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High-performance mussel-inspired adhesives of reduced complexity

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Despite the recent progress in and demand for wet adhesives, practical underwater adhesion remains limited or non-existent for diverse applications. Translation of mussel-inspired wet adhesion typically entails catechol functionalization of polymers and/or polyelectrolytes, and solution processing of many complex components and steps that require optimization and stabilization. Here we reduced the complexity of a wet adhesive primer to synthetic low-molecular-weight catecholic zwitterionic surfactants that show very strong adhesion (\(\sim 50 \text{ mJ m}^{-2}\)) and retain the ability to coacervate. This catecholic zwitterion adheres to diverse surfaces and self-assembles into a molecularly smooth, thin (< 4 nm) and strong glue layer. The catecholic zwitterion holds particular promise as an adhesive for nanofabrication. This study significantly simplifies bio-inspired themes for wet adhesion by combining catechol with hydrophobic and electrostatic functional groups in a small molecule.
Marine mussels attach to rocks by way of thread-like tethers on wind- and wave-swept seashores where wave velocities can reach 25 m s\(^{-1}\). Mussel attachment tenacity is enabled by adaptations at multiple length scales\(^6\), and the nanometre scale corresponds most closely to the chemistry of the interface\(^2\). Interfacial mussel foot proteins (mfps), especially mfp-3 and mfp-5, are rich in the catecholic amino acid, 3,4-dihydroxyphenylalanine (Dopa), which has been adopted for functionalizing diverse synthetic polymers\(^3,4\). Although the role of Dopa in wet adhesion is under increasing scrutiny, its introduction into polymers often endows them with strong underwater adhesion\(^2,4,5\) and self-healing\(^2,3-8\) capabilities. Other common amino acids, for example, lysine, phosphoserine and histidine, are also relevant to mfp adhesion\(^9,10\) and merit translation to synthetic systems\(^4,11\). Mfps are protein polyelectrolytes with high charge densities\(^12\), and lend themselves to complex coacervation, which appears to be critical for the lossless fluidic delivery of mfps to target surfaces\(^9,12\). Lysine and phosphoserine have been adopted to enhance adsorption\(^11\), but also to drive charge-dependent complexation\(^13\) needed for the dense fluid phase separation (coacervation) of adhesive polyelectrolytes from the equilibrium solution. Of particular interest here, mfp-5 and -3 function as adhesive primers\(^14\) at the plaque–substratum interface\(^15\). Despite recent progress in the science of wet adhesion, practical underwater adhesion remains limited or non-existent for a variety of potential applications. Biomimetic synthetic initiatives have aspired to remedy this by mimicking specific attributes of the bioadhesive model systems of mussels and sandcastle worms. These attributes include macromolecular adsorption to surfaces\(^2,3,16,17\), fluid–fluid phase separation (coacervation)\(^13,18\) and curing by oxidative catechol-based crosslinking\(^2,19,20\), and involve many complex components and steps requiring optimization and stabilization\(^21\).

In this study, both the strong wet adhesion\(^22\) of mfp-5 films and the coacervation\(^8\) of mfp-3 were translated into a smaller and simpler zwitterionic platform. We sought to simplify and combine several motifs borrowed from the interfacial adhesive mfps (including catechol, positive and negative charges, and nonpolar moieties) into a single, low-molecular-weight, one-component adhesive system. By taking a previously reported gemini zwitterionic surfactant\(^23\) with charged quaternary amine and phosphate groups and two alkyl tails, we replaced one of the tails with a catechol, and varied the other tail to create a family of mfp-mimetic zwitterionic adhesive homologues (mass < 500 Da). These homologues exhibit very strong and spontaneous catechol-mediated binding to surfaces, stable phase separation as one-component coacervates or bilayer films, and periodate-mediated curing. The measured adhesion energy \(W_{ad} \approx 50 \text{ mJ m}^{-2}\) is the highest reported to date\(^22,24\) for a nm-thick film formed underwater, and 2–3 times greater than mfp-5 (ref. 22) or the recently engineered recombinant mfp–amyloid fusion protein\(^24\). Zwitterion-mediated adhesion is likely to stimulate applications at multiple length scales including nanofabrications\(^25,26\) that require molecularly smooth and thin (<4 nm) adhesive layers, for example, in electronic\(^27\), lithographic\(^28\) and biomedical applications\(^2\).

