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Fieldwork Methodology

Editors
Anna E. Jurgensen
Hannah Sande
Spencer Lamoureux
Kenny Baclawski
Alison Zerbe

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Foreword

This monograph contains a number of the talks given at the 41st Annual Meeting of the Berkeley Linguistics Society, held in Berkeley, California, February 7-8, 2015. The conference included a General Session and the Special Session Fieldwork Methodology. The 41st Annual Meeting was planned and run by the second-year graduate students of the Department of Linguistics at the University of California, Berkeley: Kenny Baclawski, Anna Jurgensen, Spencer Lamoureux, Hannah Sande, and Alison Zerbe.

The original submissions of the papers in this volume were reviewed for style by Anna Jurgensen and Hannah Sande. Resubmitted papers were edited as necessary by Anna Jurgensen and Kenny Baclawski, and then compiled into the final monograph by Anna Jurgensen. The final monograph was reviewed by Spencer Lamoureux. The endeavor was supported by Alison Zerbe’s management of the Berkeley Linguistic Society’s funds for publications.

The BLS 41 Executive Committee
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The No Blur Principle Effects as an Emergent Property of Language Systems

**Farrell Ackerman**¹, **Robert Malouf**²

1 University of California, San Diego; 2 San Diego State University

1 Introduction

In languages with multiple inflection classes, the formal variation associated with lexically conditioned allomorphy typically shows only a loose correlation with systematic phonological or semantic conditions (synchronously, at least) and often seems to serve no apparent communicative function. All natural languages show a certain degree of what Baerman *et al.* (2010:2) call “gratuitous” morphological complexity and Wurzel (1986:76) describes as “ballast” in the linguistic system. Many linguists have proposed universal constraints that place limits on the degree of additional complexity that inflection class systems can bring to a language (e.g., Carstairs 1987, Carstairs-McCarthy 1991, Plank 1991, Müller 2007).

In this paper, we compare two such proposals, the No Blur Principle (Carstairs-McCarthy 1994) and the Low Conditional Entropy Conjecture (Ackerman & Malouf 2013). The LCEC, it is claimed, reflects an emergent strategy of cross-linguistic morphological organization that permits language to solve the Paradigm Cell Filling Problem of Ackerman *et al.* (2009) and Ackerman & Malouf (2013). The PCFP is this: given exposure to a small number of surface word forms, speakers of morphologically complex languages must learn to reliably predict the full inflectional (or derivational) paradigms of novel words. Ackerman *et al.* (2009) argue that within the general framework of Word & Paradigm Morphology word relations in morphologically complex languages are structured in such a way as to facilitate the correct inferences involved in solving the PCFP. In particular, they posit that conditional entropy, the average uncertainty about the realization of one cell in the paradigm given another, is the relevant measure of morphological complexity.¹ Many languages have large paradigms with many inflectional classes, but, according to the LCEC a common property among them is that their organization will dependably reflect an average paradigm entropy that is fairly low.

In this paper, we aim to show that the NBP is not an independent and sufficient constraint on possible inflectional organization. Rather, it is a special case of the LCEC. While languages which obey the NBP will have low entropy, there are other, non-NBP strategies for maintaining low conditional entropy and the learnability benefits that accrue from it. Furthermore, the same evolutionary pressure(s) on language from which organization displaying low conditional entropy emerges, will often (but not always) lead to languages which fit the NBP.

In section 2 we define the NBP and in section 3 we describe conditional entropy and the LCEC. In section 4 we offer a simulation experiment designed to test the predictions of the NBP and LCEC. Finally in section 5 we present our conclusions.

