Efficient and Accurate Machine-Learning Interpolation of Atomic Energies in Compositions with Many Species

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Machine-learning potentials (MLPs) for atomistic simulations are a promising alternative to conventional classical potentials. Current approaches rely on descriptors of the local atomic environment with dimensions that increase quadratically with the number of chemical species. In this article, we demonstrate that such a scaling can be avoided in practice. We show that a mathematically simple and computationally efficient descriptor with constant complexity is sufficient to represent transition-metal oxide compositions and biomolecules containing 11 chemical species with a precision of around 3 meV/atom. This insight removes a perceived bound on the utility of MLPs and paves the way to investigate the physics of previously inaccessible materials with more than ten chemical species.

In this article we demonstrate that the computational complexity of MLPs does not necessarily grow with the number of chemical species, so that MLPs for materials with ten or more chemical species are in principle feasible and computationally efficient. We show that, contrary to intuition and common belief, the same model complexity that we will introduce in the following. In this context, the local atomic environment $\sigma_\text{RC}^i \subset \sigma$ of an atom $i$ in a structure $\sigma$ is defined as the local structure given by the set of coordinates $\{R_1, R_2, \ldots\}$ of all atoms within a cutoff distance $R_\text{C}$ from atom $i$ and the local composition, i.e., the corresponding chemical species $\{t_1, t_2, \ldots\}$. To be physically meaningful and transferable between equivalent structures, the descriptor needs to be invariant with respect to translation and rotation of the structure and the exchange of equivalent atoms. Several transformations for $\sigma_\text{RC}^i$ into invariant representations $\tilde{\sigma}_i^\text{RC}$ have been proposed in the literature, and the most commonly used methods for MLPs are the symmetry functions by Behler and Parrinello (BP) and the smooth overlap of atomic positions (SOAP) approach by Bartók, Kondor, and Csányi. With an invariant descriptor $\tilde{\sigma}_i^\text{RC}$, the total MLP energy of a structure $\sigma$ can then be expressed as

$$E(\sigma) = \sum_i^{\text{atoms}} \text{MLP}_i(\tilde{\sigma}_i^\text{RC}).$$

Our approach draws inspiration from the strength of the established descriptor methods but explicitly maintains the distinction between local structure and composition by using two sets of invariant coordinates, $\{\text{R}\} \tilde{\sigma}_i^\text{RC}$ and $\{t\} \tilde{\sigma}_i^\text{RC}$, that separately encode the atomic positions and species. The union of both sets, $\tilde{\sigma}_i^\text{RC} = \{\text{R}\} \tilde{\sigma}_i^\text{RC} \cup \{t\} \tilde{\sigma}_i^\text{RC}$, is used as a combined descriptor for an ANN-based MLP (ANN potential). As structural descriptor $\{\text{R}\} \tilde{\sigma}_i^\text{RC}$ we choose the expansion coefficients of the radial (bond length) and angular (bond angle) distribution functions in a complete...
FIG. 1. Precision of artificial neural network (ANN) potentials as function of the dimension of the descriptor used to represent the local atomic environment. (a) Root mean squared error (RMSE) of the ANN potential energies relative to their DFT references for LiMO$_2$ systems with increasing number of chemical species: 3 species ($M = $ Ti; black circles), 4 species ($M = $ Ti, Ni; red squares), 5 species ($M = $ Ti, Mn, Ni; green diamonds), and 11 species ($M = $ Sc, Ti, V, Cr, Mn, Fe, Co, Ni, Cu; blue stars). The unit cell of a representative LiMO$_2$ structure from the data set is shown as inset. (b) An equivalent analysis for a data set with conformations of the 20 proteogenic amino acids (5 chemical species; H, C, N, O, S; green diamonds) and their complexes with the divalent cations Ba$^{2+}$, Ca$^{2+}$, Cd$^{2+}$, Hg$^{2+}$, Pb$^{2+}$, Sc$^{2+}$ (in total 11 species; blue stars). The inset shows one conformation of a tryptophan dipeptide complex with Ca$^{2+}$. Generally, the RMSE was evaluated after 3,000 training iterations, except for the two 11-systems for which 5,000 iterations were required. The error bars indicate the standard deviation of three independently trained ANN potentials, the gray region highlights descriptors that result in essentially converged ANN potentials with RMSE values around 3 meV/atom, and the lines are meant to guide the eye.