**Results**

**Zwitterionic adhesives design inspiration.** The phosphate, amine, catechol and hydrophobic (alkyl or benzyl) functionalities in the zwitterions were adopted from the following mole percents of amino-acid residues in mfp-5, for example, anionic residues

![Figure 1](image-url)
Figure 2 | Aggregation and surface physics behaviour of mfp-mimetic zwitterions. (a) Summary of the engineered homologues. Features varied include alkyl chain length, aromatic substitution, with/without catechol. (b) CAC of each homologue is deduced from the final inflection point in the plot of log concentration versus surface tension as measured by the Wilhelmy plate technique. (c) Schematic of the multiple beam interferometry (MBI: the distance between the surfaces, shape of the interface and the refractive index of the media between the surfaces can be accurately determined by MBI technique) technique used in the SFA, showing the fringes of equal chromatic order used to measure the hard-wall thickness and interfacial energy of interaction between the zwitterionic films (technical details in the Supplementary Methods). (d) Representative force versus distance plots between mica surfaces coated with thin zwitterionic films adsorbed from 5 mM aqueous dispersions of Z-Cat-C10 (red), Z-Cat-C8 (blue), Z-Cat-C6 (pink), Z-Cat-C4 (black) and Z-Cat-Cat (green), respectively. The work of cohesion, Wc, (minimum potential well of W versus D, y axis, right), is synonymous with the interaction energy of the zwitterions, and did not change for contact times (t) ranging from 2 min to 12 h. Surface forces were measured during approach and separation of the surfaces, respectively. (e) Plot of the homologue interaction energies versus CAC. (f) Plot of the homologue hard-wall thickness versus CAC. Trend dashed lines (light blue) were added as a visual aid in e and f. The error bars indicate s.d.’s (n = 5, independent experiments). DI, deionized.

Interfacial properties and redox stability. The aggregation tendency of each homologue in water was assessed by the critical aggregation concentration (CAC). The CAC is the concentration at which further addition of solute molecules to a solvent makes them go into finite-sized aggregates while the monomer concentration remains unchanged. The CAC was determined from the solution surface tension of varying homologue concentrations in deionized water (NB the red line of Z-Cat-C10 in Fig. 2b) by Wilhelmy plate tensiometry. Figure 2b shows that decreasing alkyl tail length increases the CAC gradually from Z-Cat-C10 to -C8, -C6 and -C4 (parabolic dashed line drawn in light blue from Z-Cat-C10 to -C4), consistent with thermodynamic predictions. Conversely, aromatic substitution (Fig. 2a) increased CAC significantly. The substitution of the catechol of Z-Cat-C8 by a benzene (Fig. 2a) increased CAC. On the basis of cyclic voltammetry combined with ultraviolet–visible spectroscopy, the oxidative stability of catechol functionalities in all zwitterionic homologues (for example, E1/2 of Z-Cat-C10 ~ +0.25 V at 1 mV s⁻¹) was significantly increased by comparison with 4-methylcatechol (completely solvent accessible, ΔE1/2 ~ +0.12 V in deionized water at 1 mV s⁻¹), suggesting a highly stabilizing environment in the dispersion (Supplementary Fig. 1).