¹See Bonami & Beniamine (2015) for an analysis in terms of joint entropies for the frequent empirical situation where the words occupying multiple cells all provide information that reduces the uncertainty in predicting an unknown form of a known lexeme.
2 No Blur Principle

The No Blur Principle (Carstairs-McCarthy 1994, 2010, 2014) states that in languages with multiple inflection classes, the realization of each cell of the paradigm for each class must either uniquely identify the inflection class or be the default realization for that cell. For example, in the (hypothetical) paradigm in (1), every form uniquely identifies the inflection class:

(1) \[
\begin{array}{cccc}
\text{CLASS} & \text{NOM.SG} & \text{ACC.SG} & \text{NOM.PL} & \text{ACC.PL} \\
I & -am & -as & -an & -ag \\
II & -om & -os & -on & -og \\
III & -im & -is & -in & -ig \\
IV & -um & -us & -un & -ug \\
\end{array}
\]

Once speakers see, for example, an accusative plural wordform for some lexeme in -ag, then they know that this lexeme must be a member of class I. In the following paradigm, in contrast, not all forms are similarly diagnostic:

(2) \[
\begin{array}{cccc}
\text{CLASS} & \text{NOM.SG} & \text{ACC.SG} & \text{NOM.PL} & \text{ACC.PL} \\
I & -am & -os & -on & -og \\
II & -om & -os & -on & -og \\
III & -im & -is & -on & -og \\
IV & -um & -os & -un & -ug \\
\end{array}
\]

Here, forms in -\(\diamond C\) fail to uniquely identify the class: a lexeme whose nominative singular ends in -\(\diamond m\) could be in class III or in class IV. However, the -\(\diamond C\) forms can be denominated as a default class, used whenever a paradigm cell has no diagnostic realization that uniquely identifies class membership. This result can be factored out in the following way:

(3) \[
\begin{array}{cccc}
\text{CLASS} & \text{NOM.SG} & \text{ACC.SG} & \text{NOM.PL} & \text{ACC.PL} \\
I & -am & — & — & — \\
II & -om & -os & -on & — \\
III & — & -is & — & — \\
IV & — & — & -un & -ug \\
def. & -om & -os & -on & -og \\
\end{array}
\]

In (3), every form which is specific to some inflection class (i.e., every non-default form) is diagnostic: a nominative singular in -\(\diamond m\) is an uninformative default, but one in -\(\diamond m\) unambiguously signals class II.

Finally, consider one more system:

(4) \[
\begin{array}{cccc}
\text{CLASS} & \text{NOM.SG} & \text{ACC.SG} & \text{NOM.PL} & \text{ACC.PL} \\
I & -\diamond m & -\diamond s & — & — \\
II & -\diamond m & -\diamond s & -on & — \\
III & -\diamond m & -\diamond s & — & — \\
IV & -\diamond m & -\diamond s & -un & -ug \\
def. & — & — & -\diamond n & -\diamond g \\
\end{array}
\]
In this language, forms in -\textit{m} and -\textit{s} are neither defaults nor diagnostic of class membership. There are two nominative singular and accusative singular forms that exhaustively partition the paradigm, each associated with two of the four classes. Thus the nominative singular and accusative singular cells are 'blurred'. This is the type of cross-linguistic paradigm organization that the NBP rules out.

In this connection, Carstairs-McCarthy (1994) makes two claims, namely that (i) the NBP represents a universal property of language; and (ii) it follows from a fundamental learning bias. The first claim is an empirical one: either all languages follow the NBP or they do not. Evaluating this claim however is not entirely straightforward. Beyond the evidential issues that arise in evaluating any putative universal on the basis of a limited sample of languages (Cysouw 2005, Piantadosi & Gibson 2014), the interpretation of the NBP for a given language depends on the analysis of the data that one assumes. In particular, Carstairs-McCarthy argues that affixal inflection and stem alternations form two distinct systems, and that the NBP applies only to affixal morphology. Since many inflection class systems involve interactions between affixes and stems, evaluating the NBP requires understanding the nature of each of these two types of exponence and the consequences of their interdependencies for identifying the principles guiding paradigm structure.\footnote{Vigorous debate concerning these issues continues, with some pointing out languages which seem to violate the NBP (Blevins 2000, Stump 2005, Halle & Marantz 2000, Baerman 2012, Stump & Finkel 2013, Baerman 2014a,b) while others argue that, when analyzed properly, apparent counterexamples can be seen to fall into line with the NBP’s predictions (Cameron-Faulkner & Carstairs-McCarthy 2000, Enger 2007, 2013, Carstairs-McCarthy 2010, 2014).} At the present time, it appears that several languages do seem to follow the NBP, though the sample of languages that have been analyzed this way is somewhat small and not particularly diverse. In contrast, however the principle is interpreted, there do seem to be recalcitrant counter-examples from a wide distribution of languages that cannot be assimilated to the NBP.