The radial and angular expansion (i.e., 11 each), though the order of magnitude of the noise in our reference data sets with a precision of $\sim 3$ meV/atom, which is the error bars indicate the standard deviation of three independently trained ANN potentials, the gray region highlights descriptors that result in essentially converged ANN potentials with RMSE values around 3 meV/atom, and the lines are meant to guide the eye.

In general, multi-layer ANNs can reproduce any function with arbitrary precision [29]. However, the resolution of the invariant descriptor determines the maximal precision with which an ANN potential can resolve the chemical space of a given material. To determine the resolution of our combined descriptor, we trained ANN potentials to extensive reference data sets with different numbers of chemical species. We consider the resolution satisfactory if the ANN potential can reproduce the reference energies of our data sets with a precision of $\sim 3$ meV/atom, which is the order of magnitude of the noise in our reference data.

Figure 1b shows the precision that can be achieved in representing Li-TM oxides with different numbers of TM species using ANN potentials based on the combined descriptor with different numbers of basis functions. The reference set for the ANN potential training comprised Hubbard-U corrected [30–32] density-functional theory (DFT) energies and optimized structures of 16,047 LiMO$_2$ configurations in the rocksalt structure with different compositions based on nine TMs (Sc, Ti, V, Cr, Mn, Fe, Co, Ni, and Cu) and cation arrangements with up to 36 atoms. For all DFT+U calculations we employed the PBE exchange-correlation functional [33] with projector-augmented wave [34] pseudopotentials as implemented in VASP [35, 36]. DFT energies and atomic forces were converged to 0.05 meV per atom and 50 meV/Å, respectively, gamma-centered k-point meshes with a density of 1000 divided by the number of atoms were used, and the plane-wave cutoff was 520 eV. VASP input files were generated using the pymatgen software with default parameters [37]. Structures with up to 5 chemical species were generated by systematic enumeration, and random atomic configurations were generated for compositions with 6–11 chemical species. Further information about the generation of these reference structures, the parameters of our DFT calculations, and the architecture of the ANNs are given in the Appendix.

As seen in Fig. 1b, the ANN potentials achieve a root mean squared error (RMSE) of $\sim 3$ meV/atom relative to the DFT reference energies with a descriptor dimension of 44 (i.e., 22 basis functions). Note that, for the present work, we employed the same number of basis functions for the radial and angular expansion (i.e., 11 each), though this is not a general requirement of the methodology. Increasing the descriptor dimension beyond 52 or 60 results...
in a minor additional reduction of the RMSE at the cost of significantly increased computational effort. We emphasize that this RMSE is purely a quality measure of the descriptor precision and does not reflect the accuracy of the ANN potentials in simulations, which would have to be carefully validated separately.

The RMSE was evaluated after 3,000 training iterations using the LM-BFGS method [38, 39], however, with increasing number of species and increasing descriptor size the required number of training iterations to achieve convergence generally also increases. Thus, the ANN potentials for 11 chemical species and descriptor dimensions above 40 have not converged after 3,000 iterations, and the RMSEs after 5,000 iterations are shown in Fig. 1. The unconverged RMSE after 3,000 training iterations is shown in Fig. S2 in the Appendix.

Remarkably, the optimal descriptor dimension is essentially independent of the number of chemical species in the composition, and a descriptor dimension of 44 is sufficient to capture the structural and chemical features of the distinct atomic configurations in the LiMnO₂ data set up to 11 chemical species.

Figure 1 shows the equivalent analysis for the first-principles energies and structures of 45,892 conformations of the proteinogenic amino acids (5 chemical species: H, C, N, O, and S) and their complexes with the six divalent cations Ba²⁺, Ca²⁺, Cd²⁺, Hg²⁺, Pb²⁺, Sr²⁺ (a total of 11 chemical species) by Ropo, Schneider, Baldauf, and Blum [16] based on DFT calculations (PBE+TSD-vdW [20]) using the FHI-AIMS package [21]. This data set was compiled specifically for the parametrization of atomic potentials and thoroughly samples the relevant conformational space [16], an important first step towards improved force fields for proteins [22]. The high precision of the ANN potentials with an RMSE of ∼0.1 Å, and the reconstructed RDF from a Chebyshev expansion with a radial order N₂ = 50 (orange line). (c) Same as (b), but with a Gaussian width of 0.1 Å and an expansion order of N₂ = 150.

