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Far-Infrared Generation by Picosecond Pulses in
Electro-Optical Materials

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ABSTRACT

Theoretical calculation of far-infrared power spectra
generated by picosecond pulses in a nonlinear optical crys-
tal is presented. Results are illustrated by two practical
examples with a LiNbO₃ slab oriented for different phase-
matching conditions.
Stimulated by a general interest in non-linear optical effects and by the scarcity of powerful sources in the far infrared region, several authors have investigated far infrared generation by optical beating in electro-optic materials. Following the development of mode locked lasers with pulse widths in the picosecond range, optical rectification has become a feasible method of generating broadband radiation of high peak power (~1 KW), as supported by the recent experimental results. Theoretically, Gustafson et al. have calculated the rectified field for an infinite plane wave in the limit that the optical and far infrared phase velocities differ negligibly. They have also neglected reflection and refraction at the crystal boundaries. This letter reports a more realistic calculation which includes the various effects due to a finite beam cross-section, crystal boundaries, and the significantly different optical and far-infrared phase velocities.

Consider a short laser pulse incident normally on a thin slab of electro-optic material. The slab has a transverse dimension much larger than the beam diameter, and we can assume that the laser pulse propagating in the slab in a single transverse mode is given by

$$E_L = \sum_j E_{LJ} (r, t)$$

with

$$E_{LJ} (r, t) = \frac{1}{\xi_j} \exp[-\frac{x^2+y^2}{w_0^2(1+i\xi_j)} - \frac{(n_J z/c - t)^2}{\sigma^2} + i \omega (n_J z/c - t) + i \phi]$$

where $w_0$ is the beam radius in the focal plane, $\sigma$ is the pulse width,
\( \xi_j = (L + z/n_j)/(\omega_0^2/2c) \), \( L \) is the distance between the focal point and the front surface of the slab, and \( z \) is the distance away from the front surface into the slab. The subindex, \( j \), indicates the polarization state of the laser field. The other quantities have their usual physical meaning.

The laser pulse induces in the slab a nonlinear polarization at difference frequencies of the form

\[
P_{NL}(r,t) = \chi_{NL}^{\xi} E_{\xi} E^{*}_{\overline{\xi}}
\]

if we neglect the dispersion of the nonlinear susceptibility \( \chi_{NL}^{\xi} \). The far infrared radiation field \( E_r(t) \) generated in the slab can then be obtained by solving the wave equation

\[
[V \times (V \times ) + (\varepsilon/c^2) \frac{3 \partial^2}{\partial t^2}] E_r(t) = -\frac{k^2}{c^2} \frac{3 \partial^2}{\partial t^2} P_{NL}(r,t)
\]

with the proper boundary conditions. Here, we have also neglected the dispersion of \( \varepsilon \).

To solve Eq. (3), we use essentially the scheme of Bjorkholm. From the Fourier transform of \( E_r(t) \) and \( P_{NL}(r,t) \) on \( x, y, \) and \( t \), we obtain the Fourier components \( E_{\xi}(k_x, \omega, z) \) and \( P_{NL}^{\xi}(k_x, \omega, z) \) respectively. We then use the Green's function method to find \( E_{\xi}(k_x, \omega, z) \). Although far-infrared radiation is generated in the slab in all directions, only the part which propagates in forward and backward directions with nearly normal incidence on the plane surfaces of the slab can get out of the slab because of the large refractive index of a crystal in the far
infrared. If we are interested only in that part of the far-infrared radiation, then we can use the Green's function for normal incidence as an approximation in finding \( E(k_T, \omega, z) \). Multiple reflections at the plane boundaries of the slab are clearly important, so that the solution should be proportional to a Fabry-Perot factor. For far-infrared field in the \( i \) polarization state, we find at the back surface of the slab, \( z = \lambda \),

\[
E_i(k_T, \omega, \lambda) = F_i E^S_i(k_T, \omega, z=\lambda) - R_i E^S_i(k_T, \omega, z=0) \exp \left( i \omega n_i(\omega) \lambda / c \right) \quad (4)
\]

with

\[
E^S_i = \frac{2 \pi i \omega / c n_i(\omega)}{\int_0^\lambda dz' P^{NL}_i(k_T, \omega, z') \exp \left( i \omega n_i(\omega) |z-z'| / c \right)}
\]

where \( F_i \approx (1 - R_i)/[1 - R_i^2 \exp \left( i 2 \omega n_i(\omega) \lambda / c \right)] \) is the Fabry-Perot factor, and \( R_i = (1 - n_i(\omega))/(1 + n_i(\omega)) \) is the reflection coefficient for the \( i \) polarization state.