Carstairs-McCarthy’s (1994) second claim is that the origin of the NBP as a universal is motivated by Clark’s (1987, 1990, 1993) \textbf{Principle of Contrast} for lexical learning. Clark argues that this principle is one of two pragmatic principles that guide vocabulary acquisition: this principle states that “Speakers take every difference in form to mark a difference in meaning” (Clark 1993:64). This operates in conjunction with her Conventionality Principle according to which learners reuse the lexical item used by adults to denote a particular semantic distinction. As a consequence, when a learner hears an unfamiliar term employed to convey a semantic distinction with an already established conventional word, the Principle of Contrast leads the learner to assume that the new form has a different meaning, however subtle, from the known one. Carstairs-McCarthy (2010) generalizes the link between the Principle of Contrast and the NBP by introducing the notion of \textbf{vocabulary clarity}. Carstairs-McCarthy observes that children acquiring language in a bilingual environment seem to have no significant problem keeping the vocabularies of the two languages distinct. Children are (he argues) able to switch between alternate vocabularies as long as there are contextual cues that indicate which vocabulary should be active in a particular environment. He further points out that many languages have alternative vocabularies to be used in different social contexts (what he calls multivocubalism). In Guugu Yimidhirr (Pama-Nyungan), for example, a man would use the word \textit{gadiilbaga} ‘axe’ with a brother-in-law, \textit{guliirra} ‘axe’ with someone of the opposite sex, and \textit{warrbi} ‘axe’ in informal speech (Carstairs-McCarthy 2010).
What seem to be synonyms really are not, as the social context would determine in any particular situation which of the three words for ‘axe’ should be used.

Inflection class systems, by hypothesis, represent a particularly abstract form of multi-vocabulism. In the paradigm in (1), there are four different affixes that mean ‘nominative singular’, but the choice of which affix to use in any context is determined by the inflection class of the noun to be inflected. The paradigms in (1)–(3) all show vocabular clarity: each affix is associated with a unique context, either a specific inflection class or the default. The paradigm in (4) however does not. This nominative singular -om and the accusative singular -os are each associated with two inflection classes and therefore two ‘meanings’, in violation of the Principle of Contrast and the NBP.

This second claim, that the NBP is a consequence of the Principle of Contrast/vocabular clarity, is more difficult to evaluate than the first. In order for this claim to be true, two things must hold: acquisition of inflection class systems must be actually governed by the Principle of Contrast and, that must then lead to the specific distribution of languages we find. We will focus in this paper on the second requirement. Suppose vocabular clarity does obtain. Would that actually lead to the NBP?

It is appealing to account for the NBP as a consequence of the way that language is learned or used, but any such account is faced with Epstein’s (1999, 2006) Generativist’s Question: “How could the decentralized local interactions of heterogeneous autonomous agents generate the given regularity?” Or:

“To explain a macroscopic regularity  is to furnish a suitable microspecification that suffices to generate it. The core request is hardly outlandish: To explain a macro- , please show how it could arise in a plausible society. Demonstrate how a set of recognizable — heterogeneous, autonomous, boundedly rational, locally interacting — agents could actually get there in reasonable time.” (Epstein 2006:51)

In section 4, we will present a computational simulation that attempts to answer Epstein’s challenge. But first, we consider an alternative constraint on morphological complexity, the Low Entropy Conjecture.