Surface force measurements and interfacial interaction study. Thin films of the zwitterionic homologues were deposited on mica surfaces by adsorption from 5 mM solution (>CAC for all homologues; for concentrations below CAC see Supplementary Discussion), and investigated by the surface forces apparatus (SFA; Fig. 2c), atomic force microscopy (AFM; Supplementary Figs 4, 17 and 18) and quartz crystal microbalance with dissipation (QCM-D; Supplementary Figs 5 and 6). Surface force measurements between symmetric thin films on mica were investigated in the SFA. On approach of the two surfaces, Z-Ben-C8 films showed regular bilayer repulsion and jump-in instabilities typical for surfactant layers (Supplementary Fig. 8), whereas the catecholic homologues showed only slight or no repulsion (Fig. 2d). Z-Cat-C4 (19.2 ± 2.4 mJ m⁻²) exhibited the greatest cohesion (or adhesion) energies in deionized water, followed by Z-Cat-C10 (10.1 ± 2.3 mJ m⁻²), Z-Cat-C6 (9.6 ± 1.1 mJ m⁻²).
Figure 3 | Fluid-fluid phase separation of mfp-mimetic zwitterions. (a) Phase separation of Z-Cat-C10 (100 mg ml⁻¹) in 4-mm-diameter glass test tubes. Shown are the turbid dispersions of coacervate microdroplets (left) and the bulk-separated phases with the denser coalesced coacervate phase on the bottom (middle) and tilted to emphasize the fluidity of both phases (right). (b) Light micrographs showing the time course of coacervate coalescence in Z-Cat-C10 at 0–24 min. The 16 min, 4 s image shows coalescence of two microdroplets. Scale bar, 100 μm. (c) Cryo-TEM image of the dense phase; the image of turbid dispersion is similar. White arrows indicate same small aggregations in both equilibrium solution (EqS) and coacervate phase (CoA), an area of a large aggregate of those smaller aggregates seen in the lower part of the field of view. Scale bar, 100 nm.

Z-Cat-C (8.1 ± 1.3 mJ m⁻²) and Z-Cat-C8 (2.5 ± 0.3 mJ m⁻²) (Fig. 2e). Notably, the interaction energies of Z-Cat-C10, -C8, -C6 and -C4 were closely correlated with the CAC (light blue parabola drawn in the plot in Fig. 2e as in Fig. 2b).

The hard-wall thickness (the limiting distance between the mica surfaces during the approach run in the SFA) of each homologue was measured and correlated with the CAC (Fig. 2f) corresponding to the catechol-containing zwitterions, which formed strongly cohesive (Wₚ = 2.2–21.6 mJ m⁻²) bilayers (0.5–2.0 nm). In contrast, the non-catecholic Z-Ben-C8 formed multilayered films (~5 nm) without cohesive tendencies. In the catechol-containing homologues with alkyl tails (Fig. 2a), for example, Z-Cat-C10, -C8, -C6 and -C4, the hard-wall thickness and CAC were both correlated with alkyl tail length (light blue line in Fig. 2f).

Z-Cat-C10, which exhibited the lowest CAC among the homologues and readily phase separated into dense visible coacervates and equilibrium solution at high concentrations (100 mg ml⁻¹), was chosen for further scrutiny (Fig. 3a). Coalescence of the coacervate microdroplets in bulk solution was observed by microscopy (Fig. 3b and Supplementary Movie 1), and spreading of coacervates over a glass substrate was recorded on an inverted microscope (Supplementary Movie 2). Cryogenic transmission electron microscopy (cryo-TEM) images (Fig. 3c and Supplementary Figs 13 and 14) showed small micelle-like aggregates in both equilibrium solution and coacervate phases.

Mussels rely on quinone-mediated protein crosslinking to increase plaque cohesion. O-Quinones are produced by the two-electron oxidation of targeted Dopa residues22. Accordingly, we oxidized the catechol in Z-Cat-C10 with sodium periodate resulting in a stoichiometric −2H⁺ and −2e⁻ oxidation to o-quinone19. Given the nanoNewton precision of the SFA, we measured the adhesion of monomolecular layers of Z-Cat-C10 on mica. SFA force–distance profiles between surfaces allow the measurement of adhesion forces that can be translated into adhesion energies (Wₜₐ₈) by the Johnson–Kendall–Roberts theory for soft deformable surfaces29,30. Analysis by SFA showed that the observed cohesion or adhesion energy correlated well with the symmetric or asymmetric oxidation of catechol by periodate7.

