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Confidence Crisis Among General Surgery Residents

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Have We Created a Crisis in Confidence for General Surgery Residents? A Systematic Review and Qualitative Discourse Analysis

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ABSTRACT

Importance: In the surgical community, there is concern that general surgery residents are choosing subspecialty training in large numbers because of a crisis in confidence at the end of training. Survey studies are used as evidence to support modifications in the training paradigm.

Objectives: Confidence is an essential quality of surgeons, and recent studies have attempted to quantify and measure it in graduating general surgery residents. This study was undertaken to systematically review the quality of evidence provided, and to critically analyze the language used to describe the findings using quantitative methods.

Evidence Review: A systematic review of the PubMed indexed literature on general surgery resident confidence was performed. A summative table of each study’s hypothesis, definition of confidence, quality using MERSQI, influence using Web of Science citations, results and conclusions was created, and qualitative coding was applied to identify emerging themes.

Findings: Fifteen survey studies have been performed that measure confidence or readiness to practice. Although five studies have neutral or positive conclusions, most studies report low confidence in general surgery graduates. There are conflicting data about definitions of confidence. The relationships between confidence, autonomy, and competence are varied and complex. Comparisons to the past are frequent.

Conclusions and Relevance: Confidence is difficult to define and measure. Despite limitations, survey studies are used to shape discourse and influence policies. Social and cultural factors influence self-efficacy, and focusing on operative volume and autonomy alone may not address all of the reasons that some residents express concerns about readiness to practice.
General surgery residency training has undergone many changes over the past decade, in response to both external regulatory pressures, such as the 80-hour work-week and increased focus on resident supervision, and to changing patterns in the practice of general surgery itself.\textsuperscript{1,2} The increasing complexity of operative procedures, adoption of advanced minimally invasive techniques, and need for extensive knowledge of adjuvant treatments have led surgeons to become more subspecialized. Today, more than 80\% of graduating general surgery residents in the United States currently choose to pursue additional training in surgical subspecialities.\textsuperscript{3} Why do so few graduates of surgical training programs go directly into general surgery practice? One often repeated explanation is that the combination of duty hour restrictions, decreased autonomy, and increasing operative complexity has led to a decrease in the confidence of graduating residents over the past 10 years.

Within the community of surgeons, there is little doubt that confidence is an essential quality. In general, we assume that confidence in one’s ability as a surgeon is something that one does not have at the start of training, but that it grows over time with experience. There is a sense, however, that residents’ confidence has deteriorated since the institution of the 80-hour work-week. This is discussed at length at national surgical meetings,\textsuperscript{4} written about in editorial articles,\textsuperscript{5} and even debated in the mainstream press and popular blogs.\textsuperscript{6,7} Whether this sense of decreased confidence corresponds with a decrease in surgical skills has been debated\textsuperscript{8-10} but is not the focus of this review. Competence and confidence, though closely related, are different. Competence refers to the mastery of the technical and cognitive skills required to be a surgeon, while confidence relates to a surgeon’s belief that he or she possesses and can execute those skills. A surgeon who has one without the other can be dangerous for patients: confidence without competence manifests as hubris, while competence without confidence results in indecision and doubt.
In an attempt to quantify and measure the confidence of general surgery residents and recent graduates, several survey studies have been conducted. The majority of these studies describe a lack of confidence of general surgery residents, finding that both fellowship directors,\textsuperscript{11} practicing surgeons,\textsuperscript{12} and residents themselves \textsuperscript{13-19} report low confidence in performing general surgical procedures independently at the conclusion of training. Others, including the most recent and one of the largest surveys, refute this claim.\textsuperscript{20-22} Lack of confidence is being discussed as a significant problem – a crisis, even. As a surgical community, we have responded to this sense of crisis in part by proposing changes to training. One proposal that has already been implemented is the Transition to Practice (TTP) general surgery fellowship.\textsuperscript{23} This program occurs after general surgery residency, similar to a subspecialty fellowship, but the goal is not to impart new technical skills or deeper understanding of a specialty. Rather, the intention is to fill perceived gaps in training, encourage strong mentorship, and provide gradual autonomy over the course of one year following residency. Other proposals for restructuring training are being considered among leaders of surgical associations that would fundamentally alter the five-year training paradigm. Various configurations are being discussed but all would provide some type of generalized training of the fundamentals of general surgery for 3-4 years followed by more specialization and independent operating during senior residency years and fellowship.\textsuperscript{4} The discussion centers on ways of providing operative autonomy, with the supposition that providing greater autonomy will result in higher confidence. There is no empiric evidence, however, that these approaches will have the desired effect on trainee confidence.