3 Low entropy conjecture

For speakers of languages with complex paradigms and multiple inflection classes, it is unlikely that every speaker will encounter and memorize every form of every lexeme. For example, in Tundra Nenets (Samoyedic branch of Uralic) each noun lexeme has 210 possible inflected forms for the morphosynactic feature property combinations for 7 cases, 3 numbers, and 3 persons and numbers for possessors: \((7 \times 3) + (7 \times 3 \times 3 \times 3) = 210\) distinct wordforms. So, if a speaker needs to produce a wordform that they have not previously learned, what strategies can they use to infer the correct form? This task receives a general formulation as the Paradigm Cell Filling Problem (PCFP) in Ackerman et al. (2009):

\footnote{We leave to another forum the (in)appropriateness of the analogy between lexical learning and inflectional learning that forms the basis of the NBP}
Paradigm Cell Filling Problem: Given exposure to an inflected wordform of a novel lexeme, what licenses reliable inferences about the other wordforms in its inflectional family?

In pedagogical practice, following Word-and-Paradigm models of morphology, an inflectional system is represented via a set of full exemplary paradigms reflecting the inflectional classes of a language. Speakers may memorize complete paradigms for frequent lexemes, but for infrequent lexemes speakers must produce novel wordforms by analogy from known wordforms representative of patterns of forms constitutive paradigms. Morphological systems are structured by patterns of implicational relations among all wordforms within paradigms, which provide speakers with a means for carrying out these predictions on the basis of incomplete information. This is a pervasive type of example in language of a far broader task that humans constantly confront, namely, how to arrive at reasonable solutions to complex problems under evidently uncertain conditions.

In order to assess the strength of implicational relations among wordforms, we will use the information-theoretic notion entropy as the measure of uncertainty or predictability (Ackerman et al. 2009, Ackerman & Malouf 2013). Suppose we are given a random variable $X$ which can take on one of a set of alternative values $x_1, x_2, \ldots, x_n$ with corresponding probability $p(x_1), p(x_2), \ldots, p(x_n)$. Then, the amount of uncertainty in $X$, or, alternatively, the degree of information conveyed on learning the value of $X$, is the entropy $H(X)$:

$$H(X) = -\sum_i p(x_i) \log_2 p(x_i)$$

The entropy $H(X)$ is the weighted average of the surprisal $-\log_2 p(x_i)$ for each possible outcome $x_i$. The surprisal is a measure of the amount of information expressed by a particular outcome measured in bits, where 1 bit is the information content of a choice between two equally probable outcomes. Outcomes which are less probable (and therefore harder to predict) have higher surprisal. Specifically, surprisal is 0 bits for outcomes which always occur ($p(x) = 1$) and approaches $\infty$ for very unlikely events (as $p(x)$ approaches 0). The more choices there are in a given domain and the more evenly distributed the probability of each particular alternative, the greater the uncertainty or surprise there is (on average) that a particular choice among competitors will be made and, hence, the greater the entropy. Conversely, choices with only a few possible outcomes or with one or two highly probable outcomes among many unlikely exceptions have a low entropy.

To solve a particular instance of the PCFP, a speaker needs to generate an unknown form on the basis of (at least) one other known form. To quantify the predictability of one form given the other, we can measure the size of the surprise associated with these forms using conditional entropy $H(Y|X)$, the uncertainty in the value of $Y$ given that we already know the value of $X$:

$$H(Y|X) = H(X,Y) - H(X) = \sum_{x \in X} \sum_{y \in Y} P(x,y) \log_2 P(y|x)$$

The smaller $H(Y|X)$ is, the more predictable $Y$ is on the basis of $X$, i.e., the less surprised one is that $Y$ is selected given knowledge of $X$. In the case where $X$ completely determines
Y, the conditional entropy $H(Y|X)$ is 0 bits: given the value of $X$, there is no question remaining as to what the value of $Y$ is. On the other hand, if $X$ gives us no information about $Y$ at all, the conditional entropy $H(Y|X)$ is equal to $H(Y)$: given the value of $X$, we are just as uncertain about the value of $Y$ as we would be without knowing $X$ at all.