After symmetric periodate oxidation (Fig. 4a), cohesion initially decreased by 50% for contact times of tₛ ≤ 10 min, but then rebounded after tₛ = 60-min contact times. Conversely, asymmetric oxidation, that is, all catechol on one side and all quinone on the other (Fig. 4b), maintained the initial adhesion for contact times of tₛ ≤ 10 min, followed by a 50% increase in adhesion after a tₛ = 60-min contact time. The loss22,24 and subsequent recovery22 of adhesion, respectively, following oxidation were previously explained as the loss of H-bonding interactions because quinones are exclusively H-bond acceptors (short term), followed by the quinone-mediated formation of covalent crosslinks (long term). The high adhesion (Wₜₐ₈ ~ 50 mJ m⁻²) obtained after tₛ = 12 h of compressive contact, contrasts with Wₜₐ₈ ≤ 1 min and tₛ = 1 h contacts. According to the post-oxidation analyses, covalent crosslinks formed within and between the thin films (~2–4 nm) (Fig. 4c).

Not limited to mica (Fig. 4d), 5 mM Z-Cat-C10 formed thin, molecularly smooth (~2 nm) films on silicon wafers (Supplementary Fig. 15) and copper (Supplementary Fig. 16). The wet and dry adhesion of these films (Supplementary Figs 17–20) on silicon wafers (SiO₂, root mean squared (r.m.s.) surface roughness < 1 nm) and copper (CuO₂/Cu(OH)₂, r.m.s. surface roughness ~ 2 nm) surfaces was measured using silica (SiO₂) probes in the AFM (Fig. 4e). The surfaces were prepared by spreading 5 mM Z-Cat-C10 over the surfaces, followed by thorough rinsing and adhesive testing (Fig. 4f). The wet work of adhesion (Wₜₐ₈ = Fₜₐ₈/1.5πR, ~7.7 mJ m⁻²) for the SiO₂ and SiO₂ interface resembled Wₜₐ₈ ~ 7.0 mJ m⁻² reported for catechol-functionalized polysiloxanes and SiO₂ interface31, and ~0.1 mJ m⁻² for adhesion between the CuO₂/Cu(OH)₂ and SiO₂ surfaces. After air drying the surfaces for ~5 min, the adhesion (Wₜₐ₈) between CuO₂/Cu(OH)₂ and SiO₂ surfaces
After oxidation with periodate (250 pmoles), circles represent the forces measured during approach and separation of the surfaces, respectively. (SiO2 and CuO2/Cu(OH)2 shown in Supplementary Figs 15 and 16, respectively). (upper and lower, respectively) and the interface. (measured on silicon wafer and copper plate, where adhesion force bars indicate s.d.’s (n = 5, independent experiments). Scale bar, 500 μm; inset scale bar, 500 nm. DI, deionized.)
increased significantly to \( \sim 4 \) mJ m\(^{-2}\) and to \( \sim 175 \) mJ m\(^{-2}\) between SiO\(_2\) and SiO\(_2\) surfaces, which, in the latter case, is 50-fold greater than the \( W_{\text{ad}} \) (\( \sim 3.5 \) mJ m\(^{-2}\)) for catecholic polysiloxane adhesion to SiO\(_2\) as calculated from the \( F_{\text{ad}} \) (83 nN) measured using silica probes (\( \phi = 5 \) μm) in AFM\(^{41}\). Comparatively weaker adhesion to the copper plate is tentatively attributed to higher r.m.s. and hydroxides on its surface measured by AFM and QCM-D beam interferometry\(^{37}\). The adhesion forces between Z-Cat-C10 molecules on mica approaches \( W_{\text{ad}} \sim 50 \) mJ m\(^{-2}\)—a new high value for catechol-mediated adhesion.