Experimentally, the far infrared output from the slab is collected by a tapered light pipe leading to a solid-state detector. Since wave propagation in the light pipe has a cutoff angle \( \phi_M \), the total far infrared power seen by the detector is given by

\[
P_i(\omega) = \frac{c/2 \pi}{|k_T| = (\omega / c) \sin \phi_M} d^2 k_T |E_i(k_T, \omega, \lambda)|^2 \cos \phi \quad (5)
\]
where $\phi = \sin^{-1}(k_c c/\omega) \ll \phi_m$. To calculate $P_i(\omega)$ from Eq. (5) with the help of Eqs. (1), (2), and (4), we use the following approximations.

We assume that the cross-section of the laser beam remains unchanged in traversing the thin slab. If both ordinary and extraordinary laser beams are present, then we also assume that walkoff of the two beams in the slab is negligible. Both assumptions are clearly good approximation when the slab is not unusually thick ($< a$ few mm.). Since $\phi_m$ is often small, we also approximate $\cos \phi$ by 1 in Eq. (5). Then, if $\chi_{ijk}^{NL}$ is the only dominating nonlinear susceptibility in difference-frequency generation, we find, for a slab of thickness $\ell$,

$$P_i(\omega) = I_A |P_i|^2 M_{ij} \Delta S$$

where\(^\text{13}\)

$$I_i = (\pi/2c)(\omega/\gamma_i)^2 |\chi_{ijk}^{NL} |^2 \xi_j \xi_k / (1+\xi^2)^2 \xi^2$$

$$A = \pi \omega_0^2(1+\xi^2)/h, \quad \xi = \xi_j (z=0)$$

$$M_i = |(M^{-}_{i} + M^{-}_{jk}) - R_i (M^{+}_{i} + M^{+}_{jk})|^2$$

$$M^{+}_{i} = [1 - \exp (i\Delta k^{+}_{jk} \xi)] / i \Delta k^{+}_{jk} \xi$$

$$\Delta k^{+}_{jk} = (1/c)[(\omega - \omega/2)n_j^{\omega_i} - (\omega - \omega/2)n_k^{\omega_i} + \omega n_i]$$

$$D = 1 - \exp[-(\omega^2/4c^2) \omega_0^2(1+\xi^2) \sin^2 \phi_m]$$

$$S = \exp (-\omega^2 \sigma^2/4).$$
The various quantities in the above equation have the following physical meanings. A is the effective cross-section of the beam at the slab. $M_{ijk}^+$ takes care of the phase mismatch in the difference-frequency generation process, with $\Delta k_{jk}^-$ and $\Delta k_{jk}^+$ being the average momentum mismatches for far-infrared waves propagating in the forward and backward directions respectively. D accounts for the diffraction effect due to the finite beam cross-section. S is the spectral content of the picosecond laser pulse. Finally, $I_iA$ gives the far-infrared power spectrum if all the other factors in Eq. (6) are in unity.

We now use Eq. (6) to calculate the spectra of the far-infrared output for two cases. In the first case, a 0.1 cm. LiNbO$_3$ slab is oriented with the c-axis parallel to the plane surfaces of the slab. A 2-psec. laser pulse at 1.06 \mu m, polarized along the c-axis, is normally incident on the slab, so that $\chi_{33}^{NL}$ is the only nonlinear susceptibility responsible for the difference-frequency generation. With $\jmath = \kappa = \jmath$ along $\epsilon$ and $n_i(\omega_0) \neq n_i(\omega)$ in Eq. (6), phase matching occurs only at $\omega = 0$.

The calculated spectrum is shown in Fig. 1. The dashed curve gives the spectrum without the Fabry-Perot boundary condition. The peaks at 5, 8.4, and 11.8 cm$^{-1}$ are the secondary peaks of the phase-matching curve, which would have the major phase-matching peak at $\omega = 0$ if it were not for the low-frequency cutoff. This low-frequency cutoff is mainly due to the $\omega^2$-dependent radiation effects, and gives rise to the first peak at 2 cm$^{-1}$. The diffraction effect (D) only makes the cutoff even sharper, but does not affect the spectrum significantly beyond the first peak. On the high-frequency side, the spectrum is limited by the spectral content $S$ of the input pulse. With the Fabry-Perot
boundary condition included, the spectrum is then modified by the interference pattern, as shown by the solid curve with spikes in Fig. 1. For an input pulse of 1 GWatt peak power, the total far-infrared output energy is about 0.1 erg. Our results here agree with those of Gustafson et al.\(^8\) in the limit \(n_i^{(w)} = n_i^{(w)}\) and when diffraction and boundary conditions are neglected.