For any paradigm, the difficulty of the PCFP is the average conditional entropy across all possible pairs of wordforms:

$$H(P) = \sum_{c_1} \sum_{c_2} H(c_1|c_2)$$

If the PCFP as formulated here accurately describes something about the way language is structured, then in order for speakers to successfully produce forms in a morphologically complex language, the average conditional entropy must be low. Ackerman & Malouf (2013) computed the average conditional entropy for paradigms in a small sample of paradigms taken from typologically and genetically diverse languages, finding that the average conditional entropy ranged from 0 bits to 0.75 bits. The sample included languages with impressively complex-looking morphological systems that have been raised as violations of the NBP, such as Nuer and Chiquihuitlán Mazatec (a language with at least 109 different verbal conjugations). Despite the large range in the apparent complexities of these languages, as measured by the number of paradigm cells, allomorphs, and inflection classes, the average conditional entropy fell within a narrow range. The Low Entropy Conjecture is the prediction that all languages will show low average conditional entropy along the lines discovered for these languages. Ackerman & Malouf (2013) refer to this measure as calculating the integrative complexity or I-complexity of a morphological system.

With this as background, the NBP can be construed as a particular strategy for achieving low conditional entropy. If all cells in a paradigm indicate class membership, as in (1), then the average conditional entropy is 0 bits: every form predicts every other form perfectly. Moving away from that ideal increases the entropy, but adding default realizations for cells only increases the entropy by a small amount. Class-unique forms are highly predictive but hard to predict, while default forms are easily predicted but not very predictive. Together they yield low average entropy. As paradigms become more ‘blurred’, the average conditional entropy goes up as well. A paradigm in which every cell is blurred:

<table>
<thead>
<tr>
<th>(5) CLASS</th>
<th>NOM.SG</th>
<th>ACC.SG</th>
<th>NOM.PL</th>
<th>ACC.PL</th>
</tr>
</thead>
<tbody>
<tr>
<td>I</td>
<td>-am</td>
<td>-as</td>
<td>-on</td>
<td>-ag</td>
</tr>
<tr>
<td>II</td>
<td>-am</td>
<td>-os</td>
<td>-an</td>
<td>-og</td>
</tr>
<tr>
<td>III</td>
<td>-om</td>
<td>-as</td>
<td>-on</td>
<td>-ag</td>
</tr>
<tr>
<td>IV</td>
<td>-om</td>
<td>-os</td>
<td>-an</td>
<td>-og</td>
</tr>
</tbody>
</table>

also has relatively high conditional entropy. No forms uniquely identify inflection class membership and no forms are any better represented than any others.

4 Simulation

Our hypothesis is that speakers’ need to solve the PCFP serves as a strong evolutionary pressure on language, guiding development of morphological systems in the direction predicted by the LCEC. As a side effect, many languages will also reflect the NBP. To test this, we constructed a simple agent-based computational simulation, adapting the iterated learning
No Blur Principle Effects as an Emergent Property of Language Systems

Figure 1: Predicting cell $c_2$ from cell $c_1$ for class $r$ by analogy with $s$

<table>
<thead>
<tr>
<th>$c_1$</th>
<th>$c_2$</th>
</tr>
</thead>
<tbody>
<tr>
<td>a</td>
<td>x m</td>
</tr>
<tr>
<td>a</td>
<td>x n</td>
</tr>
<tr>
<td>b</td>
<td>? p</td>
</tr>
<tr>
<td>b</td>
<td>y p</td>
</tr>
<tr>
<td>b</td>
<td>y q</td>
</tr>
<tr>
<td>b</td>
<td>x r</td>
</tr>
</tbody>
</table>

$\rightarrow$

<table>
<thead>
<tr>
<th>$c_1$</th>
<th>$c_2$</th>
</tr>
</thead>
<tbody>
<tr>
<td>a</td>
<td>x m</td>
</tr>
<tr>
<td>a</td>
<td>x n</td>
</tr>
<tr>
<td>b</td>
<td>? p</td>
</tr>
<tr>
<td>b</td>
<td>y p</td>
</tr>
<tr>
<td>b</td>
<td>y q</td>
</tr>
<tr>
<td>b</td>
<td>x r</td>
</tr>
</tbody>
</table>

$s \{ b y p, b y q, b x r \}$

For this simulation, we start with a simple random language with eight paradigm cells and three allomorphs per cell. We generate an initial lexicon of 100 words by selecting randomly from the space of $3^8 = 6,561$ possible inflection classes. The result is a highly unrealistic language – virtually every word is in its own inflection class – but it perhaps reflects what might arise after a sound change obscures a previously predictable morphological system. By any measure, a random language of this type will show very high I-complexity. No paradigm cell will be a good predictor of inflection class, so the system is maximally blurred and also has high average conditional entropy.