FIG. 2. (a) Discrete atom-centered radial distribution function (RDFᵢ) for a lithium site in a structure with composition Li₂MnO₄ (black line) and the cosine cutoff function fₗ for a cutoff radius of Rc = 8 Å. (b) Convolution of the RDF of (a) with a Gaussian function with a width of 0.2 Å (black line) and the reconstructed RDF from a Chebyshev expansion with a radial order N₂ = 50 (orange line). (c) Same as (b), but with a Gaussian width of 0.1 Å and an expansion order of N₂ = 150.

for the compositional descriptor ¹φαφβ. Here, we followed the (Ising-model) pseudo-spin convention commonly used for lattice models [43], i.e., ⁷φαφβ = 1 if α = β and 0 else. With this choice, the expansion coefficients are given by

\[ c^{(2)}_α = \sum_{R_j \in \sigma^Rc} \phi_α(R_{ij}) f_c(R_{ij}) w_{ij} \quad \text{ and } \quad (5) \]

\[ c^{(3)}_α = \sum_{R_j, R_k \in \sigma^Rc} \phi_α(\theta_{ijk}) f_c(R_{ij}) f_c(R_{ik}) w_{ij} w_{ik} \]  

(6)

A derivation of Eqs. (4) and (6) can be found in the Appendix. The expansions are truncated at finite radial and angular orders N₂ and N₃ that determine the dimension (i.e., the complexity) and the resolution of the descriptor, i.e., \( \{ R \} R^R_c = \{ (R) c^{(2)}_1, \ldots, (R) c^{(2)}_{N_2}; (R) c^{(3)}_1, \ldots, (R) c^{(3)}_{N_3} \} \).

For this article, we employed the Chebyshev polynomials of the first kind as basis functions (see Appendix), as they can be defined in terms of a recurrence relation that allows for highly efficient numerical evaluation of the function values and their derivatives. With this choice of basis functions, Fig. 2 shows the RDF as reconstructed based on the structural expansion coefficients \( \{ (R) c^{(2)} \} \) for two different orders (N₂ = 50 and N₂ = 150). From comparison with Gaussian convolutions of the discrete RDF, the radial resolution of the expansion order N₂ = 150 is around 0.1 Å. Atomic features on smaller scales may affect the shape of the RDF but do not give rise to distinct peaks. The expansion of the ADF is completely
analogous.

We note that the radial and angular BP symmetry functions \[2, 20\] can be cast into the form of Eqs. \(D_4\) and \(D_5\) but are neither orthogonal nor systematically refinable. The relationship of our structural descriptor to the coefficients of a basis set expansion is, in turn, closer in spirit to the SOAP method \[3, 21\] which is based on the power spectrum of the atomic density of the local atomic environment. SOAP allows for a rigorous and systematic description of the local structure, which comes at the cost of an arithmetically (and computationally) more complex formalism. However, by limiting the descriptor to radial and angular contributions our method maintains the simple analytic nature of the BP approach that allows for a highly efficient numerical implementation and straightforward differentiation (which is required for the calculation of analytic forces and higher derivatives). Basing the radial and angular descriptors on an expansion in a complete basis set allows their systematic refinement in the spirit of the SOAP approach, though our approach is limited to two- and three-body interactions.

Also note that decomposing the local atomic environment into \(n\)-body contributions as done in our structural descriptor is an established and well-tested approach for lattice models such as the cluster expansion (CE) method \[24, 25\]. In CE models, the total configurational energy is expanded in a basis set consisting of site clusters \(s_i, s_j, \ldots\) with increasing numbers of lattice sites \(s_\alpha\), i.e., point clusters, pairs, trimers, \ldots, \(n\)-tuples. The site clusters form a complete basis set, and the configurational averages of all equivalent clusters (the cluster correlations) are the descriptor of the CE model. Unlike MLPs, the CE energy is a linear function of the descriptor. For the case of the continuous structural energy, Thompson et al. demonstrated that a linear potential based on SOAP (which also is a complete basis of the local structure) can achieve reasonable accuracy in practice if a sufficient number of basis functions is used \[16\].

However, the strength of non-linear machine-learning models is that they do not require mathematically complete descriptors as long as the descriptor is able to differentiate between all relevant samples. This property is exploited, for example, in the area of image recognition and text classification \[17\]. In practice this means that even an incomplete descriptor of the local atomic environment may be sufficient to construct a non-linear MLP if that descriptor is able to differentiate between all relevant local atomic structures, i.e., the descriptor does not have to resolve all hypothetically possible sets of three dimensional coordinates.

