development, promise to improve the SVR rate for patients who have failed to respond to treatment with interferon-alfa and ribavirin [23], as well as for patients who have not been treated for chronic hepatitis C previously [24,25]. These compounds, which select drug-resistant HCV strains if used alone [26], will likely be combined with peginterferon alfa/ribavirin to reduce viral replication and mutational escape. Among patients who were treated with a regimen of peginterferon alfa/ribavirin plus the HCV-protease inhibitor telaprevir, 84% of those with the IL28B rs12979860-CC genotype achieved SVR compared to 32% among those with either IL28B rs12979860-CT or –TT [27]. Indirect evidence also suggests that IL28B genotype may be associated with response to a peginterferon alfa/ribavirin/telaprevir regimen. A recent trial among HCV-infected patients who had failed initial peginterferon alfa/ribavirin treatment found that retreatment with a regimen that included telaprevir was more effective among patients who had relapsed during previous treatment compared to previous nonresponders [23]. Given the strong association we observed between IL28B genotype and breakthrough/relapse, it is quite plausible that IL28B genotype is associated with response to a peginterferon alfa/ribavirin/telaprevir regimen. Therefore, an IL28B genotype-based model may identify patients who are at high risk for treatment failure (and selection of resistant HCV strains) when treated with this regimen.

Our work demonstrates that a model based on IL28B genotype and a few clinical variables can provide individualized predictions for the probability of achieving SVR after treatment with peginterferon-alfa/ribavirin. If the IL28B genotype-based model is validated in a wide range of patients with chronic HCV infection, then development of a computer based algorithm for clinical decision making would seem warranted. Such a tool could improve patient outcomes among patients treated for chronic hepatitis C by increasing SVR rates and reducing the frequency of futile treatment, with its substantial costs and adverse effects.

Supporting Information

Table S1

<table>
<thead>
<tr>
<th>DOC</th>
</tr>
</thead>
</table>

Table S2

<table>
<thead>
<tr>
<th>DOC</th>
</tr>
</thead>
</table>

Table S3

| DOC |

Text S1

| DOC |

Acknowledgments

In addition to the authors of this manuscript, the following individuals were instrumental in the planning, conduct and/or care of patients enrolled in this study at each of the participating institutions as follows:

University of Massachusetts Medical Center, Worcester, MA: (Contract N01-DK-9-2326) Gyongyi Szabo, MD, Barbara F. Banner, MD, Maureen Cormier, RN, Donna Giancriccusa, RN

University of Connecticut Health Center, Farmington, CT: (Grant M01RR-00812) Gwena Borden, RN, Michelle Kelley, RN, ANP

Saint Louis University School of Medicine, St Louis, MO: (Contract N01-DK-9-2324) Adrian M. DiBisceglie, MD, Bruce Bacon, MD, Brent Neuschwander-Tetri, MD, Elizabeth M. Brunt, MD, Debra King, RN

Massachusetts General Hospital, Boston, MA: (Contract N01-DK-9-2319, Grant M01RR-01066; Grant 1 UL1 RR025780-01, Harvard Clinical and Translational Science Center) Jules L. Dienstag, MD, Andrea E. Reid, MD, Atul K. Bhan, MD, Wallis A. Molchen, Cara C. Gooch

University of Colorado Denver, School of Medicine, Aurora, CO: (Contract N01-DK-9-2327, Grant M01RR-00051, Grant 1 UL1 RR025780-01) Gregory T. Everson, MD, Thomas Trouillet, MD, Marcelo Kugelmas, MD, S. Russell Nash, MD, Jennifer DeSanto, RN, Carol McKinley, RN

University of California Irvine, Irvine, CA: (Contract N01-DK-9-2320, Grant M01RR-008627) John C. Hoets, MD, John R. Craig, MD, M. Mazen Jamal, MD, MPH, Muhammad Shahid, MD, Michaelson Park, RN

University of Texas Southwestern Medical Center, Dallas, TX: (Contract N01-DK-9-2321, Grant M01RR-00633, Grant 1 UL1 RR024982-01, North and Central Texas Clinical and Translational Science Initiative) William M. Lee, MD, Thomas E. Rogers, MD, Peter F. Malet, MD, Janet Shelton, Nicole Crowder, LVN, Rikka Elbein, RN, BSN, Nancy Liston, MPH

University of Southern California, Los Angeles, CA: (Contract N01-DK-9-2323, Grant M01RR-00043) Karen L. Lindsay, MD, M.M.M, Sugantha Govindarajan, MD, Carol B. Jones, RN, Susan J. Milstein, RN

University of Michigan Medical Center, Ann Arbor, MI: (Contract N01-DK-9-2323, Grant M01RR-00042, Grant 1 UL1 RR024986, Michigan Center for Clinical and Health Research) Robert J. Fontana, MD, Joel K. Greenson, MD, Pamela A. Richtmyer, LFN, CCRC, R. Tess Bonham, BS

Virginia Commonwealth University Health System, Richmond, VA: (Contract N01-DK-9-2322, Grant M01RR-00065) Richard K. Sterling, MD, MSc, Melisa J. Contos, MD, A. Scott Mills, MD, Charlotte Hofmann, RN, Paula Smith, RN

Liver Diseases Branch, National Institute of Diabetes and Digestive and Kidney Diseases, National Institutes of Health, Bethesda, MD: Marc G. Ghany, MD, T. Jake Liang, MD, David Kleiner, MD, PhD, Yoon Park, RN, Elena Rivera, RN, Vanessa Haynes-Williams, RN

National Institute of Diabetes and Digestive and Kidney Diseases, Division of Digestive Diseases and Nutrition, Bethesda, MD: Leonard B. Seeff, MD, Patricia R. Robuck, PhD, Jay H. Hoofnagle, MD, Elizabeth C. Wright, PhD


Armed Forces Institute of Pathology, Washington, DC: Zachary D. Goodman, MD, PhD

Data and Safety Monitoring Board Members: (Chair) Gary L. Davis, MD, Guadalupe Garcia-Tsao, MD, Michael Kuttner, PhD, Stanley M. Lemon, MD, Robert P. Perrillo, MD

Celera Corporation, Alameda, CA: Joseph Catanese, BS, and HT Genotyping Facility staff and David Ross, PhD, and Computational Biology Department

Information Management Services, Inc., Rockville, MD; Sabrina Chen David Check (National Cancer Institute) provided technical support for graphics.
The HALT-C Trial was registered with clinicaltrials.gov (#NCT00006164).

This is publication #54 of the HALT-C Trial.
Presented in part at The Liver Meeting, Boston, November 1, 2010.

References