For a language of this type, there will be no general way of solving the PCFP. Every lexeme will have to be memorized, as prediction is foreclosed owing to the way the system is organized. To investigate how a ‘difficult’ language like this might evolve, we simulate what might happen if a speaker were forced to predict an unknown form of a lexeme based on analogy with other known forms, as in Figure 1. Specifically, we select an inflection class $r$, a known predictor cell $c_1$, and an unknown predicted cell $c_2$. We then collect the inflection classes $s$ with the same realization as $r$ for cell $c_1$: these classes form the basis for the analogy. The realization for $c_2$ which is most common among the classes $s$ is predicted as the realization of $c_2$ for $r$. This predicted realization now becomes the new correct realization for $c_2$ in $r$, and the inflection class inventory is reconfigured accordingly — if $r$ is now the same as some other class, the two classes are merged into one. The simulation continues, repeating this process until the system stabilizes and 25 iterations pass without any changes.4

An example showing the evolutionary pathway followed by one simulated language is given in Figure 2. The language starts with 100 lexical items in 100 different inflection classes, and over the course of the simulation the class differences are completely leveled out and only one class remains. That the number of distinct classes is reduced over time is not surprising: since the starting state is unlearnable (with respect to the PCFP) our simulated speakers are not able to learn it, and the ‘mistakes’ they make will inevitably cause the

4Source code for the simulation is available at http://github.com/rmalouf/bls15.
language to evolve into one that is simple enough to be learnable. What is interesting is the nature of the simplifications that happen and the types of inflectional systems that these simplifications lead to.

In each simulation run, the simplifications that occur and the final state that is reached depend heavily on many random choices. To explore the distribution of final states — which are common, which are unusual, and which are unattested — we can repeat the simulation many times and aggregate their results. In many cases, as in Figure 2, the final state has only a single inflection class, reflecting a complete leveling of the class differences in the starting state. By necessity these languages obey the NBP, as with only one class any form is trivially diagnostic of class membership, and similarly the average conditional entropy is 0 bits. Since we are primarily interested in the systems with more than one inflection class, these fully reduced systems will be ignored in the discussion that follows.

To gather a representative sample of languages, the complete simulation described above was repeated until 500 languages with more than one inflection class were collected. The distribution of inflection class inventory sizes is shown in Figure 3. Most systems are fairly small (the median number of classes is 12 and 69% have fewer than 20 classes), but there are handful of languages with very large inventories of inflection classes (the largest has 88 classes). The distribution of numbers of blurred cells is shown in Figure 4. The majority of simulated languages (283 in all) have no blurred cells and another 50 have only one blurred cell. In all, 56.7% of the simulation runs lead to a final state that obeys the NBP. But, that leaves 43.3% of the sample as languages that do not obey the NBP, and 36 languages in which all eight paradigm cells are blurred. Finally, Figure 5 shows the distribution of

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5This effect is reminiscent of the Weak Anthropic Principle (“We must be prepared to take account of the fact that our location in the universe is necessarily privileged to the extent of being compatible with our existence as observers”, Carter 1974) and Stein’s Law (“If something cannot go on forever, it will stop”, Stein 1989).
Figure 3: Number of declensions

Figure 4: Number of blurred cells (out of 8)
average conditional entropies for the simulated paradigms. The mean value is 0.64 bits. In each case, the simulated values fall broadly within the range of values that are observed for real language systems. Many languages obey the NBP, but a minority do not. Most languages with more than one inflection class have a dozen or so classes, but a few have many more than that. Instructively, the average conditional entropy of most paradigms is slightly more than 0.5 bits, but a few are more than 1 bit.\(^6\)