The results reveal Z-Cat-C10 adhesion on mica to be two- to three-fold greater than mfp-5 (14 mJ m\(^{-2}\)) (ref 22) and the new mfp–amyloid recombinant fusion protein (21 mJ m\(^{-2}\)) (ref 24). Results obtained before and after periodate oxidation of Z-Cat-C10 suggest the following failure modes: before periodate, the work of adhesion averaged \( \sim 20 \) mJ m\(^{-2}\). Because the hydrophobic bilayer and catechol-to-mica contacts are strong, the weaker inter-catechol H-bonding between the two bilayers (Fig. 4c) unzips during separation. After covalent aryl–aryl coupling with periodate, the adhesion failed at \( \sim 50 \) mJ m\(^{-2}\), which is commensurate with the energy required to separate the two halves of a lipid bilayer leaflet\(^{42}\), thus suggesting that adhesion to the mica surface is stronger than \( \sim 50 \) mJ m\(^{-2}\).

Because the SFA-based work of adhesion (units J m\(^{-2}\)) is difficult to relate to reported bulk adhesion properties (J m\(^{-3}\)), we shall compare it with peel fracture energy. With native mussel primers, some bulk tests in lap shear or a three-electrode cell: a Pt wire as the counter electrode; an Ag/AgCl reference electrode; and a carbon paste electrode (CPE) served as the working electrode. Carbon paste electrode is the best choice for adhesive materials because of its ability to be completely polished before each experiment.

**Cryo-TEM.** Cryo-TEM vitrified specimens were prepared in a controlled environment vitrification system at 25 °C and 100% relative humidity. The procedure is described in detail elsewhere\(^{38}\). About 3 μl of the sample was applied onto a perforated (lacey) carbon film-coated 200 mesh copper TEM grid. Excess liquid was blotted with filter paper, and the specimen was immediately plunged into liquid ethane at its freezing point (−183 °C). The vitrified specimens were 240 transferred to a 626 Gatan cryo-holder, using it tansfer station, and imaged at 120 kV acceleration voltage in an FEI Tecnai T12 G² TEM, using low-dose imaging to minimize electron-beam radiation damage. Typical electron exposures were 10 to 15 e− Å\(^{-2}\). The specimens were kept in the TEM at about −175 °C. Images were digitally recorded with a Gatan US1000 high-resolution (24x) high-resolution (2charge-coupled device camera, using the Digital Micrograph software.

**QCM-D.** A 'static cell' (often called 'Open Model') QCM-D (Q-Sense, BioLink Scientific, Linthicum Heights, MD) was employed to quantitatively show the adsorption of the zwitterionic molecules onto mineral and metal oxide surfaces (Supplementary Methods).

**XPS.** XPS (Kratos Axis Ultra, Kratos Analytical, Manchester UK) was conducted with survey scans at a pass energy of 160 eV, and high-resolution scans at a pass energy of 40 eV. Nano-layer adhesion to silica surface was imaged with SEM after rinsing silica bead (diameter \( \phi = 100 \) nm) dispersion (10 mg ml\(^{-1}\) in deionized water), spread on a silicon wafer coated with Z-Cat-C10, thoroughly with deionized water.

**References**


**Discussion**

Catechol-functionalized zwitterionic surfactants are clearly more than detergents, and show the following favourable attributes as adhesive primers: (1) they aggregate in water as dense fluidic coacervates at concentrations above the CAC; (2) the coacervates rearrange as paired bilayers (coacervates at concentrations above the CAC; (3) in confinement in the SFA, the coacervates rearrange as paired bilayers (\( \sim 2.5\) nm thickness) with the catecholic head groups facing the mica surface on one side and bulk solvent on the other; (4) the coacervate environment stabilizes catechols by \( \sim +0.1 \) V against oxidation; and (5) following periodate treatment, adhesion of thin films of Z-Cat-C10 on mica approaches \( W_{\text{ad}} \sim 50 \) mJ m\(^{-2}\)—a new high value for catechol-mediated adhesion.