Surgeons have a sense that confidence is very important to possess, but we have little formal language to discuss it. The social sciences have a more sophisticated understanding of what precisely what is meant when groups speak colloquially about confidence and the factors that influence it. According to the psychologist Albert Bandura, "Confidence is a non-specific term that refers to strength of belief but does not necessarily specify what the certainty is about. Confidence is a catchword rather
than a construct embedded in a theoretical system." When someone is asked whether or not they feel confident about something without first defining the term within a shared theoretical construct, it is difficult to interpret the response in a meaningful way.

Within social cognitive theory, the term *self-efficacy* most closely describes the idea surgeons seem to be attempting to capture when discussing confidence, and Bandura has described in detail the methods to define and measure it. Self-efficacy is situation-specific and measurable, whereas confidence is individually understood and interpreted. Social cognitive theory understands individuals' actions and reactions are strongly influenced by the actions and behaviors of others. Self-efficacy, therefore, is a social phenomenon shaped not only by the objective acquisition of skills and technical expertise but also by the absorption of the attitudes and opinions of others. For surgery trainees, this influence of the surrounding culture has largely not been discussed. Operative autonomy is often singled out as the most important factor contributing to resident confidence, but there is little recognition of the effects of the social dynamics of surgical training. It can be difficult to appreciate the magnitude of influence of social factors, but social scientists recognize and attempt to account for their important contribution when measuring self-efficacy. Interesting observations about the culture of surgery reported by anthropologists and a variety of social scientists may help us understand that the ways we relate to one another has powerful influence on the surgeons we ultimately become.

This study is a systematic review and content analysis of the published literature that has contributed to the construction of the *confidence crisis* among graduating general surgery chief residents. The aim of this review is to qualitatively explore published articles that measure trainee or recent graduate confidence within a wider context, considering not only the oft-cited trifecta of duty hour restrictions, decreased autonomy, and increased case complexity, but social and political factors, as well. We closely examine the definitions of confidence provided in each article and analyze the specific
survey methods. Future research priorities and areas for potential interdisciplinary collaboration are identified, and a shift in language is suggested away from the broad colloquial construct of confidence and towards self-efficacy.

Methods:

A systematic review and directed qualitative content analysis was done by the single author, delving into the construction of a crisis in resident confidence, and the discourse surrounding that narrative. Drawing heavily on literature from the social science domain on the construction of social problems and the social cognitive theory of self-efficacy, the existing body of literature in the traditional medical journals (PubMed indexed journals) that have measured surgery resident confidence and readiness for practice in North America were reviewed.

The systematic review was conducted by searching on PubMed with the search terms “confidence” AND “residency training”; “confidence” AND “surgery resident”; “confidence” AND “surgery”; “resident confidence.” No time limit was specified (Figure 1). Over 1500 titles were returned from that searching strategy; all were reviewed. Over 1400 were deemed not relevant based on title alone and of the remaining articles, abstracts and bibliographies were reviewed. From those relevant bibliographies, additional studies were identified as relevant and 59 candidate full length articles were reviewed. Fifteen studies were identified that directly surveyed surgical trainees and/or fully-trained surgeons and made claims about confidence or preparedness for practice. Initially, only articles that used the word confidence in the survey instrument were included but several studies have been cited by others as measuring confidence or something like it, which were included in this analysis. For example, the objective of the article by Foley, et al. was to characterize current resident perspectives on the state of residency training. Although the word confidence does not appear in the survey or article, the authors report that 86% of residents felt that they will be prepared to practice. These data were subsequently
offered by Fronza, et al.\textsuperscript{31} as counter-evidence to the claim that residents have low confidence. Because subsequent authors interpreted the concept of preparedness to practice within the construct of trainee confidence, we included a few of the articles that were heavily referenced and helped frame the discourse in the literature. The earliest publication was in 2008. No studies measuring confidence in general surgery trainees were identified that were conducted before the 2003 ACGME duty hour reforms.

A comprehensive table was created to compare each study’s hypothesis, population, definition or construct of confidence, survey design, results and conclusions. The Medical Education Research Study Quality Instrument score was calculated for each study to give a measure of the relative quality of each study.\textsuperscript{32} The number of times each article has been cited within the Web of Science is provided to show the relative influence of each article. In addition to the summative table, qualitative methods were applied. Each article and accompanying discussions or invited editorials were read repeatedly to achieve immersion. Attention was given to language and content, passages were coded and categorized, and emerging themes were identified. Example quotes and narratives are presented that reflect some of the common themes, controversies, and shared discourses from this literature.

**Results:**

Table 1: Table of Studies

**Qualitative results:**

The first level qualitative analysis identified the common themes: authors’ definitions of confidence, relationships between confidence, competence and autonomy, and comparisons to past trainees.