This behavior is exemplified in Fig. 3 which compares the precision of an ANN potential for the LiMO\(_2\) data set with 5 chemical species (10,175 atomic configurations) with that of a linear energy model as function of the descriptor dimension. As seen in the figure, the ANN achieves an RMSE of \(\lesssim 3\) meV/atom with descriptor dimensions of 44 (22 basis functions) and larger. Comparison with Fig. 2 shows that such a small basis set corresponds to a coarse representation of the RDF and the ADF, however, obviously this level of approximation is sufficient for the ANN potential to differentiate between all structural and compositional features in the reference set. This is not the case for the linear model whose RMSE is \(> 15\) meV/atom even for a descriptor dimension of 84.

In conclusion, we showed that machine-learning potentials do not require (mathematically) complete descriptors of the local atomic environment to reproduce potential energy surfaces with high precision. With this insight, we devised a combined descriptor of the local atomic structure and composition whose complexity does not scale with the number of chemical species. The method is conceptually simple and allows for highly efficient numerical implementations. The utility of the approach was demonstrated for two exemplary materials classes, lithium transition-metal oxides and amino acid complexes, each separately comprising compositions with 11 different chemical species. We showed that the potential energy landscape of both example systems can be represented with high precision by artificial neural network potentials using the combined descriptor achieving a resolution of around 3 meV/atom. Hence, machine-learning potentials are in practice not limited to compositions with small numbers of chemical species as previously argued in the literature and may be effective for the modeling of high-dimensional materials such as oxide solid solutions and peptide chains.

![Fig. 3. Convergence of the root mean squared error (RMSE) of the predicted LiMO\(_2\) (5 species, \(M = Ti, Mn, Ni\)) energy for a linear model (empty diamonds) and a non-linear ANN (filled diamonds) with the dimension of the combined descriptor. The gray region highlights descriptors that result in essentially converged ANN potentials with RMSE values around 3 meV/atom. The lines are meant to guide the eye.](image)
I. ACKNOWLEDGMENTS

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FIG. S1. (a) Dual basis functions of Eq. (E4) (Chebyshev polynomials) up to order $\alpha = 6$ for a cutoff radius $R_c = 8.0 \, \text{Å}$. The polynomial of order $\alpha = 0$ is constant 1 and not shown. (b) The corresponding basis functions of Eq. (E5) (only needed for the reconstruction of the RDF or ADF).

Appendix A: The LiM/O$_2$ data set

Starting point for the generation of the LiM/O$_2$ data set of this work were the enumerated lithium transition-metal (TM) oxide configurations of reference [48]. The data set with 3 chemical species (Li, Ti, and O) comprised a total of 7,338 structures including the TiO$_2$ structures from reference [28] and additional LiTiO$_2$ configurations that were systematically enumerated based on the rocksalt structure (A sites = Li and Ti, B sites = O) up to cell sizes containing 8 cation sites using the approach by Hart et al. [49–51]. For the data set with 4 chemical species (Li, Ni, Ti, and O), 1,343 atomic configurations with compositions LiNiO$_2$ and Li$_2$NiTiO$_4$ were additionally generated using the same enumeration methodology (giving a total of 8,681 configurations). Further, 1,494 atomic configurations with compositions LiMnO$_2$ and Li$_2$NiMnO$_4$ were added for the set with 5 species (Li, Ti, Mn, Ni, O) to a total of 10,175 configurations. Finally, for 11 chemical species, random atomic configurations with composition Li$_9$M$_9$O$_{18}$ with $M$ = Sc, Ti, V, Cr, Mn, Fe, Co, Ni, Cu for all 24,310 possible compositions were generated, and 5,872 randomly selected configurations were included in the reference data set.

The complete reference data set for 11 chemical species comprises a total of 16,047 atomic configurations.

Appendix B: Artificial neural network potentials

Together with the dimension of the descriptor discussed in the main text, the architecture of an artificial neural network (ANN) determines the model complexity. For the feedforward ANNs used in the present work, the architecture is given by the number of hidden layers and the number of nodes per layer employed by the ANN (see also reference [28] for a detailed introduction). To facilitate comparison of the different structure and composition spaces on equal footing, we generally used a $N$-15-15-1 ANN architecture, i.e., an architecture with two hidden layers containing each 15 nodes independent of the descriptor dimension $N$.

The atomic energy network (aenet) software [28] was employed for the training of the ANN potentials with the limited-memory BFGS method [38, 39].

Appendix C: Scaling behavior of existing local descriptors

The complexity of the Behler–Parrinello (BP) descriptor and the cluster-expansion basis scales at least quadratically with the number of chemical species.