The simulation shown in Figure 1 is a model of the PCFP, as the learner at each iteration must generate an unseen form from a seen form by analogy. Crucially, however, the simulation does not include anything like the Principle of Contrast or a requirement for vocabular clarity. On the other hand, it does reflect a general human inferencing capacity which applies in its own particular way to the Principle of Contrast as a pragmatically based assumption. The basic result, as evident in Figure 3, is that the majority of languages produced by this method do in fact obey the NBP. In this way the NBP can be appropriately interpreted as an emergent property of these morphological systems. It is not explicitly enforced by the model at any point, but its effect arises through the dynamics of language transmission. In addition, it becomes clear that in the absence of NBP effects there are still many ways to organize a complex system with acceptably low conditional entropy for solving the PCFP.

One advantage of simulation experiments is that it is easy to vary the details of the model in small ways and observe what consequences this has for the result. For example, in the simulation sketched in Figure 1, the realization chosen for the unknown cell is always the one

\(^6\)Presumably these latter would be lowered if more veridical conditions commonly found in real languages were added to the simulations.
that is most common among the compatible classes $s$. This can be interpreted as a preference for a default realization (though not for vocabulary clarity). We can remove that and repeat the simulation with an alternate random selection strategy, where the simulated language learner chooses a realization for an unknown cell randomly from among the alternatives in $s$. This is a small change in the model, but it leads to a large difference in the outcome. In this case, the languages undergo no simplification at all. The final states are just as complex (in number of declensions and conditional entropy) as the initial ‘impossible’ state, and in all of them every cell of the paradigm is blurred.

5 Conclusions

Starting with some assumptions about the PCFP, we have traced the evolution of (simulated) languages as they are transmitted through successive generations of speakers and learners until a stable system is reached. Beginning with a language which displays very high paradigm entropy and radically violates the NBP, much simpler systems develop quite quickly. These systems are simple in that they display multiple distinct inflectional classes like extant morphological systems. While neither the NBP nor the Principle of Choice is part of the learning model, many of simulated evolutionary trajectories lead to languages which accord with the NBP. However, many of them do not, achieving low entropy via different organizational strategies. Thus, the dynamics of language learning and use imposed by the PCFP coupled with language operating as a complex adaptive system, provide an indirect explanation for the NBP as an emergent typological regularity found across many but not all languages. In this way the NBP is construable as one type of effect attributable to a general process responsible for morphological organization.

In evaluating the NBP’s empirical status in the face of apparent counterexamples, Carstairs-McCarthy concludes:

“either (a) the Principle is simply wrong, and apparent compliance with it in some languages is purely accidental; or (b) the Principle deserves a place in an overall theory of how inflectional morphology operates, but its effects are obscured or overridden in some circumstances that are not yet well understood.” (2014:61)

In this paper, we have offered a third answer. We propose that the NBP is not a design feature of language and need not be part of morphological theory. But, it is also not accidental that it describes an organization attested in many languages. Rather, as a special case of the LCEC, it is expected that some or even most languages will fit the NBP.

The NBP is not in fact a linguistic universal but rather an emergent property in those languages for which it holds true. This answers Epstein’s challenge: we have shown how transmission of language through the learning bottleneck can lead to observed typological patterns. Recent work approaching language as a complex adaptive system (e.g., Blevins & Wedel 2009, Beckner et al. 2009) has developed alternate evolutionary explanations for typological universals or tendencies found across unrelated languages. This research emphasizes the role of patterns of language use and language change in the development of cross-linguistic regularities, rather than placing the burden of explanation on synchronic cognitive factors such as an innate Universal Grammar (Evans & Levinson 2009). This
systems approach to language (see also Ramscar & Yarlett 2007, Milin et al. 2009, Blevins in press, among others) links linguistic study to larger trends in the biological and social sciences (e.g., Epstein 2006, Miller & Page 2007, Capra & Luisi 2014).

References


No Blur Principle Effects as an Emergent Property of Language Systems