One of the consistent themes is that confidence was defined in detail for the reader of the article, but when the survey instrument was available for analysis, that detailed definition was not
provided to the survey taker. For example, Fonseca, et al.\textsuperscript{14} state, "It is our contention that self-reported confidence \textit{is} a \textit{reflection of one's ability to deal effectively with both the technically and judgment-related aspects of an operation}," and that confidence "in this context means having the skill set to deal in the OR with a variety of surgical problems in a safe and effective manner and the confidence to know when to proceed, when to change plans, and when to ask for help." The survey, however, asked residents to "Rate your confidence in performing the following procedures..." with no further elaboration, requiring survey-takers to define confidence for themselves prior to answering. The authors\textsuperscript{17} point to an analogous methodology weakness when it came to the clinical scenarios included in the survey: "We queried residents regarding operative confidence in the control of liver and splenic injuries without describing the nature or extent of the injury..." Despite this acknowledgement, the authors did not identify the lack of a shared definition of confidence between researchers and survey-takers as a weakness of their study.

Many authors linked the extent of an individual's confidence directly with case volume or autonomy, although there are conflicting data in the articles about case volume and no empirical evidence linking confidence and autonomy. Volume is a straightforward measure, quantified by looking at case logs. Autonomy is mentioned as a target for intervention in several articles that asked for free text, open-ended responses,\textsuperscript{11-13,19} but as a variable, autonomy is perhaps even more challenging to define and measure than confidence. Some studies\textsuperscript{22,31} found no correlation between case volume and a residents' confidence or perception of competence. Others measured case volume and found higher volumes to be correlated with increased confidence.\textsuperscript{14,17,18,20}

Other studies hint at social interactions that influence confidence, but did not attempt to measure or explain these in detail. In particular, authors provided a sense that there are important, unmeasured social factors influencing the disparate answers between men and women in several
surveys.\textsuperscript{14-18,20} For instance, Fonseca, \textit{et al.}\textsuperscript{14} note, “these difference in self-assessment may be because of sex differences in socialization,” and Bucholz, \textit{et al.}\textsuperscript{15} suggest that “women face different challenges during general surgery than men...[that] may manifest themselves in lower confidence levels.”

Some authors argued that low confidence among surgical residents constitutes a crisis by linking confidence and competence, asserting that they measure roughly the same thing.\textsuperscript{14,17,18} Other authors cite studies that show that confidence underestimates competence,\textsuperscript{15,17,31} while another cites studies that confidence overestimates competence.\textsuperscript{19} It is clear that the two are related, but to what extent competence is reflected in responses to questions about confidence is still unclear.

There is disagreement about what should be the appropriate level of confidence. Surgical outcomes or educational research often includes a targets or benchmarks against which to compare research results. This body of literature, however, provides no sense about how many residents reporting low confidence is too many. Some authors use positive language to describe finding that 70-94\% of residents report confidence in some set of skills or preparedness to practice,\textsuperscript{19,20,30} while others use negative language in reporting numbers in an overlapping range of 60-75\%.\textsuperscript{11-18} The most recent large survey by Klingensmith, \textit{et al.} found that more than 90\% of recent graduates do express confidence.\textsuperscript{22}

The studies differed in which groups the authors thought were most knowledgeable about trainee confidence and preparedness for practice: residents themselves, their teachers, or future senior partners. They also differed in the design of survey instruments, which hinders comparisons between surveys. Most surveys used a Likert scale, although some used a 5-point scale and others used a 4-point scale with no neutral option. In the studies using a 5-point scale, the “neutral” response was mostly categorized with the “not confident” responders for analysis\textsuperscript{14-18} with the exception of one study that considered only the lowest category as “not confident”.\textsuperscript{20}
Finally, language indicating a preference for the past is prevalent in this body of literature on confidence among general surgery trainees, both in the texts of the articles themselves and in the transcripts of discussion that surrounds their presentation at surgical meetings. Nostalgic preference, or the belief that past experiences were better than present counterparts is widespread, and the emotional context of a lived experience can influence how we view the past compared to the present. Mattar, et al. write, “no one can deny that in the past, graduating residents were superb,” and Napolitano, et al. write, “surgeons commented that their training was extremely rigorous and difficult, but the hard work paid off in terms of their confidence.” Freidell, et al. explicitly discuss nostalgia as an underlying sentiment: “There seems to be a common thread with the surveys of the general surgery program directors, the fellowship program directors, and the ACS senior surgeons that today’s trainees are not as qualified as their predecessors were when they graduated. This might reflect the belief that all senior surgeons will have for time immemorial.”