As noted in the main manuscript, separate MLPs for each chemical species are constructed. For each of these MLPs, the descriptor also scales with the number of species:

For example, the angular symmetry functions for an ANN potential for a single species $A$ (A-A). For two species $A$ and $B$, three interactions occur (A-A, A-B, and B-B), and for three species $A$, $B$, $C$, there are six (A-A, A-B, A-C, B-B, B-C, C-C). In general, for $N$ species the number of interactions is $N(N + 1)/2$, i.e., the scaling is quadratic in the number of species. Further details about the symmetry function set up for multicomponent systems using the Behler-Parrinello approach along with actual parameters can also be found in reference [52].

When Ising-like pseudo spin variables are used to describe compositions, as for example in the cluster expansion (CE) method, an analogous scaling with the number
of species occurs. The number of CE basis functions scales quadratically with the number of species when only pair clusters are considered. Generally, the scaling is on the order of the highest included n-body interaction, i.e., cubic for triplets, 4th order for quadruplet interactions, and so on. Mathematically, this relationship was worked out in reference \[43\]

The multi-component implementation of the smooth overlap of atomic positions (SOAP) approach, is laid out in section 2.3 of reference \[13\]. The scaling is also quadratic, as it involves partial power spectra for each pair of species.

### Appendix D: Derivation of the expansion coefficients

The expansion coefficient \(c_{\alpha}^{(2)}\) of the basis set expansion of the radial distribution function (RDF)

\[
\text{RDF}_i(r) = \sum_{\alpha} c_{\alpha}^{(2)} \phi_{\alpha}(r),
\]

where \(\int \phi_{\alpha}(r) \phi_{\beta}(r) \, dr = \delta_{\alpha\beta}\) and \(\{\phi_{\alpha}\}\) is the orthogonal dual basis to \(\{\bar{\phi}_{\alpha}\}\), is given by

\[
c_{\alpha}^{(2)} = \int_0^{R_c} \bar{\phi}_{\alpha}(r) \text{RDF}_i(r) \, dr.
\]

Note that the RDF as defined in Eq. (4) of the main manuscript

\[
\text{RDF}_i(r) = \sum_{R_j \in \sigma_i^{R_c}} \delta(r - R_{ij}) f_c(R_{ij}) w_{ij}
\]

is only different from 0 for \(0 \leq r \leq R_c\), so that the integral in Eq. \[D2\] can be replaced by an integral over the entire space. Inserting the expression of the RDF Eq. \[D3\] into the Eq. \[D2\] yields

\[
c_{\alpha}^{(2)} = \sum_{R_j \in \sigma_i^{R_c}} \int \bar{\phi}_{\alpha}(r) \delta(r - R_{ij}) f_c(R_{ij}) w_{ij} \, dr
\]

\[
= \sum_{R_j \in \sigma_i^{R_c}} \bar{\phi}_{\alpha}(R_{ij}) f_c(R_{ij}) w_{ij} \quad \text{,} 
\]

which is the expression given in Eq. (6) of the main manuscript.

The derivation of the coefficients \(c_{\alpha}^{(3)}\) of the angular expansion is completely analogous.

### Appendix E: Chebyshev polynomials of the first kind

The Chebyshev polynomials \(\{T_n\}\) are defined by the recurrence relation

\[
\begin{align*}
T_0(x) & = 1 \\
T_1(x) & = x \\
T_{n+1}(x) & = 2xT_n(x) - T_{n-1}(x)
\end{align*}
\]

(E1)

The polynomials are orthogonal on the interval \([-1, 1]\) with respect to a weight

\[
\int_{-1}^{1} T_n(x) T_m(x) \frac{dx}{\sqrt{1-x^2}} = \begin{cases} 
\pi & n = m = 0 \\
\frac{\pi}{2} & n = m \neq 0 \\
0 & \text{else}
\end{cases}
\]

(E2)

so that we choose the basis functions \(\{\phi_{\alpha}\}\) and their duals \(\{{\bar{\phi}}_{\alpha}\}\) on the interval \(r \in (0, R_c)\) (for the radial expansion) as

\[
\phi_{\alpha}(r) = \frac{k}{2\pi \sqrt{r R_c - r^2}} T_n\left(\frac{2r}{R_c} - 1\right) 
\]

(E3)

and \(\bar{\phi}_{\alpha}(r) = T_n\left(\frac{2r}{R_c} - 1\right)\) with \(0 < r < R_c\) (E4)

where \(k = 1/2\) for \(\alpha = 0\) and \(k = 1\) otherwise. For the angular expansion, the appropriate interval is \(0 < \theta < \pi\), so that \(R_c\) has to be replaced by \(\pi\) in Eqs. \[E3\] and \[E4\].