**Methods**

**Synthesis.** The zwitterionic surfactants were synthesized based on a previously described procedure\(^{23}\) (Supplementary Methods).

**Critical aggregation concentration.** Aqueous colloidal dispersions of each homologue were prepared in deoxygenated deionized water. The CAC was determined from the solution surface tension of varying homologue concentrations in deionized water (for example, see the red line of Z-Cat-C10 in Fig. 2b) by Wilhelmy plate tensiometry and has been described elsewhere\(^{39}\). Use of the CAC instead of Critical Micelle Concentration (CMC) here is a hedge to accommodate our uncertain about whether micelles or other soluble aggregates were forming.

**Cyclic voltammetry.** Cyclic voltammetry (CV) was performed on a Versastat 3 potentiostat from Ametek Co. (Berwyn, PA). These analyses were carried out using a three-electrode cell: a Pt wire as the counter electrode; an Ag/AgCl reference electrode; and a carbon paste electrode (CPE) served as the working electrode. Carbon paste electrode is the best choice for adhesive materials because of its ability to be completely polished before each experiment.

**Measuring adhesion and cohesion energies**

The adhesion/cohesion energy of the synthetic zwitterionic molecules was investigated in SFA (SurForce LLC, Santa Barbara, CA). Two molecularly smooth freshly cleaved back-silvered mica surfaces glued on cylindrical silica discs of radius of curvature, \( R = 2 \) cm were mounted in the SFA and 50 µl of a colloidal dispersion of the synthetic molecules in deionized water was injected between the surfaces at different concentrations (\( C = 0.5–5 \) mM) and the forces between the thin films of the molecules were measured by multiple beam interferometry\(^{37}\). The adhesion forces between Z-Cat-C10 molecules on various surfaces, for example, silica and copper, were also measured by AFM (MFP-3D, Asylum Research, Goleta, CA) in deionized water and ambient conditions, respectively, at room temperature. AFM probes with a silicon dioxide particle (10, 5 and 1 μm, respectively) on a silicon nitride (SN) cantilever \( 0.6 \) N m\(^{-1}\) were purchased from Novascan Technologies, Inc. (Ames, IA). Cantilever spring constants were measured for each force run. Images of Z-Cat-C10 coating on silicon wafer and copper plates were visualized in an AFM.
10. N.R.M. performed the SFA and QCM-D; R.M. performed and interpreted Cyclic Voltammetry and ultraviolet–visible spectroscopy; E.K. and Y.T. performed and interpreted Electron Microscopy of Soft Matter, supported by the Technion Russell Berrie Nanotechnology Institute (RBNI). We thank Sachin Handa for helpful discussions regarding the molecular syntheses and Mary Raven in NRI/MCDB Microscopy Facility.

Author contributions
B.K.A. coordinated the research team and collated the overall research; S.D. performed and interpreted surface characterizations including those from on the SFA; R.L. synthesized mep-5; T. & Brannon-Peppas, L. & Mazzatenta, M. & Ward, S. & Ireland, L. & Leblank, A. & Koenig, K. & Piner, R. & interpreted AFM and SEM; N.R.M. performed the SFA and QCM-D; R.M. performed and interpreted Cyclic Voltammetry and ultraviolet–visible spectroscopy; E.K. and Y.T. performed and interpreted cryo-TEM; B.H.L. designed the molecular syntheses and Mary Raven in NRI/MCDB Microscopy Facility.

Additional information
Supplementary Information accompanies this paper at http://www.nature.com/naturecommunications

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