Discussion:

More than ten years have passed since duty hours restrictions began and although most surgeons acknowledge that there are positives aspects to these mandates, there remains a sense of wistfulness for the intense camaraderie among residents during the days of every-other-night call. Surgery training was described as doing daily battle in an ongoing war, with sage and skilled surgeons emerging from the transformative experience. Residents hear this narrative throughout their training, as told by their mentors and teachers. While it is not necessarily a bad thing to talk about the positive aspects of what residency trained used to be, the reminiscing about the past often glosses over the negatives while coloring the present-day experience of being a surgical trainee. If a surgeon is guiding a resident through an operation while simultaneously lamenting that today’s training is inferior to years past, that resident may learn the judgment and skills required to perform the operation but internalize
the sense that he or she is performing worse than some historic control. There are no data to support or refute this narrative and there never will be because that historic control existed in a time before the public reporting of quality measures. This may be a self-fulfilling prophecy at the level of the social interactions in training. Social scientists who have observed surgical trainees have observed that this divide between the resident of “back in the day” and the resident of today became profoundly apparent when the 80-hour workweek was introduced. \textsuperscript{27,34} When we tell residents that their training is worse today than it has ever been, are we really surprised that they provide negative answers when we ask them about their confidence? Nostalgic comparisons offer nothing of value to today’s trainees, and if we are genuinely concerned about the confidence of today’s residents, we would stop making comparisons to the past all together.

Since 	extit{confidence} is a general and colloquial term, and almost none of the published studies provide survey takers with a shared definition of the theoretical construct that the researchers are measuring, the reader is left to wonder what these survey studies have actually quantified. A more precise framework for study is self-efficacy, and future research in this area should use this well-established construct to develop measurable benchmarks. The lack of any data prior to 2008 makes comparisons to past training impossible. Many of the articles describe a deterioration of confidence but none are able to say precisely how confident residents were in the past because no one attempted to measure it prior to the introduction of duty hours. Even if a reader accepts that these surveys have successfully measured trainee confidence, and one accepts the unsupported claims that trainee confidence was higher in the past, it remains unclear whether confidence is lower due to unmeasured social factors, such as the self-fulfilling prophecy of nostalgic comparisons, rather than a deterioration of surgical skills and judgement acquisition.
What the authors of the articles reviewed here are doing is an exercise termed “claims-making” in social research. There has been a sense in our community that confidence is low, and these surveys were administered to provide evidence to legitimize or refute this claim. In our rigorous scientific field, data in the form of numbers are generally seen as more valid than qualitative narratives, so authors have made an attempt to quantify this feeling by designing and distributing surveys. Unfortunately, few researchers have enlisted help from social scientists with expertise in defining and measuring self-efficacy, so the survey results are generalizations at best. These data are now tabulated and quantified, with p values assigned. Based on this critical review, the lack of a shared definition for the purported measurement of confidence, the influence of individual's social and political world view, the biased interpretive lens of nostalgia, the lack of benchmarks, and the complex relationship between confidence and competence make the results difficult to use to make strong conclusions. In essence, these authors have created legitimacy for a constructed claim. The confidence crisis is a potentially dangerous narrative based on low-quality evidence, one that could affect how we as a community of professionals are perceived by the public, particularly when the articles are discussed in the lay press and internet blogs. A limitation of this current paper is that it is based on one author’s review of the literature and qualitative analysis, but the authors of the most recent survey make a similar observation that the claim may have been overstated. Snyder, et al. offer an different interpretation of these studies and praise residents for exercising appropriate caution in today’s practice environment, which is an alternative way to frame further discussion.

The ideas and policies that have been proposed as a way to restore confidence in our graduates have serious implications for the future of residency training. Many of the proposed changes may increase operative autonomy, but whether addressing autonomy alone will reverse the alleged trend of decreasing confidence is not known. To continue to propagate the confidence crisis narrative as a reason to change residency training is disingenuous for the reasons outlined: (1) Confidence is difficult to define
and even more difficult to measure. Self-efficacy is a better construct and future research collaborations with social scientists should be forged; (2) We have no idea if confidence has declined over time. Prior to 2003, no one measured it; (3) Re-arranging training paradigms may lead to more hours in the operating room doing relevant operations and more autonomy, but does nothing to address the social and political factors that influence self-efficacy, such as perceived gender roles and professional role confidence. More robust social science research on the culture of surgery is needed to understand these factors so that interventions can be designed that go beyond the skills and knowledge acquisition that have made up the bulk of the conversation to date. We need to continue to work to reform residency training to provide the best possible graduates for the surgery needs of our country, but we should consider taking crisis of confidence out of the discussion. We need to focus on robust methods of measuring self-efficacy, collaborate with social scientists to more deeply understand the underlying social factors related to it, pare down our nostalgic comparisons, and concentrate educational efforts on factors such as operative autonomy that contribute to, but do not wholly explain why some residents express concerns about being prepared for independent practice.

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