In the second case, the \(\text{LiNbO}_3\) slab is oriented with the c-axis tilted at 16.8\(^\circ\) away from the normal of the slab and the a-axis is in the plane defined by the c-axis and the normal. The normally incident laser pulse is linearly polarized at 45\(^\circ\) with respect to the plane such that only \(\chi^{NL}_{24}\) is responsible for the difference-frequency signal with polarization perpendicular to the plane. We then find from Eq. (6) that the phase-matching conditions \(\Delta k^-_{jk} = 0\) and \(\Delta k^+_{k,j} = 0\), for far infrared generation in the forward and the backward directions respectively, can be satisfied at \(w = 15\) and 7.5 cm\(^{-1}\) respectively. The far-infrared spectrum is then essentially the superposition of the two phase-matching curves modified by \(\omega^2 S(\omega)\) and the boundary conditions. If the boundary conditions are neglected \((R_i = 0)\), then only the far infrared generated in the forward direction contributes to the spectrum as represented by the dashed curve in Fig. 2. With the boundary conditions, \(R_i \neq 0\), the far infrared generated in the backward direction now appears in the output. Its spectrum dominates over that of the far infrared generated in the forward direction because of the high-frequency cutoff due to \(S(\omega)\). The total spectrum is given by the solid curve in Fig. 2, where the spikes are again the result of Fabry-Perot interference. Diffraction has little effect in this
case. For a laser pulse with a 1-GWatt peak power, the total far-infrared energy generated here is 0.0064 erg. Both cases discussed above have been investigated experimentally. Preliminary results show good agreement with our theoretical calculations.\(^7\)

We have neglected dispersion and absorption in the above discussion. They can, however, be easily incorporated in the computer calculations. The effects vary from crystal to crystal. In LiNbO\(_3\), the absorption coefficient, \(\alpha\), in the far infrared is roughly proportional to \(\omega^2\). (\(\alpha = 18 \text{ cm}^{-1}\) at 30 cm\(^{-1}\)).\(^{14}\) The decrease of the far-infrared power due to absorption is less than 20% below 10 cm\(^{-1}\). We have also neglected the effect of possible frequency chirping of a mode-locked pulse.\(^{15}\) This is not important here since, in the product \(E_{\ell j}^* E_{\ell k}\), any phase modulation in \(E_{\ell j}\) is almost completely cancelled out by the same phase modulation in \(E_{\ell k}^*\). Finally, for a train of \(N\) identical mode-locked pulses with a time interval \(T\) between pulses, the far-infrared spectrum should be modified by the factor \(|1 - \exp(iN\omega T)|/|1 - \exp(i\omega T)|\)^2. The total far-infrared energy is increased by a factor of \(N\).

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11. For extraordinary far-infrared ray, the equation should be modified to take into account the fact that E is not perpendicular to k. See J. A. Armstrong, N. Bloembergen, J. Ducuing, and P. S. Pershen, Phys. Rev. 127, 1918 (1962).
13. For \( j = k \), we should replace \( I_i \) by \( I_i^{\frac{1}{4}} \) if we use the conventional definition for \( \chi^{NL}_{ij} \).


FIGURE CAPTIONS

Fig. 1. The far-infrared spectrum computed from Eq. (6) for a 2 psec. (full width at half-maximum) Nd laser pulse normally incident on a 1-mm. LiNbO₃ slab. The crystal is oriented with the c-axis parallel to the plane surfaces of the slab and the laser pulse is polarized along the c-axis. (χ₃₃ = 1.57 × 10⁶ esu). The other parameters used in the calculation are ν₀ = 0.017 cm. (corresponding to a 4-mrad. divergence of the laser beam), L = 135 cm., n_i(ω) = 5.05 and n_i(ω₀) = 2.2. The solid and the dashed curves are computed with and without boundary conditions respectively.

Fig. 2. The far-infrared spectrum computed from Eq. (6) with the same laser parameters as in Fig. 1. Here, the 1-mm. slab is oriented with the c-axis tilted at 16.8° away from the normal of the slab, and the a-axis is in the plane defined by the c-axis and the normal. The laser is polarized at 45° to the plane, so that only χ₂₄ = 1.54 × 10⁻⁶ esu is responsible for the difference-frequency signal with polarization perpendicular to the plane. With ⃗f = ⃗j (along the b-axis) and ⃗k being the directions of polarizations of the ordinary and the extraordinary light propagating along ⃗z respectively, we have n_i(ω) = 6.6, n_i(ω₀) = 2.2, and n_k(ω₀) = 2.193.
Fig. 1
Fig. 2
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